# Department of Defense Fiscal Year (FY) 2009 Budget Estimates February 2008



RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE Volume 1 - Defense Advanced Research Projects Agency

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#### DEFENSE ADVANCED RESEARCH PROJECTS AGENCY

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#### DEFENSE ADVANCED RESEARCH PROJECTS AGENCY

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#### DEFENSE-WIDE FY 2009 RDT&E PROGRAM

EXHIBIT R-1

APPROPRIATION: 0400D Research, Development, Test & Eval, DW

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T	Program		Thousands of Dollars			S	
Line No	Element Number	Item	Act	FY 2007	FY 2008	FY 2009	E C
							-
2	0601101E	Defense Research Sciences	01	139,521	174,996	195,657	U
	Basic Re	esearch		139,521	174,996	195,657	
11	0602303E	Information & Communications Technology	02	228,073	230,385	254,009	U
12	0602304E	Cognitive Computing Systems	02	165,395	174,680	145,262	U
13	0602383E	Biological Warfare Defense	02	99,926	72,101	66,291	U
16	0602702E	Tactical Technology	02	300,721	335,967	371,481	U
17	0602715E	Materials and Biological Technology	02	270,513	301,741	285,264	U
19	0602716E	Electronics Technology	02	215,742	196,707	211,457	U
	Applied	Research		1,280,370	1,311,581	1,333,764	
31	0603286E	Advanced Aerospace Systems	03	58,414	71,925	107,857	U
32	0603287E	Space Programs and Technology	03	222,300	216,419	287,009	U
46	0603739E	Advanced Electronics Technologies	03	212,889	202,942	201,146	U
50	0603760E	Command, Control and Communications Systems	03	229,399	255,235	338,964	U
51	0603764E	Land Warfare Technology	03	36,658	19,642		U
52	0603765E	Classified DARPA Programs	03	147,159	186,992	196,697	U
53	0603766E	Network-Centric Warfare Technology	03	137,063	150,677	156,733	U
54	0603767E	Sensor Technology	03	189,795	195,213	226,470	U
55	0603768E	Guidance Technology	03	127,170	124,974	110,572	U
	Advanced	Technology Development (ATD)		1,360,847	1,424,019	1,625,448	
137	0605502E	Small Business Innovative Research	06	78,657			U
146	0605897E	DARPA Agency Relocation	06			28,000	U
147	0605898E	Management HQ - R&D	06	48,766	48,480	52,700	U

#### DEFENSE-WIDE FY 2009 RDT&E PROGRAM

EXHIBIT R-1

APPROPRIATION: 0400D Research, Development, Test & Eval, DW

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T days	Program	Thousands of Dollars				S	
No 	Element Number	Item	Act	FY 2007	FY 2008	FY 2009	E C -
153	0305103E	Cyber Security Initiative	06			50,000	U
	RDT&E M	anagement Support		127,423	48,480	130,700	
,	Total Resear	ch, Development, Test & Eval, DW		2,908,161	2,959,076	3,285,569	

Date: 17 JAN 2008

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>					DATE	February 2	2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research			R-1 ITEM NOMENCLATURE Defense Research Sciences PE 0601101E				
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	139.521	174.996	195.657	226.125	231.195	236.361	241.652
Bio/Info/Micro Sciences BLS-01	40.454	44.235	49.925	77.722	74.625	74.946	74.925
Information Sciences CCS-02	17.885	28.448	39.494	39.364	40.069	40.154	42.282
Electronic Sciences ES-01	50.091	53.481	57.505	61.360	58.898	62.752	62.752
Materials Sciences MS-01	31.091	48.832	48.733	47.679	57.603	58.509	61.693

#### (U) <u>Mission Description:</u>

(U) The Defense Research Sciences Program Element is budgeted in the Basic Research Budget Activity because it provides the technical foundation for long-term National Security enhancement through the discovery of new phenomena and the exploration of the potential of such phenomena for Defense applications. It supports the scientific study and experimentation that is the basis for more advanced knowledge and understanding in information, electronic, biological and materials sciences.

(U) The Bio/Info/Micro Sciences project will explore and develop potential technological breakthroughs that exist at the intersection of biology, information technology and micro/physical systems to exploit advances and leverage fundamental discoveries for the development of new technologies, techniques and systems of interest to the DoD. Programs in this project will draw upon information and physical sciences to discover properties of biological systems that cross multiple biological architectures and functions, from the molecular and genetic level through cellular, tissue, organ, and whole organisms' levels.

(U) The Information Sciences project supports long term national security requirements through scientific research and experimentation in new computational models and mechanisms for reasoning and communication in complex, interconnected systems. The project is exploring novel means to exploit computer capabilities; enhance human-to-computer and computer-to-computer interaction technologies; advance innovative computer architectures; and discover new learning mechanisms and innovations in software composition. It is also fostering the computer science academic community to address the DoD's need for innovative computer and information science technologies.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	DATE February 2008	
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENO	CLATURE
RDT&E, Defense-wide	Defense Research	Sciences
BA1 Basic Research	PE 060110	1E

(U) The Electronic Sciences project explores and demonstrates electronic and optoelectronic devices, circuits and processing concepts that will provide: 1) new technical options for meeting the information gathering, transmission and processing required to maintain near-real time knowledge of the enemy and the ability to communicate decisions based on that knowledge to all forces in near-real time; and 2) provide new means for achieving substantial increases in performance and cost reduction of military systems providing these capabilities.

(U) The Materials Sciences project is concerned with the development of: high power density/high energy density mobile and portable power sources; processing and design approaches for nanoscale and/or bimolecular materials, interfaces and microsystems; materials and measurements for molecular-scale electronics and spin-dependent materials and devices.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	FY 2008	<u>FY 2009</u>
	Previous President's Budget	145.239	152.622	156.242
	Current Budget	139.521	174.996	195.657
	Total Adjustments	-5.718	22.374	39.415
	Congressional program reductions	0.000	-1.119	
	Congressional increases	0.000	23.493	
	Reprogrammings	-2.000		
	SBIR/STTR transfer	-3.718		

	RDT&E BUD	DATE February 2008						
	APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research		R-1 ITEM NOMENCLATURE Defense Research Sciences PE 0601101E					
(U)	(U) <u>Change Summary Explanation:</u>							
	FY 2007	<i>t</i> 2007 Decrease reflects the reprogramming of the John H. Hopps congressional add to OSD and the SBIR/STTR transfer.						
	FY 2008	Increase reflects reductions for Section 8097 Contractor Efficiencies and Section 8104 Economic Assumptions offset by congressional adds for Nanoscience Nanotechnology Institute, Illinois Institute of Technology, Nanocrystal Source Display, Bacterial Ghost Influenza Vaccine Development, Advanced Research to Further National Security Goals, Advanced Nano-Engineered Composites, Alternative Futures at the Range Complex Level for the Southwest U.S., Focus Center-GICUR University Research, and Advanced Photonic Composites Research						
	FY 2009	9 Increase reflects the transfer of the Surface Enhanced Raman Scattering (SERS) program from PE 0602716E, Project ELT-01; Tip Based Nano Fabricate from PE 0603739E, Project MT-12; and increases to the University Photonic Centers, Quantum Entanglement Science and Technology Center, Computer Science Study Group and Young Faculty Awards.						

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RDT&E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)					DATE	February 2	2008	
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research			R-1 ITEM NOMENCLATURE Defense Research Sciences PE 0601101E, Project BLS-01					
COST (In Millions)	FY 2007	FY 200	08	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Bio/Info/Micro Sciences BLS-01	40.454	44.23	35	49.925	77.722	74.625	74.946	74.925

#### (U) <u>Mission Description:</u>

(U) This project is investigating and developing the intersections of biology, information technology and micro/physical systems to exploit important technological advances and leverage fundamental discoveries for the development of new technologies, techniques, and systems of interest to the DoD. This research is critical to the development of rapid responses to engineered biological warfare agents, radically new biomolecular computers, and novel materials for the DoD. Programs in this project will draw upon the information and physical sciences to discover properties of biological systems that cross multiple scales of biological architecture and function, from the molecular and genetic level through cellular, tissue, organ, and whole organism levels. This project will develop the basic research tools in biology that are unique to the application of biological-based solutions to critical Defense problems. This project is also providing the supporting basic research for the effort to revolutionize prosthetics.

#### (U) <u>Program Accomplishments/Planned Programs:</u>

	FY 2007	FY 2008	FY 2009
Bio Interfaces	8.000	7.960	11.000

(U) The Bio Interfaces program will support scientific study and experimentation, emphasizing the interfaces between biology and the physical and mathematical/computer sciences. This unique interaction will develop new mathematical and experimental tools for understanding biology in a way that will allow its application to a myriad of DoD problems. These tools will help exploit the advances in the complex modeling of physical phenomena such as Electro-Magnetic Pulse (EMP) and blast with biological tissues and cells in order to understand and prevent the deleterious effects of traumatic brain injury. It is also expected that understanding the fundamentals of biology will aid in developing tools to understand complex, non-linear networks and force structures.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	DATE February 2008	
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research	R-1 ITEM NOMENO Defense Research PE 0601101E, Proj	CLATURE 1 Sciences ect BLS-01

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed quantum mechanical theory of viral evolution incorporating horizontal gene transfer based on a path integral formalism particle/wave duality in biology.
- Developed theory of speciation based on randomness in a fitness landscape and consequent Anderson localization.
- Completed initial evaluation of the mechanisms of explosive-induced traumatic brain injury in experimental models.
- Initiated observational study of clinical symptoms and biomarkers of traumatic brain injury in warfighter populations.

FY 2008 Plans:

- Strengthen the foundations of the metagenomics approach to ecology using population genetics and the analysis of evolving populations.
- Understand and exploit the consequences of the occurrence of quantum mechanical structure in biology.
- Develop new mathematical methods targeting complexity and variability in biological systems.
- Determine the primary physical factors accounting for explosive-induced traumatic brain injury in experimental models.
- Complete epidemiologic study of factors associated with explosive traumatic brain injury in warfighters.

FY 2009 Plans:

- Test and verify theoretical mathematical formulations of the laws of biology on simple systems.
- Complete development of a generalized thermodynamic formalism for biological systems.
- Create protection and mitigation strategies, which greatly reduce the number and extent of traumatic brain injuries in warfighter population due to explosion.

	FY 2007	FY 2008	FY 2009
Biological Adaptation, Assembly and Manufacturing	8.869	10.675	11.000

(U) The Biological Adaptation, Assembly and Manufacturing program will examine the structure, function, and informational basis underlying biological system adaptation, particularly to harsh environments, and the factors employed by the organism to assemble and manufacture complex biological subsystems. The unique stability afforded biological systems in their ability to adapt to wide extremes of physical and endurance (e.g., heat, cold, and sleeplessness) parameters will be examined and exploited in order to engineer stability into biological systems

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEE</b>	Γ (R-2 Exhibit)	DATE February 2008
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMEN	CLATURE
RDT&E, Defense-wide	Defense Research	1 Sciences
BA1 Basic Research	PE 0601101E, Proj	ect BLS-01

required for the military (such as blood or other therapeutics). In addition, the fault tolerance present in biological systems will be exploited in order to assemble and manufacture complex physical and multi-functional systems, both biological and abiotic. Further activity in this area will investigate the adaptability of the brain to information processing and situational awareness. Applications to Defense systems include the development of chemical and biological sensors, and improved battlefield survivability of the warfighter.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Genetically distinguished cells associated with regeneration from cells associated with a scarring response.
- Identified seven molecules that attract regeneration-associated cells.
- Identified novel human probiotic bacterial strains that reduce infectious diarrhea in experimental models.
- Demonstrated a novel bacterial ghost vaccine platform that increased survival after a lethal challenge of gut bacteria (Shigella).
- Identified novel human fibro-biotics that enable partial digestion of dietary fiber.

FY 2008 Plans:

- Decrease fibrotic collagen synthesis at a wound by 20% in an experimental model.
- Establish a population of blastema-like cells (defined by at least three genetic markers) at a non-regenerating wound site in a mammal.
- Develop strategies for production of ten red blood cell units per week for four weeks in an automated closed culture system using a non-renewing (replaceable) progenitor cell population.
- Identify promising strategies in nature that allow organisms to survive under environmental extremes and adapt those strategies to other cells, tissues, organs and organisms, including platelets and red blood cells.
- Identify non-contact approaches such as magnetic fields and dielectrophoresis that provide cell positioning in 3-dimensions without negatively impacting cell viability.

FY 2009 Plans:

- Demonstrate production of 100 red blood cell units per week for eight weeks in an automated closed culture system using a renewing progenitor cell population.
- Enhance or produce artificial cell membranes to control, repair and improve cellular processes in the warfighter.
- Demonstrate in vitro construction of multicellular tissue using one or more non-contact cell positioning approaches.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	SHEET (R-2 Exhibit)	DATE	February 2	008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research	R-1 ITEM NOMENCLATURE Defense Research Sciences PE 0601101E, Project BLS-01			
	I	FY 2007	FY 2008	FY 2009

11.885

12.000

12.925

(U) The Nanostructure in Biology program will investigate the nanostructure properties of biological materials to better understand their behavior and accelerate their exploitation for Defense applications. This new information about biomolecules and complex cellular systems will provide important new leads for the development of threat countermeasures, biomolecular probes and motors, and neuromorphic sensory systems. This program will also develop approaches to mathematically predict, a priori, the structure of biological materials, especially proteins, based on the desired performance. This will enable the rapid design of new biosensors against previously unknown threats and the design of advanced catalysts based on biological activity to produce new materials of interest to DoD (e.g., tailored explosives). The program will also create technology to reliably integrate nanoscale and microsystems payloads on insects that will extract power, control locomotion, and also carry DoD relevant sensors. In addition, research will be conducted in the interaction, at the nanoscale, of biotic and abiotic materials and functions, a critical aspect in the development of advanced prosthetics.

(U) Program Plans:

Nanostructure in Biology

FY 2007 Accomplishments:

- Developed nanochannel glass recording devices to obtain neural impulses in the visual pathway; commenced test and verification.
- Designed and assembled multi-photon microscope to simultaneously record large number of neurons in order to understand the interconnectivity of neurons across regions in the mammalian visual pathway.
- From a priori mathematical principles, designed proteins that perform known chemistry in ten systems and showed that the naturally occurring protein appeared as one of the top five designs.
- Established methodology to design enzymes with high catalytic rate.
- Established methodology to mathematically design protein binding pairs.
- Demonstrated locomotion control using MEMS platforms consisting of ultrasonic projectors, pheromone ejectors, insect mechanosensor activation, and visual presentation manipulation, neural, or muscular interfaces.

FY 2008 Plans:

- Create an in vivo map of the feature sensitivity of populations of primary visual cortical neurons using nanochannel glass reocordings and two-photon microscopy techniques.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	SHEET (R-2 Exhibit)	DATE February 2008
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENO	CLATURE
RDT&E, Defense-wide	Defense Research	a Sciences
BA1 Basic Research	PE 0601101E, Proj	ect BLS-01

- Deduce how object representation in the mammalian inferotemporal cortex is computed from downstream visual system (V4) inputs using tools from topology, geometry, and statistics.
- Design enzymes with catalytic activity 10x improved from 2007 designs.
- Design proteins with 10x binding affinity to a second target protein.
- Demonstrate autonomous locomotion control via RF control for an un-tethered cyborg.

FY 2009 Plans:

- Create a functional model of the entire mammalian object recognition pathway that is biologically valid and suitable for translation to algorithm development.
- Apply protein design methodology to: 1) perform region-specific nitration chemistry, and 2) develop a protein that inhibits the activity of influenza by preferential binding.
- Develop a fast high-throughput chemistry-based technique for determining biomolecule structures at sub-Å resolution (better than X-ray crystallography) in solution.
- Optimize MEMS components for locomotion control, communications and power generation to consume less power and to reduce size, weight and cost.

	FY 2007	FY 2008	FY 2009
Human Assisted Neural Devices	10.500	12.000	15.000

(U) The Human Assisted Neural Devices program will develop the scientific foundation for understanding the language of the brain for application to a variety of emerging DoD challenges, including improving performance on the battlefield and returning active duty military to their units. This will require an understanding of neuroscience, significant computational efforts, and new material design and implementation. Key advances expected from this research include the ability to improve decision making in a variety of DoD applications including imagery analysis. In addition, this thrust will provide an understanding of how the brain adapts as it learns. This understanding will be translated into improved training approaches that allow transition from novices to expert in military tasks such as marksmanship to be accomplished with minimum effort and time.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	SHEET (R-2 Exhibit)	DATE February 2008
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENO	CLATURE
RDT&E, Defense-wide	Defense Research	1 Sciences
BA1 Basic Research	PE 0601101E, Proj	ect BLS-01

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated neurally stimulated tactile feedback by a non-human primate in a reaching and grasping task.
- Developed new methods to discern motor intention in non-human primates.
- Determined the functional Magnetic Resonance Imaging (fMRI) signatures associated with expert status on DoD relevant tasks, which include skills that can make a direct translation to military benefit such as language acquisition, marksmanship, and threat detection.
- Commenced investigations into the neural basis of expert performance using advanced functional neuroimaging technologies, state of the art spatio-temporal measurement techniques and novel signal processing methods.

FY 2008 Plans:

- Create an interface capable of enabling performance of a complex motor/sensory task through an assistive device.
- Map dynamic functional motor and sensory networks, develop methods for characterizing brain-wide sensory/motor tasks, and determine task performance changes resulting from learning and plasticity.
- Identify the specific brain networks and regions involved in the generation of expert performance; track and classify progression from novice to expert level using functional neuroimaging techniques.
- Investigate non-invasive interventions to increase the speed of expertise development and dramatically accelerate the transition from novice to expert in key military tasks including neurophysiologically-driven training regimens, neurally optimized stimuli, and stimulatory/modulatory interventions.

FY 2009 Plans:

- Develop prototype training systems to implement the acceleration methodologies for improved training.
- Explore the extrapolation of task specific acceleration techniques from limited domains to wider, more general training applications.
- Identify memory neural codes that are specific to critical work related tasks, enabling possible memory restoration in a brain-wounded warfighter.
- Leverage recent advances in neuroscience and mathematics to construct an integrated mathematical model of the brain that is consistent and predictive, rather than merely biologically inspired.
- Develop a theory that overcomes the difficulties present in traditional approaches, such as artificial intelligence and artificial neural network, to properly model complex human brain processes such as logical reasoning, language, mental computation, and contextdependent mental set.

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	)8	DATE February 2008	SHEET (R-2 Exhibit)	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>
APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENCLATURERDT&E, Defense-wideDefense Research SciencesBA1 Basic ResearchPE 0601101E, Project BLS-01		LATURE Sciences ect BLS-01	R-1 ITEM NOMENO Defense Research PE 0601101E, Proj	APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research

	FY 2007	FY 2008	FY 2009
Drug Discovery and Development Initiative for National Security	1.200	0.000	0.000

#### (U) Program Plans:

- FY 2007 Accomplishments:
- Effort concentrated on finding promising new methods for discovering drugs to enhance national security efforts.

	FY 2007	FY 2008	FY 2009
Bacterial Ghost Influenza Vaccine Development	0.000	1.600	0.000

### (U) Program Plans:

FY 2008 Plans:

- Develop novel genetically inactivated bacterial-based vaccines to overcome disadvantages of egg-based vaccines.

#### (U) Other Program Funding Summary Cost:

• Not Applicable.

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RDT&E BUDGET ITEM JUST	IFICATI	ON S	HEE	T (R-2 Ex	xhibit)	DATE	February 2	2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research			R-1 ITEM NOMENCLATURE Defense Research Sciences PE 0601101E, Project CCS-02					
COST (In Millions)	FY 2007	FY 2	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Information Sciences CCS-02	17.885	28	3.448	39.494	39.364	40.069	40.154	42.282

#### (U) <u>Mission Description:</u>

(U) This project supports scientific study and experimentation on new computational models and mechanisms for reasoning and communication in complex, interconnected systems in support of long-term national security requirements. The project is exploring novel means of exploiting computer capabilities; practical, logical and heuristic reasoning by machines; development of enhanced human-to-computer and computer-to-computer interaction technologies; innovative approaches to the composition of software; innovative computer architectures; and new learning mechanisms for systematically upgrading and improving these capabilities. Promising techniques will transition to both technology development and system-level projects.

#### (U) <u>Program Accomplishments/Planned Programs:</u>

	FY 2007	FY 2008	FY 2009
Computer Exploitation and Human Collaboration	13.312	20.512	11.494

(U) The Computer Exploitation and Human Collaboration program supports research in broad areas of computational science having the potential for revolutionary advances in performance and other relevant metrics above and beyond extrapolations of current approaches. The research will yield significant advances in networking, software, hardware, and computational systems that will allow warfighters and commanders of the future to interact in a natural way with computers, enable a new generation of collaboration methods and information acquisition, and provide intelligent seamless exchange of information in a world where computing devices are ubiquitous and heterogeneous. The program is creating an information theory for ad-hoc mobile wireless networking in the absence of wired infrastructure; practical inferential reasoning techniques applicable in real-world situations with complexity and uncertainty; and revolutionary non-traditional processing architectures. The Computer Exploitation and Human Collaboration program consists of two efforts: Information Theory for Wireless Mobile Ad-Hoc Networks (ITMANET) and Real-World Reasoning (REAL).

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February 2008
OMENCLATURE esearch Sciences E, Project CCS-02
N (e

- The science of interconnected systems provides powerful mathematical tools for understanding the intrinsic properties and complexities of large-scale networks and other distributed systems. Such foundational research is imperative for the future design of robust systems that break away from the established tradition of piece-meal patching of current infrastructures. Research is focused on the development of an overarching "Information Theory for Wireless Mobile Ad-Hoc Networks" (ITMANET). This revolutionary information theory effort will enable the next generation of the DoD's wireless networks, and moreover provide insight concerning the acquisition and deployment of nearer-term systems.
- Research on machine intelligence over the last two decades has revealed that many reasoning problems are inherently computationally complex, and in many cases, intractable. Solutions to these problems typically require either enormous computer resources, or simplification of the problem resulting in major sacrifices to accuracy. The Real-World Reasoning (REAL) effort is developing foundational technologies, heuristic approaches, and tools necessary to enable effective, practical machine reasoning about increasingly complex and large-scale problems. These technologies will aid commanders and warfighters in assessing the consequences of specific actions and strategies, and will help in predicting future results. The key technologies under investigation are effective, practical inferential reasoning in real-world situations with complexity and uncertainty; novel paradigms for learning while reasoning; integration of multiple reasoning paradigms; representation and reasoning with information that changes over time; reasoning about the goals of other agents; and appropriate metrics for measuring cognitive behavior and performance.
- (U) Program Plans:
  - Information Theory for Wireless Mobile Ad-Hoc Networks (ITMANET) FY 2007 Accomplishments:
    - -- Initiated work by two university research teams to develop a revolutionary information theory for mobile ad-hoc networks (ITMANET) that will provide theoretical underpinnings and performance goals/limits for the next generation of DoD wireless networks as well as practical guidance for the acquisition and deployment of near-term systems.
    - -- Analyzed single flow "line" network containing key forms of dynamics in terms of throughput/delay-reliability trades.
    - -- Used stochastic geometry to model cooperation in power control and scheduling; explored and quantified the benefits of cooperation using this model.
    - -- Analyzed, prototyped, and demonstrated novel forms of physical-layer radio cooperation based on analog network coding and information-theoretic relaying.

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RDT&E BUDGET ITEM JUSTIFICATIO	ON SHEET (R-2 Exhibit)	DATE February 2008
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENCLATURE	
RDT&E, Defense-wide	Defense Research	n Sciences
BA1 Basic Research	PE 0601101E, Proj	ect CCS-02

FY 2008 Plans:

- -- Develop and analyze tractable and insightful metrics and network models that expand the definition of information theory to encompass the degrees of freedom, constraints and dynamics inherent to wireless networks.
- -- Develop new upper bounding techniques for MANET capacity and other performance metrics, and evaluate these bounds for small to medium-sized networks under relatively simple assumptions.
- -- Develop new achievability results for key performance metrics by optimizing dynamic node cooperation and resource allocation over available degrees of freedom.
- -- Use rate distortion theory and network utilization to optimize the interface between networks and applications.

FY 2009 Plans:

- -- Predict MANET performance in terms of throughput-delay-reliability for a specific pre-defined MANET realization (small number of nodes, maximum limited mobility, required outage, amount of allowed overhead, bandwidth efficiency, etc.).
- -- Develop new achievability results for key performance metrics based on networks designed as a single probabilistic mapping with dynamics over multiple timescales.
- Real-World Reasoning (REAL)

FY 2007 Accomplishments:

- -- Demonstrated, on problems of limited scope, a new learning-based algorithm that achieves a 10<sup>9</sup> speed-up in logical Quantified Boolean Formulae (QBF) reasoning.
- -- Demonstrated, on small problems, new reasoning algorithms that combine pruning, consistency models, and statistical sampling to decide on a course of action even when the state of the world is unknown.
- -- Determined, on small problems, that Nash equilibrium points could be identified in multi-party, mixed tactical/strategic settings, determining which action a commander should take and with whom to partner in a given situation.
- -- Provided program planning support for the DARPA Urban Challenge.

FY 2008 Plans:

- -- Develop innovative algorithms for dramatically reducing the complexity and processing required for reaching conclusions in logical reasoning systems where the problems are of an operationally realistic scale and complexity.
- -- Develop reasoning algorithms that can analyze situations and decide on effective courses of action even when the exact state of the world is unknown (a.k.a. partial observability) on problems of realistic size and complexity.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>		DATE February 2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research	R-1 ITEM NOMENO Defense Research PE 0601101E, Proj	CLATURE 1 Sciences ect CCS-02
Develop strategic reasoning algorithms that analyze com	nley multi-party mixed tactical / strategi	c settings (like those found in

-- Develop strategic reasoning algorithms that analyze complex, multi-party, mixed tactical / strategic settings (like those found in modern warfare situations), and provide decision support to warfighters about who is partnered with whom and what posture might be taken with respect to these parties; where the problems are of realistic size and complexity.

FY 2009 Plans:

- -- Develop a system architecture that integrates the different REAL technologies into a cohesive reasoning system.
- -- Apply the REAL system (combined technologies) to a military training simulation called Decisive Action.
- -- Create non-traditional computing architectures that go beyond the currently deployed instruction set architectures and the longstanding abstraction layer paradigm of application on operating system on kernel on assembler on firmware on hardware.

	FY 2007	FY 2008	FY 2009
Computer Science Study Group (CSSG)	4.573	6.936	12.000

(U) The Computer Science Study Group (CSSG) program supports emerging ideas from the computer science academic community to address the DoD's need for innovative computer and information science technologies; introduce a generation of junior researchers to the needs and priorities of the DoD, and enable the transition of those ideas and applications by promoting joint university, industry, and government projects. The CSSG project formalizes and focuses this research for efficiency and greater effectiveness.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Established comprehensive study panels comprised of junior academic computer scientists and paired them with mentors with senior academic, industrial, and military talents.
- Conducted a series of research efforts in order to solve the most compelling problems facing the computer science community.
- Initiated development of a sensitive programming language focusing on code behavior in problem environments.
- Initiated development of a secure, coherent software methodology for information-sharing for both cross-domain and intra-domain communication applications.
- Developed research paradigm to solve bottlenecks and inefficiencies in network data transfer, using the novel concept of image handprinting for efficiently locating sources of similar content.

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FY 2008 Plans:

- Further develop extensive collaboration among civilian computer scientists and DoD technologists and customers.
- Develop software models of human skin architecture including sensory neural system.
- Develop new computational learning theory, including learning from noisy data, to enhance algorithms for random noise tolerance.
- Develop software with increased capability and dependability, by combining static tools and human insight at the architectural level to defeat attacks.
- Develop process for networking wireless imaging systems and other wireless sensors emphasizing change detection and medical applications.

FY 2009 Plans:

- Identify and explore new computer science challenges that, when addressed, will yield extraordinary advances for DoD applications.
- Develop high-performance parallel computing, and interactive computer graphics.
- Explore bio-inspired computing emphasizing evolutionary computation and artificial neural networks (ANNs) to solve difficult real
  world tasks such as autonomous guidance of vehicles.
- Develop new approaches for management of network security, authentication, mobility, and handoff management with emphasis on self-organizing wireless networks in a battlefield environment.

	FY 2007	FY 2008	FY 2009
Programmable Matter	0.000	0.000	4.000

(U) The Programmable Matter program will develop a new functional form of matter, constructed from mesoscale particles that assemble into complex 3-Dimensional (3-D) objects upon external command. These objects will exhibit all of the functionality of their conventional counterparts and ultimately have the ability to reverse back to the original components.

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RDT&E, Defense-wide	Defense Research	a Sciences
BA1 Basic Research	PE 0601101E, Proj	ect CCS-02

#### (U) Program Plans:

FY 2009 Plans:

- Build mathematical model that theoretically confirms a viable procedure for constructing macroscopic 3-D solid objects with functional properties that have real world use.
- Demonstrate externally-directed assembly of distinct macroscopic 3-D solids.
- Demonstrate interlocking/adhesion of mesoscale particles to create bulk matter.
- Demonstrate reversibility.

	FY 2007	FY 2008	FY 2009
Young Faculty Award	0.000	0.000	10.000

(U) The Young Faculty Award (YFA) program will identify rising research stars in junior faculty positions in academia and expose them to DoD needs and DARPA's program development process. The long term goal is to develop the next generation of academic scientists in key disciplines who will focus a significant portion of their career on DoD and National Security issues.

#### (U) Program Plans:

FY 2009 Plans:

- Identify brightest young academicians in microsystems, mathematics, neuroscience and other disciplines as candidates for the program.
- Conduct introductory meetings to introduce academicians/faculty to DoD needs and opportunities.
- Develop broad areas of scientific interest; solicit, evaluate, and fund proposals.

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	FY 2007	FY 2008	FY 2009
High School Science Study Group/CS Futures	0.000	1.000	2.000

(U) The DARPA Grand & Urban Challenges inspired a number of high school-age students and exposed them to the rewards of a research career. The future of DoD research depends on the continuing engagement of these students in science- and technology-related fields. An offshoot of the Computer Science Study Group program, the High School Science Study Group/CS Futures program will fund efforts to identify the computer science interests of high school students, and involve them in high-level research at the high school level.

#### (U) Program Plans:

FY 2008 Plans:

- Assemble a panel of academic computer scientists to identify potential areas of interest to high school students.
- Establish student study groups to gauge the attractiveness of the proposed ideas to students.
- Conduct student evaluation of potential research to include: robotics for traffic and vehicle management, robots for environmental surveillance and conservation, and object recognition for the blind.

FY 2009 Plans:

- Engage high school study groups to work on selected ideas.
- Continue evaluation of new potential ideas, including: human computer interactions, computational models of environmental adaptation, and automated evaluation of physical function for applications in rehabilitation medicine.

#### (U) Other Program Funding Summary Cost:

• Not Applicable.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA1 Basic Research		R-1 ITEM NOMENCLATURE Defense Research Sciences PE 0601101E, Project ES-01					
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Electronic Sciences ES-01	50.091	53.481	57.505	61.360	58.898	62.752	62.752

#### (U) <u>Mission Description:</u>

(U) This project seeks to continue the phenomenal progress in microelectronics innovation that has characterized the last decades by exploring and demonstrating electronic and optoelectronic devices, circuits and processing concepts that will: 1) provide new technical options for meeting the information gathering, transmission and processing required to maintain near real-time knowledge of the enemy and the ability to communicate decisions based on that knowledge to all forces in near real-time; and 2) provide new means for achieving substantial increases in performance and cost reduction of military systems providing these capabilities. Research areas include new electronic and optoelectronic device and circuit concepts, operation of devices at higher frequency and lower power, extension of diode laser operation to new wavelength ranges relevant to military missions, development of uncooled and novel infrared detector materials for night vision and other sensor applications, development of innovative optical and electronic technologies for interconnecting modules in high performance systems, research to realize field portable electronics with reduced power requirements, and system and component level improvements to provide greater affordability and reliability. Additionally, electronically controlled microinstruments offer the possibility of nanometer-scale probing, sensing and manipulation for ultra-high density information storage "on-a-chip," for nanometer-scale patterning, and for molecular level analysis and synthesis. These microinstruments may also offer new approaches to integration, testing, controlling, manipulating and manufacturing nanometer-scale structures, molecules and devices.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
University Photonic Research (UPR) Centers	7.102	5.782	7.500

(U) The University Photonic Research (UPR) Centers program is dedicated to coupling university based engineering research centers of excellence with appropriate industry groups to conduct research leading to development of advanced optoelectronic components. Such components are critical to enhancing the effectiveness of military platforms that provide warfighter comprehensive awareness and precision

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BA1 Basic Research	PE 0601101E, Project ES-01	

engagement. Topics researched include emitters, detectors, modulators and switches operating from infrared to ultraviolet wavelengths, and related heterogeneous materials processing and device fabrication technologies for realizing compact, integrated optoelectronic modules.

(U) The University Photonics Research (UPR) II program will continue long standing support of university-led research in photonics. The program will develop revolutionary capabilities leading to specific photonic intelligent microsystems by using university-based teams of interdisciplinary researchers engaged in a range of topics. These university-based research projects will be coupled with industry participation. Unfunded participation of industry researchers is expected to help guide and focus the Centers' activities toward specific and measurable research goals. The industrial liaisons are expected to facilitate transitioning the intermediate results of long term research into products in addition to providing an industry perspective. The overall vision of the Centers' research programs will be driven by the goal of creating new paradigms for realizing higher performance, lower energy requirements, greater environmental stability and adaptive behavior. Each team of university researchers will be formed into a University Photonics Research Center, and will be associated with an overarching vision of research directions.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated detection of a single molecule in a fluidic bath using an optical micro-resonator with a functionalized surface to show simultaneous high selectivity and high sensitivity detection of unlabeled biological or chemical compounds.
- Demonstrated nano-aperture vertical cavity surface emitting lasers with record high intensity output showing that established theory for sub-wavelength optical apertures is incorrect.
- Initiated projects jointly funded by industrial sponsors.

FY 2008 Plans:

- Design and fabricate prototype modules using the system-on-a-chip approach.
- Develop testbeds capable of fully measuring and characterizing the mixed technologies implemented in the chip-scale components.
   FY 2009 Plans:
- Evaluate the performance characteristics of the prototype modules and determine the highest payoff dual use development paths.
- Identify and enlist industrial participants.
- Identify a common set of photonic devices most widely used/requested and make them immediately available for experimentation.

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	FY 2007	FY 2008	FY 2009
Semiconductor Technology Focus Centers	20.400	10.200	20.000

(U) The Semiconductor Technology Focus Centers research program is a collaborative effort between the Defense Advanced Research Projects Agency (DARPA), the Office of the Deputy Undersecretary of Defense for Science & Technology (DUSD/S&T), and the Microelectronics Advanced Research Corp (MARCO) which will establish new Focus Centers in "Materials, Structures & Devices" and in "Circuits, Systems & Software" at U.S. Institutions of Higher Education. The Focus Centers will concentrate research attention and resources on a discovery research process to provide radical innovation in semiconductor technology that will provide solutions to barrier problems in the path of sustaining the historical productivity growth and performance enhancement of semiconductor integrated circuits. The overall goal of this collaborative effort between the Department of Defense and industry is to sustain the unprecedented four decades of uninterrupted performance improvement in information processing power.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed efficient platform based design methodologies and low latency interconnect technologies for complex integrated circuits that have application in high performance signal processing and communication systems.
- Developed circuit architectures that reduce long interconnects compatible with chip clock rates > 5 gigahertz (GHz).
- Explored novel device fabrication and integration approaches for deeply scaled transistors (<10 nanometers channels) and their effective integration for high performance mixed signal circuits for military needs.
- Demonstrated capabilities of platform-centered design methodology leading to rapid design and re-design of complex System on a Chip (SoC) for military applications.
- Demonstrated photonic, radio frequency (RF), and novel materials for on-chip interconnects.
- Demonstrated fabrication technologies for nanometer scaled transistors.
- Developed robust designs and architectures for fabricating circuits based on unreliable switches.

