



Federal Bureau of Investigation  
Washington, D.C. 20535

October 25, 2019

MR. JOHN GREENEWALD, JR.  
THE BLACK VAULT  
SUITE 1203  
27305 WEST LIVE OAK ROAD  
CASTAIC, CA 91384

FOIPA Request No.: 1434753-000  
Subject: ROCKEFELLER, LAURANCE SPELMAN

Dear Mr. Greenewald:

The enclosed documents were reviewed under the Freedom of Information/Privacy Acts (FOIPA), Title 5, United States Code, Section 552/552a. Below you will find check boxes under the appropriate statute headings which indicate the types of exemptions asserted to protect information which is exempt from disclosure. The appropriate exemptions are noted on the enclosed pages next to redacted information. In addition, a deleted page information sheet was inserted to indicate where pages were withheld entirely and identify which exemptions were applied. The checked exemption boxes used to withhold information are further explained in the enclosed Explanation of Exemptions.

<b>Section 552</b>		<b>Section 552a</b>	
<input type="checkbox"/> (b)(1)	<input type="checkbox"/> (b)(7)(A)	<input type="checkbox"/> (d)(5)	
<input type="checkbox"/> (b)(2)	<input type="checkbox"/> (b)(7)(B)	<input type="checkbox"/> (j)(2)	
<input type="checkbox"/> (b)(3)	<input checked="" type="checkbox"/> (b)(7)(C)	<input type="checkbox"/> (k)(1)	
_____	<input type="checkbox"/> (b)(7)(D)	<input type="checkbox"/> (k)(2)	
_____	<input type="checkbox"/> (b)(7)(E)	<input type="checkbox"/> (k)(3)	
_____	<input type="checkbox"/> (b)(7)(F)	<input type="checkbox"/> (k)(4)	
<input type="checkbox"/> (b)(4)	<input type="checkbox"/> (b)(8)	<input type="checkbox"/> (k)(5)	
<input type="checkbox"/> (b)(5)	<input type="checkbox"/> (b)(9)	<input type="checkbox"/> (k)(6)	
<input checked="" type="checkbox"/> (b)(6)		<input type="checkbox"/> (k)(7)	

7 page(s) were reviewed and 7 page(s) are being released.

Please see the paragraphs below for relevant information specific to your request as well as the enclosed FBI FOIPA Addendum for standard responses applicable to all requests.

- Document(s) were located which originated with, or contained information concerning, other Government Agency (ies) [OGA].
- This information has been referred to the OGA(s) for review and direct response to you.
- We are consulting with another agency. The FBI will correspond with you regarding this information when the consultation is completed.

Please refer to the enclosed FBI FOIPA Addendum for additional standard responses applicable to your request. "Part 1" of the Addendum includes standard responses that apply to all requests. "Part 2" includes additional standard responses that apply to all requests for records on individuals. "Part 3" includes general information about FBI records that you may find useful. Also enclosed is our Explanation of Exemptions.

For questions regarding our determinations, visit the [www.fbi.gov/foia](http://www.fbi.gov/foia) website under "Contact Us." The FOIPA Request Number listed above has been assigned to your request. Please use this number in all correspondence concerning your request.

You may file an appeal by writing to the Director, Office of Information Policy (OIP), United States Department of Justice, Sixth Floor, 441 G Street, NW, Washington, D.C. 20001, or you may submit an appeal through OIP's FOIA online portal by creating an account on the following website: <https://www.foiaonline.gov/foiaonline/action/public/home>. Your appeal must be postmarked or electronically transmitted within ninety (90) days from the date of this letter in order to be considered timely. If you submit your appeal by mail, both the letter and the envelope should be clearly marked "Freedom of Information Act Appeal." Please cite the FOIPA Request Number assigned to your request so it may be easily identified.

You may seek dispute resolution services by contacting the Office of Government Information Services (OGIS). The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at [ogis@nara.gov](mailto:ogis@nara.gov); telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769. Alternatively, you may contact the FBI's FOIA Public Liaison by emailing [foipaquestions@fbi.gov](mailto:foipaquestions@fbi.gov). If you submit your dispute resolution correspondence by email, the subject heading should clearly state "Dispute Resolution Services." Please also cite the FOIPA Request Number assigned to your request so it may be easily identified.

See additional information which follows.

Sincerely,



David M. Hardy  
Section Chief  
Record/Information  
Dissemination Section  
Information Management Division

The enclosed documents represent the final release of information responsive to your FOIPA request. This material is being provided to you at no charge.