FY 2008 Plans:

 Demonstrate, via simulation, integration of nanometer-scaled devices into circuit macro functions that have application to military sensor signal processing or advanced communications protocols.

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- Explore integration processes for incorporating high mobility materials as transistor channels in deeply scaled field-effect transistors.

- Explore new materials and fabrication approaches to scale devices below 10 nanometers (nm).

FY 2009 Plans:

- Develop novel device fabrication and integration approaches for deeply scaled transistors and architectures for high performance mixed signal circuits for military needs.
- Develop concepts and validation methods in one or combinations of the following areas: electronics, photonics, micro-electromechanical systems (MEMS), architectures and algorithms.

	FY 2007	FY 2008	FY 2009
Focus Center - Government Industry Cooperative University Research (GICUR)	0.000	8.000	0.000

(U) The Focus Center - Government Industry Cooperative University Research (GICUR) program compliments the goals and objectives of the above Semiconductor Technology Focus Centers. All plans are identical. All funding is applied to the Semiconductor Technology Focus Center program.

	FY 2007	FY 2008	FY 2009
Molecular Photonics (MORPH)*	8.060	5.000	0.000

\*Formerly Supermolecular Photonics Engineering.

(U) Large dendritic and other highly branched organic molecules offer great potential for active photonic applications. Three-dimensional molecular structures and shapes can be engineered to orient and immobilize optically active substituents to achieve much higher electro-optic activity than with traditional polymer systems. The ability to engineer molecular structure, shape, energy transport, and chemical composition offers the potential for distinct electronic energy level engineering without the traditional semiconductor crystal lattice. This will allow more freedom to tailor electromagnetic responses of individual molecules to achieve functionality not possible in semiconductors. Potential applications include: direct conversion of sunlight to power ("optical antenna"), inversion-less lasers and electromagnetically induced transparency (coherent

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BA1 Basic Research	PE 0601101E, Pro	ject ES-01

organic emitters, and slow light materials), high performance photorefractive materials for signal processing and holographic memory, optical limiters and saturable absorbers as well as high performance modulators.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated a polymer-based signal modulator with far higher speed (20 gigahertz (GHz)) than semiconductor modulators of similar size while having comparable performance (impact on RF photonics).
- Demonstrated organic molecules with high optical limiting for sensor protection from laser threats (warfighter protection).

FY 2008 Plans:

- Demonstrate very high speed (100 gigahertz (GHz)) polymetric electro-optic (EO) modulator.
- Demonstrate organic materials for building ultra-high speed EO modulators.
- Develop tailored organic materials as high-efficiency optical limiters in regions of the spectrum relevant to military sensor protection.

	FY 2007	FY 2008	FY 2009
Photonics Technology Access Program (PTAP)	1.300	0.000	0.000

(U) The main goal of the Photonic Technology Access Program (PTAP) was to create a mechanism for providing the latest prototype optoelectronic devices and custom materials to systems researchers. The program sought to build bridges between the device and systems research community, the university and industrial community and the teaching and research community.

(U) Program Plans:

FY 2007 Accomplishments:

- Employed a broker-supplier user model that has been previously tried for integrated circuits and micro-electro-mechanical systems to implement the program.
- Evaluated the number of device/material transactions implemented between users and suppliers.

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	FY 2007	FY 2008	FY 2009
Quantum Entanglement Science and Technology (QuEST)	3.927	2.600	5.000

(U) The Quantum Entanglement Science and Technology (QuEST) program will explore the research necessary to create new technologies based on quantum information science. Technical challenges include loss of information due to quantum decoherence, limited communication distance due to signal attenuation, protocols, and larger numbers of quantum bits (Qubits) and their entanglement. A key challenge is to integrate improved single and entangled photon and electron sources and detectors into quantum computation and communication networks. Error correction codes, fault tolerant schemes, and longer decoherence times will address the loss of information. Expected impacts include highly secure communications, algorithms for optimization in logistics, highly precise measurements of time and position on the earth and in space, and new image and signal processing methods for target tracking.

(U) Program Plans:

FY 2007 Accomplishments:

- Initiated research in fundamentals of quantum information science, quantum algorithms and applications of small (several qubit) quantum systems.

FY 2008 Plans:

- Continue exploration of fundamental quantum systems.
- FY 2009 Plans:
- Develop novel approaches to improving decoherence times.
- Devise full characterization and manipulation of entangled quantum systems.
- Formulate novel quantum algorithms.

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	FY 2007	FY 2008	FY 2009
N/MEMS Science and Focus Centers	9.302	9.432	13.282

(U) The goal of the N/MEMS Science and Focus Centers program is to support the development of an enhanced fundamental understanding of a number of important technical issues critical to the continuing advance of nanoelectromechanical systems (NEMS) and microelectromechanical systems (MEMS) technologies and their transition into military systems. The basic research work to be conducted under the program is responsive to recognized challenges in a comprehensive range of technical areas pertinent to future DoD needs. Industrial cost sharing is an important element of the overall effort.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed a fundamental understanding of the behavior of materials interfaces and associated reliability.

FY 2008 Plans:

- Fabricate non-lithographic MEMS.

- Develop an understanding of fluidics on a nanoscale.

FY 2009 Plans:

- Develop MEMS enabled reconfigurable electronics.

– Develop ultra-high Q (energy ratio) nanoresonators.

	FY 2007	FY 2008	FY 2009
Semiconductor A1GaN Injection Lasers (SAIL)	0.000	1.049	3.168

(U) The objective of the Semiconductor AlGaN Injection Lasers (SAIL) program is to demonstrate lasers with ultraviolet emission in the wavelength range of 340 to 270 nanometers (nm). These lasers will be based on heterostructures of Aluminum Gallium Nitride (AlGaN). Such lasers do not exist at present. Once demonstrated, SAIL devices are expected to have applications in stand-off bio-defense, such as point detection of aerosolized bio-agents.

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#### (U) Program Plans:

FY 2008 Plans:

- Develop methods for preparing AlGaN with low density of dislocations.

- Demonstrate effective p-type doping in AlGaN with the aluminum nitride (AlN) content of 60%.

FY 2009 Plans:

- Fabricate injection lasers operating in the ultraviolet at 340 nm and 280 nm.

- Demonstrate stable and reliable operation of ultraviolet lasers at room temperature.

	FY 2007	FY 2008	FY 2009
Nanoscaled Architecture for Coherent Hyper-Optic Sources (NACHOS)	0.000	3.525	3.555

(U) The Nanoscaled Architecture for Coherent Hyper-Optic Sources (NACHOS) program will explore scaling rules for semiconductor laser sources. Such rules exist and are well understood in electronics but do not yet exist for photonic devices. Nanoscaled lasers would be useful in a wide range of applications, from close integration with electronics, on chip light sources, to single photon sources. The program idea is based on recent developments in heterostructured semiconductor nanowires (the gain medium), which establish the feasibility of forming lasers with diameters much smaller than the wavelength of light they produce. Simultaneously, advances in plasmonic structures, which support optical frequencies with X-ray like wavelength, make it possible to envision feedback structures (cavities) that are also shorter than the wavelength of light emitted from the cavity. The program goal will thus be to produce nanoscaled lasers with all three dimensions shorter than the wavelength of light. Important issues of beam shaping through antenna-like structures and powering via plasmonic structures will also be considered.

(U) Program Plans:

FY 2008 Plans:

- Develop defect-free nanowire-based heterostructures.

- Grow lithographically defined nanowire heterostructures.

- Use photonic bandgap structures for feedback and coupling of light.

FY 2009 Plans:

- Establish and validate models for nanophotonics.

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RDT&E, Defense-wide	Defense Research	Sciences
BA1 Basic Research	PE 0601101E, Pro	ject ES-01

	FY 2007	FY 2008	FY 2009
Tip-Based Nanofabrication (TBN)	0.000	0.000	5.000

(U) The Tip-Based Nanofabrication (TBN) program will develop methods for precise, repeatable, manufacturing at the nanoscale, using Atomic Force Microscope (AFM) tips as tools. Confinement of extreme conditions (temperatures, fluxes, fields & forces) to the region within a few microns of the tip will enable heterogeneous integration of normally incompatible materials.

#### (U) Programs Plans:

FY 2009 Plans:

- Develop a new nanomanufacturing technology based on extreme fields and fluxes available in the region of a nanoprobe tip.
- Build unique nanometer-scale device structures.
- Demonstrate post-complementary metal-oxide-semiconductor (CMOS) local nanofabrication.

	FY 2007	FY 2008	FY 2009
Illinois Institute of Technology	0.000	1.040	0.000

(U) The Illinois Institute of Technology program will explore new approaches to advanced electronics technology.

#### (U) Program Plans: FY2008 Plans:

- Initiate development of advanced electronics technologies.

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	FY 2007	FY 2008	FY 2009
Nanocrystal Source Display	0.000	1.200	0.000

(U) The objective of Nanocrystal Source Display program is to develop nanoscale crystals for display applications.

- (U) Program Plans: FY2008 Plans:
  - F I 2008 Fialls.
  - Initiate nanocrystal development.

	FY 2007	FY 2008	FY 2009
Advanced Photonic Composites Research	0.000	3.253	0.000

(U) The objective of Advanced Photonic Composites Research is to develop advanced optical composites for defense applications.

#### (U) Program Plans:

FY 2008 Plans:

- Transition nano-engineered materials and composites into DoD relevant devices with a specific focus on advancing infrared detectors and energy harvesting structures.
- Develop and commercialize composite technology in integrated optics.

	FY 2007	FY 2008	FY 2009
Nanoscience Nanotechnology Institute	0.000	2.400	0.000

(U) The Nanoscience Nanotechnology Institute will explore new approaches to nanoscience research.

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(U) Program Plans: FY 2008 Plans:

– Initiate nanoscience research.

#### (U) Other Program Funding Summary Cost:

• Not Applicable.
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COST (In Millions)	FY 2007	FY 20	008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Materials Sciences MS-01	31.091	48.8	832	48.733	47.679	57.603	58.509	61.693

#### (U) <u>Mission Description:</u>

(U) This project provides the fundamental research that underpins the development of advanced nanoscale and bio-molecular materials, devices and electronics for DoD applications.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Nanoscale/Biomolecular and MetaMaterials	12.029	16.500	17.500

(U) The research in this thrust area exploits advances in nanoscale and bio-molecular materials, including computationally based materials science, in order to develop unique microstructures and material properties. This includes efforts to develop the underlying physics for the behavior of materials whose properties have been engineered at the nanoscale (Metamaterials).

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed a cluster expansion method for material properties that achieved  $10^6$  reduction in the number of calculations.
- Developed an instantiation for quantum monte carlo calculations linear in the number of particles.
- Developed a new method for predicting material properties based upon linear combinations of atomic potentials.
- Demonstrated a laser driven, 1 billion electron volt electron beam.
- Designed composite nano-material structures and demonstrated processing capabilities for achieving improved optical and mechanical properties over existing infrared windows.
- Developed and applied new theory for multiple input multiple array radar systems that lead to 10x improvement in missed target detection while providing 10x reduction in search volume.

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Demonstrated a new digital coding scheme to simultaneously exploit spatial, temporal, and polarization diversity that led to a 15x improvement in signal/clutter ratio in the Naval Research Laboratory Advanced Multi Function Radar System.

FY 2008 Plans:

- Predict and synthesize new thermoelectric materials with a figure of merit ZT > 5.
- Develop efficient computational methods that correctly predict the properties of excited electronic states.
- Demonstrate laser-initiated production of ultra violet (UV) light via harmonic generation.
- Achieve mid-wave infrared optical transmission comparable to that of spinel with mechanical properties comparable to those of sapphire.
- Demonstrate infrared optical transmission in 75mm disks.

FY 2009 Plans:

- Develop methods to connect theoretical materials to experimental methods to support verification of the predicted properties of the theory.
- Demonstrate interleaved production of electron beam and X-ray or UV light from laser-initiated processes.
- Demonstrate hemispherical and aerodynamic domes with decreased optical scatter, doubled mechanical strength, and doubled thermal shock capabilities over single crystal sapphire.
- Develop the capability to inexpensively mass manufacture large quantities of customized diatom-derived structures and materials to facilitate new and unprecedented designs of microwave components, unique sensors, and revolutionary biomimetic devices such as tissue scaffolding.

	FY 2007	FY 2008	FY 2009
Engineered Bio-Molecular Nano-Devices and Systems	3.112	3.032	10.733

(U) The Engineered Bio-Molecular Nano-Devices and Systems program seeks to develop and demonstrate engineered bio-molecular nanoscale devices that enable real time observation and analysis of bio-molecular signals, thus enabling single molecule sensitivity with the simultaneous exploitation of the temporal domain (i.e., stochastic sensing). Arrays of such devices will enable an order of magnitude (10 to 100X) reduction in the time required for analysis and identification of known and unknown (engineered) molecules. This program will also develop novel nanomaterials for exquisitely precise purification of materials, enabling such diverse applications as oxygen generation and desalination.

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APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENO	CLATURE
RDT&E, Defense-wide	Defense Research	Sciences
BA1 Basic Research	PE 0601101E, Proj	ject MS-01

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated that a sensor element composed of a single protein molecule could be packaged and sustained for greater than one week, an order of magnitude improvement over the previous state-of-the-art.
- Demonstrated new sensor architecture that promises accurate detection of toxic agents while reducing false positive detections due to interferents present in the environment.

FY 2008 Plans:

- Demonstrate detection of nerve agents at established thresholds.
- Demonstrate acceptable false alarm signals in the presence of interferents.
- Begin design and prototyping of a multi-element (5) array of sensor elements.

FY 2009 Plans:

- Develop a 50-element array able to resolve mixtures of more than five components with a probability of detection >99% and false alarm rate of <1/1000.</li>
- Design new nano-level circuit devices and adaptive/structural material systems via a priori topological mathematical computation.
- Develop new materials to replace silicon for ultra-dense and miniaturized electronic devices, and develop liquid state electronics.
- Develop new tunable materials that possess novel transport properties, such as mass and charge separation.

	FY 2007	FY 2008	FY 2009
Atomic Scale Materials and Devices	8.100	13.500	12.500

(U) This thrust examines the fundamental physics of materials at the atomic scale in order to develop new devices and capabilities. A major emphasis of this thrust is to provide the theoretical and experimental underpinnings of a new class of semiconductor electronics based on spin degree of freedom of the electron, in addition to (or in place of) the charge. In addition, this program will examine other novel classes of materials and phenomena such as plasmons or Bose-Einstein Condensates (BEC) that have the potential to provide new capabilities in the quantum regime, for example, GPS-independent navigation via atom interferometry.

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RDT&E, Defense-wide	Defense Research	1 Sciences
BA1 Basic Research	PE 0601101E, Proj	ject MS-01

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed theory for achieving nonlinear effects and/or gain in plasmonic structures.
- Achieved 40,000 BEC atoms/pulse every five seconds with 1.2 second BEC lifetime, enabling a 10x improvement in measurement rate.
- Completed baseline design of cold-atom optical lattice experiments.

FY 2008 Plans:

- Develop potential applications of plasmonics for on-chip optoelectronic coupling.
- Demonstrate Rubidium atomic clock with line-width below 10 Hz (less than 10% natural line-width).
- Demonstrate high-throughput optical lattice systems for improved simulation time and stable frequency metrology.

FY 2009 Plans:

- Demonstrate, in a military relevant application, the advantage of plasmonics for exploiting the high information carrying capacity of optics with the size advantages of electronics.
- Demonstrate rotationally sensitive interferometer with sensitivity greater than 1 radian per earth rotation rate.
- Emulate 2-D Bose-Hubbard Model phase diagram in under 12 hours that confirms theoretical calculations.

	FY 2007	FY 2008	FY 2009
Surface Enhanced Raman Scattering (SERS) - Science and Technology	0.000	5.000	8.000

(U) The Surface Enhanced Raman Scattering (SERS) – Science and Technology program focuses on the fundamental technical challenges facing potential sensor performance with respect to their sensitivity, selectivity, enhancement factors and development. SERS nanoparticles have considerable potential for both chemical and biochemical sensing applications due to: (1) their potential large spectral enhancement factors, (2) the nature of spectral fingerprints that can be expected to yield low false alarm rates, and (3) the capability for detecting targeted molecules at useful stand-off ranges. This program seeks to identify and overcome the key scientific and technical challenges necessary for replacing existing sensors of chemical and biological warfare (CBW) agents with SERS-based sensing approaches.

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RDT&E, Defense-wide	Defense Research	Sciences
BA1 Basic Research	PE 0601101E, Proj	ject MS-01

(U) Program Plans:

FY 2008 Plans:

Develop understanding of nanoparticle shape and its effect on SERS enhancements; examine high quality resonators for SERS applications.

FY 2009 Plans:

- Develop methods to engineer nanoparticles with 1 nanometer feature sizes (separation) on a macroscale.

	FY 2007	FY 2008	FY 2009
Quantum Sensors	3.000	4.800	0.000

(U) The Quantum Sensors program is developing approaches to exploit non-classical effects called entanglement to improve the resolution and range of military sensors. Quantum sensors will retain the generally better propagation characteristics of long wavelength light while achieving the better spatial resolution of short wavelength radiation. Conventional classical sensors rely on light with shorter wavelengths, like blue light, to produce sharp images. As wavelengths increase, for example from blue to infrared, the classical resolution decreases. Quantum sensors will be able to retain high resolution as the wavelength increases using a non-classical effect called entanglement. Two broad classes of sensor are under consideration. Type I quantum sensors propagate entangled photons to a target and back to a detector, where quantum effects may enhance resolution. Type II quantum sensors propagate classical radiation to the target, and entangled photons are used within the detector to improve resolution. A third class of approach, based on ghost imaging, is also being explored. As the program transitions from the theoretical proof stage to the subsystem design stage in FY 2009 it will move to the Electronic Technology PE 0602716E, Project ELT-01.

(U) Program Plans:

FY 2007 Accomplishments:

- Commenced experimental measurements to determine whether non-classical quantum states can be propagated through the atmosphere.
- Developed theoretical paradigm for absorption by one class of entangled photon states.

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RDT&E, Defense-wide	Defense Research	Sciences
BA1 Basic Research	PE 0601101E, Proj	ject MS-01

FY 2008 Plans:

- Continue studies of Type I, Type II, and ghost imaging sensor concepts to establish whether they are robust to military targets and environments.
- Complete experiments on outdoor propagation of non-classical states.

	FY 2007	FY 2008	FY 2009
Comparative Genomics for National Security Goals	1.650	1.000	0.000

(U) Program Plans:

FY 2007 Accomplishments:

- Verified new approaches for examining prognostic epidemiology using comparative genomics.
- FY 2008 Plans:
- Continue to examine prognostic epidemiology using comparative genomics.

	FY 2007	FY 2008	FY 2009
Advanced Materials Research Institute	2.200	4.000	0.000

(U) Program Plans:

FY 2007 Accomplishments:

 This effort focused on the development and demonstration of hybrid sensors for chemical and/or biological agent detection for national security. In particular, sensors made from metal oxide nanoparticles and nanowires were explored.

FY 2008 Plans:

- Investigate use of nanoparticles and nanowires to improve chemical electron mobility and/or magnetic energy storage product relative to bulk materials.

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	FY 2007	FY 2008	FY 2009
Next Generation Protective Gear for Small Arms Threats	1.000	0.000	0.000

#### (U) Program Plans:

- FY 2007 Accomplishments:
- Explored next generation protective gear for small arms threats.

	FY 2007	FY 2008	FY 2009
Alternative Futures at the Range-Complex Level for the Southwest U.S.	0.000	1.000	0.000

#### (U) Program Plans:

FY 2008 Plans:

- Explore alternative Range-Complex Level Futures in the Southwestern part of the U.S.

#### (U) Other Program Funding Summary Cost:

• Not Applicable.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research		R-1 ITEM NOMENCLATURE Information and Communications Technology PE 0602303E					
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	228.073	230.385	254.009	234.676	203.437	201.456	201.454
High Productivity, High-Performance Responsive Architectures IT-02	84.927	65.913	98.641	85.764	67.360	67.360	67.359
Information Assurance and Survivability IT-03	72.607	93.049	80.349	76.479	83.484	81.503	81.502
Language Translation IT-04	70.539	71.423	75.019	72.433	52.593	52.593	52.593

#### (U) Mission Description:

(U) The Information and Communications Technology program element is budgeted in the applied research budget activity because it is directed toward the application of advanced, innovative computing systems and communications technologies.

(U) The High Productivity, High-Performance Responsive Architectures project is developing high-productivity, high-performance computing hardware and the associated software technology base required to support future critical national security needs for computationally-intensive and data-intensive applications. These technologies will lead to new multi-generation product lines of commercially viable, sustainable computing systems for a broad spectrum of scientific and engineering applications; it will include both supercomputer and embedded computing systems.

(U) The Information Assurance and Survivability project is developing the technology required to make emerging information system capabilities (such as wireless and mobile code/mobile systems) inherently secure, and to protect DoD's mission-critical systems against attack upon or through the supporting information infrastructure. These technologies will enable our critical systems to provide continuous correct operation even when they are attacked, and will lead to generations of stronger protection, higher performance, and more cost-effective security and survivability solutions scalable to several thousand sites.

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RDT&E, Defense-wide	Information and Communic	cations Technology
BA2 Applied Research	PE 060230	3E

(U) The Language Translation project will develop and test powerful new Human Language Technology that will provide critical capabilities for a wide range of national security needs. This technology will enable systems to a) automatically translate and exploit large volumes of speech and text in multiple languages obtained through a variety of means; b) to have two-way (foreign-language-to-English and English-to-foreign-language) translation; c) enable automated transcription and translation of foreign speech and text along with content summarization; and d) enable exploitation of captured, foreign language hard-copy documents.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	FY 2008	FY 2009
	Previous President's Budget	234.065	229.739	284.646
	Current Budget	228.073	230.385	254.009
	Total Adjustments	-5.992	0.646	-30.637
	Congressional program reductions	0.000	-1.474	
	Congressional increases	0.000	2.120	
	Reprogrammings	0.000		
	SBIR/STTR transfer	-5.992		

#### (U) <u>Change Summary Explanation:</u>

FY 2007	The decrease reflects the SBIR/STTR transfer.
FY 2008	The increase reflects reductions for Section 8097 Contractor Efficiencies and Section 8104 Economic Assumptions, offset by congressional adds National Repository of Digital Forensic Intelligence and Software Assurance Education and Research Institute.
FY 2009	The decrease reflects program re-phasing primarily in the Information Assurance and Survivability project, IT-03.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research		R-1 ITEM NOMENCLATURE Information and Communications Technology PE 0602303E, Project IT-02						
COST (In Millions)	FY 2007	FY 2007 FY 2008		FY 2009	FY 2010	FY 201	1 FY 2012	FY 2013
High Productivity, High-Performance Responsive Architectures IT-02	84.927	6	5.913	98.641	85.764	67.36	0 67.360	67.359

#### (U) <u>Mission Description:</u>

(U) The High Productivity, High-Performance Responsive Architectures project is developing high-productivity, high-performance computer hardware and the associated software technology base required to support future critical national security needs for computationally-intensive and data-intensive applications. These technologies will lead to new multi-generation product lines of commercially viable, sustainable computing systems for a broad spectrum of scientific and engineering applications; it will include both supercomputer and embedded computing systems. The project will ensure accessibility and usability to a wide range of application developers, not just computational science experts. This project is essential for maintaining the nation's strength in both supercomputer computation for ultra large-scale engineering applications and for surveillance and reconnaissance data assimilation and exploitation.

(U) One of the major challenges currently facing the DoD is the prohibitively high cost, time, and expertise required to build large complex software systems. Powerful new approaches and tools are needed to enable the rapid and efficient production of new software, including software that can be easily changed to address new requirements and can adjust dynamically to platform and environmental perturbations.

(U) Even as this project develops the next generation of high-productivity, high-performance computing systems, it is looking further into the future to develop the technological and architectural solutions that are required to develop "extreme computing" systems. The military will demand increasing diversity, quantities, and complexity of sensor and other types of data, both on the battlefield and in command centers - processed in time to effectively impact warfighters' decisions. Computing assets must progress dramatically to meet significantly increasing performance and significantly decreasing power and size requirements. Extreme computing systems will scale to deliver a thousand times the capabilities of future petascale systems using the same power and size or will scale to deliver terascale-embedded systems at one millionth of the size and power of petascale systems. The resulting extreme computing systems will be capable of scaling from embedded to leadership class systems. The most significant technical achievements that must be realized to obtain the goals of extreme computing are the enabling architectural advancements, pervasive low power technologies, and low volume physical packaging of these systems. Numerous additional technical challenges must be resolved, including the reliability of "extreme computing" systems: embedded systems require a higher level of reliability and assurance than general-purpose systems because the failure of an embedded computing system can result in the loss of a deployed platform.

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RDT&E, Defense-wide	Information and Communic	cations Technology
BA2 Applied Research	PE 0602303E, Pro	oject IT-02

#### **Program Accomplishments/Planned Programs: (U)**

	FY 2007	FY 2008	FY 2009
High-Productivity Computing Systems	77.952	47.243	71.654

\*Previously part of Responsive Computing Architectures

The ongoing High-Productivity Computing Systems (HPCS) program will enable nuclear stockpile stewardship, weapons design, (U)cryptanalysis, weather prediction, and other large-scale problems that cannot be addressed productively with today's computers. The goal of this multi-agency program is to develop revolutionary flexible and well-balanced computer architectures that will deliver high performance with significantly improved productivity for a broad spectrum of applications.

It is extremely difficult to program today's high-performance computers; even for expert programmers, these systems present a significant (U) challenge. The programming of such large systems must be made much easier so that programmers and scientists with minimal computer skills can harness the power of high-performance computers. As the number of processors increases to 100,000 and beyond, it is difficult not only to develop application codes, but also to debug and optimize them, since tools that will help are designed for small-scale systems (10's of processors). This area of user productivity is where HPCS is focusing significant effort. The HPCS technology development plan is being executed in three phases that will extend to the end of this decade. The three phases are (I) concept design study, (II) research and development, and (III) system development, resulting in large-scale prototypes.

Initiated in 2002, the DARPA HPCS program is responsive to a strategy developed in conjunction with the U.S. National Security (U) Community. The ultimate goal of the HPCS program is to create a new generation of economically viable high productivity computing systems for the national security and industrial user communities. High productivity computing is a key technology enabler for meeting our national security and economic competitiveness requirements. In November 2006, the HPCS program moved into the third and final phase, with a downselect from three vendors to two. In Phase III of the HPCS program, the two remaining vendors will complete the designs and technical development of very large (petascale) productive supercomputers, with demonstration of prototype systems in 2010-2011. DARPA funding is sufficient to cover the contractual requirements of one of the two selected vendors. NSA and DOE, partners with DARPA in this program, provide funding to enable maintaining a second vendor in the program.

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BA2 Applied Research	PE 0602303E, Pro	oject IT-02

#### (U) Program Plans:

FY 2007 Accomplishments:

- Performed a down-select from the three HPCS Phase II participants to two Phase III participants.
- Initiated prototype development (Phase III) of two high-end high-productivity petascale computing systems.
- Initiated high-level design of key application-specific integrated circuits (ASICs) and development of hardware simulators.
- Performed research and development on parallel programming languages and/or development environments that will increase user productivity on HPCS systems, and released early versions of the languages to HPCS stakeholders.

#### FY 2008 Plans:

- Complete design verification of most ASICs: a critical step before releasing design to the very costly fabrication process.
- Develop and implement operating system scaling and performance improvements so that existing operating systems can be leveraged, saving development costs, facilitating use of legacy code, and improving user productivity by preventing the need to learn a new operating system.
- Demonstrate early versions of productivity tools for the HPCS stakeholders to solicit their feedback.
- Continue developing productivity tools.
- Conduct an HPCS software critical design review of each vendor.
- Vendor delivery of HPCS system design specifications for evaluation by the government.
- Explore opportunities to expand the user base for high-end computing.

FY 2009 Plans:

- Release of the beta version application development software to HPCS stakeholders for evaluation and provide familiarity with the software prior to system release thus reducing the learning curve upon system availability.
- Fabricate and test several of the ASICs.
- Continue to develop and implement operating system scaling and performance improvements.
- Continue developing productivity tools.
- Conduct critical design review of each HPCS vendor's system.
- Begin porting applications to a subset of the actual HPCS prototype hardware in preparation for FY 2010 subsystem demo that will provide evidence that the full prototype system will meet its productivity and performance goals.

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	FY	2007	FY 2008	FY 2009

6.975

10.600

15.996

Software Producibility

\*Previously part of Responsive Computing Architectures.

(U) The Software Producibility program will reduce the cost, time, and expertise required to build large complex software systems. This includes new techniques for rapidly developing adaptive software that can be easily changed to conform to new software design and development tools, readily complies to new requirements, and readjusts dynamically to environmental perturbation. Today, production-quality compilers are developed at significant cost for a defined class of systems regardless of the actual system resources available to and/or needed by an application. This places significant programming burden on developers when creating applications who must consider the platform and its applications. Improvements in compiler technology can greatly simplify application development by providing the capability to automatically and efficiently generate a compiler that reliably executes a broad spectrum of military and industrial applications for target computing systems that range from a single multi-core processor system to very large multi-processor systems. Military systems critically depend on complex software that is reliable, robust, and secure. Mature software frameworks (e.g., Apple Cocoa, Microsoft Foundation Class library, Java Swing, JBOSS, SDL, etc.) provide well tested and well-considered mechanisms that, if used properly, can enable developers to quickly create sophisticated applications of high quality. But designers/developers have a very steep learning curve when working in a new framework. A combination of fundamental software analysis and tool assistance can enable software developers to function effectively at the expert level in multi-framework environments but without the excessive investments of time required by current techniques.

(U) Program Plans:

FY 2007 Accomplishments:

- Formulated a strategy for achieving software producibility through adaptivity.
- Identified challenge problems, in the flight control system and software radio domains, for evaluating new adaptive software techniques.

- Identified candidate strategies for rapidly employing existing, mature, software frameworks to speed new system construction. FY 2008 Plans:

- Develop toolchains to support preliminary flight control/vehicle management system and software defined radio experiments.
- Conduct a fault management design time experiment.

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- Conduct a software defined radio design time and load time adaptation experiments.
- Investigate an initial concept for characterization tools and self-assembling compiler elements.
- FY 2009 Plans:
- Develop toolchains to support optimized verification, field update and security adaptation experiments.
- Conduct optimized verification, field update and security adaptation experiments. \_
- Create the initial common development environment and supporting technologies that will allow efficient application development, implementation, and execution on heterogeneous computer architectures.
- Develop inter-framework mappings and other techniques to make software expertise more portable to the "next" required framework.
- Develop tools and techniques that enable software developers to perform at the expert level in multiple complex software frameworks simultaneously.

	FY 2007	FY 2008	FY 2009
Extreme Computing *	0.000	8.070	10.991

\*Previously part of Responsive Computing Architectures.

The Extreme Computing program will enable ExaScale computing systems in the post-2010 timeframe, with processing that will exceed (U) one quintillion (10^18) operations (floating point, fixed point or data movement), per second. Significant technical roadblocks to scalable performance, productivity, physical size, power, and programmability must be overcome to realize the goals of extreme computing. As Moore's law reaches its limit, we can no longer depend on significantly increasing clock rates for performance advances. Current and evolutionary bandwidth and latency of data movement operations and current data placement approaches will not be sufficient to sustain anticipated or desired increases in processing performance. It will be essential to find new techniques that minimize data movement and optimize data communication performance.

Ensuring dramatic advances in processing performance is critical as the amount and type of raw data needing to be turned into actionable (U) information rapidly escalates. Previously, advances in commercial off-the-shelf (COTS) systems have addressed these needs but future COTS processors are ill-suited for developing military requirements because they are increasingly less productive for military applications, are power-

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BA2 Applied Research	PE 0602303E, Project IT-02	

hungry, and limit the performance available to the warfighter. To support escalating processing needs both at the embedded and supercomputer level, completely new architectures at the processor/memory/data movement and system level are needed to enable extreme computing.

(U) Another critical research area will develop self aware, self optimizing processing system approaches. Within the context of DoD systems, mechanisms for self-modification will enable systems to adapt in real-time to changing requirements, faults, and malicious attacks. Research in self-aware trusted computing will develop the capabilities to provide autonomous system monitoring and optimization, ensuring the necessary processing system adaptations are transparent to the operator. Self-monitoring hardware in conjunction with co-developed software will incorporate cognitive techniques to determine the state, performance, and health of the processing system, and based on the processing system's self-evaluation, will reconfigure to provide optimized system performance.

#### (U) Program Plans:

FY 2008 Plans:

- Identify and assess the potential technologies necessary to provide the types of improvements essential to achieve extreme computing: non-von Neumann architectures; 3-D microelectronic structures; high bandwidth/low latency electrical and optical technologies, multiple-core processors; radically different packaging solutions; new memory and storage architectures; and non-intrusive interfaces.
- Formulate new processor and memory architectures that will lead to extreme computing.
- Initiate a study to identify potential new hardware architectures and candidate approaches, such as master/slave methods where the "slave" collects and condenses data.
- Develop initial concepts for, and evaluate the feasibility of computational architectures and computing systems that monitor execution at run time, and dynamically optimize performance (e.g., with respect to caching, on-chip packet routing, etc.) on common applications.

FY 2009 Plans:

- Develop the identified critical technologies, processor technologies, system methodologies, and architectures to enable generalpurpose and embedded computing systems to perform at exascale levels and beyond and enable significantly improved and new capabilities to the warfighter.
- Explore, develop, evaluate and perform initial simulations of techniques to enable computing systems to self-monitor their state, identify unexpected or unwanted system behavior, marshal processing resources to optimize performance, and transparently adapt in real time.

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BA2 Applied Research	PE 0602303E, Pro	ject IT-02	

- Establish initial coordination with selected DoD applications for self-aware/self-optimizing approaches to establish example DoD context and future transition opportunities.
- (U) Other Program Funding Summary Cost:
- Not Applicable.

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COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Information Assurance and Survivability IT-03	72.607	93	3.049	80.349	76.479	83.484	81.503	81.502

#### (U) <u>Mission Description:</u>

(U) This project is developing the technology required to make emerging information system capabilities (such as wireless and mobile code/mobile systems) inherently secure, and to protect DoD's mission-critical systems against attack upon or through the supporting information infrastructure. These technologies will enable our critical systems to provide continuous correct operation even when they are attacked. The technologies will also lead to generations of stronger protection, higher performance, and more cost-effective security and survivability solutions scalable to several thousand sites. Technologies developed under this project will be exploited by all the projects within this program element, and those in the Command, Control, and Communications program element (PE 0603760E), the Network-Centric Warfare Technology program element (PE 0603764E), the Sensor Technology program element (PE 0603767E), the Guidance Technology program element (PE 0603768E), and other programs that satisfy defense requirements for secure, survivable, and network centric systems.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Next Generation Core Optical Networks (CORONET)	7.841	14.000	14.500

(U) The Next Generation Core Optical Networks (CORONET) program will revolutionize the operation, performance, security, and survivability of the United States' critical inter-networking system by leveraging technology developed in DARPA photonics component and secure networking programs. These goals will be accomplished through a transformation in fundamental networking concepts that form the foundation upon which future inter-networking hardware, architecture, protocols and applications will be built. Key technical enablers that will be developed in this thrust include: (1) network management tools that guarantee optimization of high density wavelength-division-multiplexed optical channels, such as those provided by wavelength division multiplexing; (2) creation of a new class of protocols that permit the cross-layer communications needed to support quality-of-service requirements of high-priority national defense applications; and (3) demonstration of novel concepts in applications such as distributed and network based command and control, intelligence analysis, predictive logistics management,

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BA2 Applied Research	PE 0602303E, Pro	oject IT-03

simulation and scenario enhanced decision-making support for real-time combat operations, and assured operation of critical U.S. networking functions when faced with severe physical layer attack. These network-based functions will support the real-time, fast-reaction operations of senior leadership, major commands and field units.

(U) A complimentary effort, the Transmission, Switching and Applications for Next-Generation Core Optical Networks program will develop the technology and applications to realize the next-generation dynamic multi-terabit networks that can deliver advanced internet protocol and optical services. This will be accomplished by: (1) greatly increasing network capacity through the use of more efficient fiber-optical transmission techniques; (2) implementing agile, high capacity, all optical switching platforms, and (3) developing the software and hardware interfaces, as well as the migration strategy, to enable new applications that can take full advantage of dynamic multi-terabit core optical networks.

#### (U) Program Plans:

- Next Generation Core Optical Networks (CORONET)
  - FY 2007 Accomplishments:
  - -- Completed an extensive economic study of all-optical bypass and grooming at the core and the edge of a global fiber-optic network.
  - -- Completed a study of the capacity limits of digital, wavelength-division-multiplexed (WDM) fiber-optic transmission systems, which showed the potential for more than ten-fold increase in the capacity per fiber compared to today's systems.
  - -- Completed a study of analog WDM fiber-optic transmission systems, which showed that such analog systems are limited to distances of several tens of kilometers. Thus they are not suitable for core optical networks of national or global extent.

FY 2008 Plans:

- -- Develop the architectures and define the network elements for a fast reconfigurable optical core network.
- -- Develop protocols, algorithms and the network control and management architecture to provide fast service setup, fast restoration from multiple network failures and guaranteed quality of service for a global core optical network.

FY 2009 Plans:

- -- Model and simulate a dynamically reconfigurable multi-terabit global core optical network.
- -- Initiate the development of the network control and management software such that the final product will be transitioned and implemented in current commercial and DoD core optical networks.

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-- Completed a study to determine the capacity limits of modern fiber telecommunications.

FY 2008 Plans:

- -- Complete a study on the use of "Maximum Likelihood Sequence Estimation", to increase the spectral efficiency of existing optical networks by up to ten times.
- -- Complete a study to determine the impacts of emerging 100 Gbps Ethernet technology on next-generation optical networks.
- -- Initiate a study to examine migration strategies and associated software and hardware interfaces to enable new applications for next-generation core optical networks.

FY 2009 Plans:

- -- Develop and demonstrate an efficient fiber-optical transmission technique to enable several-fold increase in fiber capacity.
- -- Develop architecture design and fabrication of a multi-terabit all-optical switch capable of fast switching of wavelengths and of sub-wavelength grooming.
- -- Develop the software and hardware interfaces, as well as the migration strategy, to enable new applications that can take full advantage of dynamic multi-terabit core optical networks.
- -- Initiate a national-scale multi-terabit network testbed to test and demonstrate hardware, software and applications of nextgeneration core optical networks.

	FY 2007	FY 2008	FY 2009
Dynamic Quarantine of Computer-Based Worms	25.201	12.343	10.932

(U) The goal of the Dynamic Quarantine of Computer-Based Worms program is to develop defenses for U.S. military networks against largescale malicious code attacks such as computer-based worms. As the U.S. military pushes forward with network-centric warfare, terrorists and other nation-states are likely to develop and employ self-replicating malicious code to impede our ability to fight efficiently and effectively. This program will develop the capability to automatically detect and inoculate DoD networks against never before seen computer worm attacks. This program will also assess the comparative strength of different architectural solutions.

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	<b>R-2 Exhibit)</b> <b>R-1 ITEM NOMENO</b> Information and Communio PE 0602303E, Pro	

(U) The DCAMANET program developed and refined technologies for Defense Against Cyber Attacks on Mobile Ad-hoc Network Systems (DCAMANETS). This effort researched, prototyped, and evaluated defenses that sensed failures and attacks on military tactical wireless networks and auto-reconfigured in real-time to provide continuous service of mission-critical activities. The next step of the DCAMANET program will be to develop an intrinsically assurable mobile ad-hoc network. An intrinsically assurable mobile ad-hoc network will directly support integrity, availability, reliability, confidentiality, and safety of MANET communications and data. In contrast, the dominant Internet paradigm is intrinsically insecure. For example, the Internet does not deny unauthorized traffic by default and therefore violates the principle of least privilege. In addition, there are no provisions for non-repudiation or accountability and therefore adversaries can probe for vulnerabilities with impunity because the likelihood of attributing bad behavior to an adversary is limited. Current protocols are not robust to purposely induced failures and malicious behavior, leaving entire Internet-based systems vulnerable in the case of defensive failure.

#### (U) Program Plans:

- Dynamic Quarantine of Computer-Based Worms
  - FY 2007 Accomplishments:
  - -- Completed two prototype systems utilizing divergent methodologies.
  - -- Strenuously tested prototypes against self-replicating malicious code on simulated operational networks.
  - -- Refined prototypes automatic detection and quarantine mechanisms and prepared for further testing that will encompass nationstate level of worm attacks.

FY 2008 Plans:

- -- Develop requirements to integrate system into DoD enterprise networks.
- -- Integrate Defense Quarantine of Computer Based-Worms (DQW) prototype into DoD enterprise solution tool suite.
- -- Harden system against directed attacks.
- -- Conduct operational test of representative integrated system against full-spectrum nation state worm threat.
- -- Test integrated system on operational representative network.

FY 2009 Plans:

- -- Test integrated system against red teams (attack teams) during combatant command exercise.
- -- Commence technology transition to DoD.

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<ul> <li>Defense Against Cyber Attacks on MANETS (DCAMANETS)</li> <li>FY 2007 Accomplishments:</li> <li>Prototyped, tested, and evaluated three DCAMANETS solu</li> </ul>	tions.				

-- Developed an automated mobile wireless testbed that emulates operational environments.

-- Developed and tested host-based and network-based detection and quarantine sensors/actuators for MANET systems. FY 2008 Plans:

-- Research and develop techniques for authenticating and accounting for the use of all MANET resources.

-- Research and develop methods for denying all but authorized MANET traffic.

-- Research and develop methods for tolerating purposely induced failures from one or more MANET nodes and applications. FY 2009 Plans:

-- Prototype and evaluate a combined assurable MANET infrastructure.

-- Research and prototype a secondary defensive system.

	FY 2007	FY 2008	FY 2009
Trustworthy Systems	4.408	12.800	10.000

(U) The goal of the Trustworthy Systems program is to provide foundational trustworthy computer platforms for Defense Department computing systems. This program seeks to develop technologies such as novel computer processing architectures, hardware, firmware, or microkernels to guarantee network and workstation security and will initially focus on network-based monitoring approaches that provide maximum coverage of the network with performance independent of the network size. These technologies will protect Defense systems from a wide-range of software problems, ranging from worms and Trojan horses, to bug-ridden software. This effort will focus on the development of feedback control-based solutions to software vulnerabilities and gateway-and-below network traffic monitoring approaches that scale with network size. The design of the controller component will leverage virtual machines to provide hardware-equivalent protection for the controller that specifically monitors the trustworthiness state of the application of interest, map the observations to a model of trustworthiness for that application, then decide what, if any, control actions need to be taken. Operational goals of the network-monitoring component include (1) improved probability of false alarm performance and (2) scalability to future gateway line speeds. The desired result is to allow software to be imperfect while mitigating catastrophic failures. Technical challenges include remotely monitoring mission-critical servers using

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virtual machines, tracking the trustworthiness of the server, and controlling the server to return it to trustworthiness states. Primary end users identified to date include STRATCOM JTF/GNO and HQ PACOM. Transition partners include NCSC, NIWA, and DISA.

(U) Program Plans:

FY 2007 Accomplishments:

- Enabled the ability to detect and respond to next generation malicious software including stealthy "backdoors" to the operating system kernel (rootkits) and networks of compromised computers.
- Developed tools to find vulnerabilities in complex open source software.
- Surveyed state-of-art in virtual machine software technology.
- Evaluated capabilities of closed-feedback system for automated recovery of corrupted systems.

FY 2008 Plans:

- Develop scalable formal methods to verify complex hardware/software.
- Research network-sensitive approaches to monitor, and trustworthy controllers to control, how and when information is disseminated across the network based on network performance, load, criticality, and target capacity.
- Investigate the use of new virtual machine hardware architectures to develop a feedback loop that enables the host to monitor and control its behavior in the presence of untrustworthy software.
- Investigate secure hardware designs, software architectures, and code assessment technologies.
- Evaluate client-side controller software in laboratory environment.

FY 2009 Plans:

- Develop client-side laboratory-scale software and server-side virtual-machine based automated recovery.
- Harden and evaluate client-side controller code for field-deployable operations.
- Research network-sensitive approaches to monitor how and when information is disseminated across the network based on network performance, load, criticality, and target capacity.
- Develop high-throughput sensors, low-latency collection networks, and high-speed analyzers to assimilate the data.

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	FY	2007 FY 2008	FY 2009

3.878

8.250

0.000

(U) The DARPA Future Information Assurance Initiatives will identify promising technologies to enable remote command, control, communications, computers, intelligence, surveillance, and reconnaissance ( $C^4$ ISR) warfighting. Sophisticated computing capabilities currently available in desktop workstation and server systems are moving to mobile wireless embedded systems that communicate over low-bandwidth self-organizing tactical networks. As a result, the spectrum of devices the U.S. military must protect is increasing from wired and wireless tactical and garrison computers to include a wide array of small mobile devices. With foreign production of information technology components increasing and adversaries seeking to leverage cyber warfare as the Achilles' heel of current and future U.S. military systems; the U.S. military must have the ability to withstand, operate through, and counter increasingly effective cyber attacks while reducing the manpower required. Other distinct programs within this project will be created to pursue promising technologies as they are identified for further focused development. Included in this initiative is the development of secure, efficient network protocols to exploit tomorrows network-centric technologies such as networked weapons platforms, mobile ad-hoc networks, and end-to-end collaboration (vice client-server paradigm).

(U) Program Plans:

FY 2007 Accomplishments:

**DARPA** Future Information Assurance Initiatives

- Developed automatic techniques to modify computer applications to add information assurance properties (e.g. confidentiality, authentication, and others).
- Developed the ability to protect the core signaling and control of converged networks running voice over internet protocol (VOIP), wireless, voice, and data networks in enterprise telecommunications.
- Developed the ability to identify and authenticate hosts on the network and allow these hosts to discover their network's operating attributes.
- FY 2008 Plans:
- Develop a family of distributed, autonomous security devices to deal with asymmetric traffic on wide area networks.
- Develop a secure, efficient network routing protocol for tomorrow's weapon, logistic, and command and control requirements.
- Develop a wireless protocol that securely provides location, authentication, and communications in a practical manner.
- Investigate new approaches to network security that scale with increased data rates and address spaces of future networks.

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	FY 2	2007 FY 2008	FY 2009

	FI 2007	FI 2008	FY 2009
Control Plane	7.709	7.296	5.500

(U) The Control Plane program will improve end-to-end network performance between the Continental United States (CONUS) operating base and forward deployed tactical units. Control Plane seeks to develop the ability for individual hosts (end-points) to learn essential characteristics about the network, allowing the hosts to shape the network and network traffic to optimize network loading, prioritize traffic, and create communities of interest. Under Control Plane, when multiple network paths are available, hosts will be able to choose the best path/community or simultaneously transmit over multiple paths/communities. This technology will support the Defense Department's Global Information Grid concept of operations.

(U) Program Plans:

FY 2007 Accomplishments:

- Initiated development of hardware and software mechanisms to improve end-to-end wide-area network performance between the CONUS operating base and forward deployed tactical units.

FY 2008 Plans:

- Complete development of hardware and software mechanisms to improve end-to-end wide-area network performance between the CONUS operating base and forward deployed tactical units.
- Develop the ability of individual hosts (end-points) to learn essential characteristics about the network path between themselves and their transition partners through network query protocols.
- Investigate authentication protocols for secure transmission of network performance information.
- Develop the ability of hosts to learn about more than one possible transmission path, other hosts' abilities and purpose, and form communities of interest which suits their collective needs best.
- Develop the ability of hosts to simultaneously use multiple network paths for the same data transmission with the same partner, increasing communications speed and reliability.

FY 2009 Plans:

- Conduct demonstrations in operationally relevant environments.
- Demonstrate overall network transmission speed up to 60 Gbps using multiple fibers simultaneously.

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- Transition Control Plane technology to the Services.

	FY 2007	FY 2008	FY 2009
Wide Area Network (WAN) Monitoring	2.256	3.000	0.000

(U) The Wide Area Network (WAN) Monitoring effort seeks to develop distributed network monitoring capabilities and devices that can be used to identify, characterize, enable, optimize, visualize, and protect the WANs that compose the DoD enterprise Global Information Grid (GIG). This program will develop advanced capabilities to monitor the WANs that will comprise the GIG to detect malicious behavior, routing problems, or compromised mission capability. Goals include improved detection and false-alarm performance over conventional intrusion detection systems and scalability to the larger networks. This technology will support the DoD's GIG Information Assurance Technical Framework.

(U) Program Plans:

FY 2007 Accomplishments:

- Researched high-throughput hardware to implement the algorithms at the sensor layer.
- Investigated low-latency networks to collect the information.
- Investigated high-speed analyzers to assimilate the data and detect perturbations.

FY 2008 Plans:

- Investigate algorithms that quickly characterize various host's security configurations, identity, and classification as well as measure the type and quantity of information exchange.
- Analyze technologies to synthesize and visualize extremely large networks to improve leadership's situational awareness at the enterprise level.
- Research integrating and testing components in a fully functional configuration.

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	]	FY 2007	FY 2008	FY 2009

1.100

5.000

0.000

(U) Spread spectrum communication technology will significantly improve security against a variety of network attacks and identification profiles by spreading energy over a broad bandwidth, thereby providing an adversary with a signal which is both difficult to detect, as well as difficult to jam without using significant resources. This program is examining the potential of these same goals, by addressing not just the physical layer but also the entire network stack. Similar to frequency-hopping spread spectrum, the approach of this program studies algorithms that would provide hopping between Internet Protocol addresses and then expanding to hopping between different permutations of layer 1-3 protocols. The utility will provide significantly improved security against a variety of network attack and identification profiles.

(U) Program Plans:

FY 2007 Accomplishments:

- Modeled the problem of protecting wireless communications against cross-layer denial-of-service attacks with a game-theoretic approach.
- Demonstrated the performance of the spread spectrum networking approach for protection against smart attacks.
- FY 2008 Plans:

Spread Spectrum Networking

- Investigate efficient smart-jammers against a communication system and developed countermeasures based on the Spread Spectrum approach.
- Document spread spectrum networking lessons learned.

	FY 2007	FY 2008	FY 2009
Control-Based Mobile Ad-Hoc Networks (CBMANET)	9.199	11.560	12.500

(U) The Control-Based Mobile Ad-Hoc Networks (CBMANET) program is developing an adaptive networking capability that dramatically improves performance and reduces life-threatening communication failures in complex communication networks. In order to develop this new capability, the initial focus is on tactical mobile ad-hoc networks (MANETs) that are inadequately supported with commercial technology.

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Conventional MANETs are composed of interdependent nodes based on interdependent system layers. Each MANET node exposes tens to hundreds of configurable parameters that must be continuously adapted due to variable tactical factors such as mission profile, phase, force structure, enemy activity, and environmental conditions. The complexity of this high-dimensional, adaptive, constrained, distributed network configuration problem is overwhelming to human operators and designers and has root causes in the historically wire-line-oriented networking paradigms. This program will take on the ambitious goal of researching a novel protocol stack that supports integrated optimization and control of all network layers simultaneously. Key technical challenges include scalable design, stability, and convergence. These challenges are particularly difficult in a distributed setting with partial and uncertain information, high communications overhead, and high probability of link failure. To address this problem, the CBMANET program will exploit recent optimization-theoretic breakthroughs, recent information-theoretic breakthroughs, and comprehensive cross-layer design to develop a network stack from first principles with specific attention to support for DoD applications such as multicast voice and situation awareness.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Designed and prototyped two novel network protocol architectures based on information theory and optimization theory.
- Designed and analyzed protocols based on network coding that vastly improve performance in extreme conditions.
- Designed and analyzed cross-layer protocols and adaptive control capabilities to drive resource allocation more efficiently.
- Performed quantitative analysis and trade studies to understand the degree of performance offered by two novel network architectures.
- Researched requirements for a radio hardware platform to optimally support the novel network stacks.
- FY 2008 Plans:
- Demonstrate and evaluate both technologies in realistic DoD scenarios using modeling and simulation.
- Design appropriate interfaces between the CBMANET network stacks and the physical radios in support of cross-layer optimization.
- Integrate the novel network architectures with physical radios in preparation for field demonstrations.

FY 2009 Plans:

- Undertake field demonstrations in challenging tactical environments.
- Research integrated coding and backpressure-based quality of service.
- Transition activities to the Services.

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		FY 2007	FY 2008	FY 2009

Security-Aware Systems

(U) The Security-Aware Systems program will develop and advance a variety of potentially promising technologies to enable the military to field secure, survivable, self-monitoring, self-defending network centric systems. Today's military software systems are brittle in the face of changing requirements. They are vulnerable to skilled attackers who develop creative and unpredictable strategies. Misconfiguration accounts for most security failures in Internet services and poses a serious risk to military systems. This program will develop security aware systems that will avoid brittleness and vulnerability, due to their ability to reason about their own security attributes, capabilities and functions with respect to specific mission needs. These systems will also dynamically adapt to provide desired levels of service while minimizing risk and providing coherent explanations of the relative safety of service level alternatives. These systems will bolster the reliability and security of critical open source software systems by reducing vulnerabilities and logic errors, and providing state-of-the-art software analysis techniques augmented with cognitive decision-making techniques with the ultimate goal of applying these systems on to the Global Information Grid. The Security-Aware Systems program consists of two efforts, Applications Communities (AC) and Self-Regenerative Systems (SRS).

- The Application Communities (AC) effort will develop technologies to protect DoD information systems that employ commercial software applications against cyber attack and system failure by developing collaboration-based defenses that detect, respond to, and heal with little or no human assistance. The effort will leverage advances in information assurance research programs to create a new generation of self-defending software that automatically responds to threats, and provides a comprehensive picture of security properties, displayed at multiple levels of abstraction and formality. This capability will bring intelligent security adaptation to DoD systems and make security properties and status more apparent to decision makers. AC technology will enable collections of similar systems to collaboratively generate a shared awareness of security vulnerabilities, vulnerability mitigation strategies, and early warnings of attack. AC will revolutionize the security of military information systems and reduce the threat from stealthy intrusion of critical systems and/or denial of service attacks.
- The Self-Regenerative Systems (SRS) effort will design, develop, demonstrate and validate architectures, tools, and techniques for fielding systems capable of adapting to novel threats, unanticipated workloads and evolving system configurations. SRS technology development of this effort will employ innovative techniques like biologically-inspired diversity, cognitive immunity and healing, granular and scalable redundancy, and higher-level functions such as reasoning, reflection and learning. SRS technologies will make critical future

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14.000

16.680

11.015

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information systems more robust, survivable and trustworthy. SRS will also develop technologies to mitigate the insider threat. SRSenabled systems will be able to reconstitute their full functional and performance capabilities after experiencing accidental component failure, software error, or even an intentional cyber-attack. These systems will also show a positive trend in reliability, actually exceeding initial operation capability and approaching a theoretical optimal performance level over long periods while maintaining robustness and trustworthiness attributes.

(U) Research efforts will also explore workstation-class systems (including "trusted systems") which at present do not provide provable separation of information at different security levels, or provable protection of high-integrity applications from low-integrity applications. A new kind of computer workstation is needed whose architecture enables both formal proof and exhaustive validation of critical information and program separation properties (e.g., information in one graphical user interface (GUI) window never leaks to another GUI window).

(U) Program Plans:

FY 2007 Accomplishments:

- Developed an Application Community (AC) system architecture and demonstrated a working prototype that showed learning of program behavior that can be used to protect and repair software systems.
- FY 2008 Plans:
- Develop techniques to collaboratively diagnose and respond to problems (e.g., attacks or failures that threaten a mission) in groups of military systems.
- Develop techniques to summarize security policy and status so the descriptions produced by AC can be understood without omitting critical details.
- Develop static and dynamic source code analysis techniques (e.g., data and control-flow-based techniques, model-checking, strong typing) to relate software module structures and runtime state with the representation of security properties/configurations.
- Demonstrate self-explanation techniques in which systems explain their critical security properties and status in a manner that is understandable to a variety of managing software components and human operators.
- Develop additional general strategies to automatically immunize systems against new attacks and preempt insider attacks; enabling anomaly detection, combining and correlating information from system layers, and using direct user challenges.

FY 2009 Plans:

- Develop, test and validate regimes to assess the protection mechanisms of security products, and certify protection to quantifiable levels based on a scientific rationale.

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- Develop measures to quantitatively characterize various dimensions of security (availability, integrity, confidentiality, authentication, and non-repudiation), fault tolerance, and intrusion tolerance, and demonstrate the theory's relevance by applying it to a realistic exemplar system.
- Tailor an exemplar self-regenerative system representative of a military application, thereby demonstrating the protective value to the warfighter.
- Conceptualize a new computer workstation architecture that enables both formal proof and exhaustive validation of critical information and program separation properties.
- Formulate a "trust hierarchy" rooted at user-visible peripheral devices (mouse, keyboard, speakers, and display) and extending down to traditional kernel mechanisms and applications.

	FY 2007	FY 2008	FY 2009
Quantum Key Distribution over Wide-Area Fiber Optic Networks	0.000	0.000	3.000

(U) The prevailing method for securing information transmitted across DoD and Intelligence networks is through the use of end-to-end encryption. This requires frequent secure distribution of encryption keys across the network. Quantum key distribution could offer this capability across the network, resulting in enhanced security from eavesdropping, code-breaking and spoofing. The program's objective is to develop an end-to-end quantum key distribution capability that works over a wide-area fiber-optic network. Today, this technology has been demonstrated only for point-to-point connections over distances of less than 200 kilometers. A key technical challenge is to extend the quantum key distribution capability to national, or global, dynamically switched fiber-optic networks. The needed advancements to enable this are the creation of a "quantum repeater" and the development of the associated key distribution protocol. The goal of the program is to demonstrate this capability experimentally over an existing DoD wide-area test network.

(U) Program Plans:

FY 2009 Plans:

- Complete an analysis of quantum encryption over classic fiber-optic networks.
- Develop candidate technologies and protocols for quantum key distribution over global-scale fiber-optic networks.

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		FY 2007	FY 2008	FY 2009
System for Planning Information Operations and Nonkinetic Effectivene	ss (SPINE)	0.000	0.000	3.417

(U) The System for Planning Information Operations and Nonkinetic Effectiveness (SPINE) program will improve operational effectiveness, operational tempo, tool performance, tool development, decrease training requirements, enable scalable operations not possible today, and demonstrate the full potential of Information Operations (IO) capabilities due to their newly quantified performance metrics. The significant challenge is the development of models for non-kinetic weapons that are comparable to today's physics-based kinetic models. Those models are critical to demonstrating the effectiveness of non-kinetic weapons and configuring an IO range capable of collecting the diverse empirical data required to provide confidence in the measurements. The program will develop the following: first, measurement techniques to quantify the effectiveness of IO weapons; second, a planning system to give the combatant commander the ability to determine which combination of kinetic or non-kinetic weapons they should use during operations.

(U) Program Plans:

FY 2009 Plans:

- Develop algorithms/software to provide quantified metrics on Computer Network Attack tool performance.
- Expand tool suite to measure anticipated weapon performance based on known & estimated target network characteristics.
- Develop planning system that can select optimal course of action based on marriage of target configuration intelligence and quantified tool characteristics.

	FY 2007	FY 2008	FY 2009
Rootkit Detection	0.000	0.000	3.500

(U) Based on results from the Future Information Assurance program, Rootkit Detection will assess the current and emerging state-of-the-art rootkit (software tools intended to conceal running processes, files or system data from the operating system) technology, detection and mitigation in the context of the DoD and leverage experience with rootkits and detection and mitigation methods. After collecting rootkits and detection tools and methods, this program will establish knowledge of future rootkit trends and detection mechanisms. Technical goals include identifying trends

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in rootkit developments, anticipating next generation threats, and developing advanced detection and mitigation techniques. Possible approaches include: detection of indirect effects of rootkits (not implementation-specific effects), creation of abnormal usage conditions on the system to induce indirect effects, collection of evidence from multiple perspectives, and use of Bayesian Networks to reason over evidence. Comprehensive, objective and empirical data regarding the threat posed by rootkits, how well current approaches address that threat, characteristics and metrics for each approach and identification of (1) promising detection approaches and (2) key gaps. This program will address the growing threat of rootkits to DoD IT systems and networks.

(U) Program Plans:

FY 2009 Plans:

- Design and implement laboratory-scale automated rootkit detection and mitigation software.
- Evaluate developed software in laboratory environment.
- Harden laboratory-level code into field-deployable rootkit detection and mitigation system.
- Evaluate developed system in military information operations range.

	FY 2007	FY 2008	FY 2009
Defensive Autonomous Systems	0.000	0.000	3.000

(U) The Defense Autonomous Systems goal is to allow the military to more closely monitor and identify remotely-controlled computers (bots) and bot slaves within military and government networks, as well as increase the monitoring capability of our defenders. This program will develop novel software to enable this capability. Utilizing the border gateway protocol, this program will provide policy based routing between large pieces (i.e., autonomous systems) of the Internet. By building concentric rings from autonomous systems, it is possible to hide all or part of a network from people outside of the rings (e.g. on the Internet). By monitoring the interconnection points between the outer and inner ring, it is possible to identify all bot slaves and controllers because bot command and control traffic is fairly regular and has a unique signature. In order to monitor and mitigate against attacks from bot networks we must automate the tools we currently use. Adding the additional autonomous systems to existing military networks requires the military Services to request the new autonomous system, properly design and configure the interconnection, and monitor the system to detect an attack within minutes.

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RDT&E, Defense-wide	Information and Communio	cations Technology	
BA2 Applied Research	PE 0602303E, Pro	ject IT-03	

#### (U) Program Plans:

FY 2009 Plans:

- Identify specific bot signatures and their uniqueness.
- Develop prototype mechanisms to test and evaluate this technique on military data networks.
- Design and implement laboratory-level automated detection, reverse engineering, and mitigation system.
- Modify existing router filters to identify bot traffic (or develop high-speed network devices if necessary).
- Develop a database for the routers (or the high-speed devices) to communicate with, storing the address and identity of bots and bot controllers.

	FY 2007	FY 2008	FY 2009
Software Assurance Education and Research	0.000	1.000	0.000

#### (U) Program Plans:

FY 2008 Plans:

- Conduct research in software assurance education.

	FY 2007	FY 2008	FY 2009
National Repository of Digital Forensic Intelligence	0.000	1.120	0.000

(U) This effort will focus on the goal of the National Repository of Digital Forensic Intelligence.

#### (U) Program Plans: FY 2008 Plans:

- Pursue efforts relating to the National Repository of Digital Forensic Intelligence.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	DATE February 2008	
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RDT&E, Defense-wide	Information and Communio	cations Technology
BA2 Applied Research	PE 0602303E, Pro	bject IT-03

### (U) Other Program Funding Summary Cost:

• Not Applicable.

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>							DATE February 2008	
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research			R-1 ITEM NOMENCLATURE Information and Communications Technology PE 0602303E, Project IT-04					
COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Language Translation IT-04	70.539	71	.423	75.019	72.433	52.593	52.593	52.593

#### (U) <u>Mission Description:</u>

(U) This project is developing powerful new technologies for processing foreign languages that will provide critical capabilities for a wide range of military and national security needs, both tactical and strategic. The technologies and systems developed in this project will enable our military to automatically translate and exploit large volumes of speech and text in multiple languages obtained through a variety of means.

(U) Current U.S. military operations involve close contact with a wide range of cultures and peoples. The warfighter on the ground needs hand-held, speech-to-speech translation systems that enable communication with the local population during tactical missions. Thus tactical applications imply the need for two-way (foreign-language-to-English and English-to-foreign-language) translation.

(U) Because foreign-language news broadcasts, web-posted content, and captured foreign-language hard-copy documents can provide insights regarding local and regional events, attitudes and activities, language translation systems also contribute to the development of good strategic intelligence. Such applications require one-way (foreign-language-to-English) translation. Exploitation of the resulting translated content requires the capability to automatically collate, filter, synthesize, summarize, and present relevant information in timely and relevant forms.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Spoken Language Communication and Translation System for Tactical Use*	16.757	14.188	11.533

\*Formerly known as Situation Presentation and Interaction.

(U) The Spoken Language Communication and Translation System for Tactical Use (TRANSTAC) program will develop technologies that enable robust spontaneous two-way tactical speech communications between our warfighters and native speakers. The program addresses the issues surrounding the rapid deployment of new languages, especially, low-resource languages and dialects. TRANSTAC is building upon

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BA2 Applied Research	PE 0602303E, Pro	vject IT-04

existing speech translation platforms to create a rapidly deployable language tool that will meet the military's language translation needs. TRANSTAC is currently focusing on key languages of the Middle East region.

(U) Program Plans:

FY 2007 Accomplishments:

- Performed mission needs analysis and aggressive initial language data collection.
- Demonstrated a proof-of-principle, two-way Iraqi Arabic system free-form exchange between English and Iraqi Arabic speakers in specific domains.
- Provided seventy-five (75) Iraqi Arabic Systems for in-theater experimental fielding.
- Established a Call-A-Translator Service in Iraq for in-field use and rapid data collection.
- Demonstrated prototype two-way Farsi system.

FY 2008 Plans:

- Perform additional mission needs analysis and aggressive language data collection.
- Develop new two-way translation software technologies for insertion into, and enhancement of, the two-way Iraqi systems.
- Develop tools for rapid deployment of new languages and dialects.
- Further enhance recognition and translation performance.
- Develop smaller form-factor prototypes to facilitate mobile use (towards eyes-free, hands-free) translation systems.
- Increase robustness of the prototypes to address the issue of noisy environments.

FY 2009 Plans:

- Update/enhance the experimental systems in the field.
- Continue mission needs analysis and aggressive language data collection.
- Develop two-way translation systems in other languages that will enable the user to not only translate words but also communicate and carry on limited conversation.
- Develop context management translation techniques.

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		FY 2007	FY 2008	FY 2009

50.654

48.935

46.396

\*Formerly part of Automated Speech and Text Exploitation in Multiple Languages.

(U) The Global Autonomous Language Exploitation (GALE) program will develop and integrate technology to enable automated transcription and translation of foreign speech and text along with content summarization. Presently, the exploitation of foreign language speech and text is slow and labor intensive. GALE will provide, in an integrated product, automated transcription and translation of foreign speech and text along with content summarization. When applied to foreign language broadcast media and web-posted content, GALE will enhance open-source intelligence and local/regional situational awareness and eliminate the need for translation and subject matter experts at every military site where such information is obtained. Thus, GALE will also reduce the military manpower requirements for translators and mitigate the escalating need for trained support personnel. GALE will tightly integrate multidisciplinary research and produce prototype systems. Earlier DARPA work in foreign language processing yielded an initial integrated architecture concept for speech transcription and text translation, resulting in near editworthy text. Continuing work under GALE will produce a fully mature integrated architecture and dramatically improve transcription and translation accuracy by exploiting context and other clues. GALE will address unstructured speech such as talk show conversations and chat room communications and develop timely, succinct reports and alerts for commanders and warfighters.

(U) Program Plans:

FY 2007 Accomplishments:

Global Autonomous Language Exploitation (GALE)\*

- Designed and documented a GALE architecture based on the industry standard Unstructured Information Management Architecture (UIMA).
- Created architectural components that combine the output of multiple machine translation engines.
- Identified workflows of all processing engines and provided integration of these workflows on top of the architectural foundation.
- Developed an integrated approach where the problem is viewed mathematically as a single system, with foreign speech/text as input, and English text and distilled information as output.
- Evaluated GALE translation engines on Arabic and Chinese languages for structured and unstructured speech and text.
- Improved translation capabilities, reducing the translation errors by a factor of two in the first year.
- Evaluated summarization technologies.

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- Performed a utility study and evaluated the effectiveness of the end-to-end system relative to a baseline search engine.

- FY 2008 Plans:
- Develop methods to optimize the parameters of speech-to-text acoustic models such that transcription errors are minimized on the training data.
- Develop discriminative training algorithms to optimize word alignment and translation quality.
- Implement an integrated search of speech-to-text transcription and machine translation.
- Integrate metadata extraction into the speech-to-text components.
- Evaluate translation and summarization technologies.
- Transition technologies developed by the GALE program into high-impact military systems and intelligence operations centers.
- Incorporate syntax analysis with machine translation algorithms.

FY 2009 Plans:

- Develop methods for porting technology into new languages.
- Perform design and feasibility experiments for extraction-empowered machine translation, where the system extracts the meaningful phrases (e.g., names and descriptions) from foreign language text for highly accurate translation into English.
- Incorporate predicate-argument analysis to enhance machine translation and summarization.
- Complete the architecture for a summarization system that incorporates adaptive filtering, focused summarization, information extraction, contradiction detection, and user modeling.

	FY 2007	FY 2008	FY 2009
Multilingual Automatic Document Classification, Analysis and Translation*	3.128	8.300	12.414

\*Formerly part of Automated Speech and Text Exploitation in Multiple Languages.

(U) The Multilingual Automatic Document Classification, Analysis and Translation (MADCAT) program will develop and integrate technology to enable exploitation of captured, foreign language, hard-copy documents. Hard-copy documents, including notebooks, letters, ledgers, annotated maps, newspapers, newsletters, leaflets, pictures of graffiti, and document images (e.g., PDF files, JPEG files, scanned TIFF images, etc.) resident on magnetic and optical media captured in the field may contain important but perishable information of great potential value to the warfighter. These documents often contain machine printed and handwritten text in various combinations and orientations in one or

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APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENCLATURERDT&E, Defense-wideInformation and Communications TechnologyBA2 Applied ResearchPE 0602303E, Project IT-04	

more languages. Unfortunately, due to limited human resources and the immature state of applicable technology, our military does not currently have the ability to exploit, in a timely fashion, ideographic and script documents that are either machine printed or handwritten in Arabic or Chinese. The MADCAT program will address this need by producing devices that would enable soldiers to convert such captured documents to readable English in the field. MADCAT will substantially improve the applicable technologies, in particular document analysis and optical character recognition/optical handwriting recognition (OCR/OHR), tightly integrate these with translation technology, and create technology demonstration prototypes for field trials.