Enclosed are two cross-references which are identifiable with the subject of your request. Cross-references are defined as mentions of the subject of your request in files to other individuals, organizations, events, or activities. In processing the cross-references, the pages considered for possible release included only those pages which mention the subject of your request and any additional pages showing the context in which the subject of your request was mentioned. The cross-reference pages were processed pursuant to the provisions of the FOIA and are being released to you in redacted form.

Enclosure(s)

## FBI FOIPA Addendum

As referenced in our letter responding to your Freedom of Information/Privacy Acts (FOIPA) request, the FBI FOIPA Addendum includes information applicable to your request. Part 1 of the Addendum includes standard responses that apply to all requests. Part 2 includes additional standard responses that apply to all requests for records on individuals. Part 3 includes general information about FBI records. For questions regarding Parts 1, 2, or 3, visit the [www.fbi.gov/foia](http://www.fbi.gov/foia) website under "Contact Us." Previously mentioned appeal and dispute resolution services are also available at the web address.

### Part 1: The standard responses below apply to all requests:

- (i) **5 U.S.C. § 552(c).** Congress excluded three categories of law enforcement and national security records from the requirements of the FOIA [5 U.S.C. § 552(c) (2006 & Supp. IV (2010))]. FBI responses are limited to those records subject to the requirements of the FOIA. Additional information about the FBI and the FOIPA can be found on the [www.fbi.gov/foia](http://www.fbi.gov/foia) website.
- (ii) **National Security/Intelligence Records.** The FBI can neither confirm nor deny the existence of national security and foreign intelligence records pursuant to FOIA exemptions (b)(1), (b)(3), and PA exemption (j)(2) as applicable to requests for records about individuals [5 U.S.C. §§ 552/552a (b)(1), (b)(3), and (j)(2); 50 U.S.C § 3024(i)(1)]. The mere acknowledgment of the existence or nonexistence of such records is itself a classified fact protected by FOIA exemption (b)(1) and/or would reveal intelligence sources, methods, or activities protected by exemption (b)(3) [50 USC § 3024(i)(1)]. This is a standard response and should not be read to indicate that national security or foreign intelligence records do or do not exist.

### Part 2: The standard responses below apply to all requests for records on individuals:

- (i) **Requests for Records about any Individual—Watch Lists.** The FBI can neither confirm nor deny the existence of any individual's name on a watch list pursuant to FOIA exemption (b)(7)(E) and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(7)(E), (j)(2)]. This is a standard response and should not be read to indicate that watch list records do or do not exist.
- (ii) **Requests for Records for Incarcerated Individuals.** The FBI can neither confirm nor deny the existence of records which could reasonably be expected to endanger the life or physical safety of any incarcerated individual pursuant to FOIA exemptions (b)(7)(E), (b)(7)(F), and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(7)(E), (b)(7)(F), and (j)(2)]. This is a standard response and should not be read to indicate that such records do or do not exist.

### Part 3: General Information:

- (i) **Record Searches.** The Record/Information Dissemination Section (RIDS) searches for reasonably described records by searching those systems or locations where responsive records would reasonably be found. A reasonable search normally consists of a search for main files in the Central Records System (CRS), an extensive system of records consisting of applicant, investigative, intelligence, personnel, administrative, and general files compiled and maintained by the FBI in the course of fulfilling law enforcement, intelligence, and administrative functions. The CRS spans the entire FBI organization and encompasses the records of FBI Headquarters (FBIHQ), FBI Field Offices, and FBI Legal Attaché Offices (Legats) worldwide and includes Electronic Surveillance (ELSUR) records. For additional information about our record searches visit [www.fbi.gov/services/information-management/foipa/requesting-fbi-records](http://www.fbi.gov/services/information-management/foipa/requesting-fbi-records).
- (ii) **FBI Records.** Founded in 1908, the FBI carries out a dual law enforcement and national security mission. As part of this dual mission, the FBI creates and maintains records on various subjects; however, the FBI does not maintain records on every person, subject, or entity.
- (iii) **Requests for Criminal History Records or Rap Sheets.** The Criminal Justice Information Services (CJIS) Division provides Identity History Summary Checks – often referred to as a criminal history record or rap sheets. These criminal history records are not the same as material in an investigative "FBI file." An Identity History Summary Check is a listing of information taken from fingerprint cards and documents submitted to the FBI in connection with arrests, federal employment, naturalization, or military service. For a fee, individuals can request a copy of their Identity History Summary Check. Forms and directions can be accessed at [www.fbi.gov/about-us/cjis/identity-history-summary-checks](http://www.fbi.gov/about-us/cjis/identity-history-summary-checks). Additionally, requests can be submitted electronically at [www.edo.cjis.gov](http://www.edo.cjis.gov). For additional information, please contact CJIS directly at (304) 625-5590.
- (iv) **The National Name Check Program (NNCP).** The mission of NNCP is to analyze and report information in response to name check requests received from federal agencies, for the purpose of protecting the United States from foreign and domestic threats to national security. Please be advised that this is a service provided to other federal agencies. Private citizens cannot request a name check.