(U) Program Plans:

FY 2007 Accomplishments:

Implemented new methods for Optical Character Recognition using 2-D linear transform techniques and graph theory matching techniques.

FY 2008 Plans:

- Improve methods for document segmentation (e.g., title, address box, columns, lists, embedded picture/diagram/caption, annotation, signature block, etc.).
- Improve script (e.g., Roman vs. Cyrillic) and language (e.g., Farsi vs. Arabic) identification.
- Develop algorithms for document type identification (e.g., letter, ledger, annotated map, newspaper, etc.).
- Develop means to discriminate and separate handwriting from printed regions and improve OCR/OHR technologies.
- Develop the means to interpret different regions within a document, for example, to extract the particulars from an address field or the axes of a table.

FY 2009 Plans:

- Develop algorithms to predict the syntactic structure and propositional content of text.
- Develop tightly integrated technology prototypes that convert captured documents into readable and searchable English.
- Integrate these improvements with the translation and summarization components of GALE.
- Enable efficient metadata-based search and retrieval.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research	R-1 ITEM NOMENCLATURE Information and Communications Technology PE 0602303E, Project IT-04			
	FY	2007	FY 2008	FY 2009

	FY 2007	FY 2008	FY 2009
Robust Automatic Translation of Speech (RATS)	0.000	0.000	4.676

(U) The Robust Automatic Translation of Speech (RATS) program will address noisy and hostile conditions where speech is degraded by distortion, reverberation, and competing conversations. Research into the issue of robustness to enhance the capabilities of speech processing would enable soldiers to hear or read clear English versions of what is being said in their vicinity, despite the noisy environment. RATS technology will build upon advances in GALE technology.

### (U) Program Plans:

FY 2009 Plans:

- Improve the robustness of automatic speech transcription and translation algorithms in adverse environments (noise, distortion, reverberation, and competing speech signals).
- Evaluate the relative benefits (performance versus computational requirements) of noise suppression and speech exploitation based on a single microphone versus using multi-microphone arrays.
- Assess the utility of eye-tracking as an adjunct to audio-based source localization.

### (U) Other Program Funding Summary Cost:

• Not Applicable.

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RDT&E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)							TE February 2008	
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research			R-1 ITEM NOMENCLATURE Cognitive Computing Systems PE 0602304E					
COST (In Millions)	FY 2007	FY 2	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	165.395	174	.680	145.262	135.671	134.372	134.510	128.893
Cognitive Systems Computing Foundations COG-01	13.870	4	.474	0.000	0.000	0.000	0.000	0.000
Cognitive Computing COG-02	94.945	94	.987	88.392	78.688	79.392	79.530	79.857
Collective Cognitive Systems and Interfaces COG-03	56.580	75	.219	56.870	56.983	54.980	54.980	49.036

#### (U) <u>Mission Description:</u>

(U) The Cognitive Computing Systems program element is budgeted in the Applied Research budget activity because it is developing the next revolution in computing and information processing technology that will enable computational systems to have reasoning and learning capabilities and levels of autonomy far beyond those of today's systems. The ability to reason, learn and adapt will raise computing to new levels of capability and powerful new applications.

(U) Military command, control, communications, and intelligence/information systems must support warfighters in operations ranging from conflict and peacekeeping in urban centers to heavy battle actions in remote areas. Current capabilities do not provide the commander with realtime, secure, situational awareness nor with the capability to orchestrate high-tempo planning, rehearsal, and execution. The programs in this project are developing and testing innovative, secure architectures and tools to enhance information processing, dissemination, and presentation capabilities. The programs provide the commander insight into the disposition of enemy and friendly forces, a joint situational awareness picture that will improve planning, decision-making, and execution support capability, as well as secure multimedia information interfaces and software assurance to the warfighter "on the move." Integration of collection management, planning, and battlefield awareness are essential elements for achieving battlefield dominance through assured information systems.

(U) The Cognitive Computing project will develop core technologies that enable computing systems to learn, reason and apply knowledge gained through experience, and respond intelligently to things that have not been previously encountered. These technologies will lead to systems demonstrating increased self-reliance, self-adaptive reconfiguration, intelligent negotiation, cooperative behavior and survivability with reduced human intervention.

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APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENCLATURE			
RDT&E, Defense-wide	Cognitive Computing Systems			
BA2 Applied Research	PE 0602304E			

(U) The Collective Cognitive Systems and Interfaces Project will dramatically improve warfighter and commander effectiveness and productivity using advanced cognitive approaches that enable faster, better informed, and more highly coordinated actions than those of our enemies. This will be accomplished by developing revolutionary methods that increase our information processing capabilities, enhance our situational awareness, and enable more cohesive group action by our forces. Critical technical areas addressed in this project include automated coordinated decision support, information sharing, and ensured communications.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	<u>FY 2008</u>	FY 2009
	Previous President's Budget	180.003	179.728	202.439
	Current Budget	165.395	174.680	145.262
	Total Adjustments	-14.608	-5.048	-57.177
	Congressional program reductions	-10.000	-5.048	
	Congressional increases	0.000		
	Reprogrammings	0.000		
	SBIR/STTR transfer	-4.608		

#### (U) Change Summary Explanation:

FY 2007	The decrease reflects the SBIR/STTR transfer and a \$10 million decrease to the Architectures for Cognitive Information Processing program for the Section 8043 rescission.
FY 2008	The decrease reflects Congressional program reductions to Robust Robotics, Integrated Learning, and a reduction for Section 8097 Contractor Efficiencies and Section 8104 Economic Assumptions.
FY 2009	The decrease reflects transfer of maturing cognitive technologies from the Personalized Assistant that Learns (PAL) program to Budget Activity 3 for transition opportunities in command and control systems and reduced funding for collaborative cognition programs as they prepare for transition.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research			<b>R-1 ITEM NOMENCLATURE</b> Cognitive Computing Systems PE 0602304E, Project COG-01					
COST (In Millions)	FY 2007	FY 20	08	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Cognitive Systems Computing Foundations COG-01	13.870	4.4	74	0.000	0.000	0.000	0.000	0.000

#### (U) <u>Mission Description:</u>

(U) The Cognitive Systems Computing Foundations project seeks to make fundamental scientific improvements in our understanding of and ability to create more intelligent information and computing systems such as developing the necessary foundational hardware architectures and software methods to facilitate learning and inference capabilities that are crucial to intelligent computing. These new computing foundations will help us move far beyond today's standard Von Neumann computing model. Transition goals include next-generation network-centric systems and platform-specific information collection and processing systems. This project will complete with FY 2008 funding and on-going efforts will continue in other Program Elements.

#### (U) <u>Program Accomplishments/Planned Programs:</u>

	FY 2007	FY 2008	FY 2009
Self-Regenerative Systems (SRS)	12.770	4.474	0.000

(U) The Self-Regenerative Systems (SRS) program will design, develop, demonstrate and validate architectures, tools, and techniques for fielding systems capable of adapting to novel threats, unanticipated workloads and evolving system configurations. The technology developed under this program will employ innovative techniques like biologically-inspired diversity, cognitive immunity and healing, granular and scalable redundancy, and higher-level functions such as reasoning, reflection and learning. These technologies will make critical future information systems more robust, survivable and trustworthy. The SRS program will also develop technologies to mitigate the insider threat. The program will combine the SRS technology foundations in an exemplar military system that learns, regenerates itself, and automatically improves its ability to deliver critical services over time.

(U) SRS-enabled systems will be able to reconstitute their full functional and performance capabilities after experiencing an accidental component failure, software error, or even an intentional cyber-attack. SRS systems will show a positive trend in reliability, exceed initial

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operating capability and approach a theoretical optimal performance level over long time intervals. They will also maintain robustness and trustworthiness attributes even with growth and evolution in functionality and performance. The program will explore a self-regenerative operating system that will automatically recover after failure or attack on its configuration files, underlying devices or applications; and provide core survivability functionality, programming interfaces and system services that support rapid prototyping, construction, and deployment of survivable applications.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed technologies to expand capabilities to diagnose and assess damage, and repair and recover from damage caused by accidental faults or malicious activities.

FY 2008 Plans:

- Develop additional general strategies to automatically immunize systems against new attacks and preempt insider attacks; combining and correlating information from system layers using direct user challenges.

	FY 2007	FY 2008	FY 2009
Secure Open Systems Institute for Defense (SOSI)	1.100	0.000	0.000

(U) Program Plans:

FY 2007 Accomplishments:

- Initiated research on Secure Open Systems.

#### (U) Other Program Funding Summary Cost:

• Not Applicable.

RDT&E BUDGET ITEM JUST	IFICATI	ON S	HEE	T (R-2 Ex	xhibit)	DATE	February 2	2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research			<b>R-1 ITEM NOMENCLATURE</b> Cognitive Computing Systems PE 0602304E, Project COG-02					
COST (In Millions)	FY 2007	FY 2	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Cognitive Computing COG-02 94.945				88.392	78.688	79.392	79.530	79.857

#### (U) <u>Mission Description:</u>

(U) The Cognitive Computing project will develop core technologies that enable computing systems to learn, reason and apply knowledge gained through experience, and to respond intelligently to new and unforeseen events. These technologies will lead to systems with increased self reliance, cooperative behavior, the capacity to reconfigure themselves, and survivability with reduced programmer intervention. In the real-time environment of military operations, cognitive networks and systems that can learn, reason, draw on their experience, automatically adapt to maintain critical functionality, effectively assist their military user and improve their responses over time will be crucial to operational success. These capabilities will make the difference between mission success and mission degradation or failure, even in the event of cyber-attack or component attrition resulting from kinetic warfare or accidental faults and errors. Systems that learn and reason will reduce the requirement for skilled system administrators and dramatically reduce the overall cost of system maintenance. As the military moves towards a dynamic expeditionary force, it is critical for systems to become more self sufficient. Overall, the project will extend fundamental computing capabilities to deal with real-world information complexity and uncertainty.

(U) The machine learning, reasoning, and human-machine dialogue techniques developed in this project, in particular, the Personalized Assistant that Learns program, have great applicability to command and control systems and are budgeted to begin transition to battlefield systems in FY 2008/2009. Candidate systems include the Strategic Command (STRATCOM) Global Strike Operations Center Strategic Knowledge Info Web (SKIWeb), the Army's Command Post of the Future (CPOF), the Navy's Composeable FORCENet (CFn), Navy Marine Corp Intranet (NMCI) and the Air Force's Air Tasking Order (ATO) programs. Additional details are provided under PE 0603760E, Project CCC-01.

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#### **Program Accomplishments/Planned Programs: (U)**

	FY 2007	FY 2008	FY 2009
Personalized Assistant that Learns (PAL)*	39.164	34.114	27.344

\*Previously this was part of Integrated Cognitive Systems.

The Personalized Assistant that Learns (PAL) program seeks to enable intelligence in information processing systems so that critical DoD (U)systems can better support, not burden, the warfighter. Due to DoD/military reductions in manpower levels, and in spite of the integration of advanced information technologies and automation throughout defense systems, the workload on the warfighter steadily increases. Modern computing systems, though powerful, are woefully lacking in the capability to self-configure, adapt, and learn. They lack even rudimentary intelligence. This deficiency places a heavy burden on the warfighter to operate and maintain the very information technology on which modern warfare depends. The PAL program will develop advanced technology to enable a new class of cognitive systems capable of assisting military commanders and decision makers. PAL will build upon prior DARPA programs that developed improved human-computer interaction capabilities and highly-responsive computing systems. PAL systems will be able to plan ahead and understand the world well enough to plausibly anticipate future events. Most importantly, PAL systems will have embedded learning capabilities that will allow them to retain prior learned knowledge, apply this knowledge to new scenarios and ultimately provide faster and more effective assistance. Overall, the ability to learn will enable the performance of a PAL system to improve over time. Cognitive systems technologies developed in this program will be applied and demonstrated in the Increased Command and Control Effectiveness (ICE) program (PE 0603760E, Project CCC-01) prior to transition into Command Operations.

The PAL program is creating a revolutionary technology for commanders and warfighters - the first comprehensive system that will (U)dramatically empower commanders to understand at a glance all aspects of the current military situation, radically reduce manpower and labor required in command posts and in the field, and automate the massive number of administrative and analytical tasks characteristic of today's command centers. PAL is creating a new generation of machine learning technology that will enable information systems to automatically adjust to new environments and new users, helping commanders adapt to new enemy tactics, evolving situations and priorities, accelerating the incorporation of new personnel into command operations, and making more effective, focused use of resources. Applications developed in PAL will be adapted and hardened in order to be integrated into existing military systems. Future capabilities to be inserted will result in the ability to

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IVITY         R-1 ITEM NOMENCLATURE           cognitive Computing Systems	APPROPRIATION/BUDGET ACTIVITY RDT&E. Defense-wide
PE 0602304E, Project COG-02	BA2 Applied Research
Cognitive Computing Systems PE 0602304E, Project COG-02	RDT&E, Defense-wide BA2 Applied Research

turn diverse, multi-source data into actionable information for commanders and warfighters; dramatic manpower reductions; corporate memory retention of both the larger conflict history and the history of each specific command center; and intelligent information presentation.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed, evaluated, and demonstrated the first instance of an intelligent cognitive assistant capable of learning the user's activities, topics of interest, expertise, information needs, priorities and organizational roles.
- Developed, evaluated, and demonstrated the use of learned knowledge by the cognitive system to (1) prepare information products such as briefings, (2) organize and prioritize emails, files, and documents, and (3) quickly find additional relevant information.
- Developed, demonstrated, and refined core machine learning, knowledge base and flexible planning technologies to enable development of a cognitive planning agent capable of recognizing what tasks the user is performing and how the user is performing them.
- Developed, evaluated, and demonstrated the use of learned planning knowledge by the planning agent to (1) provide suggestions, and additional information, (2) perform tasks automatically, and (3) delegate tasks to others and monitor their execution.
- Successfully demonstrated PAL technology on real-world data from the STRATCOM SKIWeb system.
- FY 2008 Plans:
- Develop, demonstrate, and evaluate core physical awareness, cyber-awareness, multimodal dialogue, machine learning, and representation and reasoning technologies to support cognitive assistant executive functions.
- Formulate an approach for receiving user guidance and translating it into the precise machine language necessary for both implementation and verification of user purpose and intent.
- Demonstrate the utility of PAL technologies for the Army Knowledge Online's Company Commander.com.
- Optimize PAL technology to provide maximum benefit to STRATCOM SKIweb users.
- Demonstrate PAL technologies on data from the Army's Command Post of the Future (CPOF), Navy Marine Corp Intranet (NMCI) and the DoD-wide Web Timeline Analysis System (WebTAS). Use the results of these demonstrations as lessons-learned for integration activities being conducted in military environments including the CPOF, the CFn, NMCI, and the ATO Programs. (See PE 0603760E, Project CCC-01 for additional details).

FY 2009 Plans:

- Develop a dialogue system with general and domain-specific semantics for eliciting natural language advice from the warfighter and other end users of PAL technology and PAL-enhanced systems.

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BA2 Applied Research	PE 0602304E, Proj	ect COG-02

- Develop the ability for an integrated cognitive system such as PAL to examine its own behavior and learn from that experience.

 Based on initial user feedback, extend, improve, and optimize PAL technology for implementation and operational use in STRATCOM's SKIweb, the Army's Command Post of the Future (CPOF), Navy Marine Corp Intranet (NMCI), and the DoD-wide Web Timeline Analysis System (WebTAS) Programs.

	FY 2007	FY 2008	FY 2009
Integrated Learning*	15.740	20.011	17.160

\*Previously this was part of Foundational Learning Technology.

(U) The Integrated Learning program is creating a new computer learning paradigm in which systems learn complex workflows from warfighters while the warfighters perform their regular duties. Current machine learning technologies cannot learn these complex workflows. The effort is focused on military planning tasks such as air operations center (AOC) planning and military medical logistics. With this learning technology, it will be possible to create many different types of military decision support systems that learn by watching experts rather than relying on hand-encoded knowledge (which is expensive and error prone to produce). The new learning paradigm differs from conventional machine learning in that it does not rely on large amounts of carefully crafted training data. Rather, in the new paradigm the learner works to "figure things out" by combining many different types of learning, reasoning, and knowledge. For instance, to learn AOC tasks, the computer learner combines what it observed the warfighters doing with the knowledge it has about aircraft, and reasons about airspace de-confliction to create a generalized model that can then be used to perform the entire AOC task, or provide intelligent instruction to other warfighters performing the same task. Such a cognitive system will ultimately need the capability to build and update its own internal model of the world and the objects in it without human input.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Successfully formulated learning as an integrated problem solving process and developed representation languages that enable different components, e.g., planning, reasoning, simulation, etc., to share information during the learning process.
- Constructed integrated systems that can learn air control order planning and military medical evacuation planning by being shown a single demonstration by a human expert.
- Evaluated systems via a competition of their learning performance against that of human novices.

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FY 2008 Plans:

- Enhance integrated learning systems so the systems form explicit learning goals, make plans to achieve these goals, create hypotheses about learned knowledge where appropriate, and resolve sources of uncertainty in learned knowledge where it exists.
- Expand systems so they combine different types of knowledge and reasoning, based on the situation and information that is available.
- Modify existing algorithms so they emit and track uncertainty about information.
- Evaluate systems by having them learn expanded/full air control order (ACO) planning processes and procedures and military medical evacuation planning.

FY 2009 Plans:

- Modify the integrated learning systems so they can incorporate new software components dynamically and utilize the new capabilities while learning.
- Create control algorithms for the systems that manage credit-and-blame assignment on a component-by-component basis so that if conflicts arise the system can reason about which piece of conflicting information is more likely to be accurate.
- Create control algorithms that reason about the costs/benefits of resolving a particular conflict and direct system performance accordingly.
- Expand the scope of the problems being learned so the systems learn full air tasking order (ATO) planning processes and full military medical logistics planning.
- Evaluate systems by having them compete against novice humans.
- Enable cognitive systems to learn and manipulate their own models and concepts.

	FY 2007	FY 2008	FY 2009
Bootstrapped Learning*	5.266	6.673	9.081

\*Previously this was part of Knowledge Representation and Reasoning.

(U) The Bootstrapped Learning program will provide computers with the capability to learn complex concepts the same way that people do, from a customized curriculum designed to teach a hierarchy of concepts at increasing levels of complexity, where learning each new level depends on having successfully learned the previous level. Such a capability is envisioned as revolutionary for cognitive systems in that bootstrapped learning systems will be "reprogrammable" in the field using the same modes of natural instruction used to train people, and without the need for

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software developers to modify the software code. In Bootstrapped Learning, at each level, a rich set of knowledge sources (such as training manuals, examples, expert behaviors, simulators, and references and specifications that are typically used by people learning to perform complex tasks) will be combined and used to generate concepts and a similar set of knowledge sources for the next level. This will enable rapid learning of complex high-level concepts, a capability that is essential for autonomous military systems that will need to understand not only what to do but, ultimately, why they are doing it, and when what they are doing may no longer be appropriate. To be useful, a military system must not only carry out the specific task/mission for which it is programmed but also be able to reflect on its own ability to do so, and do this in the context of its operator/controller's intent.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Created a general purpose "Ladder Interface" used to decouple the bootstrapped learning system from the problem domains and instructional materials provided to them.

- Developed a pair of components that together implement both sides of the ladder interface and will serve as development aids.

FY 2008 Plans:

- Produce an initial prototype end-to-end system capable of bootstrapped learning, integrating different types of learning, input modalities, and repeatedly building on prior learning.
- Develop a complete electronic curriculum for three domains, including prerequisite knowledge, teaching algorithms, as well as curriculum development tools.
- Demonstrate a specific ability to learn a curriculum composed of at least three related lessons via at least three different interaction modalities and at least two different learning processes.

FY 2009 Plans:

- Demonstrate a single system capable of being instructed to perform in three diverse domains.
- Demonstrate the ability of a system to repeatedly acquire new knowledge that drives future learning and cumulatively adds to the system's knowledge.
- Validate that configuration and control of critical, autonomous military hardware can be addressed with bootstrapped learning technology.

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	FY	2007	FY 2008	FY 2009
Knowledge Representation and Reasoning Technology	1	.147	2.346	7.807

The Knowledge Representation and Reasoning Technology program will develop enabling technologies to acquire, integrate, and use high (U)performance reasoning strategies in knowledge-rich domains. Such technologies will provide DoD decision makers with rapid, relevant knowledge from a broad spectrum of sources that may be dynamic and/or inconsistent. Significant reasoning challenges arise from the fact that critical knowledge involves context, temporal information, complex belief structures, and uncertainty. To address these challenges new capabilities are needed to extract key information and metadata, and to exploit these via context-capable search and inference (both deductive and inductive). DoD systems sense, capture, and store information in the form of text, audio, imagery, and video. Therefore, advanced machine reasoning capabilities must extract knowledge from and reason about all types of multimedia data. Visual-spatial reasoning, which is perhaps the most powerful form of human reasoning, yet the one least covered by machine cognition, is of special interest. This research will explore new computational models to enable command and control systems to use conceptual representations to perform visual-spatial reasoning and to assist the commander in understanding and analyzing complex battlefield scenarios.

The cost of handcrafting information within the narrow confines of first order logic or other artificial intelligence (AI) formalisms is (U)prohibitive for many applications. Machine reading addresses these issues by replacing the expert (and associated knowledge engineer) with unsupervised or self-supervised learning systems, systems that "read" natural text and insert it into AI knowledge bases, i.e. data stores especially encoded to support subsequent machine reasoning. Machine reading requires the integration of multiple technologies. Natural language processing must be used to transform the text into candidate internal representations. Knowledge representation and reasoning techniques must be used to test this new information, and determine how it is to be integrated into the system's evolving models so that it can be used for effective problem solving. While tremendous strides have been made in individual research areas, few efforts have attempted to integrate them to achieve machine reading.

(U)**Program Plans:** 

FY 2007 Accomplishments:

- Developed the initial integrated knowledge representation and learning technology that enables effective representation of essential forms of knowledge.

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FY 2008 Plans:

- Explore novel methods for acquiring new knowledge including direct input through processing natural language text.
- Perform a proof-of-concept of learning-by-reading by the machine reading of small focused texts with the goal of encoding and querying at narrow but deep semantic levels.

FY 2009 Plans:

- Demonstrate the ability of a single system to acquire and organize information directly from unstructured narrative text in multiple domains.
- Extend knowledge representation to support machine reading of large (e.g. open source web) amounts of material with the goal of encoding and querying at broad but shallow semantic levels.
- Create a targeted reading capability to resolve conflicts and fill gaps in existing knowledge models.
- Develop a general inference engine based on spatial representations, transformations, and reasoning techniques, in order to provide a more intuitive, common sense, human-like and efficient visual reasoner.
- Create learning mechanisms for the discovery of novel object categories and then design, develop, and demonstrate an artificial system that is capable of context-sensitive visual scene interpretation and understanding.

	FY 2007	FY 2008	FY 2009
Foundational Learning Technology	17.317	14.603	12.000

(U) The Foundational Learning Technology program seeks to develop advanced machine learning techniques that enable cognitive systems to continuously learn, adapt and respond to new situations by drawing inferences from past experience and existing information stores. Foundational Learning technologies have broad applicability to cognitive systems, and will result in military systems that are more robust, self-sufficient, and require minimal or no platform-specific customization. Current efforts will develop hybrid learning techniques to create cognitive systems capable of learning military strategy, leveraging large amounts of prior knowledge, incorporating external guidance and applying prior knowledge in real-time to the naturally changing environment, all without programmer intervention. This includes the integration and application of advanced machine learning techniques to further enable cognitive computing systems to learn from experience and adapt to changing situations. A very promising approach involves transfer learning techniques that transfer knowledge and skills learned for specific situations to novel, unanticipated situations and perform appropriately and effectively the first time a novel situation is encountered. This is essential because most military

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operations occur in ever-changing environments and U.S. forces and systems must be able to act appropriately and effectively the first time each novel situation is encountered.

(U) Recent advances in neuroscience suggest that much of the rich and varied structure of the neo-cortex may be the natural consequence of a relatively simple cortical algorithm adapting itself to the structure latent in the input it receives from the world. It is therefore plausible to seek advances by modeling the sub-symbolic "instruction set" of the brain. Success here would provide alternatives to the symbolic approaches that currently predominate in areas such as perception, reasoning, and language.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated the ability of a cognitive agent to learn large amounts of knowledge for performance in a specified domain on an unknown task within the same domain.
- Designed and developed hybrid learning systems that allow cognitive systems to generalize based on information gathered; and learn to operate successfully in similar, but not identical situations, adapt to a wide variety of naturally-occurring situations, and perform better over time.

FY 2008 Plans:

- Demonstrate the ability of a cognitive agent to learn, combine, and restructure knowledge in multiple domains and apply this to solve novel problems in those domains.
- Demonstrate the ability of a cognitive agent to generalize knowledge from particular domains and discover how to apply it to a problem in a new domain.
- Demonstrate the ability of a cognitive agent to synthesize knowledge and skills acquired from multiple domains, apply them
  effectively to problems in new domains, and demonstrate the ability to propose novel problem solution methods when specified
  resources are unavailable.

FY 2009 Plans:

- Conceptualize and propose algorithms that can take unorganized numeric inputs and, through interaction, "see" that these inputs represent some structured universe that obeys structured laws.
- Construct a single, massively parallel, general-purpose algorithm which could start with zero knowledge of its environment, and then grow to represent the structure latent in that environment.

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	FY	2007 FY 2008	FY 2009

**Robust Robotics\*** 

\*Formerly Learning Locomotion and Navigation.

(U) The Robust Robotics program will develop advanced robotic technologies that will enable autonomous (unmanned) mobile platforms to perceive, understand, and model their environment; navigate through complex, irregular, and hazardous terrain; make intelligent decisions corresponding to previously programmed goals; and interact cooperatively with other autonomous and manned vehicles. These capabilities will enable robotic vehicles to support warfighters in a variety of situations and terrains, including transportation, logistics, reconnaissance, and active battle. A key objective is robust navigation and locomotion, since this underlies the ability to move through the difficult and unpredictable terrain of theater operations, which may include highly irregular and mountainous areas, partially-destroyed roads, rubble-filled urban terrain, and other vehicles and personnel. This program also supports the DARPA Urban Challenge.

(U) Within the program area, efforts are being made to develop learning and reasoning technologies to address specific concerns in both wheeled and legged robotic systems. Current systems for autonomous ground robot navigation typically rely on hand-crafted, hand-tuned algorithms. While these systems may work well in open terrain or on roads with no traffic, performance falls far short in obstacle-rich and highly-irregular environments. In contrast, the approach taken here is to develop systems that automatically learn to interpret sensor data and apply this knowledge to actuator control to improve locomotion and navigation in complex environments. Learning techniques will include (but not be limited to) reinforcement learning and learning from examples. These advancements will open new horizons for unmanned military operations, surveillance and reconnaissance, and dramatically advance the capabilities of autonomous vehicles. Tasks requiring higher-level computation, such as perception-based navigation and a high degree of freedom articulation will greatly benefit as well.

(U) Although current approaches to autonomous navigation of unmanned vehicles have achieved notable success in recent years, they suffer from limitations, having been developed for static environments and not for dynamic real-world environments. Examples of the challenges posed by a complex dynamic real-world environment include: (1) robotic vision outdoors, under windy conditions that result in the movement of vegetation, trees, and leaves and, when a body of water is present, waves; and (2) path-planning in the presence of moving "obstacles" such as people and other (manned or unmanned) vehicles. Improvements in robotic vision and scene understanding, including the capability to predict the future location and even the intent of moving objects, need to be integrated with more sophisticated approaches to path planning. This would set the stage for autonomous interacting robots that share information and collaborate in performing tasks. For example, interacting robots could

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17.240

15.000

16.311

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collaborate in planning/traversing a diversity of routes and thereby enhance their likelihood of quickly finding a viable and/or (nearly) optimal path.

(U) Program Plans:

FY 2007 Accomplishments:

- Explored various learning technologies that enabled rapid adaptation by robots to new physical environments and improved autonomous vehicle speed over rough terrain.
- Developed several learning methods that allowed learned navigation algorithms to surpass the performance of a baseline system which was demonstrated through several experiments.
- Explored "learning from example" and "reinforcement learning" applications to develop technology for autonomous vehicle systems to learn and gather experience without relying on a programmer to anticipate all eventualities. These learning approaches were evaluated through a series of tests in varying terrains.
- Funded technology development contracts and program planning support for the DARPA Urban Challenge.

FY 2008 Plans:

- Create new learning algorithms that use dynamic gaits to enable legged laboratory robots (that are small scale versions of operational sized platforms) to run over uneven terrain.
- Evaluate the new learning algorithms on a series of different terrain settings in a competitive fashion.
- Transfer the best performing navigation methods learned on a small-scale vehicle to the large robotic vehicle, Crusher, to operate at increased speeds in complex environments.
- Fund prizes and support for the DARPA Urban Challenge.

FY 2009 Plans:

- Create new and modify existing learning algorithms to enable legged laboratory robots (that are small scale versions of operational sized platforms) to run over terrain at speeds proportional to humans.
- Evaluate the new learning algorithms on a series of different terrain settings in a competitive fashion.
- Port learning locomotion algorithms to larger scale vehicles to increase mobility of larger scale robots.
- Create learning locomotion toolkits that will control a diverse set of high degree-of-freedom vehicles on rough terrain.

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### (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Collective Cognitive Systems and Interfaces COG-03	56.580	7	5.219	56.870	56.983	54.980	54.980	49.036

#### (U) <u>Mission Description:</u>

(U) Warfighting is not an individual activity. Battles, engagements, and even peace keeping missions are won by teams of warfighters working in concert with each other and the automated systems that support them. These warfighters are operating in hard settings where action, information, and decision making are distributed and the situation is constantly changing. In these settings, communications, information sharing, and tools that support warfighter coordination are critical.

(U) The Collective Cognitive Systems and Interfaces Project will dramatically improve warfighter and commander effectiveness and productivity using advanced cognitive approaches that enable faster, better informed, and more highly coordinated actions than those of our enemies. This will be accomplished by developing revolutionary methods that increase our information processing capabilities, enhance our situational awareness, and enable more cohesive group action by our forces. Critical technical areas addressed in this project include automated coordinated decision support, information sharing, and ensured communications. Cognitive decision support tools reason about tasks, timings, and interactions so that when plans change or the enemy does not respond as anticipated, U.S. forces can quickly adapt. The quality of such decisions and the effectiveness of our actions depend critically on our ability to take full advantage of all available information in a rapid and flexible manner. This requires the capability to share information and to automatically integrate distributed information bases for broad tactical battlespace awareness. Finally, team cohesion requires effective and reliable communications management and control algorithms that reason about channel conditions, higher-level application connectivity requirements and related factors, and decide (often as a group) what parameters (e.g., frequency) each radio will use. The suite of programs under this project will significantly advance the military's ability to address and deal with complex situations in operational environments.

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#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Collaborative Cognition	27.710	28.800	17.000

(U) The Collaborative Cognition program is aimed at developing technologies that enable individual cognitive agents to work together as a team to provide cooperative support to warfighters in complex military situations. Such situations typically require multiple coordinated tasks that involve information sharing and cooperative efforts. The Collaborative Cognition program will foster the design and implementation of collaborative software agents that operate in dynamic environments, and include both software agents and people. Applications include collaborative surveillance and reconnaissance, logistics re-planning and decision support for unanticipated operational changes, situational analysis, prediction tools, and warfighter/commander decision aids. The technology will also allow software agents to cope with limited and/or noisy sensor information, limited communication capabilities, changing and unforeseen environments, other agents, and limited *a priori* knowledge of each others capabilities. The Collaborative Cognition program consists of two efforts: Coordination Decision-Support Assistants (COORDINATORs), and Advanced Soldier Sensor Information System and Technology (ASSIST).

- The Coordination Decision-Support Assistants (COORDINATORs) effort will develop cognitive software coordination managers that provide support to fielded tactical teams. The coordination managers will help fielded units adapt their mission plans in response to inevitable, unanticipated changes in the mission by tracking personnel, resources, situational changes, and proposing and evaluating options (adjustments to task timings, changes to task assignments and selection from pre-planned contingencies). This will enable fielded units to respond faster and more accurately to the dynamically changing battlefield situation, requiring far fewer personnel in the replanning process. COORDINATORs is a distributed technology. A single COORDINATOR will be partnered with each tactical unit or team, and will be able to collaborate and coordinate with other tactical units to optimize needed mission changes.
- A key lesson learned from Operation Iraqi Freedom (OIF) is the importance of accurate observational reporting by ground soldiers. The Advanced Soldier Sensor Information System and Technology (ASSIST) effort will develop an integrated information system that exploits soldier-worn sensors to augment the soldier's ability to capture, report, and share information in the field. Communication of timely and accurate information is vital for enhanced situational understanding and overall operational effectiveness in urban combat and post-conflict stability operations. While a range of standardized reporting mechanisms are in use today, the confusion of the

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battlefield/urban operations combined with physical and psychological stresses on the warfighters can make the task of reporting very difficult. Furthermore, existing verbal and text-format reports limit the soldier's ability to capture and convey the full picture, particularly annotated visual information. The ASSIST effort will develop an integrated system using advanced technologies for processing, digitizing and analyzing information captured and collected by soldier-worn sensors. It will draw heavily on the experiences and lessons learned from previous OIF missions and other surveillance and reconnaissance missions. A baseline system will demonstrate the capture of video/still images together with voice annotations and location-stamping. The advanced system will demonstrate automatic identification and extraction of key objects, events, activities and scenes from soldier-collected data. The system will create knowledge representations that will serve as an input to an array of warfighter products including augmented maps, situational analysis tools, and query and answer capabilities.

#### (U) Program Plans:

- Coordination Decision-Support Assistants (COORDINATORs) FY 2007 Accomplishments:
  - -- Developed distributed coordination technology that reasons about making changes to task timings, assignments, and selection from pre-planned contingencies.
  - -- Tested coordination algorithms in a lab setting on large-scale coordination problems (100 COORDINATORs, 10,000 mission tasks), and demonstrated that algorithms achieve nearly optimal results in seconds.
  - -- Developed a meta-cognition technology that reasons about resource allocation (i.e., where a given COORDINATOR should spend its processing time), so the entire system can engage in difficult processing tasks but still respond in real time.
  - -- Developed a Commander's COORDINATOR that can selectively "drill down" into portions of the mission structure and collect up-to-the-minute information, enabling a commander to make adjustments or recommendations.

FY 2008 Plans:

- -- Modify coordination algorithms so they can reason about the physical geolocation of units and coordinate changes in unit location.
- -- Modify coordination algorithms so they can operate effectively in network situations where latency may impact communications as it does in field settings.
- -- Develop a coordination autonomy controller that enables a COORDINATOR system to interact intelligently with its human user, generating desired options and waiting for appropriate periods of time for the human to respond.
- -- Develop a change evaluation module that couples the COORDINATOR technology to GPS units so the system automatically knows the location of a given unit.

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Develop a basic correspondation for military desision make	no policies and presedures so the COOP	DINATODa fallow and

- -- Develop a basic representation for military decision making policies and procedures so the COORDINATORs follow said procedures, and decisions are made at the proper levels.
- -- Evaluate COORDINATORs technologies in a field setting.
- FY 2009 Plans:
- -- Develop a full and general purpose representation for military decision making policies and procedures so the COORDINATORs know when information must be propagated, and to whom, and reason about the full spectrum of decision authority.
- -- Add learning algorithms to the change evaluation module so it can learn to anticipate problems before they arise.
- -- Add resources and models of resources to the plan representation language and modify the coordination algorithms to coordinate over resources, (e.g., troop transportation vehicles).
- -- Integrate COORDINATORs technologies with SOFTools, a planning system used by U.S. Special Operations Command.
- -- Continue evaluating COORDINATORs in a field setting.
- Advanced Soldier Sensor Information System and Technology (ASSIST)
  - FY 2007 Accomplishments:
  - -- Demonstrated the baseline capture and retrieval system prototype and evaluated the effectiveness of the integrated system in Military Operations on Urban Terrain (MOUT) field exercises.
  - -- Developed algorithms to identify objects, events, and activities in captured data and to assign correct labels.
  - -- Exploited multimodal sensor streams and contextual information.
  - -- Created a taxonomy of objects and events, collected test data, and developed procedures and metrics for advanced technology evaluation.
  - -- Developed a laptop-based user search and visualization interface for accessing logged information captured by multiple soldiers.
  - -- Demonstrated temporal event representation and outdoor spatial representation.
  - -- Deployed a research prototype to Iraq.
  - -- Incorporated lessons-learned from the experimental fielding to improve product for the warfighter.

FY 2008 Plans:

- -- Demonstrate an automated, sensor-cued collection system for ground patrols.
- -- Develop a software system to interpret and automatically index soldier-centric activities, events, scenes, and objects.
- -- Develop analysis tools for the collected data.
- -- Prototype a two-way capability for alerting patrols in the field.

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FY 2009 Plans:

- -- Demonstrate real-time reporting using on-soldier sensors and an intuitive information push/pull user interface.
- -- Address the technical challenges associated with providing ASSIST as a real-time capability for the dismounted soldier in the field.
- Develop and demonstrate a real-time variant for use by dismounted soldiers, with enhancements that include video feeds from -airborne platforms.
- Develop key technological components that enable in-field data sharing and retrieval on a wearable computing/sensor platform.
- Demonstrate eyes-free, hands-free, attention-free collection of key events and experiences for reporting. --
- Demonstrate tools for analyzing blue-force and red-force trends and patterns.
- Demonstrate the system's ability to improve its event and object classification performance through learning; demonstrate an -accelerated capability for recognizing new classes of events, objects and activities.
- Integrate advanced multimodal sensor event and object extraction techniques into advanced systems and evaluate the enhanced -capabilities.

	FY 2007	FY 2008	FY 2009
Cognitive Networking	20.523	30.986	25.263

(U)The Cognitive Networking research program will develop technologies that provide information systems and communication networks with the ability to maintain their own functionality, reliability and survivability. These technologies will allow the military to focus its critical manpower resources on the mission rather than on the maintenance of its information systems and network infrastructure. Research in this area will create a radical new design for distributed computers, device networks, and the software to manage these systems. Cognitive information processing will be used to optimize networked communications based on current conditions, past experience and high-level user guidance. The Cognitive Networking program comprises three efforts: Situation-Aware Protocols in Edge Network Technologies, Local Area Network droid, and Brood of Spectrum Supremacy.

The Situation-Aware Protocols in Edge Network Technologies (SAPIENT) effort will develop a new generation of cognitive protocol ٠ architectures to replace conventional protocols that fare poorly in extreme network conditions and do not provide adequate service for key applications. Technology developed in the SAPIENT effort will have military utility wherever tactical communications are deployed.

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SAPIENT architectures will represent awareness with a knowledge base that is updated based on specification and observation. This technology enables the automatic adaptation of protocols to the operational environment. SAPIENT will exploit attributes of human cognition, such as learning and self-improvement, and apply them to the automated construction of network protocols. Key research challenges for the SAPIENT effort are the use of these cognitive attributes to dramatically reduce the effect of network impairments on applications while demonstrating a positive trend in this capability as new situations are encountered and learned. Desired capabilities include interoperable knowledge representations and rapid incorporation of new knowledge about applications, network conditions and building blocks from which new protocols can be constructed.

- The Local Area Network droid (LANdroid) effort will give warfighters reliable communications in urban settings. LANdroid will accomplish this by creating robotic radio relay nodes that move autonomously to configure and maintain a communications mesh by reasoning about their positions relative to one another and relative to the warfighters. LANdroids will also move as the warfighters move keeping them covered with communications throughout their operations. LANdroids will be pocket-sized so warfighters can carry several and drop or deploy them as they move through a given area. The effort is creating both the intelligent radio control software and the small radio platforms on which it runs. The technologies will be tested in a physical setting (i.e., not simulated) and at an operationally relevant scale.
- The Brood of Spectrum Supremacy (BOSS) effort will provide actionable situational awareness to the warfighter in complex radio frequency (RF) environments. BOSS adds collaborative processing capabilities to tactical software-defined radios to achieve specific military goals. BOSS exploits cooperative use of computational, communication and sensory capabilities in a software radio, in aggregate, to generate breakthrough capabilities in the warfighter knowledge of their surroundings, with a particular focus on RF-rich urban operations. The BOSS effort will initially focus on modeling and simulation, resulting in hardware-independent executable specifications of waveforms in an interoperable format. Once the modeling and simulation is verified, the BOSS effort will develop a prototype demonstration for a performer-selected RF platform, using and refining the hardware-independent executable specifications of the waveforms. Ultimately this effort will develop Software Communications Architecture (SCA)-compliant waveforms suitable for implementation on a tactical software radio system.

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BA2 Applied Research	PE 0602304E, Project COG-03	

#### (U) Program Plans:

- Situation-Aware Protocols in Edge Network Technologies (SAPIENT) FY 2007 Accomplishments:
  - -- Created knowledge representations appropriate for describing some situations encountered in tactical military networks (e.g., weak signals, propagation obstructions, message priorities and security requirements) and for enabling machine response to these situations.
  - -- Demonstrated SAPIENT capabilities in laboratory venues.
  - -- Evaluated the impact of mobility on communications.

FY 2008 Plans:

- -- Integrate and enhance prototypes and evaluate their performance.
- -- Refine new knowledge representations appropriate for describing multiple link situations encountered in tactical military networks and for enabling machine response to these situations including automated learning of effective responses.
- -- Refine protocol selection and composition strategies with an integrated learning capability.
- -- Demonstrate SAPIENT capabilities in laboratory and experimental airborne venues.

FY 2009 Plans:

- -- Integrate and enhance prototypes and evaluate their performance.
- -- Implement a functional cognitive learning system that facilitates real-time selection and composition of protocols.
- -- Demonstrate an adaptive cognitive prototype in an urban environment using mobile, airborne, and stationary nodes.
- Local Area Network droid (LANdroid)

FY 2008 Plans:

- -- Develop control algorithms for LANdroids so they can self-configure (forming the initial network), self-optimize (making small movements to improve the network), and self-heal (move to cover gaps in the network caused by nodes being destroyed or powering down).
- -- Develop small robotic LANdroid platforms that meet basic requirements for size and capability.
- -- Evaluate a 10-node LANdroid network.

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BA2 Applied Research	TE 0002304E, 110j	ar coo-05

FY 2009 Plans:

- -- Develop control algorithms for LANdroids that enable them to tether the network to warfighters so the network moves as the warfighters move.
- -- Develop intelligent power management algorithms for LANdroids so they make intelligent decisions about whether or not to move based on current conditions and expected power expenditures (by moving) and savings (by being in a better location).
- -- Develop network load balancing protocols for LANdroids that dovetail with the power management algorithms to enable the network to last as long as possible.
- -- Harden the LANdroid robotic platform and reduce its weight.
- -- Evaluate algorithms using a 15-node LANdroid network that spans two floors of an indoor building.
- Brood of Spectrum Supremacy (BOSS)

FY 2007 Accomplishments:

- -- Developed theoretical analyses of the software-defined radio trade space to assess the distributed aggregation of capabilities over different numbers of moving elements, elements with varying capabilities (e.g., RF and processing), and with different distances and locations.
- -- Validated algorithms for network understanding tasks.

FY 2008 Plans:

- -- Refine capabilities of Software Communications Architecture (SCA)-compliant platforms, while working within the softwaredefined radio trade space.
- -- Validate implementations for network understanding tasks using SCA-compliant platforms.

FY 2009 Plans:

- -- Implement BOSS capabilities on handheld/wearable software-defined radio platforms.
- -- Test and evaluate BOSS handheld radios in "real-world" scenarios.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research	R-1 ITEM NOMENCLATURE Cognitive Computing Systems PE 0602304E, Project COG-03			
	FY	2007	FY 2008	FY 2009
Integrated Collective Systems		7.200	15.433	14.607

(U) The Integrated Collective Systems technology program addresses information integration, one of the most critical and challenging problems facing the DoD and continually tops the list of critical defense needs. The current inability to share and integrate data and information results in a fragmented picture of the battlespace where only a fraction of the available information is actually used. The problem has been raised by numerous DoD and service studies as well as by Combatant Commanders themselves. The Integrated Collective Systems program will enable warfighters to take full advantage of all available pertinent information in a rapid and flexible manner. It will create software technologies that enable future warfighters to share information and to automatically integrate distributed information bases for broad tactical battlespace awareness. Ultimately, the selection, generation, sharing, integration and display of information will be handled by cognitive software systems coupled with each warfighter, and as information is shared the network of individual systems will form a collective. Integration of multimedia (text, video, digital photographs) is of particular interest as it may contain valuable intelligence "tidbits" with different degrees of subtlety that can be extremely time-consuming to manually analyze (this is the case today). Once analyzed, such data needs to be indexed and stored so it can be queried and retrieved. Automatic analysis, querying and correlation algorithms need to be developed to minimize manual intervention. The Integrated Collective Systems program consists of two efforts: Digital Network Archive (DNA) and Data Integration and Exploitation SystEm that Learns (DIESEL).

• Current practices in the area of digital storage and information management generally optimize file storage and retrieval for the individual but are poorly suited to the sharing of large volumes of digital information across workgroups and enterprises. The Digital Network Archive (DNA) effort is pursuing a network-based approach to information storage and management that will enable a network-based repository to hold all digital information. Because it resides on the network, the DNA repository will provide a mechanism for the virtual (i.e., logical, not physical) centralization of all enterprise information. DNA technology will enable and facilitate controlled access to information by approved and authenticated users across administrative domains, and in this fashion it will enable a collective view of enterprise information. Repositories built on DNA technology will, in addition, provide a single distributed platform/framework for additional document/content/information services including indexing, metadata creation, search, versioning, and records management, resulting in the warfighter's ability to take full advantage of all available pertinent information in a rapid and flexible manner.

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- Today's warfighters are overwhelmed with information, but have difficulty finding and integrating the right fragments of data they need from the vast array of disparate data sources available. Military systems including command and control, intelligence, information assurance, special operations, maintenance and logistics are plagued by non-integrated, heterogeneous, legacy information systems. The Data Integration and Exploitation SystEm that Learns (DIESEL) effort will develop a new suite of information integration techniques for the warfighter. The fundamental problem is that information systems are, necessarily, developed independently using different software conventions, data types, semantic models and assumptions about their use. All of these "stovepipe" systems come together at many levels of command, where they must interoperate and share data. A new suite of intelligent information integration tools are needed ones that could *learn* to automatically ingest and understand new information systems as they occur and learn to semi-automatically map/integrate those new systems into the existing information environment. Recent developments in web services, DAML, and the Semantic Web have created the right infrastructure on which to develop this information integration technology. The ultimate utility of better information integration is better and faster decisions; the result of warfighters having the right information they need at the right time.
- (U) Program Plans:
  - Digital Network Archive (DNA)
    - FY 2007 Accomplishments:
    - -- Created web interfaces to a digital object repository enabling networked access.
    - -- Completed initial design work for implementing identity management, security, and privacy controls.
    - -- Launched a trial system demonstrating utilization of persistent digital object identification across multiple protocols and application platforms.

FY 2008 Plans:

- -- Extend the digital repository architecture to enable ubiquitous access from multiple devices while providing secure, effective, document sharing.
- -- Develop a prototype repository system with military applicability that can accommodate thousands of users and further facilitate an open, extensible, and vendor-independent architecture.
- -- Research and develop technologies to address issues of access control, security, indexing and search, metadata creation and maintenance, and version tracking.

FY 2009 Plans:

-- Continue to develop a variety of innovative services for the repository architecture and prototype subsystems to address such issues as access control, security, indexing and search, metadata creation and maintenance, and version tracking.

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- -- Bring on line multiple, interoperating, digital repositories demonstrating the feasibility, and advantages of the digital repository architecture.
- -- Demonstrate controlled, secure access across administrative domains, and its potential for integrating diverse, distributed information bases.
- Data Integration and Exploitation SystEm that Learns (DIESEL)
  - FY 2008 Plans:
  - -- Incorporate scientific, technical, and military domain knowledge in emerging web-service, semantic, and knowledge-base information integration infrastructure technologies including XML, DAML, and OWL.
  - -- Demonstrate preliminary ideas for learning-based entity resolution, data source modeling, and schema mapping technologies.
  - -- Demonstrate "best effort integration" methods.
  - -- Review commercial technical baseline.
  - -- Describe military needs and representative challenge problems.
  - -- Develop multimedia database techniques to store the raw content and associated metadata to enable search, correlation, and analysis.
  - -- Develop advanced automatic techniques for analyzing and correlating a wide variety of multimedia data with an emphasis on specific algorithms that can derive key analytic features without solving the general scene analysis problem.

FY 2009 Plans:

- -- Develop learning-based semantic integration techniques including brokering techniques to dynamically discover and compose information services "on the fly" to fit the user's mission, situation, preferences, available data, and context in general.
- -- Develop techniques for representing and composing services that use task learning to discover, represent and access new information sources.
- -- Develop tools to easily add new components and services including machine learning techniques to induce schema ontology mappings.
- -- Develop machine learning-based techniques to semi-automatically ingest, understand, and "wrap" legacy information sources.
- -- Develop data discovery techniques to automatically search multimedia databases, semi-structured collections of data, and unstructured text collections for correlations and actionable intelligence.
- -- Create new multimedia analysis algorithms with an emphasis on using context to determine feature attributes.

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	EV	2007 EV 2008	EV 2000

	FY 2007	FY 2008	FY 2009
Improved Warfighter Information Processing (IWIP)	1.147	0.000	0.000

(U) The Improved Warfighter Information Processing (IWIP) technology thrust developed technologies to enhance the warfighter's and commander's information management capacities and improve decision-making performance. The program developed the means, devices and infrastructure necessary to assess the warfighter's or commander's cognitive status in real time, and used adaptive strategies specific to his/her status to improve information processing and decision-making.

(U) Program Plans:

FY 2007 Accomplishments:

 Deployed to Commander Navy Europe/Commander Sixth Fleet (CNE-C6F) Navy Europe Plans and Operations Command Center (NEPOCC) and Theater Maritime Fusion Center (TMFC).

#### (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY 2008		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	
Total Program Element (PE) Cost	99.926	72.101		66.291	55.398	50.936	42.021	42.020	
Biological Warfare Defense Program BW-01	99.926	7	2.101	66.291	55.398	50.936	42.021	42.020	

#### (U) <u>Mission Description:</u>

(U) DARPA's Biological Warfare Defense project is budgeted in the Applied Research Budget Activity because its focus is on the underlying technologies associated with pathogen detection, prevention, treatment and remediation. This project funds programs supporting revolutionary new approaches to biological warfare (BW) defense and is synergistic with efforts of other government organizations.

(U) Efforts to counter the BW threat include countermeasures to stop pathophysiologic consequences of biological or chemical attack, host immune response enhancers, medical diagnostics for the most virulent pathogens and their molecular mechanisms, tactical and strategic biological and chemical sensors, advanced decontamination and neutralization techniques, and integrated defensive systems. This program also includes development of a unique set of platform technologies that will dramatically decrease the timeline from military threat detection to countermeasure availability.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Unconventional Therapeutics	35.000	26.235	26.470

(U) This thrust is developing unique and unconventional approaches to ensure that soldiers are protected against a wide variety of naturally occurring, indigenous or engineered threats. Past successes in this effort have come from developing therapeutics that are designed to work against broad classes of pathogens. This has led to several significant transitions, a separate thrust in Anthrax countermeasures, and most recently a program at Defense Threat Reduction Agency (DTRA) that directly capitalizes on previous DARPA investments. Work in this area has also uncovered new approaches to therapeutics that, rather than attacking specific pathogens, enhance innate human immune mechanisms against broad

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classes of pathogens. Not only will these approaches be more effective against known pathogens, they also promise to offer substantial protection against unknown pathogens including engineered pathogens and emerging pathogens from third-world environments.

(U) A current emphasis is on the discovery and development of technologies that will allow a rapid response (within weeks) to unanticipated threats, whether they are naturally encountered emerging diseases or agents from intentional attack. This thrust has a goal of radically transforming the protein design process by researching and developing new mathematical and biochemical approaches to the in silico design of proteins with specific functions. This program is also developing an interactive and functional in vitro human immune system using tissue engineering. This "immune system" will be able to test the efficacy of vaccines against threat agents that, at the present time, can only be tested in animal models, thus significantly decreasing the time needed and increasing the probability of success for biological warfare vaccine development. An additional focus is the development of entirely new technologies that will allow the rapid, cost-effective manufacture of complex therapeutic proteins such as monoclonal antibodies and vaccine antigens; these technologies will reduce the time for biologics manufacture from years (or even decades) to only a few weeks.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated that artificial human immune system simulates the actual human immune response to both viral and bacterial vaccines.
- Demonstrated in vitro antibody class switching in human lymphocytes exposed to a vaccine.
- Demonstrated primary antibody, recall antibody and naïve responses to a variety of vaccines of relevance to military force protection.
- Demonstrated that engineered organic nanoparticles elicit an immune response (antibody response, B-cell activation).
- Selected approaches to achieve accelerated manufacturing goals.
- Demonstrated single chain antibody synthesis in fungal and bacterial bio-industrial systems.
- Developed transfection methodologies for high throughput modification of plants.
- Optimized viral system to introduce vaccine/antibody coding sequences into shrimp.
- Developed approaches to ensure sufficient post-transcriptional processing in bacterial and fungal systems.
- Initiated a study to determine potential structure of antibodies.

FY 2008 Plans:

- Demonstrate a manufacturing rate for a 40 $\mu$ g vaccine  $\geq$  1 dose / (L \* wks) and 400mg mAB  $\geq$  0.025 doses/(L \* wks).

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BA2 Applied Research	PE 0602383E, Project BW-01	

- Demonstrate purity, structural fidelity, and functionality of biologics produced in a variety of manufacturing platforms (crustacean, fungal, prokaryote and plants).
- Predict historical failed vaccines using only the artificial human immune system.
- Complete transition of vaccine technology to government and commercial partners.
- Develop approaches for on-site battlefield synthesis of small molecule therapeutics, including antibiotics.
- Merge molecular imprinting with organic nanoparticles to generate functional viral replicates.
- Demonstrate fusogenic properties of antibodies.

FY 2009 Plans:

- Demonstrate biologics manufacture rates 10x improved from FY 2008, at the 30L scale.
- Demonstrate pathway to protein structure, function, purity, and cost to meet end of program milestones.
- Develop tools that will predict pathogen mutations before they occur and develop appropriate medical countermeasures in advance of the emergence of new threat agents.

	FY 2007	FY 2008	FY 2009
External Protection	6.000	1.500	5.000

(U) This program is developing and demonstrating a variety of technologies to protect soldiers from the hazards of chemical, biological and radiological attack and other hazards such as large unstable weapons stores. The program includes the autonomous detection and self-cleaning of surfaces contaminated by an attack, and the safe neutralization of hazardous materials.

# (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated proof of concept for active textiles that can decontaminate biological agents including dormant spores.
- Demonstrated a novel polyurethane coating system with the ability to continuously decontaminate its surface when exposed to biological agents including spores. The coating system is 100% compatible with the military chemical agent resistant coatings (CARC) currently being used on military vehicles.

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 Demonstrated a polymeric resin compatible with cold-plasma deposition that can self-decontaminate when exposed to biological agents such as spores.

FY 2008 Plans:

- Optimize the active textile cells for improved gas generation efficiency and lifetime, sporacidal ability, and cell reliability.
- Develop additives (surface active biocides, nutrients, microspheres) into a spray coatable CARC resin to enhance biocidal effect at low humidity.
- Develop atmospheric pressure cold plasma deposition processes to deposit biocidal materials that are 100% compatible with semiconductor devices and capable of killing spores.

FY 2009 Plans:

- Scale-up production of active self-decontaminating textiles to produce large bolts of cloth for field testing.
- Demonstrate feasibility of producing large area textiles that can actively decontaminate a surface or structure subjected to biological agents on demand.
- Field test the optimized self-decontaminating polyurethane based CARC on military vehicles at Dugway Proving Grounds using BW simulants.
- Scale up the deposition processes to handle larger pieces of electronics.
- Demonstrate the efficacy and compatibility of the biocides and process by demonstrating coating of military tactical radios.
- Develop an integrated thermal model of a combatant under operational conditions including bioheat generation, internal convective (blood) and conductive (tissue) heat transfer, and coupling to ambient heat baths by radiation, conduction, evaporation, and convection.
- Develop fabrics and garment architectures that allow tuning of evaporative and convective heat transfer from the body behind a chemically impermeable external shell.

	FY 2007	FY 2008	FY 2009
Advanced Diagnostics	20.193	16.539	11.527

(U) In the early stages, many illnesses caused by biological warfare (BW) agents are either asymptomatic, or else have flu-like symptoms and are indistinguishable from non-BW related diseases. Early diagnosis is key to providing effective therapy. The advanced diagnostics program

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will develop the capability to detect the presence of infection by biological threat agents, differentiate them from other pathogens (including those of non-BW origin), and identify the pathogen even in the absence of recognizable clinical signs and symptoms (i.e., while the pathogen numbers are still low). Novel approaches including the use of breath and advanced mathematical analysis will be examined.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated utility of devices to detect exhaled volatile organic compounds in breath.
- Demonstrated the capability to mechanically and reversibly alter the protein structure of an assay system so as to alter the sensitivity and specificity of analyte detection.
- Initiated clinical studies to identify pre-symptomatic indicators of impending illness.
- Developed platforms for rapid assessment of biological samples for pre-symptomatic indicators of illness.
- Completed initial evaluation of technologies to allow rapid, non-invasive and inexpensive assessment of radiation exposure in humans.

FY 2008 Plans:

- Identify parameters that indicate presence of a viral infection before symptoms occur.
- Develop algorithms that can predict illness from rhinovirus prior to onset of symptoms.
- Develop medical countermeasures that alleviate radiation exposure in experimental models.
- Complete evaluation of volatile organic compounds in the breath of explosive handlers.
- Demonstrate Receiver Operating Curve (ROC) for detection of explosive handlers and bystanders.
- Demonstrate reversible mechanical alterations in protein structure that yield a 10-fold change in affinity to biological, chemical and environmental agents.
- Complete evaluation of non-invasive rapid biodosimeters that can be used to triage large populations in the event of a large radiological/nuclear event.

FY 2009 Plans:

- Refine predictive model of impending illness to increase the probability of detection and reduce probability of false alarms.
- Confirm predictive model of impending illness accuracy in large sample-size, warfighter relevant populations.
- Evaluate radiation technologies at Air Force Radiobiology Research Institute (AFRRI) in a live fire test to identify best biodosimeters.

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BA2 Applied Research	PE 0602383E, Proje	ect BW-01

	FY 2007	FY 2008	FY 2009
Sensors	21.733	14.327	12.000

(U) The Sensors program goal is to develop a unique set of biological warfare (BW) sensors that will greatly improve sensitivity and response time to bacteria, viruses and/or toxins.

The overall goal of DARPA's Handheld Isothermal Silver Standard Sensor (HISSS) program was to develop a sensor that is capable of (U)detecting the entire biological warfare threat spectrum (bacteria, DNA viruses, RNA viruses and protein toxins) with the same "silver standard" specificity as current laboratory techniques, but in a fast, reliable, handheld unit. Today, this standard is achieved for DNA and RNA threats using polymerase chain reaction, which is slow because of the associated temperature cycling. For proteins, the standard is met using Enzyme Linked Immunosorbent Assay (ELISA), which requires skilled laboratory technicians to complete. The equipment required for these tests is bulky and difficult to use under field conditions. Under HISSS, DARPA was to develop fundamentally new ways to exploit previously developed identification mechanisms (DNA and RNA primers, protein antibodies) in an integrated, isothermal system that will allow a single, handheld sensor to detect the full range of BW threats.

The Spectral Sensing of Bio-Aerosols (SSBA) program involved the active probing of bioaerosols with electromagnetic (EM) energy, (U)which holds the promise of extremely fast, and potentially long-range, detection and identification of bio agents. Only a small portion of the EM spectrum is exploited in today's trigger sensors (e.g., optically based particle sizers, sometimes enhanced with fluorescence measurements). However, anecdotal evidence suggests that other portions of the spectrum may offer substantial improvement in trigger sensors, as well as potentially agent-specific discrimination capability. Various types of spectra in the visible, infrared, and ultraviolet (UV) wavelengths were measured for prototype systems development. Additional spectral information such as UV fluorescence lifetime and single particle mass spectroscopy was also evaluated. An aerosol testbed has been developed to provide calibrated exposures of threat agent simulants and complex clutter mixtures for sensor performance evaluation.

The goal of the Femtosecond Adaptive Spectroscopy Techniques for Remote Agent Detection (FASTREAD) program is to provide a (U) capability to detect biological agents at standoff distances. This goal will be accomplished by performing coherent nonlinear optical spectroscopy, laser pulse shaping techniques, and adaptive optics coupled to strategies that optimize the return signal from the agent under interrogation. By

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using short pulse lasers in conjunction with coherence effects, both the spectral and temporal information contained in the backscattered signal can be exploited, enabling identification of specific agents and providing a mechanism to adapt the system to new agents.

(U) The Hyperadsorptive Atmospheric Sampling Technology (HAST) program will develop systems that permit exhaustive, accurate, and economical collection of atmospheric trace constituents to support chemical mapping of urban and military environments. The system, which integrates three technical components, will demonstrate materials, packaging, and extraction technologies that sample atmospheric impurities whose concentration ranges from 20 parts per trillion to 200 parts per million by volume from 100 liter-atmospheres of gas in less than five minutes.

- (U) Program Plans:
  - Handheld Isothermal Silver Standard Sensor (HISSS)
    - FY 2007 Accomplishments:
    - -- Components were designed and fabricated including: 1) a handheld user interactive device; 2) a field-swappable cartridge interface module with onboard optical detection hardware; and 3) a disposable cartridge that incorporated sample preparation, stabilized lyophilized reagents, and optical windows for assay readout.
    - -- Stabilized reagents were developed for fieldable cartridges.
  - Spectral Sensing of Bio-Aerosols (SSBA)
    - FY 2007 Accomplishments:
    - -- Completed fabrication of two prototype trigger bioaerosol sensors; one sensor exploits mass spectrometry for single particle identification and the other exploits multi-spectral fluorescence for simulant identification in bulk.
    - -- Testbed demonstrated at least one week of continuous and autonomous aerosol challenges consisting of at least eighty types of complex environmental clutter backgrounds that represented seven outdoor/indoor locations used for sensor prototype testing.
    - -- Characterized sensor prototype behavior in operational environments against four classes of bio-agent aerosol simulants.
  - Femtosecond Adaptive Spectroscopy Techniques for Remote Agent Detection (FASTREAD) FY 2007 Accomplishments:
    - -- Demonstrated detection of dipicolonic acid (chemical associated with anthrax) by Coherent Antistokes Raman Spectroscopy (CARS) at a range of 200 meters using femtosecond lasers and a guide star.

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-- Obtained in the laboratory the Coherent Antistokes Raman signature of a number of molecules and determined the Receiver Operating Curve (ROC) at a number of signal to number (S/N) and signal to curve (S/C) ratios.

FY 2008 Plans:

- -- Expand FASTREAD detection range using the CARS technique to 1 km.
- -- Lower FASTREAD false alarm rate by an order of magnitude at a fixed probability of detection of 0.99.

FY 2009 Plans:

- -- Expand FASTREAD detection range using the CARS technique to 3 km without using a guide star while detecting dipicolonic acid at 100 agent containing particles per liter of atmosphere while lowering the false alarm rate by an order of magnitude at a fixed probability of detection of 0.999.
- Hyperadsorptive Atmospheric Sampling Technology (HAST)

FY 2008 Plans:

-- Demonstrate materials, packaging, and extraction technologies that sample atmospheric impurities.

FY 2009 Plans:

-- Complete a light-weight trace element system for indexing for one hundred atmospheric samples as well as demonstrating GPS geolocation.

	FY 2007	FY 2008	FY 2009
Threat Agent Cloud Tactical Intercept Countermeasure (TACTIC)	10.000	10.000	8.430

(U) The Threat Agent Cloud Tactical Intercept and Countermeasures (TACTIC) program will develop and demonstrate the capability to 1) rapidly detect, classify and identify an airborne chemical warfare agent/biological warfare agent (CWA/BWA) battlefield threat at stand-off distances, and 2) use countermeasures to neutralize and/or precipitate the threat before it reaches the intended target. The TACTIC program will develop a prototype system having an integrated approach for the classification/identification (CI) and countermeasure (CM) of aerosolized CWA/BWA threat clouds. The TACTIC system prototype will be evaluated in controlled breeze tunnel testing environments with variations in range, concentration and wind speed. Upon successful completion of the preliminary design and critical design reviews, a prototype system will be built to demonstrate an effective CI and CM systems capability in open air tests. A memorandum of agreement (MOA) is in place with the Joint Program Executive Office for Chemical and Biological Defense (JPEO-CBD) for transitioning this capability to the Army.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	HEET (R-2 Exhibit)	DATE February 2008
APPROPRIATION/BUDGET ACTIVITY	<b>R-1 ITEM NOMENCLATURE</b>	
RDT&E, Defense-wide	Biological Warfare Defense	
BA2 Applied Research	PE 0602383E, Project BW-01	

#### (U) Program Plans:

FY 2007 Accomplishments:

- Investigated technologies to defeat CWA/BWA cloud so as to eliminate the threat to unprotected war-fighters.
- Investigated technologies for stand-off assays that rapidly identify CWA/BWA threat clouds.

FY 2008 Plans:

- Develop models of CI/CM subsystem performance for open air tests.
- Conduct trade studies between competing CI/CM subsystems.
- Conduct in-house laboratory tests to validate performance of CI/CM subsystem components.

FY 2009 Plans:

- Integrate optimal CI and CM components into a prototype system.
- Test prototype system in scaled aerosol breeze tunnel test chamber.
- Transition program to JPEO-CBD.

	FY 2007	FY 2008	FY 2009
Mission-Adaptable Chemical Sensors (MACS)	6.000	3.000	2.864

(U) At present, chemical sensors are unable to combine sensitivity (parts-per-trillion (ppt)) and selectivity (unambiguous identification of molecular species) with low false alarm rate. This effort will develop a sensor, based upon rotational spectroscopy of gases that will have superior capability in all categories; it will achieve the highest possible sensitivity (in ppt) for unambiguous detection of all chemical species. A preliminary blind test showed complete and unambiguous identification of an unknown sample containing several chemical species with a sampling time of one second and a false alarm probability below 0.001%. At present, the program has investigated the nature of the atmospheric background "clutter" at the parts per billion (ppb) level and below to enable the identification of target signatures at highest sensitivity. The program will focus on reduction of size and simplicity of function to achieve portability and simultaneous detection of a large number (hundreds) of species. The capabilities will far surpass all other current sensors.

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RDT&E, Defense-wide	Defense
BA2 Applied Research	ect BW-01

#### (U) Program Plans:

FY 2007 Accomplishments:

- Completed subsystem designed for the sample acquisition, frequency management, and terahertz-generation modules, for subsequent integration within the MACS system.
- Completed evaluation of basic circuitry, and began fabrication of subsystem components.

FY 2008 Plans:

- Complete fabrication and integration of the modules.
- Conduct testing and evaluate system performance.
- Complete development of a portable sensor with 100 ppt sensitivity, false-alarm rate less than 0.1/day.

FY 2009 Plans:

- Extend the number of analytes assayed into hundreds, with automatic identification using computer lookup.
- Include fractionization of test within the sample acquisition module for improved sensitivity performance.
- Build a compact, fully portable, highly sensitive sensor system.

	FY 2007	FY 2008	FY 2009
Detecting Emerging Classes of Explosives	1.000	0.000	0.000

(U) This effort researched technologies for emerging classes of explosives.

(U) Program Plans:

FY 2007 Accomplishments:

- Explored technologies for emerging classes of explosives.

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	DATE February 2008	
APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENCL.RDT&E, Defense-wideBiological WarfareBA2 Applied ResearchPE 0602383E, Project		LATURE Defense ect BW-01

	FY 2007	FY 2008	FY 2009
Biomedical Engineering Initiative	0.000	0.500	0.000

# Program Plans: FY 2008 Plans: (U)

- Develop biosensors to identify blood-borne biomarkers of tissue trauma that convey information concerning injury severity and prognosis.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
	Previous President's Budget	112.814	99.137	106.982
	Current Budget	99.926	72.101	66.291
	Total Adjustments	-12.888	-27.036	-40.691
	Congressional program reductions	-10.000	-27.536	
	Congressional increases	0.000	0.500	
	Reprogrammings	0.000		
	SBIR/STTR transfer	-2.888		

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# (U) Change Summary Explanation:

FY 2007	The decrease reflects the SBIR/STTR transfer and Section 8043 Rescission.
FY 2008	The decrease reflects a PE execution adjustment, the cancellation of the Spectral Sensing Bio-Aerosols program, and reductions for Section 8097 Contractor Efficiencies and Section 8104 Economic Assumptions, offset by a congressional add for Biomedical Engineering Initiative.
FY 2009	The decrease reflects draw down of BWD efforts as programs transition directly to elements of the DoD (i.e., the Army, DTRA) that have cognizance over Service BWD materials and systems, and reclassification of several sensor development programs to protect the technological attributes of the systems.

# (U) Other Program Funding Summary Cost:

• Not Applicable.

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>						February 2	2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research		R-1 ITEM NOMENCLATURE Tactical Technology PE 0602702E					
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	300.721	335.967	371.481	355.591	322.212	324.712	326.393
Naval Warfare Technology TT-03	35.728	36.676	38.893	40.125	40.109	40.109	40.109
Advanced Land Systems Technology TT-04	49.853	60.286	82.421	83.580	79.752	80.147	79.354
Advanced Tactical Technology TT-06	103.715	113.550	114.421	84.028	59.820	59.820	60.314
Aeronautics Technology TT-07	58.505	52.756	58.158	64.856	67.486	68.105	70.086
Network Centric Enabling Technology TT-13	52.920	72.699	77.588	83.002	75.045	76.531	76.530

#### (U) <u>Mission Description:</u>

(U) This program element is budgeted in the Applied Research Budget Activity because it supports the advancement of concepts and technologies to enhance the next generation of tactical systems. The Tactical Technology program element funds a number of projects in the areas of Naval Warfare, Advanced Land Systems, Advanced Tactical Technology, Aeronautics Technology and Network Centric Enabling technologies.

(U) The Naval Warfare Technology project develops advanced enabling technologies for a broad range of naval requirements. Technologies under development will increase survivability and operational effectiveness of small and medium surface vessels in rough seas and demonstrate advanced technologies for hypersonic flight. New areas to be investigated include ship self defense techniques, novel underwater propulsion modalities, vessels for estuary and riverine operations and predictive tools for small craft hydrodynamic design.