## EXPLANATION OF EXEMPTIONS

### SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552

- (b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;
- (b)(2) related solely to the internal personnel rules and practices of an agency;
- (b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;
- (b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information ( A ) could reasonably be expected to interfere with enforcement proceedings, ( B ) would deprive a person of a right to a fair trial or an impartial adjudication, ( C ) could reasonably be expected to constitute an unwarranted invasion of personal privacy, ( D ) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, ( E ) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or ( F ) could reasonably be expected to endanger the life or physical safety of any individual;
- (b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (b)(9) geological and geophysical information and data, including maps, concerning wells.

### SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a

- (d)(5) information compiled in reasonable anticipation of a civil action proceeding;
- (j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;
- (k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;
- (k)(4) required by statute to be maintained and used solely as statistical records;
- (k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;
- (k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

This document is made available through the declassification efforts  
and research of John Greenewald, Jr., creator of:

# The Black Vault



The Black Vault is the largest online Freedom of Information Act (FOIA) document clearinghouse in the world. The research efforts here are responsible for the declassification of hundreds of thousands of pages released by the U.S. Government & Military.

**Discover the Truth** at: <http://www.theblackvault.com>

# FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE <b>NEW YORK</b>	OFFICE OF ORIGIN <b>BUREAU</b>	DATE <b>9/13/74</b>	INVESTIGATIVE PERIOD <b>8/21/74 - 9/13/74</b>
TITLE OF CASE <b>NELSON ALDRICH ROCKEFELLER</b>		REPORT MADE BY [Redacted]	TYPED BY <b>ks</b> b6 b7C
		CHARACTER OF CASE <b>SPECIAL INQUIRY</b>	

REFERENCE

New York report of SA [Redacted] dated 9/6/74.

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ADMINISTRATIVE

This report is being placed in a pending status inasmuch as the Financial Report in connection with the nominee has not been completed as of 9/6/74.

ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-19-91 BY 9803  
RDD/KSC

ACCOMPLISHMENTS CLAIMED					<input type="checkbox"/> NONE	ACQUIT- TALS	CASE HAS BEEN:
CONVIC.	AUTO.	FUG.	FINES	SAVINGS	RECOVERIES		
							PENDING PROSECUTION OVER SIX MONTHS <input type="checkbox"/> YES <input type="checkbox"/> NO

APPROVED: [Signature] SPECIAL AGENT IN CHARGE

COPIES MADE:

- ⑥ - Bureau (161-6197)
- 1 - New York (161-2961)

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161-6197-366

RECORDED

14 JAN 2 1975

REC-100

Dissemination Record of Aftched Report

Agency	300 Pp, 100 W. House
Request Recd.	
Date Fwd.	9-20-74
How Fwd.	

Notations

[Signature]

20 JAN 14 1975

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: [Redacted]  
Date: 9/13/74

Office: New York, New York

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Field Office File #: 161-2961

Bureau File #: 161-6197

Title: NELSON ALDRICH ROCKEFELLER

Character: SPECIAL INQUIRY

Synopsis:

[Redacted Synopsis Box]

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[Redacted] Ownership of list of real property pertaining to nominee individually and as a Tenant in Common set forth. On 4/18/74, the judgment of the USDC, SDNY, pertaining to a suit by the inmates of Attica Prison against the nominee was affirmed by the United States Court of Appeals, Second Circuit.

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ALL INFORMATION CONTAINED  
HEREIN IS UNCLASSIFIED  
DATE 12-19-91 BY 9803RDD/KSL

NY 161-2961

IV. MISCELLANEOUS

a. List of Real Property

On September 9, 1974, [REDACTED]  
Law Firm of Milbank, Tweed, Hadley and McCloy, Midtown  
Office, 1 Rockefeller Plaza, New York, New York, made avail-  
able an additional list of real property owned by NELSON A.  
ROCKEFELLER and also property held as tenant in common with  
JOHN D. ROCKEFELLER III, LAURANCE S. ROCKEFELLER, and DAVID  
ROCKEFELLER.

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Individually owned property,  
Town of Mount Pleasant, New York

146 Union Avenue, Tarrytown Crest, Tarrytown, New  
York. Acquired from Tarrytown Homes, Incorporated, by deed  
dated October 14, 1949, recorded Liber 4791, Page 223, October  
19, 1949, Westchester County.