(U) The Advanced Land Systems project is developing technologies for enhancing U.S. military effectiveness and survivability in operations ranging from traditional threats to military operations against irregular forces that can employ disruptive or catastrophic capabilities, or disrupt stabilization operations. The emphasis is on developing affordable technologies that will enhance the military's effectiveness while decreasing the exposure of U.S. or allied forces to enemy fire.

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RDT&E, Defense-wide	nology
BA2 Applied Research	2E

(U) The Advanced Tactical Technology project is exploring the application of compact and solid state lasers; high performance computational algorithms to enhance signal processing, target recognition and tracking, electromagnetic propagation, and processing of advanced materials and microelectronics; precision optics components for critical DoD applications; aerospace electronic warfare systems; new tactical systems for enhanced air vehicle survivability, advanced airbreathing weapons, and enabling technologies for advanced space systems; and a Training Superiority program that will create revolutionary new training techniques.

(U) The Aeronautics Technology project explores technologies to reduce costs associated with advanced aeronautical systems and provide revolutionary new capabilities for current and projected military mission requirements. This project funds development of micro adaptive flow control technologies; small-scale propulsion system concepts; and a high-strength, low structural weight airlift vehicle designed to control its buoyant lift independently of off-board ballast. New areas to be investigated are reusable hypersonic vehicles; novel helicopter blade designs that reduce acoustic signature; small, low cost high endurance UAV's capable of destroying most enemy UAV's; and short distance take-off and landing of fixed wing aircraft.

(U) The Network Centric Enabling Technology project funds sensor, signal processing, detection, tracking and target identification technology development required for true network-centric tactical operations. Technologies developed in this project will enable localized, distributed and cross-platform collaborative processing so that networks of sensors can rapidly adapt to changing force mixes, communications connectivity and mission objectives. Operational benefits will be smaller forward deployment of image and signal analysts, consistent integration of target and environment information, and flexible operational tactics and procedures for finding evasive targets in difficult environments.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	FY 2008	FY 2009
	Previous President's Budget	359.936	374.717	436.842
	Current Budget	300.721	335.967	371.481
	Total Adjustment	-59.215	-38.750	-65.361
	Congressional program reductions	-35.000	-49.550	
	Congressional increases	0.000	10.800	

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	APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research		R-1 ITEM NOMENCLATURE Tactical Technology PE 0602702E		
	Reprogrammings		-15	.000	
	SBIR/STTR transfer		-9.215		
(U)	Change Summary Ex	planation:			
	FY 2007	Decrease reflects a departmental reprogr	ramming (PA 07-18) and the SBIR/STTI	R transfer.	
	FY 2008	8 Decrease reflects reductions for Section 8097 Contractor Efficiencies and Section 8104 Economic Assumpt offset by congressional adds CEROS and Optinet Sensor System.			
	FY 2009	Decreases reflect programs ending or transitioning in Advanced Land Systems Technology (NetEx, Sticky Flares), Advanced Tactical Technology (High Power Fiber Lasers, Air Laser), Aeronautics Technology (Hypersonics Demonstration), and rephasing of Network Centric programs.			

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research			R-1 ITEM NOMENCLATURE Tactical Technology PE 0602702E, Project TT-03				
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Naval Warfare Technology TT-03	35.728	36.676	38.893	40.125	40.109	40.109	40.109

#### (U) <u>Mission Description:</u>

(U) The Naval Warfare Technology project develops advanced technologies for application to a broad range of naval requirements. Enabling and novel technologies include concepts for expanding the envelope of operational naval capabilities such as drag reduction, ship stability, hypersonic missiles, logistically friendly distributed lighting systems, ship self defense techniques, novel underwater propulsion modalities, vessels for estuary and riverine operations, high speed underwater vessels, improved techniques for underwater object detection and discrimination, long endurance unmanned surface vehicles, and high bandwidth communications.

#### (U) <u>Program Accomplishments/Planned Programs:</u>

	FY 2007	FY 2008	FY 2009
Friction Drag Reduction	5.125	3.700	0.000

(U) The Friction Drag Reduction program has developed and demonstrated physics-based, engineering design tools that will predict additivebased friction drag reduction on Navy surface ships. To date, the program has developed the capability to predict how turbulent flows are modified by the presence of polymers and air injection and has identified hull designs on which air layer drag reduction would be cost-effective. Air injection effects were confirmed with small-scale physical experiments and tests in a large-scale facility at ship-relevant scales. Large scale experiments have been conducted on a thirteen meter long flat plate at the U.S. Navy's William B. Morgan Large Cavitation Channel, with separate tests for the polymer and air injection. Additionally, polymer and air film injections were tested with simulated surface roughness to assess the effects caused by biofouling on hulls.

(U) Program Plans:

FY 2007 Accomplishments:

- Verified effects of air and polymer injection on flat plate tests at representative ship scales and speeds.

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RDT&E, Defense-wide	Tactical Techn	nology
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Experimentally determined how additive-based friction drag reduction is influenced by the presence of significant surface roughness.
 FY 2008 Plans:

 Evaluate approaches and hull designs suitable for realistic at-sea tests to evaluate the effect of sea states, maneuvering conditions, biofouling, ship curvature and pressure gradients on injection and additive based drag reduction approaches.

	FY 2007	FY 2008	FY 2009
Center of Excellence for Research in Ocean Sciences (CEROS)	5.600	10.000	0.000

(U) The Center of Excellence for Research in Ocean Sciences (CEROS) encourages leading edge research and development in ocean sciences by involving highly specialized small businesses with recognized expertise in ocean related research and providing access to potential Department of Navy transition partners. Major research areas of interest have included shallow water surveillance technologies, sensor communications, ocean environmental preservation, new ocean platform and ship concepts, ocean measurement instrumentation, and unique properties of the deep ocean environment. CEROS has been funded through Congressional earmarks and funds targeted for CEROS were not included in the President's Budget request.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed projects started in FY 2006.
- Selected projects for FY 2007 funding.
- Contracted for selected projects and monitored progress of ocean related technologies of high interest to the DoD.
- FY 2008 Plans:
- Complete projects started in FY 2007.
- Select projects for FY 2008 funding.
- Contract for selected projects and monitor progress of ocean related technologies of high interest to the DoD.

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	FY 2007	FY 2008	FY 2009
Acoustic Arrays for Torpedo Defense	2.000	1.306	0.000

(U) The Acoustic Arrays for Torpedo Defense program will demonstrate the feasibility of using an array of transducers to form a destructive pressure pulse capable of disabling an enemy's torpedo. Of critical importance is the ability to accurately predict non-linear pressure pulse propagation effects and corresponding timing delays used during pressure pulse generation and beamforming. Additionally, the beamformed pressure pulse must be of sufficient amplitude and duration to destroy a torpedo at tactically significant ranges.

(U) Program Plans:

FY 2007 Accomplishments:

- Designed, developed, and tested a two-element transducer module.
- Completed design improvements on second generation transducer module.
- Successfully tested second generation transducer module.

FY 2008 Plans:

- Develop scaled prototype 8x2-element transducer array.
- Sucessfully beamform pressure pulses.
- Validate non-linear pulse propogation model for extended ranges.
- Conduct demonstration of pulse focusing and beam-steering with prototype 8x2-element transducer array.

	FY 2007	FY 2008	FY 2009
Unique Propulsion Techniques	2.628	1.000	0.000

(U) The Unique Propulsion Techniques program will develop a novel underwater propulsion technology for Unmanned Underwater Vehicles (UUV) and other underwater platforms that require high maneuverability at low velocities. The propulsion mechanism of the electric eel may hold the key to this enabling technology. Electric eels using ribbon fin propulsion may be generating traveling chains of ring vortices, which give more momentum transfer than simply pushing the same quantity of fluid with no structure. The objective of the program is to develop a ribbon fin

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propulsion system and demonstrate the increased low velocity power efficiency and maneuverability of an actual underwater platform. The fundamental technical challenges include 1) determining if the traveling wave is structured to maximize thrust, 2) determining the structure of the fluid flow imparted by the ribbon fin, 3) determining how to implement a flexible ribbon structure with sufficient power and controllability to be useful, and 4) determining how to attach such a structure to a rigid body and integrate it with other control surfaces to gain additional degrees of freedom.

(U) Program Plans:

FY 2007 Accomplishments:

- Accurately modeled the physics of ribbon fin propulsion and created predictive design tools.
- Designed and demonstrated a ribbon fin propulsion system on an appropriately scaled surrogate platform.

FY 2008 Plans:

- Complete final testing and documentation of technologies.

	FY 2007	FY 2008	FY 2009
Riverine Crawler Underwater Vehicle	2.013	2.500	0.000

(U) The Riverine Crawler Underwater Vehicle program will develop unmanned underwater vehicle concepts that can transit underwater in riverine and shallow water coastal environments and carry out surveillance/reconnaissance and deployment tasks in denied, sensitive or contested areas. The program will study means of operating an unmanned submerged craft in riverine shallow water areas (nominally at operational environment depths of <40ft) including rivers, estuaries and harbors involving challenging surface and sub-surface conditions such as obstructions, turbidity, wave action and currents. Novel means of navigation, propulsion and sensing will be required to operate autonomously in such environments. The effort will identify the promising vehicle types and examine the system and/or component element technologies required to support these vehicles.

(U) Program Plans:

FY 2007 Accomplishments:

- Performed concept of operations studies.

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FY 2008 Plans:

- Identify enabling technologies that support an autonomous underwater vehicle concept that is capable of operating in shallow water (<25 feet) including riverine, coastal and harbor environments.
- Develop concept designs to enable a new sub-surface capability for riverine and other shallow water operations.

	FY 2007	FY 2008	FY 2009
Wideview	1.158	3.500	0.000

(U) The Wideview program will exploit a technology used successfully by the underwater acoustic community and convert it to give tactical aerial vehicles the ability to continuously detect, locate, and track battlefield sounds (such as sniper firing) over a whole  $360^{\circ}$  field of view.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Investigated feasibility of adapting technology.
  - FY 2008 Plans:
  - Complete feasibility study and document lessons learned.

	FY 2007	FY 2008	FY 2009
Super-Fast Submerged Transport	8.000	12.100	25.893

(U) The Super-Fast Submerged Transport program (Underwater Express) will explore the application of supercavitation technology to underwater vehicles, enabling high speed transport of personnel and/or supplies. The inherent advantages of traveling underwater are: the ability to transit clandestinely, (no radar or visible signature), and avoidance of rough sea conditions that may limit or deny mission execution. Supercavitation places the vehicle inside a cavity where vapor replaces the water, and drag due to fluid viscosity is reduced by orders of magnitude, thus reducing the power requirement dramatically. This program will use modeling, simulation, and experiments and testing to

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develop the understanding of the physical phenomena associated with supercavitation and the application to underwater vehicles. Innovative failsafe controls will be required for stability and maneuverability at speed.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed models and simulations for cavitator performance, including cavity generation and stability.
- Conducted subscale experiments and developed understanding of cavity geometry over a range of operating conditions.
- Developed and experimentally verified methods for generating stable cavities over a range of operating conditions.
- Developed initial design trade critical issues including sizing estimates for a scale and full-scale vehicle.
- Modeled and analyzed design vehicle system stability and vehicle control issues.

FY 2008 Plans:

- Conduct modeling, simulations, and experiments to develop an understanding of cavity and vehicle interactions and the effect of these interactions on vehicle design, control and stability.
- Continue development of vehicle design including propulsion system design and integration, and design, fabrication and testing of a scaled prototype vehicle.
- Commence design, fabrication, and testing of a scaled prototype vehicle.
- Model, simulate, and experimentally measure vehicle maneuvering and body forces in a controlled facility.
- Develop vehicle and cavity scaling relationships.

FY 2009 Plans:

- Design, fabricate and test a scaled prototype vehicle.
- Analyze prototype performance for speed, power and stability.
- Develop vehicle and cavity scaling relationships.

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	FY 2007	FY 2008	FY 2009
Distortion-free Seeing Through the Air/Water Interface	0.000	2.570	0.000

(U) Images seen through an air-water interface are distorted by multiple refractions from the water surface. This program will develop high-resolution imaging and image exploitation technology to provide new capabilities for detection and discrimination of objects such as surface crafts and underwater objects, which could significantly improve near-surface operations and safety.

#### (U) Program Plans:

FY 2008 Plans:

- Conduct experiments and scale testing of imaging algorithms.
- Conduct modeling to characterize resolution, image quality, and performance in various water qualities and optical conditions.

	FY 2007	FY 2008	FY 2009
Extremely Long Endurance Unmanned Surface Vehicle (ELEUSV)	0.000	0.000	4.000

(U) The Extremely Long Endurance Unmanned Surface Vehicle (ELEUSV) program will develop technologies that allow a robotic naval vessel to operate for years with minimal human interaction beyond tasking. This will enable a significant expansion of naval presence and will provide potential advantages in counter mine and anti-submarine warfare, emergency response and rescue operations, and intelligence gathering. Technologies to be explored include energy harvesting from the environment in order to power vessels for multi-year periods, command and control systems to enable global operations of remotely supervised systems, and de-fouling and other self-maintenance capabilities. The ELEUSV program will also investigate unique payload systems that will benefit from the extended periods of uninterrupted operations.

#### (U) Program Plans:

FY 2009 Plans:

- Conduct analysis of ELEUSV deployment time limiting factors.
- Identify core technologies required to enable multi-year operational deployments.

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- Develop operational system concept designs and technology integration plan.

	FY 2007	FY 2008	FY 2009
Broad Ocean Demining	0.000	0.000	4.000

(U) The Broad Ocean Demining program will develop and demonstrate system capabilities to counter maritime Improvised Explosive Devices (IEDs) and protect global military and economic maritime interests from disruption. By enabling the rapid detection of mines, mining operations, and other asymmetric IEDs and developing methods of rapidly clearing those threats from critical areas, the program will increase assured operations of military and non-military ocean traffic. Additionally, the program will explore innovative distributed systems that can escort ships and allow them to detect, avoid, and if necessary, neutralize these threats while underway. Technical elements include surveillance networks that can be rapidly emplaced and affordably monitored, improved detection and neutralization techniques, and robotic systems that can carry out the search and neutralization missions with minimal support from military ships.

(U) Program Plans:

FY 2009 Plans:

- Define prioritized threat vectors based on potential to disrupt military and commercial shipping.
- Identify core technologies to enable affordable and effective defeat of these threats.
- Develop broad ocean demining architectural concept and system integration plan.

	FY 2007	FY 2008	FY 2009
High Bandwidth Maritime Communications	0.000	0.000	5.000

(U) The High Bandwidth Maritime Communications program will develop and exploit nonlinear optical processes to efficiently translate an arbitrary optical waveform from one wavelength band to another, allowing use of commercial laser components signal-processing techniques, and advanced photonic technology in underwater communications. This will increase underwater communications performance (throughput and range) by over an order of magnitude from what is achievable today because of the use of high performance commercially available components

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and telecommunications signal processing technology. Significant technical obstacles include up- and down-conversion efficiencies and severe attenuation in water.

(U) Program Plans:

FY 2009 Plans:

- Develop technologies to address acceptance angle limitations.
- Design and fabricate photonic frequency converter.
- Measure converter photon conversion efficiency and gain in laboratory environments.
- Model system performance in simulated ocean environment.

	FY 2007	FY 2008	FY 2009
Surface Warfare Automated Shiphandling (SWASH)	2.728	0.000	0.000

(U) The Surface Warfare Automated Shiphandling (SWASH) program examined technologies to increase survivability and operational effectiveness of small and medium naval surface vessels in rough seas. Currently, vessels are at the mercy of ocean waves, and when waves become sufficiently large, damage and capsizing can occur. SWASH sought to enable safe operations in an expanded sea state envelope by combining detailed wave sensing and prediction with improved understanding of vessel dynamics in a control system that provides optimum course and speed to the vessel's rudder and engines.

(U) Program Plans:

FY 2007 Accomplishments:

- Refined prediction capability for ocean wave fields.

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	FY	2007	FY 2008	FY 2009

(U) The Hypersonics Flight Demonstration program (HyFly) developed and demonstrated advanced technologies for hypersonic flight. The ultimate goal of the program was to demonstrate vehicle performance that could lead to an operational tactical surface launched missile range of 600 nautical miles. Specifically, the program demonstrated an F-15 launched missile configuration with a range of 400 nautical miles, a maximum sustainable cruise speed in excess of Mach 6, and the ability to accurately terminate the missile on a GPS guided impact target. Technical challenges included the scramjet propulsion system, lightweight, high-temperature materials for both aerodynamic and propulsion structures, and guidance and control in the hypersonic flight regime. Recently demonstrated performance in ground testing of the dual combustion ramjet engine coupled with advances in high temperature, lightweight aerospace materials were enabling technologies for this program. The core program focused on development and demonstration of capabilities requisite for an operational weapon. DARPA and the Navy have established a joint program to pursue areas of the hypersonics program that would be relevant to maritime applications.

#### (U) Program Plans:

FY 2007 Accomplishments:

Hypersonics Flight Demonstration (HyFly)

- Conducted captive carry, drop, boost performance and boost separation flight tests.
- Performed vehicle subsystems verification testing.
- Conducted flight weight vehicle environmental testing.
- Conducted flight weight engine component durability testing in operating engine environment.
- Conducted initial, low flight Mach (~Mach 4.0) flight-testing.
- Conducted flight testing.

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5.476

0.000

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	FY	2007	FY 2008	FY 2009

1.000

0.000

0.000

(U) The High Efficiency Distributed Lighting (HEDLight) program fundamentally changed the design for lighting systems on U.S. military platforms to increase survivability, deployability, and maintainability. Current lighting systems use electrical distribution and the generation of light at the point-of-use. HEDLight remote source lighting uses centralized light generation and optically transports the light to the point-of-use. This allows the lighting system electrical circuitry and wiring to be concentrated, protected, and removed to the interior of the warship, thereby removing a source of vulnerability from the outer-envelope. Critical metrics necessary for the successful implementation of HEDLight are system efficiency, weight, and control of the illumination pattern. The technical areas key to the success of the HEDLight program included the development of compact, high-efficiency, full-spectrum light sources; high-efficiency coupling optics; high-efficiency, integrated optical-fiber luminaries; and integrated illuminator engines that effectively combined the light source, the optical coupler, and fiber-luminaire. A Memorandum of Agreement (MOA) is transitioning this technology to the Navy. An adjunct to the HEDLight program developed and demonstrated a state-of-art Assault Zone Landing Light, which solved the logistics and reliability issues of currently deployed lights.

(U) Program Plans:

FY 2007 Accomplishments:

High Efficiency Distributed Lighting (HEDLight)

- Developed high efficiency full-spectrum light sources.
- Developed high efficiency optical coupling mechanisms.
- Developed high efficiency fiber-luminaries for distributed light transport.
- Developed an integrated high efficiency distributed lighting illuminator.
- Demonstrated a limited scale HEDLight system installed on two U.S. Navy ships.
- Developed and demonstrated the L-32 Assault Zone Landing (AZL-15) Lights, meeting the minimum lighting (visible and IR) and battery duration requirements and tested all system variations under operational field conditions.

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(U) <u>Other Program Funding Summary Cost:</u>					
	Hypersonics Flight Demonstration	FY	2007	FY 2008	FY 2009
	PE 0602114N, PE 0603114N, PE 0603123N, Navy, Office of Na	val Research 2.20	0	0.000	0.000

RDT&E BUDGET ITEM JUST	IFICATI	ON SHEF	ET (R-2 E)	khibit)	DATE	E February 2	2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research			R-1 ITEM NOMENCLATURE Tactical Technology PE 0602702E, Project TT-04				
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Advanced Land Systems Technology TT-04	49.853	60.286	82.421	83.580	79.752	80.147	79.354

#### (U) <u>Mission Description:</u>

(U) This project is developing technologies for enhancing U.S. military effectiveness and survivability in operations ranging from traditional threats to military operations against irregular forces that can employ disruptive or catastrophic capabilities, or disrupt stabilization operations. The emphasis is on developing affordable technologies that will enhance the military's effectiveness while decreasing the exposure of U.S. or allied forces to enemy fire.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Novel Sensors for Force Protection	9.992	7.071	7.000

(U) The Novel Sensors for Force Protection program is exploring and developing novel methods that will contribute to enhanced protection of U.S. warfighters and address hostile situations encountered by U.S. warfighters in the Global War on Terrorism, Operation Enduring Freedom and Operation Iraqi Freedom. The intent is to enhance the ability of U.S. warfighters to sense the presence of explosives or shielded nuclear materials, as well as enhance the ability to identify individuals involved in the manufacture and/or use of these materials.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed data processing techniques for quantification of emanation signatures.
- Determined the relative contribution of Major Histocompatibility Complex (MHC)-determined signatures and non-genetic background signals.

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FY 2008 Plans:

- Perform studies to identify the specific regions of the mouse and human genome associated with odorant production in mice and humans.
- Demonstrate a breadboard pulsed d(D,n) neutron source; with 1-5M neutron pulses at 5 KHZ; a flux of 10M neutrons/second with ion energies with >1.15Mev and forward scattered neutrons with a half cone angle of 80 degrees.

FY 2009 Plans:

- Develop and demonstrate a compact field portable directional neutron source for stand-off detection of explosives and for nuclear materials.
- Develop operational prototype for explosives detection in breath.

	FY 2007	FY 2008	FY 2009
Dynamic Optical Tags (DOTS)	0.545	1.897	0.000

Based on the technical successes and demonstrated operational relevance of DARPA's now completed Optical Tags program, the (U)Dynamic Optical Tags (DOTS) and Sticky Flares programs seek to create new tagging, tracking, designating, and locating capabilities for U.S. forces. These programs will develop optical tagging, interrogation, and designation technologies that will enable small devices such as environmentally robust, retro reflector-based tags and highly-visible designators that can be read by airborne sensors at significant ranges. These tags can be used for unique, non-radio frequency (RF) identification of items of interest, monitoring tactical areas for disturbance from personnel and vehicles, and designating targets in complex environments. The identification tags also will be capable of providing persistent two-way communications for both tactical and logistics operations.

- **Program Plans:** (U)
  - FY 2007 Accomplishments:
  - Demonstrated performance in the field at militarily useful data rates and ranges.

FY 2008 Plans:

- Develop airborne interrogation systems.
- Develop novel emplacement technologies.

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- Integrate and test components in a fully functional configuration.

	FY 2007	FY 2008	FY 2009
Guided Projectiles	9.319	3.857	6.000

(U) The Guided Projectiles program is developing and demonstrating highly maneuverable gun-launched projectiles, and associated fire control and launch systems for employment against critical enemy infrastructure and point targets, such as command, control and communication nodes and radars. This program will develop enabling technologies to give U.S. warfighters the ability to allow weapons platforms, such as mortars, to receive updated target information from other munitions or sense target changes on their own. Based upon this information, the accuracy and effectiveness of the weapons are increased and the potential for collateral damage is reduced. This program will adapt recent advances in communications, computers, sensing and propellants/explosives to demonstrate significant leaps in combat capability. The technologies being developed will demonstrate the increased combat effectiveness and the reliability of distributed, collaborative processing and mission execution.

(U) The program developed low-cost, non-imaging optical seeker/guidance technology exploiting technology development in the visible and infrared spectrum, designed to replace the current 60mm mortar fuse and improve firing precision. Additionally, research was done with explosives to improve the effectiveness of 60mm explosive rounds. The goal was to develop a 60mm projectile with the effectiveness of a 105mm high explosive projectile. In addition, the technology developed for the 60mm projectile was investigated for application to the 81mm and 120mm mortars to increase the accuracy and effectiveness of all fielded mortar rounds at a low cost. This program will now leverage the innovative low-cost optical seeker technology to develop an affordable fuse-guidance package that converts a conventional 81mm or 120mm mortar round into a precision-guided munition. This program will further extend this development to the development of laser-guided munition systems wing-dropped from tactical UAVs and guidable from the on-board laser designator to any target within the field of view (FOV) of the designator. Critical developments supporting this program include component or packaging development technologies that enable the guidance sensors and actuators to sustain the 20-40,000g peak launch stresses, and the development of guidance systems that integrate low-cost GPS and terminal laser lock-on.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Conducted laboratory shock testing to characterize the conditions experienced at launch and began the process of verifying internal component survivability.
- Developed an aerodynamic model of the 60mm mortar round. Validated this model through ballistic launch testing.
- Developed and fabricated 60mm controlled test vehicle (CTV) rounds to verify aerodynamic controllability in flight and survivability
  of internal components during launch. Conducted CTV launch tests verifying significant portions of the design.
- Completed successful bench testing for the semi-active laser seeker, fabricating and successfully bench testing its analog detector chip subcomponent.

FY 2008 Plans:

- Develop a low-cost optical seeker applicable to 81mm and 120mm mortar rounds and unmanned air vehicle (UAV)-borne munitions.
- Design and develop the other components of the fuse-guidance packages for these rounds, to include electronic and mechanical components, as well as guidance software.
- Perform system engineering activities to derive design requirements for integration and employment on tactical UAVs.

FY 2009 Plans:

- Fabricate and test seeker-guidance systems on large caliber (81mm or 120mm) mortar rounds.
- Demonstrate full system aerodynamic control and less than 10% reduction in maximum range.
- Demonstrate guided round accuracy.
- Begin integrating the UAV-borne rounds with the tactical UAV platforms selected to employ them.

	FY 2007	FY 2008	FY 2009
Networking Extreme Environments (NetEx)	2.995	1.000	0.000

(U) The Networking in Extreme Environments (NetEx) program will create a wireless networking technology for the military user that will enable robust connectivity in harsh environments (for example, areas prone to multipath interference such as urban settings where buildings and other structures cause RF energy to "bounce" off, in and amongst the buildings/structures) and support development of new and emerging sensor and communication systems. This program will develop an improved physical layer for networked communications based on a family of new

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ultra-wideband (UWB) devices. These devices will enable reliable and efficient operations in harsh environments by exploiting the unique properties of UWB systems that allow them to work in a dense multi-path environment and to function as both a sensor and communications device. The program will adapt new and emerging ad-hoc routing protocols and multiple access schemes to take advantage of the unique properties of UWB to communicate in harsh environments, to very accurately resolve range, and to act as a radar-based sensor.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed and demonstrated power-efficient UWB communication systems that can coexist with legacy systems and intentional jammers.
- Developed algorithms, protocols, and distributed control for robust, scalable ad-hoc networking that effectively shares the UWB channel among non-cooperating UWB systems.
- Demonstrated the application of the NetEx UWB-based communication network to a wireless intercom system for intra-vehicle squad-level communications.

FY 2008 Plans:

- Build prototype NetEx UWB-based communication network system and test in operationally relevant field demonstration.

	FY 2007	FY 2008	FY 2009
Magneto Hydrodynamic Explosive Munition (MAHEM)	3.035	3.126	2.400

(U) The Magneto Hydrodynamic Explosive Munition (MAHEM) program will demonstrate compressed magnetic flux generator (CMFG)driven magneto hydrodynamically formed metal jets and self-forging penetrators (SFP) with significantly improved performance over explosively formed jets and fragments. Explosively formed jets (EFJ) and SFP are used for precision strike against targets such as armored vehicles and reinforced structures. Current technology uses chemical explosive energy to form the jets and fragments. This is highly inefficient and requires precise machining of the metal liners from which the fragments and jets are formed. Generating multiple jets or fragments from a single explosive is difficult, and the timing of the multiple jets or fragments cannot be controlled. MAHEM offers the potential for higher efficiency, greater control, the ability to generate and accurately time multiple jets and fragments from a single charge, and the potential for aimable, multiple warheads with a much higher EFJ velocity, hence increased lethality and kill precision, than conventional EFJ/SFP. MAHEM could be packaged

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into a missile, projectile or other platform and delivered close to target for final engagement and kill. This could provide the warfighter with a means to address stressing missions such as: lightweight active self-protection for vehicles (potential defeat mechanism for a kinetic energy round), counter armor (passive, reactive, and active), mine countermeasures, and anti-ship cruise missile final layer of defense.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Completed single compressed magnetic flux generator (CMFG) and magnetic hydrodynamic explosive munition (MAHEM) concept designs.
- Tested helical CMFG designs at low-power.

FY 2008 Plans:

- Develop MAHEM variants tailored to mission-specific requirements.
- Develop and conduct experiments to demonstrate feasibility of a self-contained MAHEM in the form of an AT4 shoulder-mounted munition.
- Conduct aerostability, setback, and jet penetration tests on the AT4 mockup.
- Evaluate alternative CMFG capabilities and effects

FY 2009 Plans:

- Test fire from AT4 tube to demonstrate aerostability and setback.
- Transition to munitions development centers.

	FY 2007	FY 2008	FY 2009
Compact Military Engines	2.430	1.170	0.000

(U) As military systems become more mobile, autonomous, and able to carry out missions with greater endurance, they will require a new generation of engines that are lighter, more compact, and consume less fuel. Further, the military is requiring that the new generation of engines consume only logistic fuel (JP-8). The Compact Military Engines program will apply innovative ideas for engine design to produce performance gains not obtainable by further refinement of conventional designs. The ideas will, for example, eliminate heavy accessory components, such as the valve drive trains, and eliminate sources of lost power, such as piston side forces causing friction and thermal conduction through cylinder

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walls. The Compact Military Engines program will address various engine types and diverse missions. A goal of the program is to decrease the size of mobile electric power generators by a factor of ten. Improvements to electric generators for hybrid electric vehicles will increase vehicle range and endurance.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated critical technologies.

- Completed prototype engine design, manufacture, and assembly.

FY 2008 Plans:

- Test prototype engine to demonstrate continuous operation at substantial power levels.

	FY 2007	FY 2008	FY 2009
Crosshairs	10.900	14.400	12.000

(U) The Crosshairs program seeks to develop a vehicle mounted, threat detection, and countermeasure system that will detect, locate, and engage enemy shooters against a variety of threats to include bullets, Rocket Propelled Grenades (RPGs), Anti-Tank Guided Missiles (ATGMs), and direct fired mortars, both stationary and on the move. Threat identification and localization will be accomplished in sufficient time to enable both automatic and man-in-the-loop responses. Phase I of the program focused on initial development and testing of the Crosshairs sensor system. Phase IA culminated with a static live fire test to determine the most effective candidate sensor system. During Phase IB, enhancements were made to the sensor system for on the move performance, and on the move testing against multiple threats was conducted. DARPA and the U.S. Army Rapid Equipping Force (REF) have entered into an MOA for Phase IIA. Phase IIA consists of a moving demonstration of the hardened, packaged, and enhanced Phase I sensor system on two networked HMMWVs (Humvee), integration with candidate response systems, and testing and evaluation of the complete systems in relevant environments. The goal of Phase IIB will be to integrate the final Crosshairs system with an appropriate active protection system (APS).

(U) The Concept of Operations is to provide a military vehicle with a mounted detection and response system that operates both stationary and on the move. Bullets will be detected and localized using the acoustic DARPA-developed Boomerang v2.5 acoustic gunfire detection system. Radar detection of all other threats will be made using the Crosscue radar. The Crosscue radar is a dual mode, continuous wave, and pulsed

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Doppler radar, which will be used to determine range, velocity, and azimuth of the incoming threat. It is envisioned that the system will provide a significantly improved capability to detect and respond to incoming threats during hostile and peacekeeping operations in both urban and non-urban environments. Technology challenges include: low false alarm rate, algorithm development, high speed sensor and data processing for 360 degree azimuth and 60 degree elevation detection zone; robust data collection to locate firing source; and fast response time. The program will culminate with a demonstration of two prototype systems in a typical combat environment. Additionally, the program is investigating the feasibility of a variety of technologies to detect enemy shooters before the firing of a weapon. Promising technologies, such as the C-Sniper program, budgeted in this PE/Project, will be integrated with the Crosshairs capability to ensure maximum protection against enemy shooters.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Identified and developed ultra-fast sensors and algorithms to detect and track multiple threats in near real time for static testing.
- Performed component testing and conducted detection and shooter localization demonstrations.
- FY 2008 Plans:
- Analyze data and integrate sensors and response system for initial on the move capabilities.
- Perform on the move tests with the Vanguard vehicle.
- Enhance on the move sensor system capabilities to include decreasing false alarm and false tracks.
- Develop form factor and harden sensor system.
- Identify second overhead weapons station for integration on the Crosshairs vehicle.

#### FY 2009 Plans:

- Perform on the move testing of the integrated Crosshairs system against a variety of threats.
- Demonstrate the final system capability in live fire tests.
- Demonstrate networking capability between two Crosshairs sensor systems.

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	FY 2007	FY 2008	FY 2009
RPGNets	1.330	3.514	6.621

(U) The goal of the RPGNets program is to apply a rigorous scientific approach to the characterization of the interactions of special highcapability nets to dud, break, or otherwise disable rocket propelled grenades (RPGs). This program builds upon observed, but not well understood, capabilities of certain nets to disable RPGs in field tests and will provide models supplemented by high-precision experiments that characterize net performance and allow determination of optimal net systems for both ground vehicles and helicopters. The defined net systems will be tested in an extensive live fire program. If successful, they will be incorporated into defensive systems currently under development as a low-cost, low collateral damage RPG defense mechanism.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed computer modeling of initial concept/net configurations.

- Conducted live fire testing of initial concept/net configurations.

FY 2008 Plans:

- Develop and validate models for fuse interaction, ogive crushing, and breaking of RPGs by nets.

- Design and fabricate instrumented RPG simulants for use in high-resolution experiments.

FY 2009 Plans:

 Based on model results, perform a series of high-resolution experiments in the Rapid Test Facility to extend and validate model performance.

- Define optimum net systems for RPG defeat and perform rigorous live fire field-testing.

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	EV	2007	FY 2008	FY 2009

	FY 2007	FY 2008	FY 2009
Counter Improvised Explosives Laboratories (CIEL)	1.567	1.209	0.000

(U) Improvised explosives (IEs) are one of the most popular weapons used by terrorist groups. Over the past 20 years, IEs have become very common due to their easy preparation and the high availability of raw materials. Efficient methods for detecting and neutralizing/desensitizing sensitive explosives labs in an urban environment will minimize interference with troop operations and minimize collateral damages. The goal of the Counter Improvised Explosives Laboratories (CIEL) program is to develop the infrastructure and methodology for novel chemo-sensors that will identify labs that are building IEs to a very high degree of specificity and reliability; and develop the infrastructure for tools for safe handling of improvised explosives and their mixtures.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed a chemo-sensor that provides a clear and fast identification of the target explosive.

- Successful field tests performed to validate the methods for desensitizing and neutralizing explosives.

FY 2008 Plans:

- Identify a physical method that will neutralize/desensitize bulk explosive materials.

- Conduct feasibility demonstrations to neutralize/desensitize up to 1 Kg of the pure target explosive and mixtures.

- Optimize and demonstrate the sensor on pure target explosives and mixtures.

	FY 2007	FY 2008	FY 2009
Maneuver and Control on the Urban Battlefield	2.300	2.500	0.000

(U) This program will develop new, high-speed, lightweight, and portable tools including bar cutters, rotary cutters, 5-25 ton spreaders, jamb breakers, deployable personnel barriers, and rooftop access devices. The ultimate program goal is to reduce the weight of existing access tools by 80% as well as deliver new and unique capabilities such as direct and rapid rooftop access and rapidly deployed personnel barriers.

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(U) Program Plans:

FY 2007 Accomplishments:

- Initiated design and development of a rescue spreader end effector, energy storage and power delivery components for a portable, lightweight system.

FY 2008 Plans:

- Initiate integration of energy storage, power delivery, and end effector components into a single portable lightweight rescue spreader.

	FY 2007	FY 2008	FY 2009
Recognize Improvised Explosive Devices and Report (RIEDAR)	0.000	3.000	6.800

(U) The goal of the Recognize Improvised Explosive Devices and Report (RIEDAR) program is to develop and demonstrate a capability for standoff detection of various devices.

### (U) Program Plans:

FY 2008 Plans:

- Demonstrate laser filamentation at 100 meters using low power lasers.
- Demonstrate operation of compact, tunable lasers from deep ultraviolet (UV) to near infrared (NIR).
- FY 2009 Plans:
- Determine plume characteristics of explosive species in real meterological scenarios.
- Demonstrate compact, tunable lasers from deep UV to NIR in ruggedized structure.

	FY 2007	FY 2008	FY 2009
Lightweight Ceramic Armor (LCA)	0.000	4.500	6.500

(U) The Lightweight Ceramic Armor (LCA) program will leverage recent breakthroughs in novel ceramic fabrication processes developed in the Materials Processing Technology project to drive a dramatic performance shift in the tradeoff between weight and ballistic projectile protection

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of body armor. Currently fielded B4C body armor is heavy and limited in the diversity of shapes that may be molded. Its weight and bulk limit a soldier's agility and mobility, and its cost prohibits consideration of using it to protect vehicles. Recent breakthroughs in ceramics processing technology offers the opportunity for cost effective fabrication of molded shapes, the retention of nanostructured grains for significantly higher energy dissipation, a 50% reduction in weight for equal ballistic protection, and similar reduction in cost. The focus areas of the program will be the optimization of the material composition and nanostructure for maximum protection per unit weight and cost, and scale up of the fabrication technology to body armor size scale articles. The program will additionally investigate the potential for the development of dramatically improved ballistic armored headgear along these same lines.

### (U) Program Plans:

FY 2008 Plans:

- Develop lightweight ceramic armor with high dynamic tensile stress to effectively dissipate shock waves.
- Investigate backing materials or materials systems for optimized energy dissipation characteristics when used in combination with this new class of ceramics.
- Develop improved processing of initial ceramic powder materials for improved ceramic performance, part yield, and yielded cost.
- Develop and model a scalable manufacturing process design for a pilot scale fabrication system capable of producing sufficient high performance ceramic material plates to support the end-manufacture of 1,000 systems per month.
- Validate an initial 15% reduction in weight for equal performance compared to currently fielded Enhanced Small Arms Protective Inserts (ESAPI) armor inserts.

FY 2009 Plans:

- Optimize integrated backing materials ceramic armor materials systems for minimum weight at ESAPI ballistic performance.
- Evaluate the characteristics of an optimized LCA armor system optimized for minimum weight at ESAPI ballistic performance.
- Investigate the potential for significantly improved ballistic characteristics of meta-structured ceramic systems incorporating multiple materials layers in a monolithic plate.
- Validate a 30% reduction in weight for equal performance compared to currently fielded ESAPI armor inserts.
- Develop and evaluate initial concepts for ballistic headgear incorporating the LCA materials.
- Demonstrate key manufacturing steps at pilot scale throughput with consistent and reliable yielded ceramic part performance.

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	FY	2007	FY 2008	FY 2009

0.000

(U) The Small Combat Vehicle with Robotic Automation program will evaluate and design small, survivable, highly mobile ground combat vehicles that have combat firepower equivalent to today's larger ground vehicles (e.g. M2/M3 Bradley) but in a highly deployable package of five ton to ten ton with a single crew person/operator on board (with the option for operation with no crew person in an unmanned configuration). Smaller vehicle weights enable effective deployability in helicopters or C-130 aircraft for vertical envelopment. This program seeks to achieve an optimal mix of manned and unmanned technologies in a small, well protected, highly deployable combat vehicle. By utilizing automation technologies in vehicle driving and vehicle payload systems (reconnaissance sensors and weapons), a single crew person in the combat vehicle can effectively drive and operate payloads concurrently at appropriate times while still providing high-level supervisory control over all systems. At mission critical times, the crew person can be removed and supervisory control can be given off-board from a separate controlling vehicle. The key technologies that enable a Small Combat Vehicle with Robotic Operation include sensor-based autonomous and semi-autonomous navigation, robust indirect driving (via combinations of cameras, perception-generated views of the terrain, or teleoperation), robust supervisory semi-autonomous control and teleoperation to allow vehicle operation from another vehicle, high density low-weight armor, aided target acquisition and targeting-based remote weapons stations, effective but minimalist warfighter-machine interfaces for crew person interaction with semi-automated driving and payload systems, and high performance vehicle mobility systems (suspensions and drivetrains).

(U) Program Plans:

FY 2008 Plans:

- Conduct initial studies and develop vehicle automation concepts.
- Conduct experiments and evaluations of candidate technologies.

FY 2009 Plans:

- Initiate preliminary designs.

Small Combat Vehicle with Robotic Automation

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2.500

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	FY 2007	FY 2008	FY 2009
Helicopter ALert and Threat Termination (HALTT)	0.000	3.030	7.000

(U) The Helicopter ALert and Threat Tracking (HALTT) program, an outgrowth of the Crosshairs program, will provide Army and Navy/Marine helicopters with a way to detect small arms and RPG attacks, improve their ability to respond, and provide affordable defeat of RPGs or other rockets. System effectiveness with emphasis on low false alarm rates is critical. The program goal is to successfully demonstrate protection of helicopters by automatic threat detection of small arms and RPGs, shooter localization, and threat mitigation/defeat.

### (U) Program Plans:

FY 2008 Plans:

- Conduct component testing of the acoustic system during flight testing.
- Complete prototype system level integration with existing aircraft survivability equipment.
- Examine rocket threat detection and termination.

FY 2009 Plans:

- Conduct final acoustic component testing and demonstrate the prototype system.
- Develop HALTT system preliminary design and system integration plan.
- Perform live fire testing of individual subsystems.

	FY 2007	FY 2008	FY 2009
C-Sniper	0.000	6.712	11.600

(U) Based on promising results obtained under the Crosshairs program, the C-Sniper effort will develop the capability to detect and neutralize enemy snipers before they can engage U.S. Forces. The program will lead to the delivery of a field testable prototype suitable for experimentation as an integrated part of the DARPA Crosshairs system. The C-Sniper system will augment the Crosshairs system by identifying threats before they can fire. The enemy snipers may be operating both with, and without, telescopic sights, and other optical systems in highly cluttered urban environments. The C-Sniper system will operate day and night from a moving military vehicle and provide the operator with sufficient

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information to make a timely engagement decision. Once the decision is made, the C-Sniper will provide data and control to point and track the on-board weapon on the selected target. The final decision to fire the weapon will be left to the operator.

### (U) Program Plans

FY 2008 Plans:

- Conduct feasibility studies of promising technologies to detect enemy shooters before the firing of a weapon.

FY 2009 Plans:

- Develop the key technologies (laser system, sensor head, and system processing designs).
- Develop the interfaces of the sensor system to integrate with Crosshairs.
- Conduct systems integration and test on stationary vehicle.
- Develop and incorporate system design enhancements required for a moving vehicle.
- Develop, deliver and demonstrate the operation of C-Sniper on moving vehicles.
- Demonstrate system capability to correctly detect optical systems in highly cluttered urban environment.

	FY 2007	FY 2008	FY 2009
Rocket Propelled Grenade (RPG) Pre-launch Detection and Cueing	0.000	0.000	3.000

(U) The Rocket Propelled Grenade (RPG) Pre-launch Detection and Cueing program will enable the development of an omni directional, visual, and vehicle mounted surveillance system for threat detection using cognitive swarm recognition technology to rapidly detect and identify the locations of attackers with RPGs before they are launched. During the first phase of the program, a system will be demonstrated capable of 360 degree coverage and detection rates of greater than 95%. Minimizing false alarms and false positives will be key, as will be true day/night operation and the simultaneous identification of up to five threats.

(U) Program Plans

FY 2009 Plans:

- Develop and mature detection and classification algorithms.
- Breadboard test of detection and classification algorithms.

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- Perform a system demonstration with stationary cameras.

	FY 2007	FY 2008	FY 2009
Micropower Engine	0.000	0.000	2.900

(U) The goal of the Micropower Engine program is to significantly improve the cost, weight, and overall capability of man-portable power systems by developing a small power system built around a fuel-breathing, hydrocarbon-fueled, recuperated, expander-cycle micro-scale turbine engine. This system can substitute for a standard battery, such as the BA5590, in military man-portable power applications. The availability of greater man-portable power increases the potential capability of man-portable electronic systems. It is well-established that power systems built around liquid-hydrocarbon-fueled micro-scale heat engines offer the potential of an order of magnitude (10x-50x) leap in energy density over chemical batteries. Such designs have not been reduced to practice because of the high rotational speed bearing limits at the microscale. The proposed engine is "fuel-breathing" rather than "air-breathing," using liquid hydrocarbon fuel, rather than air, as the working fluid of its thermodynamic cycle thus enabling compression at much lower rotational speeds.

### (U) Program Plans:

FY 2009 Plans:

- Conduct a trade study determining engine performance through various size ranges.
- Demonstrate novel compressor/injector at mesoscale.
- Design a microscale engine to the preliminary level.

	FY 2007	FY 2008	FY 2009
Defeat of Explosively Formed Projectiles (DEFP)	0.000	0.000	3.500

(U) The objective of the Defeat of Explosively formed Projectiles (DEFP) program is to develop technologies to counter Explosively Formed Projectiles (EFPs). EFPs have become the "threat of the future" for insurgent forces as they can penetrate all of today's armored vehicles including tanks. Since EFPs penetrate largely by virtue of their momentum, they are not susceptible to simple forms of reactive armor. New

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approaches to be investigated include a new generation of "smart armor" that combines sub-millisecond sensing and processing with directable explosively driven counter-EFP devices. This armor will reduce, re-direct, and disperse the penetrating elements of the EFP to a point such that the base armor of a Bradley Fighting Vehicle would not be perforated. This program seeks to provide this capability at an added weight of less than 40 lbs per square foot.

(U) Program Plans:

FY 2009 Plans:

- Demonstrate sensing, processing, and ballistic components.
- Perform component live fire tests.

	FY 2007	FY 2008	FY 2009
Silversword	0.000	0.000	4.100

(U) The Silversword program will develop power-source and radio frequency (RF) component technologies for multi-pulse, ultra-compact, wideband, gigawatt microwave sources.

(U) Program Plans:

FY 2009 Plans:

- Employ RF munitions at gigawatt power levels to irradiate electronic systems.
- Configure a Blumlein-driven source to defeat the electronic front ends of remotely-triggered devices and to investigate the susceptibility of military, commercial, and consumer electronics.

	FY 2007	FY 2008	FY 2009
Army Hypersonics Advanced Technology	2.000	0.000	0.000

(U) Established Hypersonics Advanced Technology initiatives.

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		FY 2007	FY 2008	FY 2009	
Extreme Light Sources for Defense Applications		1.440	0.000	0.000	

### (U) Researched extreme light sources.

	FY 2007	FY 2008	FY 2009
Optical Sensor System	1.000	0.800	0.000

### (U) Researched optical sensors.

	FY 2007	FY 2008	FY 2009
Research on a molecular approach to HazMat Decontamination	1.000	0.000	0.000

- (U) Researched HazMat Decontamination on a molecular approach .
- (U) Other Program Funding Summary Cost:
- Not Applicable.

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COST (In Millions)	FY 2007	FY 2008	FY 2008 FY 2009 FY 2010 FY 2011 FY 2012 F				FY 2013
Advanced Tactical Technology TT-06	103.715	113.550	13.550 114.421 84.028 59.820 59.820 60				60.314

### (U) <u>Mission Description:</u>

(U) This project focuses on four broad technology areas: a) compact, efficient, frequency-agile, diode-pumped, solid-state lasers for infrared countermeasures, laser radar, holographic laser sensors, communications, and high-power laser applications; b) high performance computational algorithms for signal processing, target recognition and tracking, electromagnetic propagation, and processing of advanced materials and microelectronics; c) enabling technologies for advanced aerospace systems and emerging payload delivery concepts; and d) new approaches for training and mission rehearsal in the tactical/urban environment. Additionally, this project will develop new tactical systems for enhanced air vehicle survivability, precision optics, electronic warfare, and advanced air breathing weapons.

### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Super High Efficiency Diode Sources (SHEDS)	4.000	4.000	4.000

(U) The goal of the Super High Efficiency Diode Sources (SHEDS) program is to develop laser diodes that are 80% efficient in converting electrical power to optical power. These will be used for supplying the optical power to ytterbium (Yb) and neodymium (Nd) solid state lasers operating near 1060 nanometers (nm). Such high efficiency laser pumps for these solid state lasers will lead to dramatic reductions in the size and weight of 100 kW class diode pumped solid state lasers. The goal of the SHEDS Plus Program is to retain high wall-plug efficiency of over 70% while producing diode bars with 200 W/bar-cm, lifetimes of greater than 1000 hours (hrs.). In addition, SHEDS Plus plans allows operation at the increased inlet water cooling temperatures exceeding 55°C which provides for 2 or 3-fold higher thermal management efficiency in many applications.

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### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated single edge-emitting laser diodes operating at record-high efficiency.
- Demonstrated a stack of edge-emitting laser diode bars operating at high-power and record-high efficiency.

- Demonstrated an array of vertical-external-cavity surface-emitting laser (VCSEL) laser diodes operating at record efficiency.

FY 2008 Plans:

- Demonstrate an array of VCSEL laser diodes operating at high-power density and high efficiency.
- Demonstrate a quantum dot laser diode bar operating at record-high efficiency.
- Establish methods to increase diode power output by increasing laser cavity length without sacrificing efficiency.
- Demonstrate improvements in diode lifetime through suppression of filamentation and instabilities.
- Enable diode operation at increased inlet water cooling temperatures.

FY 2009 Plans:

- Demonstrate a quantum dot laser diode bar operating at high-power and record-high efficiency.
- Demonstrate diode bar lifetime greater than 100 hours.
- Demonstrate power per bar above 85 W/Bar.
- Increase working coolant temperature beyond 35°C.

	FY 2007	FY 2008	FY 2009
High Energy Liquid Laser Area Defense System (HELLADS)	29.000	38.500	40.608

(U) The goal of the High Energy Liquid Laser Area Defense System (HELLADS) program is to develop a high-energy laser weapon system (150 kW) with an order of magnitude reduction in weight compared to existing laser systems. With a weight goal of <5 kg/kW, HELLADS will enable high-energy lasers (HELs) to be integrated onto tactical aircraft and will significantly increase engagement ranges compared to ground-based systems. The HELLADS program has completed the design and demonstration of a revolutionary subscale high-energy laser that supports the goal of a lightweight and compact high energy laser weapon system. An objective unit cell laser module with integrated power and thermal management is being designed and fabricated and will demonstrate an output power of >34 kW. A test cell that represents one-half of the unit cell laser has been fabricated and used to characterize system losses and diode performance and reliability. The test cell is being expanded to a unit

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cell. Based on the results of the unit cell demonstration, additional laser modules will be fabricated to produce a 150 kW laser that will be demonstrated in a laboratory environment. The 150 kW laser will then be integrated with an existing beam control capability to produce a laser weapon system demonstrator. The capability to shoot down tactical targets such as surface-to-air missiles and rockets will be demonstrated.

### (U) Program Plans:

FY 2007 Accomplishments:

- Designed and fabricated a test cell.
- Completed diode stack life testing of both protected and unprotected diodes in HELLADS environment.
- Completed characterization of laser losses.
- Initiated development of the laser weapon system demonstrator components.

FY 2008 Plans:

- Fabricate a test head and characterize the optical performance of the unit cell.
- Complete preliminary design of a 150 kW laser weapon system demonstrator.

FY 2009 Plans:

- Complete a unit cell laser module with integrated power and thermal management subsystems and demonstrate power, beam quality, run-time, weight, and volume.
- Complete detailed design of a 150 kW laser weapon system demonstrator.

	FY 2007	FY 2008	FY 2009
Aero-Adaptive/Aero-Optic Beam Control (ABC)*	0.000	4.000	5.000

\*Previously part of High Energy Liquid Laser Area Defense System.

(U) The goal of the Aero-Adaptive/Aero-Optic Beam Control (ABC) program is to improve the performance of high energy lasers on tactical aircraft against targets in the aft field of regard. In order to achieve high off-boresight targeting capability, current optical turret designs protrude into the flow. This causes severe aero-optic distortions in the aft field of regard due to turbulence in the wake and the unsteady shock movement over the aperture. These distortions decrease the power flux on target (the measure of lethality for a directed energy system) and limit the directed energy system to targets in the forward field of regard. This program will optimize flow control strategies for pointing angles in the aft field of

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regard. The program will also explore the ability of the flow control system to be synchronized with adaptive optics. This effort will initially focus on wind tunnel testing to prove the feasibility of steady and periodic flow control techniques to reduce or regularize the large scale turbulent structures surrounding an optical turret. These tests will culminate in a hardware-in-the-loop demonstration with an adaptive optics system. Following successful wind tunnel demonstrations, a preliminary design of a flight test turret incorporating flow control will be undertaken.

(U) Program Plans:

FY 2008 Plans:

- Initiate trade studies and computational fluid dynamics (CFD) analyses.
- Characterize turret aero-optical performance with CFD analysis and small-scale wind tunnel testing.
- Downselect to preferred turret and flow control configuration.

FY 2009 Plans:

- Use CFD to optimize blowing slot configuration.
- Assess wavefront measurements for a range of pointing angles.
- Downselect flow control actuation technique.

	FY 2007	FY 2008	FY 2009
High Performance Algorithm Development	16.924	15.931	10.200

(U) The High Performance Algorithm Development programs identify, develop and demonstrate new mathematical paradigms enabling maximum performance at minimum cost in a variety of DoD systems applications. The programs look for opportunities to aggressively leverage the power of mathematical representations in order to effectively exploit large-scale computational resources as they apply to specific problems of interest. They also cultivate theoretical breakthroughs in areas of basic mathematics having relevance to emerging defense sciences and technologies. The products are typically advanced algorithms and design methodologies. DARPA is pursuing the development of well-conditioned fast algorithms and strategies for the exploitation of high-dimensional data (i.e., data with a high number of degrees of freedom) in order to deal with a variety of complex military problems including digital representation and analysis of terrain and other geospatial data, efficient high fidelity scattering computations of radar scattering for predictive design and exploitation of radar cross sections, and efficient automatic mapping and optimization of signal processing kernels onto advanced departmental computational hardware architectures.

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### (U) Program Plans:

FY 2007 Accomplishments:

- Strategy developed for automatic generation of low power, minimal area, fast convolution algorithms that are developed in less than 1/10th the time of hand tuned engineering experts with better performance.
- Transitioned methods to industry for the generation of fast Fourier Transform codes.
- Implemented strategy for sparse fast multi-pole methods that lead to the co-design of optimal codes and a board based upon field programmable gate arrays.
- Demonstrated superiority of time reversal methods compared to conventional matched filtering processing for situations involving multipath clutter.
- Developed principled multi-scale graph theoretical methods that decompose non-linear systems into smaller systems.
- Demonstrated methods that reduce the number of experiments required to map a non-linear dynamical system.
- Demonstrated new methods to design dynamics of mobile sensors to support surveillance in the presence of sensor and platform uncertainties.
- Discovered high-dimensional patterns in the statistics of natural images using methodology developed in the topological data analysis program.
- Developed novel, non-linear compression schemes based on high-dimensional topological patterns.
- Demonstrated application of computational topology to information representation in the brain.
- Constructed novel, non-linear, non-invasive medical statistics to assist doctors in understanding risks when assessing patients in intensive and critical care situations.
- Developed a software tool to analyze algorithms for representation based on clustering.
- Established a precise correspondence between theoretical mathematics and quantum physics.
- FY 2008 Plans:
- Extend methods from kernels to end-to-end applications including JPEG2000, Viterbi coding, and Synthetic Aperture Radar (SAR) processing.
- Extend time reversal theory to form complete images of targets in multipath environments.
- Test hypothesis that multipath scattering will enable portions of the target that are not illuminated to be imaged.
- Develop test range facility and clutter environment to support experimentation at Ka band.

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- Extend methods to cope with nonlinear systems with dimensionality greater than 10,000 degrees of freedom. Accelerate the methods to achieve 100x performance over particle filtering and Monte Carlo sampling. Demonstrate the method in 2.5 dimensions with over 10,000 degrees of freedom.
- Develop novel clustering algorithms that address stochasticity and uncertainty.
- Expand software tool capability and functionality to address complex datasets of military importance.
- Inject novel mathematical tools into quantum physics calculations.
- Develop new mathematical approaches to approximate infinite calculations by polynomial ones.
- Demonstrate new mathematical results in communications networks and number theory based on novel geometric methods.
- Demonstrate improved performance of geometrically inspired algorithms for communication networks, tomographic inversion, and numerical solutions of partial differential equations.

FY 2009 Plans:

- Demonstrate using the Discovery and Exploitation of Structure in Algorithms tools that non-expert users can design end-to-end systems for JPEG2000, Viterbi coding, and SAR that are designed in 1/10<sup>th</sup> the time of expert designers and that have equivalent performance.
- Extend DESA tool suite to other common signal processing and image formation algorithms.
- Extend time reversal methods to acoustic channels and increase the computational speed of the Green's function by 100.
- Apply time reversal methods to detect and image targets in clutter that can not detected by conventional processing.
- Extract images of targets in clutter and export the target chits to an automatic target recognition and compare performance of the automatic target recognition system against and image of the same target in the clear.
- Apply the Robust Uncertainty Management developed methods to a DARPA specified cooperative surveillance problem in which the sensors have stochastic performance, probabilistic data links, and requirements for multiple looks.
- Use topological tools previously developed to analyze higher-order datasets in biology, sensing, neuroscience, military, and community networks.
- Establish and exploit new relations between topology, number theory, and symmetry groups of fundamental particles.
- Tie advances in pure mathematics to defense applications in cryptography, quantum sciences, materials, and nano-level structures.
- Develop a quantitative methodology in the area of information propagation and understanding for the military and coalition environment, relying on observations from neuroscience, cognitive science and social networking.
- Develop and test new algorithms in which geometry is the starting point for design.

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Demonstrate capabilities on test bed problems aligned with DoD mission that are not certifiably attainable by approaches currently in use.

	FY 2007	FY 2008	FY 2009
Integrated Sensing and Processing	10.000	4.373	7.500

(U) The Integrated Sensing and Processing program will open a new paradigm for application of mathematics to the design and operation of sensor/exploitation systems and networks of such systems by developing and applying novel optimization methodologies for integrating sensing, processing, and information exploitation functionality in sensor systems. This program will create tools enabling the design and global optimization of advanced sensor system architectures comprising fully interdependent networks of functional elements, each of which can fill the roles and functions of several distinct subsystems in current generation sensor systems. Payoffs will include improved performance with reduced complexity of hardware and software in a wide variety of systems, including agile adaptive arrays for missile seekers, unmanned air vehicles, and space-borne sensors; novel waveforms, and novel approaches to multiplexed hyper-spectral chemical/biochemical sensing systems.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated that closed loop adaptive processing led to a 7x reduction in the number of pixels sensed in both variable acuity and hyper-spectral scenarios relative to conventional processing.
- Developed self-localizing, power aware, 1 bit processing, non-myopic scheduling for motes and demonstrated the ability of the mote field to detect and track slow moving targets with 10x power reduction relative to existing methods.
- Developed, tested, and verified the performance of a new analog imaging chip for embedded low-power applications such as missile seekers.
- Developed new representations for scalar fields on the sphere which lead to file sizes that are 100x smaller than conventional representations with no loss of fidelity in applications.
- Developed new contrast and illumination-independent representations for images that lead to automated registration (better than 0.5 pixels) and mosaicking at 2 hertz (Hz).

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- Created new file structure to support streaming video for disadvantaged users at 100x compression with no impact on visual quality developed.
- Developed a robust target enumeration theory and corresponding algorithms for distributed dynamical sensor networks.
- Developed novel pursuit and capture criteria for multiple pursuers that works in non-convex domains, using comparison geometry.
- Determined the composition of the chemical specifications.

FY 2008 Plans:

- Develop theory of compressed sensing for small targets using imaging systems.
- Demonstrate in simulation detection and track of small targets comparable to baseline detect and track with on the order of N (O(N)) fewer measurements.
- Evaluation of the FY 07 Geospatial Representation and Analysis (GEO) products by National Geospatial-Intelligence Agency (NGA).
- Extend the registration methods to 0.1 pixel registration error and operation at 15 Hz.
- Extract three dimensional structure from video at 3 Hz.
- Develop meshless wavelet basis and topological representations that yield 50x improvement over conventional representations with less than 1% distortion in end user applications.
- Expand FY 07 accomplishments by addressing stochasticity and uncertainty in DoD sensing applications.
- Extend theory of configuration spaces to information spaces for network systems and sensing applications.
- Determine the material requirements with regard to several dimensions of operation and use.

FY 2009 Plans:

- Extend theory of compressed sensing for small targets using imaging systems to determine sparse bases and provide design for a next generation sensor.
- Demonstrate detection and tracking of small targets comparable to baseline detect and track with significantly fewer measurements.
- Transition FY 08 products to NGA.
- Extend registration methods to 0.1 pixel registration error and operation at 30 Hz.
- Extract three-dimensional structure from video at 30 Hz.
- Develop meshless wavelet basis and topological representations that yield 100x improvement over conventional representations with less than 0.5% distortion in end user applications.
- Demonstrate that Sensor Topology for Minimal Planning provides coverage, encirclement, and pursuit capabilities in a real DoD distributed sensing scenario that are not certifiably attainable by approaches currently in use.

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	FY 2007	FY 2008	FY 2009
Training Superiority	8.718	9.791	13.071

(U) The Training Superiority program will change the paradigm for military training by creating new approaches to increase technical competence. Passive teaching approaches, including web-based training, will not succeed in instilling the skills and knowledge needed in the new land-battlefield, with higher demands on fewer soldiers, including the need to control and interact with highly technical unmanned systems. These new training approaches will include elements of human-tutor interactions and the emotional involvement of computer games coupled with the fidelity and feedback of Combat Training Center learning. In addition, this thrust will scale-up new digital tutor methodologies, deliver these to a large cohort of warfighters, and demonstrate a convincing benefit compared to standard training in an operational environment.

### (U) Program Plans:

FY 2007 Accomplishments:

- Transitioned user-authorable PC-based small unit training tool, *DARWARS Ambush!*, to the Army (>20,000 Soldiers, Marines and Airmen trained this year).
- Developed new scenarios to demonstrate the potential for training non-kinetic operations with user-authorable PC simulation.
- Completed transition of Tactical Language and Culture Training to Special Forces and the U.S. Marine Corps.
- Competed Tactical Pashto language and cultural trainer.
- Transitioned multi-user training architecture to the Joint Forces Command and the OSD's Advanced Distributed Learning Initiative program.
- Initiated Education Dominance program, in cooperation with the Navy, to develop digital tutors that teach better than the best classroom tutors.
- Delivered an A-10C part task trainer to the Air Force which is currently being used to train pilots at Nellis AFB.
- Delivered an Electronic Weapons Officer training capability to the Air Force which is currently being used to train students at Randolph AFB.

FY 2008 Plans:

- Create compelling, digital tutor training for Navy information technicians that trains as well as the best human tutors.
- Design experiment to demonstrate the effectiveness of those so trained in a fleet exercise: the Infantry Warrior Simulation Cup.

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FY 2009 Plans:

- Demonstrate 40-hour Digital Tutor, teaching one week of content, in a production software configuration.
- Port three weeks of content from a human-tutored course to the Digital Tutor and test in a laboratory setting.
- Conduct and evaluate the first Information Warfare Cup (IWARS Cup) using the human-tutored team.
- Enable the presentation of synthetic opposition forces (OPFOR) within the real world training environment.

	FY 2007	FY 2008	FY 2009
RealWorld*	0.000	9.200	12.125

\*Previously funded under Training Superiority.

(U) The RealWorld program exploits technical innovation and integration to provide any U.S. warfighter with the ability to open a laptop computer and rehearse a specific mission in the relevant geo-specific terrain, with realistic physics. Because the system will be scalable and distributed, warfighters can practice by themselves, in small groups, or with as many other warfighters as needed for the mission over a local or distributed network, and across all relevant platforms (dismounts, vehicles, helicopters, fast movers). Most important is the understanding that RealWorld is not a simulation; it is a simulation builder with applications across the spectrum of modern kinetic and non-kinetic warfare. The program is building tools that allow warfighters to rapidly and easily build their own missions though the introduction of new methodology for building simulation software. These methodologies and adherence to a highly modular approach will cause a fundamental paradigm shift in the acquisition, as well as the construction, of DoD modeling and simulation products.

(U) Program Plans:

FY 2008 Plans:

- Demonstrate automated geo-specific terrain from digital terrain elevation data.
- Demonstrate scalability to 250 live network participants running on a single server, thus surpassing current DoD multi-player capacity.
- Demonstrate integration of Newtonian physics.
- Apply RealWorld simulation builder to digital cockpit training.
- Transition RealWorld Air component to Air Force as the universal trainer for A-10C.

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- Apply RealWorld simulation builder to electronic warfare applications. Transition RealWorld Electronic Weapons Officer component to Air Force.
- Scale to 500 entities.
- Demonstrate 3-D positional audio, multi-channel audio and physical modeling of communications jamming effects including multispectrum and frequency jamming.
- Implement an artificial intelligence (AI) Abstraction layer allowing the future integration of disparate AI systems.
- Develop a rendering solution capable of supporting hardware that can render images ranging from 1080p high definition to PlayStation Portable quality.
- Ingest 1 sq. km. of government terrain data into a physics based 3-D real-time software environment in thirty minutes.
- Ingest 360 sq. km. of government terrain data into a physics based 3-D real-time software environment in four hours.
- Create up to 38,000 sq. km of terrain data for air specific missions, anywhere in the world, in one hour.
- Automatically generate the interior (including furniture and stairways) and exterior of a geo-typical building of any size or footprint in under 5 minutes that includes building material types by zip code.
- Initiate development of a universal medic simulation builder.
- Demonstrate utility as a trainer for at least one SOCOM application.

FY 2009 Plans:

- Scale to 1000 entities.
- Demonstrate dynamic path finding such that entities will be able to maneuver in a terrain deformed geo-specific area.
- Integrate meteorological capability so real-time weather can be imported into training and rehearsal scenarios.
- Demonstrate integration of data from Google Earth.
- Integrate a full Newtonian physics modeling engine in a real-time 3-D engine in both a hardware enhanced and software only modality.
- Transform pictures taken by a cell phone camera into a 3-D model capable of being ingested by a real-time 3-D engine with an accuracy of one or less.
- Transform a laser imaging detection and ranging (LIDAR) data collection set into a 3-D model (using topology graph analysis and parametric model fitting) capable of being utilized by a real-time 3-D engine.
- Ingest up to 1 sp. mile of LIDAR terrain data and render 3-D models in under one hour.
- Transition to military customers.

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	FY 2007	FY 2008	FY 2009
Air Laser	4.739	1.000	2.000

(U) The Air Laser program investigated the potential for a high energy laser concept based on direct diode pumping of liquid nitrogen. The Air Laser concept sought to combine the advantages of chemical and solid state lasers while minimizing the disadvantages. It used liquid nitrogen as the gain medium and as the diode array coolant, resulting in the reduction of a separate thermal control system. Use of efficient, high-power diode pump sources resulted in a compact device much smaller than either chemical or solid state lasers, and its pulse length was variable from continuous to sub-picosecond, allowing flexibility in weapons effects.

(U) Program Plans:

FY 2007 Accomplishments:

- Performed system/utility analyses.

- Conducted laboratory experiments to characterize high-power cryogenic pump lasers.

FY 2008 Plans:

- Develop and demonstrate a 1 kW output power laser design.

FY 2009 Plans:

– Develop a 100 kW laser design.

	FY 2007	FY 2008	FY 2009
Efficient Mid-Wave Infrared Lasers (EMIL)	5.394	8.700	7.900

(U) The Efficient Mid-Wave Infrared Lasers (EMIL) program will develop efficient solid-state coherent sources to cover the atmospheric transmission bands in the mid-wave infrared (MWIR;  $3-5 \mu m$ ). Infrared countermeasure (IRCM) systems in particular depend on intense sources at these bands. The current generation IRCM systems utilize diode-pumped Tm lasers used to pump optical parametric oscillators, most commonly based on zinc germanium phosphide.

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(U) The lasers developed in this program will operate across the three relevant bands within the MWIR at 10 W power with wall plug efficiencies of at least 10%. By virtue of the enormous volumetric reduction (100-1000x), power reduction (10x), and superior pulse format (cw-operation), such sources will enable new architectures and approaches permitting IRCM systems to be deployed on platforms (e.g., rotocraft) which are highly vulnerable to Man Portable Air Defense Systems and other threats but for which current IRCM systems are prohibitive or are inadequate (e.g., unable to defeat staring sensors). At least two diode-based laser approaches will be explored in this program, both involving antimonide-based compound semiconductor materials. These include intersubband-based quantum cascade lasers (QCLs) and type-II antimonide lasers, including so-called "W-configuration" approaches, the name taken from the shape of the conduction band profile.

### (U) Program Plans:

FY 2007 Accomplishments:

- Improved wall plug efficiency by 13%.
- Improved continuous wave output power by 22%.
- Observed a 40% reduction in waveguide loss.
- FY 2008 Plans:
- Demonstrate the projected efficiency, power and beam quality levels from single-mode Indium Phosphide (InP)-based QCL emitters.
- Demonstrate device mounting modeling and fabrication for reduced electrical and thermal resistance.
- Test final device integration.

FY 2009 Plans:

- Scale the power, in a parallel development, of the efficient individual QCL sources developed previously.
- Demonstrate epitaxial growth and preliminary characterization of final structures.

	FY 2007	FY 2008	FY 2009
Sonic Projector	2.500	5.437	1.000

(U) The goal of the Sonic Projector program is to provide the services with a method of surreptitious audio communication at distances over 1 km. Sonic Projector technology is based on the non-linear interaction of sound in air translating an ultrasonic signal into audible sound. The Sonic Projector will be designed to be a man-deployable system, using high-power acoustic transducer technology and signal processing

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algorithms which result in no, or unintelligible, sound everywhere but at the intended target. The Sonic Projector system could be used to conceal communications for special operations forces and hostage rescue missions, and to disrupt enemy activities.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed initial feasibility studies.

FY 2008 Plans:

- Conduct design analysis for high-power ultrasonic transducers, and precision beam control and focus for target tracking.

- Create concept of operations and conduct military utility analyses.

FY 2009 Plans:

- Initial lab demonstrations of long-range sonic projector system.

	FY 2007	FY 2008	FY 2009
Revolution in Fiber Lasers (RIFL)	0.000	1.500	2.000

(U) The goal of the Revolution in Fiber Lasers (RIFL) program is to develop multi-kilowatt, single-mode, narrow line-width fiber laser amplifiers using diffraction-limited diode pump arrays to achieve the requisite power and coherence for future multi-kilowatt directed energy architectures. The excellent beam quality of the diffraction-limited diodes allows for a tenfold reduction in cladding diameter. The faster, more efficient coupling from cladding to core will result in a 10x shortening of the required fiber length to avoid nonlinearities and create narrow line-width beams. Furthermore, the reduction in cladding diameter will provide a 70x increase in the heat removal rate from the core, increasing the thermal fiber laser power scaling limit to 10 kW. This program will construct stable 100 W, 10-emitter bars (10 W/emitter) and assemble a 15-bar fiber tree capable of producing 1.5 kW of diffraction-limited diode laser pump power per module. These modules will then be used to pump a multi-kilowatt fiber laser amplifier.