"Lodge" property (98.616 acres), Town of Mount  
Pleasant, New York. Acquired from The Hills Realty Company,  
Incorporated, and JOHN D. ROCKEFELLER, JR., by deed dated  
July 17, 1959, recorded July 21, 1959, Liber 5930, Page 280,  
Westchester County.

Property adjacent to the "Lodge" property (17.525  
acres). Acquired from The Hills Realty Company, Incorporated,  
by deed dated February 25, 1966, recorded March 31, 1966,  
Liber 6601, Page 220, Westchester County.

586 and 592 Bedford Road (2.648 acres), Town of  
Mount Pleasant, New York. Acquired from The Hills Realty  
Company, Incorporated, by deed dated April 1, 1972, recorded  
April 10, 1972, Liber 7049, Page 427, Westchester County.

Property Held as Tenant in Common with  
JOHN D. ROCKEFELLER III, LAURANCE S.  
ROCKEFELLER and DAVID ROCKEFELLER

Kykuit Park Property and Moore Property (249 acres).  
Acquired from The Hills Realty Company, Incorporated, by deed  
dated July 28, 1954, recorded July 29, 1954, Liber 5356, Page  
141, Westchester County.

NY 161-2961

Lot Number 11, Town of Mount Pleasant, New York.  
Acquired from The Hills Realty Company, Incorporated, by  
deed dated November 28, 1972, recorded December 6, 1972,  
Liber 7097, Page 135, Westchester County.

In addition to the above property, Mr. [REDACTED] made available information pertaining to the ownership of two properties which are known as "Parke-Bernet Property" and the "Moorestown Property".

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The nominee obtained an undivided 25 percent interest in the Parke-Bernet Property as a tenant in common in a leasehold interest in the land and a fee interest in the improvements on the land from The Hills Realty Company in December, 1972. JOHN D. ROCKEFELLER III, NELSON A. ROCKEFELLER, LAURANCE S. ROCKEFELLER and DAVID ROCKEFELLER became tenants in common, and the above Assignment was recorded on December 12, 1972, in the Office of the City Register, New York County.

Mr. ROCKEFELLER obtained an undivided 25 percent interest in the Moorestown Property as a tenant in common in an undivided 80 percent fee interest in the land and improvements at the Moorestown Property by Deed, dated December 5, 1972, from Hills to Messrs. ROCKEFELLER, which was recorded in the Office of the Clerk of Burlington County on December 12, 1972, in Book 1825 of Deeds, folio 708. Mr. ROCKEFELLER obtained his undivided 25 percent interest in connection with the liquidation of Hills on December 5, 1972.

Hills obtained its undivided 80 percent fee interest in the land and improvements at the Moorestown Property by Deed, dated October 11, 1966, from 981 Madison Avenue Corporation to Hills, which was recorded on October 17, 1966, in the Clerk's Office of Burlington County, at Mount Holly, New Jersey, in Book 1627 of Deeds, folio 765.

The Moorestown Property is presently subject to the Lease, dated October 11, 1966, between the Messrs. ROCKEFELLER and 981 Madison Avenue Corporation (formerly Moorestown Center, Incorporated) as Landlord, and N.K. Winston Oklahoma Corporation (formerly Moorestown Management, Incorporated) as tenant.

NY 161-2961

A review of deeds at the Division of Land Records, County Clerk's Office, White Plains, New York, on September 10, 1974, by Special Agent (SA) [redacted] confirmed all transactions as noted above with the exception of the "property east of Sleepy Hollow Road (1.064 acres), Town of Mount Pleasant, New York". It is noted that no Liber reference was furnished with regard to this parcel of land.

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On September 10, 1974, ROBERT SHAEFFER, Tax Assessor, Town of Mount Pleasant, advised SA [redacted] that his records indicate that when JOHN D. ROCKEFELLER, JR. died in 1960 he conveyed this property to JOHN D. ROCKEFELLER III, LAURANCE S. ROCKEFELLER, DAVID ROCKEFELLER and NELSON A. ROCKEFELLER in equal shares by transfer through his will. Mr. SHAEFFER could not furnish the Liber reference where this was recorded, and said that in some instances deeds were not recorded in the Division of Land Records and that this was probably one of them. He noted that the property was originally purchased from the City of New York by JOHN D. ROCKEFELLER, JR. and this transaction was recorded in Liber 5926, page 353.

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Liber 5926, page 353, indicated that this property was purchased from the City of New York by JOHN D. ROCKEFELLER JR. at public auction at a cost of \$7,550, which was the highest bid on June 16, 1959.

None of the above properties in New York State were encumbered with any covenants pertaining to race, color, creed or religion.



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sum. n. n. 7<sup>3-29/54</sup>

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