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### (U) Program Plans:

FY 2008 Plans:

- Demonstrate a 1 kW fiber amplifier with array output combining characteristics (i.e., spectral, polarization and spatial characteristics) that support the controlled combining of outputs from arrays of apertures.
- Demonstrate the process for combining the outputs 10 W fiber amplifiers.
- Demonstrate a 30% efficient diode based pump source that drives 2 kW on 400 Om fiber.
- Demonstrate a >2 kW output power F >15% efficient fiber amplifier with many output combining characteristics.
- Demonstrate controlled combining of 10 W fiber amplifiers.

FY 2009 Plans:

- Demonstrate a 40% efficient diode based pump source that drives 3 kW on 400 Om fiber.
- Demonstrate a >4 kW output power, 30% efficient fiber amplifier with array output combining characteristics.

	FY 2007	FY 2008	FY 2009
Coherently Combined High-Power Single-Mode Emitters (COCHISE)	0.000	6.200	6.017

(U) Building upon the preliminary success of the Super High Efficiency Diode Sources (SHEDS) program, the Coherently Combined High-Power Single-Mode Emitters (COCHISE) program will develop four new, breakthrough technologies that will result in improved diode bar lifetime and beam quality. Ultimately, these technologies will also lead to coherent combination of individual emitters in laser diode bars and arrays. Coherent combination of laser diode arrays would provide high power laser architectures that are up to 3x more efficient than existing diode-pumped solid-state laser technology, while improving beam quality and increasing far-field, on-axis intensity.

## (U) Program Plans:

FY 2008 Plans:

Demonstrate a diode bar pre-screening technology based on spectral measurements made on each emitter that can detect <1°C temperature changes among these emitters simultaneously and that can detect packaging defects and other manufacturing defects (High Energy Liquid Laser Area Defense System (HELLADS) diode bars).</li>

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- Correlate electrical fault mode detection based on voltage drops at the diode terminals with optical fault mode detection based on spectral splitting in diode or bar emission (>70% correlation).
- Demonstrate that fault mode frequency as detected electrically at the diode bar terminals correlates with diode bar lifetime use as an additional diode bar pre-screening technology.
- Demonstrate that SHEDS laser diode bar lifetime can be extended beyond 500 hrs. at full efficiency and power with fault mode protection.
- Demonstrate phase control of individual slab-coupled optical waveguide lasers (SCOWL) emitters to >0.1 waves with a compact diode driver containing integrated fault-mode, protection and the ability to cut current to the SCOWL diode in <2 µsec.</li>
- Use fault-mode protection to extend HELLADS diode bar lifetime to >500 hrs. at a cooling water temperature of  $55^{\circ}$ C.
- Extend HELLADS diode bar lifetime and efficiency fivefold at cooling water temperatures of 65°C and 75°C with fault mode protection.

FY 2009 Plans:

- Demonstrate a stable synthetic bar of 10 SCOWL diodes at 10 W with 1.4x diffraction limited beam quality.
- Demonstrate that a synthetic bar of 10 SCOWL diodes, each powered independently with an intelligent, fault-mode-protected, Complimentary Metal-Oxide Semiconductor-based driver, can be operated coherently in a Talbot cavity and/or other optical cavity geometries that promote self-assembly of a coherent cavity super-mode.

	FY 2007	FY 2008	FY 2009
Architecture for Diode High Energy Laser Systems (ADHELS)	7.000	4.918	0.000

(U) The Architecture for Diode High Energy Laser Systems (ADHELS) program will develop all-solid-state laser diode drivers with integrated fault mode protection that will decrease the size and weight of these laser systems by a factor of four (by allowing the laser diode array to operate at elevated temperatures), increase the diode array lifetime tenfold, and decrease lifecycle costs fivefold. These improvements will be attained for diode laser arrays operating in the infrared, visible and ultra-violet regions of the spectrum. By allowing operation at higher temperatures, these new drivers will allow broader tuning of the laser light which is crucial to the detection of both chemical and biological agents with high signal-to-noise and low probability-of-false-alarm. These new diode laser drivers will utilize feedback control systems which detect

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electrical and optical filamentation within the laser diode and laser diode bars, and then interrupt power to the laser diode system before thermal instabilities can lead to accelerated diode aging and premature diode failure.

### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated all electric coherent power combining of two lasers diodes.
- Demonstrated a surface-emitting distributed feedback (SE-DFB) laser diode operating at high power and high efficiency.

FY 2008 Plans:

- Demonstrate a kilowatt-class high-power laser with high-efficiency and good beam quality.
- Demonstrate a SE-DFB laser diode operating at high-power, high-efficiency and good beam quality.
- Demonstrate volume Bragg gratings suitable for high-power beam combining and good spectral efficiency.
- Demonstrate a kilowatt-class high-power laser with record-high efficiency and excellent beam quality.
- Demonstrate a SE-DFB laser diode operating at high-power, record-high efficiency and excellent beam quality.
- Demonstrate volume Bragg gratings suitable for high-power beam combining and high-spectral efficiency.

	FY 2007	FY 2008	FY 2009
Laser Star	4.000	0.000	0.000

(U) The Laser Star program investigated technologies and techniques for reducing the effect of atmospheric turbulence and other effects on the quality and clarity of images obtained by ground based telescopes. Current technology uses natural stars or an artificial star (called a "guide star") to provide a reference image from which the effects of the atmosphere can be computed and cancelled. Natural stars limit the pointing of the telescope. Artificial guide star technology currently makes use of either stratospheric Rayleigh backscatter or mesospheric sodium resonance scattering. These techniques have been utilized to successfully demonstrate strategies for wavefront compensation, but suffer from practical restrictions limiting operational utility. Rayleigh guide stars can be effectively generated to altitudes of 15 - 20 km, beyond which decreasing air densities reduce the backscatter to the point where unrealistic laser powers are required for useful return signal. The altitude is insufficient to provide full atmospheric sampling and suffers from sensor/target signal cancellation. Sodium resonance scattering is available to 90 km, which is an essentially complete atmosphere sample, but the return is monochromatic and cannot provide information about turbulence-induced absolute

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tilt. Laser Star explored approaches to overcome these shortfalls including advanced multi-conjugate adaptive optics as well as nonlinear techniques.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed concept design.
- Conducted experiment and analyzed results for integration with atmospheric compensation programs.

	FY 2007	FY 2008	FY 2009
GORGON - High Power Mid-IR Laser	0.000	0.000	3.000

(U) The GORGON – High Power Mid-IR Laser program will develop and integrate advanced laser and detector technologies to provide proactive IRCM capabilities for a variety of airborne platforms as required by the Multi-function Electro-optical Defense of U.S. Aircraft (MEDUSA) program.

### (U) Program Plans:

FY 2009 Plans:

- Perform search/interrogate function based on a vertical-external-cavity surface emitting laser (VECSEL) technology.
- Utilize the laser based on double-clad erbium (Er)-doped zirconium barium lanthanide sodium fluoride (ZBLAN) fiber pumped with  $\lambda$ =975 nm laser bars to carry out the search/interrogate function.

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	FY 2007	FY 2008	FY 2009
Coherent Communications, Imaging and Targeting (CCIT)	3.000	0.000	0.000

(U) The Coherent Communications, Imaging and Targeting (CCIT) program pursued new capabilities for secure communication up-links, and aberration free 3-dimensional imaging and targeting at very long ranges. Innovative design concepts for MEMs based Spatial Light Modulators, and system integration of photonics and high-speed electronics were also explored.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed 64 x 64 device with individually "wired" test pixels.

	FY 2007	FY 2008	FY 2009
Rapid Checkpoint Screening	3.740	0.000	0.000

(U) The Rapid Checkpoint Screening program developed and demonstrated techniques and sensors to detect life-threatening deceptions in military controlled portals such as military checkpoints that are compatible with existing portal screen approaches.

### (U) Program Plans:

FY 2007 Accomplishments:

- Completed transition of the research programs and findings to the Department of Homeland Security.

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	FY 2007	FY 2008	FY 2009
High Power Fiber Lasers	4.700	0.000	0.000

(U) The High Power Fiber Lasers program developed and demonstrated single mode, single polarization fiber lasers with output powers greater than one kilowatt from a single aperture. High power fiber lasers have the potential to provide a quantum leap in defense capabilities by simplifying the logistic train and providing a deep magazine, limited only by electric power, in a compact footprint. For theater/area defense and self-protection of combat platforms, they will provide speed of light engagement and flexible response against cruise missiles, reconnaissance unmanned air vehicles, and rockets.

### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated greater than 100 watt single mode polarized output power from a single large mode-field area fiber.
- Demonstrated greater than 1 kilowatt output power from a single large mode-field area fiber.

### (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY 2008	3 FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Aeronautics Technology TT-07	58.505	505 52.756 58.158 64.856 67.486 68.105				70.086	

### (U) <u>Mission Description:</u>

(U) Aeronautics Technology efforts will address high payoff opportunities that dramatically reduce costs associated with advanced aeronautical systems and/or provide revolutionary new system capabilities for satisfying current and projected military mission requirements. This includes advanced technology studies of revolutionary propulsion and vehicle concepts, sophisticated fabrication methods, and examination of novel materials for aeronautic system applications.

#### (U) <u>Program Accomplishments/Planned Programs:</u>

	FY 2007	FY 2008	FY 2009
Helicopter Quieting	8.872	9.900	7.000

(U) Studies and analysis of military helicopter operations have shown that the survivability and lethality of U.S. helicopters can be increased by reducing their acoustic signature, which will make them more difficult to detect, track, and engage. The goal of the Helicopter Quieting Program (HQP) is to identify, develop and demonstrate advanced rotor technologies that can dramatically improve the survivability of military rotor systems, with minimal negative impact on performance, affordability, availability and suitability. A critical element toward this goal is to create and demonstrate a physics-based design toolset that enables analytical design of novel rotor systems and rotorcraft for reduced acoustic susceptibility (detection and recognition) by the human threat.

(U) Current rotor development is very costly, involving a time-consuming iterative, trial and error cycle of analysis and model wind tunnel tests, or occasionally, a faster but much riskier analysis path directly to full-scale wind tunnel/flight test. Additionally, the primary limitation of existing computational models is their inability to accurately predict the pressure distribution on a rotor blade and in the flowfield away from the blade. Novel and creative concepts and ideas are being employed in this program for accurate aerodynamic analysis of helicopter rotor airloading, flowfield, and wakes using high-end computational fluid dynamics techniques. The program will investigate multiple advanced, low-noise rotor

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concepts for application to fielded military rotorcraft for a significant reduction in low-frequency in-plane signatures. The most promising concepts will be taken to test, culminating in full scale flight experiment of advanced rotors to confirm acoustic signature reduction and evaluate survivability improvement in an operational environment.

(U) This program will also undertake the development of propagation and perception modeling for rotorcraft acoustic signatures within stateof-the-art visualization architectures. Multiple advanced human perception and cueing models will be developed as a part of the integrated acoustic design and analysis environment. The ability of the toolset to accurately characterize the differences in these factors will support design decisions for advanced, low noise rotors and rotorcraft.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed high-fidelity, physics-based rotor acoustic predictive tools, and demonstrated correlation for conventional rotors.

FY 2008 Plans:

- Validate high-fidelity, physics-based rotor acoustic predictive tools for rotors that exhibit complex aerodynamic phenomena atypical of conventional, fielded rotorcraft.
- Identify acoustic design criteria for new rotor system designs based on operational scenarios.

FY 2009 Plans:

- Develop and demonstrate advanced rotor system designs that incorporate reductions in low-frequency, in-plane signatures for increased survivability without significant impact to flight performance.

	FY 2007	FY 2008	FY 2009
Nano-Flapping Air Vehicles	6.956	9.726	8.000

(U) The goal of this program is to develop flapping and rotary air vehicle technology that results in a bio-inspired flapping and rotary air vehicle with less than two inch wingspan and gross take-off weight of approximately ten grams or less. Operations in the urban terrain require sensors that can navigate in difficult terrain and be inserted without being detected. Small air vehicles capable of navigating interior domains without GPS would enable autonomous prosecution of a number of high risk missions that are currently performed by warfighters. Key enabling technologies include, flapping and rotary wing aerodynamics, kinematics and flight dynamics, lightweight aeroelastically tailored wing structures,

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miniature navigation systems, micro-propulsion systems, small payloads, and the ability to perch like a bird. This effort will also examine novel materials that can be used to develop integrated wing structures, which change composition to achieve multiple expressions. The program would result in the use of vehicles, which could be camouflaged, or blend into the surrounding landscape, enabling in-theater disposal and prevention of mission detection/compromise.

(U) Program Plans:

FY 2007 Accomplishments:

- Designed and tested first phase flapping and rotary wing geometry and mechanism.
- Investigated and proved feasibility of a high performance airfoil at low Reynolds number.

FY 2008 Plans:

- Demonstrate robust flapping and rotary mechanisms that produce 10 grams of lift, integrate wing design with air vehicle, and reliable multifunctional wing manufacturing principles.
- Develop novel communication and navigation schemes that allow vehicle control both outdoors and indoors.
- FY 2009 Plans:
- Fabricate and assemble flight demonstration vehicles and perform flight tests to evaluate flight performance, navigation capability, and system ability to carry out mission.

	FY 2007	FY 2008	FY 2009
Battlefield Helicopter Emulator (BHE)	6.469	8.750	9.321

(U) The goal of the Battlefield Helicopter Emulator (BHE) is to develop a system capable of emulating rotorcraft signatures, compatible with installation as a payload on a small UAV. The system will provide helicopter signature emulation of a variety of battlefield helicopters. BHE could be used for mine clearing/route determination as well as escort missions. An operational system could draw fire from ground based adversaries, and relay the information back to the operator for off-board location and prosecution. The system's capability to defeat threats with an off-board system offers the opportunity to protect a large number of military aircraft assets and crews over long periods without aircraft performance impact. The reduced acoustic perception distance enabled by the BHE system can reduce the risk to Army and SOCOM helicopters

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from ground fire, small arms, rocket-propelled grenades (RPGs), man-portable air defense systems (MANPADS), and anti-helicopter mines (AHMs).

(U) Program Plans:

FY 2007 Accomplishments:

- Developed and tested techniques to demonstrate technological feasibility.
- Developed initial concept of operations.

FY 2008 Plans:

- Identify technical approaches for adequately emulating critical signatures.
- Characterize signatures of battlefield helicopters.
- Develop concepts to emulate battlefield helicopter signatures.
- Develop and test emulator system to demonstrate technological feasibility in a laboratory environment.
- Development of analytical constructive simulation capability to assess performance of proposed technologies and mature key system performance criteria.

FY 2009 Plans:

- Select and integrate emulator systems with UAV platform.
- Conduct field tests to determine system capability and effectiveness against potential threats.

	FY 2007	FY 2008	FY 2009
Distributed Embedded Propulsion	0.000	4.200	5.000

(U) The Distributed Embedded Propulsion program will explore fully integrated engine/wing designs to take maximum advantage of a fully coupled engine/wing system. This concept will utilize multiple small engines to provide the thrust for the aircraft, and to allow the engines to be more readily integrated with the aircraft structure and the aerodynamics of the wing. It is expected that distribution of propulsive flow over the wing surface will allow circulation control on the wing through both suction and tangential blowing. Circulation control on the wing provide uprecedented maximum lift coefficients, with associated reduction in take-off and landing distance. Military transition targets would be short take-off and landing airlift and transport vehicles, benefiting from improvements

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possible in take-off and landing distance. The program will conduct a series of design, sizing and demonstration efforts, culminating in either a wind tunnel or flight test of a circulation control wing using distributed propulsion.

(U) Program Plans:

FY 2008 Plans:

- Conduct trade studies on aircraft sizing for short field take-off and landing.
- Evaluate conceptual designs of distributed embedded propulsion concepts and assess aerodynamic performance.

FY 2009 Plans:

- Determine engine requirements for distributed propulsion system.
- Initiate design of distributed embedded propulsion experiments.

	FY 2007	FY 2008	FY 2009
Laminar Flow Flight Demonstration	0.200	3.800	4.800

(U) The Laminar Flow Flight Demonstration effort will explore the development of an extended laminar flow wing, with the potential for a drag reduction of up to 25% compared to a typical fully turbulent wing. Crossflow instabilities dominate the transition process for swept wings. Recent advances in theoretical understanding of the crossflow receptivity and transition process have led to innovative, passive control concepts for the crossflow transition process. Test facilities are not available to demonstrate this flight concept in a quiet flow environment at flight-representative Reynolds numbers and Mach numbers. Flight testing a swept wing laminar flow control concept appears to be the most direct route to validation of this technology, enabling future aircraft designs to adopt passive crossflow control devices as a proven technology.

### (U) Program Plans:

FY 2007 Accomplishments:

- Conducted initial assessment of range of applicability of crossflow control approaches and candidate platforms.

FY 2008 Plans:

- Conduct trade study of impact and design constraints for laminar flow wings.

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FY 2009 Plans:

- Conduct feasibility study of high Reynolds number flight test.
- Initiate design of flight test experiment.
- Initiate design of laminar flow wing for demonstration.

	FY 2007	FY 2008	FY 2009
Unmanned Persistent Parafoil System (UPPS)*	1.000	3.250	1.000

\*Formerly Long Endurance Autonomous Powered Powerfoil (LEAPP).

(U) The goal of the Unmanned Persistent Parafoil System (UPPS) program is to develop and integrate the enabling technologies and system capabilities required to demonstrate a vehicle with large payload and long endurance characteristics capable of taking off and landing on the back of a small ship. The enabling technologies are precision guidance, autonomous operations, parafoil aerodynamic performance, and parafoil integration with sensors/antennas. The UPPS will provide 48-hours of continuous organic air-support to small ground units or small marine vessels with a 200lb surveillance and communication package. In addition, the UPPS will have flexibility to be deployed rapidly and will be affordable based on modular system design and construction.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed initial prototype and demonstrated feasibility flight performance.

FY 2008 Plans:

- Conduct system level tests for specific missions and concept of operations.

FY 2009 Plans:

- Initiate final program demonstration and prepare for transition.

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	FY	2007	FY 2008	FY 2009	

0.000

3.000

3.200

(U) The goal of the Disc-Rotor Compound Helicopter program is to design and demonstrate the enabling technologies required to develop a new type of compound helicopter capable of high-efficiency hover, high-speed flight, and seamless transition between these flight states. The aircraft will be equipped with a rotating circular wing having blades that can be extended from the disc edge, enabling the aircraft to take-off and land like a helicopter. Transition from helicopter flight to airplane flight would be achieved by gradually retracting and stowing the blades as the circular wing assumes the task of lifting. An aircraft capable of long range high speed (300-400 kts) and Vertical Take-off and Landing (VTOL)/hover will provide mobility and responsiveness for troop and cargo insertion, satisfy an ongoing military interest for higher speed VTOL and hover capable vehicles, be survivable and bridge the gap in helicopter escort and insertion missions. The enabling technologies are disc-rotor configuration, circulation control, seamless reversible transition between hover and wing borne flight, and loading/center-of-pressure control. Specific objectives of the Disc-Rotor Compound Helicopter program include: characterization of the flowfield environment created by a disc-rotor, demonstration of disc-rotor configuration, and design and demonstration of prototype vehicle transition dynamics and operational utility.

### (U) Program Plans:

FY 2008 Plans:

**Disc-Rotor Compound Helicopter** 

- Develop a conceptual design and technical approach.
- Identify, develop, and demonstrate the critical enabling technologies required to meet the performance goals.
- FY 2009 Plans:
- Design an integrated scaled concept demonstrator vehicle that proves the viability of the disc-rotor concept.

	FY 2007	FY 2008	FY 2009
Integrated Compact Engine Flow Path	0.000	3.500	4.600

(U) The goal of the Integrated Compact Engine Flow Path program is to develop a structurally integrated, load bearing, composite, thrust vectoring nozzle. Integration of compact inlets and nozzles that are lightweight and survivable continue to be a challenge in military aircraft

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design. Existing metal nozzles are cantilevered off the engine face and the airframe, with an overlap region to allow for thermal growth. This approach to nozzle integration results in heavy, high maintenance nozzles and is structurally inefficient. It also poses a significant engine integration challenge and can drive vehicle sizing. A fully integrated nozzle, designed to take airframe loads through the nozzle, and built of a high temperature ceramic, would address the weight and structural integration problems directly. This approach would also be compatible with fluidic thrust vectoring and would result in a more compact, lighter, and more durable nozzle. Indications are that installed weight reductions of over 50% compared to existing state of the art thrust vectoring nozzles are feasible. This program will design, develop, and demonstrate a full scale, fluidic thrust vectoring nozzle in a direct connect engine test.

#### (U) Program Plans:

FY 2008 Plans:

- Perform design trade studies to develop a preferred nozzle design as well as a development and demonstration plan.
- Perform materials and small-component testing on a structural element in combined thermal/pressure environments representative of nozzle operating conditions.

FY 2009 Plans:

- Perform design studies for a dynamic loads test nozzle.
- Perform detailed design of a ceramic matrix composite nozzle to be built of high temperature ceramics.

	FY 2007	FY 2008	FY 2009
Active Rotor	0.000	3.380	5.237

(U) The goal of the Active Rotor program is to develop and demonstrate enabling technologies that greatly enhance rotor control and performance, availability, sustainability, and affordability. Performance enhancement objectives are 25-50% improvement in endurance, range, and payload of existing helicopters. Enabling technologies include a dynamically controlled rotor, light-weight high-bandwidth on-blade actuators, and integrated vehicle flight control technologies. Over the past several decades, improvements in helicopter rotor performance have not kept pace with the increasing demands of the warfighter. This is apparent today in the high altitude environment of Afghanistan, where troop and materiel transport missions that are normally performed by the UH-60 Black Hawk are being performed by the much larger CH-47 Chinook due to the loss of performance in high/hot conditions. The Active Rotor program will mature the technologies to enable military aircraft such as

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the Black Hawk to operate effectively in this environment. The Active Rotor program will focus on development and demonstration of advanced technologies for application to future platforms, with demonstration on a fielded system to facilitate upgrade of current multi-service rotorcraft rotor systems and will demonstrate technologies with broad applicability to military and commercial helicopters.

#### (U) Program Plans:

FY 2008 Plans:

Identify and develop advanced lightweight high-bandwidth on-blade actuators, and assess dynamically controlled rotor performance.
 FY 2009 Plans:

- Conduct component technology demonstrations and initiate preliminary design of the Active Rotor System.
- Perform sub-scale wind tunnel test of the Active Rotor System.

	FY 2007	FY 2008	FY 2009
Lightweight High Efficiency Aircraft Power Generation	0.000	3.250	5.000

(U) The goal of the Lightweight High Efficiency Aircraft Power Generation program is to develop a lightweight, fuel-efficient system to deliver up to 2 megawatts (MWs) of electrical power to support the integration of high energy laser weapons on airborne platforms. Conventional power generating systems of this scale are large and heavy, respond too slowly to power demands from the laser system, are not fuel efficient, and impose a significant performance penalty on the host aircraft. The program will develop and demonstrate a novel power generation approach that is capable of providing full power (1-2 MW at 25,000 ft/0.8 Mach) within 0.1-2.0 seconds and that can operate in a fuel-efficient standby mode. The power generation system will be tailored for potential integration on existing bomber and transport aircraft with minimal integration penalties and will support both high energy laser and high power microwave weapons.

(U) Program Plans:

FY 2008 Plans:

- Conduct system trade studies and preliminary design.

FY 2009 Plans:

- Demonstrate power generation components to evaluate output range, responsiveness, and efficiency.

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- Initiate fabrication of demonstrator.

	FY 2007	FY 2008	FY 2009
Nightingale	0.000	0.000	2.000

(U) The Nightingale program will design, develop, integrate and demonstrate the enabling technologies and system capabilities required to perform fully autonomous, just-in-time medical response and evacuation using an autonomous, airborne, man-rated platform. The Nightingale system integrates advanced life support capabilities into a small unmanned (or optionally piloted) air vehicle that can serve as a low cost, high availability air ambulance deployed forward alongside troops in contact. Such a capability offers the opportunity to revolutionize combat casualty care provided by embedded medics and medical teams under adverse and hostile conditions. Nightingale will be capable of unmanned high speed evacuation of casualties to higher echelon, secondary care facilities and may be prepositioned close to combat areas to minimize evacuation timelines. The man-rated Nightingale system will also be capable of autonomous combat search and rescue (CSAR) to eliminate the threat to CSAR crews.

(U) Technical challenges include intelligent, autonomous flight behavior, sensor integrated guidance and control to enable flight in complex terrain, fully autonomous selection and use of suitable landing locations, dual mode (ground and flight) propulsion, collaboration/coordination with human combat medics, and safe and rapid autonomous launch and return to advanced field medical facilities.

(U) Program Plans:

FY 2009 Plans:

- Conduct system trades, effectiveness, and affordability through modeling and simulation.
- Develop sufficient system concept fidelity to validate program goals and objectives.
- Develop Nightingale preliminary design, risk management plan, and technology and system maturation plan.

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	F	¥ 2007	FY 2008	FY 2009
Adaptive Morphing Super-Maneuver Aircraft (AMSMA)		0.000	0.000	3.000

(U) The goal of the Adaptive Morphing Super-Maneuver Aircraft (AMSMA) program, a maturation of the Morphing Aircraft Structure (MAS) program previously funded in PE 0602715E, Project MBT-01, is to demonstrate a technology leap forward to a generation after next aircraft vehicle concept that can provide revolutionary military utility in a number of air vehicle applications and missions. It will build on the demonstrations of the MAS program which established that air vehicles able to seamlessly change configuration in flight are capable of achieving near optimum performance across a range of contradictory missions that would not otherwise be possible with conventional designs. This program will demonstrate an advanced morphing, highly maneuverable air vehicle. Employing a combination of enabling technologies, including asymmetric wing sweep, fore and aft wing translation, and aero-elastic wings with adaptive hinge-less control actuation, AMSMA aims to dispense with traditional flying controls and seeks to achieve efficient aerodynamic and maneuver performance over a wide range of speeds and altitudes. The ability to super-maneuver, employing bird-like flight excursions, offers the warfighter new combat approaches to target prosecution. The concept will introduce a capability whereby one aircraft with the ability to effect multiple radical configuration changes is enabled to conduct a range of missions optimally; this provides the prospect of significant affordability gains through reducing the number of different aircraft types in existing military fleets. The AMSMA program will develop a morphing demonstrator vehicle to expand the flight envelope and to demonstrate revolutionary control and a super-maneuver capability through a series of measurable flight experiments. The anticipated transition partner is the Air Force.

(U) Program Plans:

FY 2009 Plans:

- Identify, develop and demonstrate the critical enabling technologies required to meet the performance goals.
- Design an integrated morphing concept demonstrator vehicle that changes configuration to achieve optimized mission segment performance (e.g. high-speed dash), to achieve maneuver capability including extreme new maneuvers and to optimize tailored survivability.

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	FY	2007	FY 2008	FY 2009

4.419

0.000

0.000

(U) Micro Adaptive Flow Control (MAFC) technologies have enabled control of large-scale aerodynamic flows using small-scale actuators. MAFC technologies combined adaptive control strategies with advanced actuator concepts like micro-scale synthetic jets, microelectromechanical systems (MEMS)-based microactuators, pulsed-blowing, combustion actuators and smart structures to cause the delay, or prevention of fluid flow separation. MAFC technologies were explored for applications such as download and drag reduction for air vehicles, facilitation of long-range flight with reduced fuel consumption and logistical implications using vortex mitigation, adaptive lift-on-demand for agile missiles and uninhabited tactical aircraft, supersonic boundary layer control, lightweight gas turbine engines, and low-drag, non-intrusive methods to aerodynamically steer projectiles for extended range and precision.

(U) Program Plans:

FY 2007 Accomplishments:

Micro Adaptive Flow Control (MAFC)

- Completed sled design and fabrication for High Frequency Excitation for Supersonic Weapons Release (HIFEX) test.
- Completed Mach 2.0 HIFEX system sled test.
- Completed HIFEX system design and fabrication and executed full-scale technology demonstrations.

	FY 2007	FY 2008	FY 2009
Miniature Propulsion Concepts	5.334	0.000	0.000

(U) This program developed concepts for small scale class propulsion systems suitable for Small Unmanned Air Vehicles (UAVs). Small gas turbine engines are typically very inefficient, below 7%, for engines below 10 horsepower. This program developed gas turbine engines under 10 horsepower with a power density greater than 2HP/pound and a thermal efficiency greater than 25%. In addition, novel concepts for developing micro UAV's that emulate and/or borrow propulsion approaches from birds were developed. These provided a unique Intelligence, Surveillance, and Reconnaissance (ISR) capability for the dismounted soldier.

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(U) Program Plans:

FY 2007 Accomplishments:

– Demonstrated multiple payloads.

- Deployed approximately 100 vehicles with the USMC for in theater testing; logged over 1,600 missions and 1,000 flight hours.

- Transitioned WASP Micro UAV Block III variant to Air Force; it is now a program of record.
- Completed design of subsystems including compliant-foil bearings, alternator and recouperator.

	FY 2007	FY 2008	FY 2009
Peregrine Counter UAV	3.924	0.000	0.000

(U) The Peregrine Counter Unmanned Air Vehicle (UAV) program evaluated low-cost concepts to counter small UAV threats. Peregrine investigated development of a UAV interceptor system capable of providing point cued area defense against small UAV threats using a range of technologies to identify, track, and destroy or otherwise counter multiple threats. Candidate sensor and weapon technologies included acoustic, optical, radio frequency, kinetic, directed energy, and physical envelopment. System technologies included high-assurance integrated command, low-cost persistent unmanned operations, and precise air trajectory control.

(U) Program Plans:

FY 2007 Accomplishments:

- Examined candidate technologies.
- Developed concept design.

	FY 2007	FY 2008	FY 2009
High Speed / Hypersonic Reusable Demonstration	20.700	0.000	0.000

(U) This program was a joint DARPA/Air Force initiative to design, develop, and demonstrate combined cycle engine components for a reusable hypersonic cruiser in conjunction with the Falcon program (PE 0603287E, Project SPC-01). Ultimately, the studies and developments

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under this program may result in the first controllable, recoverable, and reusable hypersonic system demonstration. Initial designs allowed for either a manned or unmanned version, and provided viable options for long-range strike and affordable access to space. The program was divided into two efforts—the High Speed Turbine Engine Demonstration (HiSTED) and the Scramjet Engine Demonstration (SED).

- The HiSTED objectives were to design, fabricate, and ground test a high Mach expendable turbine engine capable of Mach 3-4+ operation. The objective of the ground demonstration was to verify, via simulated altitude testing, that engine performance and operability characteristics at key transonic and maximum Mach/altitude cruise flight conditions meet anticipated system application needs.
- The SED effort sought to design, fabricate, and fly a hypersonic vehicle powered by the HyTech scramjet engine over a broad range of Mach numbers. The SED flight vehicle was boosted to Mach 4.5 using a modified ATACMS booster motor. Following separation from the booster, the air vehicle, now designated X-51, accelerated under scramjet propulsion to Mach 6.
- (U) Program Plans:
  - High Speed Turbine Engine Demonstration (HiSTED) FY 2007 Accomplishments:
    - -- Conducted Critical Design Reviews of two engine concepts.
    - -- Completed high temperature turbine components design and fabrication of one engine concept.
    - -- Assessed supercritical fuels.
    - -- Assessed high temperature lubrications and bearings.
    - -- Performed component integration for one engine concept.
  - Scramjet Engine Demonstration (SED)
    - FY 2007 Accomplishments:
    - -- Conducted a critical design review for the air vehicle.
    - -- Conducted freejet testing of the X-1 fuel-cooled scramjet engine.
    - -- Initiated fabrication of the air vehicles to be used in flight testing.

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	FY	2007	FY 2008	FY 2009

	FY 2007	FY 2008	FY 2009
Flare Aero Structures	0.631	0.000	0.000

(U) The Flare Aero Structures program explored and developed a new concept for the take-off and landing of a fixed wing aircraft. The landing field requirement for a fixed wing aircraft limits use in both confined (e.g. urban) and remote unprepared areas. This program sought to explore unsteady aerodynamics during rapid pitch up or flare landing maneuvers. It is known that very high lift coefficients can be obtained for a short period of time during such a maneuver. The technical challenge was to develop the aero structures, control effectors and control logic that would allow for a practical application of this phenomenon to a fixed wing aircraft to enable landing in a very short distance.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed aerodynamic models for dynamic lift increments.

#### (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Network Centric Enabling Technology TT-13	52.920	72.699	77.588	83.002	75.045	76.531	76.530

#### (U) <u>Mission Description:</u>

(U) This project provides technology to build mission applications explicitly tailored to exploit the promise of network-centric system architectures. Mission applications include signal processing, detection, tracking, identification, situation understanding, planning, and control functions. These applications will integrate: (1) external sensors and processors that provide data on targets and mission contexts; (2) external platforms, both air and surface, that deliver sensors and munitions to designated areas; (3) intelligence processing systems at all levels of command; and (4) external communications networks that provide connectivity between computing nodes located on the platforms, at field command centers, and headquarters. The mission applications share data to form consistent battlespace understanding tailored to the needs of commanders at each node. The types of tailoring include common operational pictures, timelines, and resource usage descriptions. The mission applications based on mission needs presented at each node. To maintain focus on operationally relevant problems, the project's technical goals are posed and evaluated in the context of mixed manned/unmanned forces.

(U) Technologies developed in this project enable localized and distributed collaborative processing. This allows networks of sensors to rapidly adapt to changing force mixes, communications connectivity, and mission objectives. The technology developed permits the distributed command and intelligence systems to effectively collaborate in a dynamic environment. Technologies are demonstrated and evaluated in the laboratory and in hardware-in-the-loop demonstrations. Demonstrations employ both stationary and autonomous mobile platforms. Operational benefits are: (1) smaller forward deployment of image and signal analysts in complex operating conditions including urban battlefields; (2) deeper understanding of the evolving stability and support operational environment; (3) consistent integration of target and environment information; and (4) flexible operational tactics and procedures to find evasive targets in difficult environments.

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#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Networked Embedded Systems Technology (NEST)	4.000	4.000	3.000

(U) The Networked Embedded Systems Technology (NEST) program provides robust coordination and synthesis services for sensor network systems. NEST is the key software building block needed to enable ad-hoc or structured wireless sensor networks to function together. Applications of these systems include: localization of snipers by collaborative sensor fusion in real time (i.e., within two seconds), sensor network-based tripwires and chokepoints for detection and discrimination of personnel and vehicles, and wide-area, 24/7 surveillance of long linear structures, (i.e., pipelines and borders). These applications require from tens to tens of thousands of nodes. NEST produces reusable software libraries and design tools that simplify the development of wireless sensor network applications.

(U) In particular, this technology is being combined with an active exciter to develop a radar-like sensor system to measure human activity inside buildings. The approach exploits existing wiring networks (power) to provide persistent surveillance of buildings and below grade areas. The concept is to insert radar pulses into a building's main power feed and read pulse returns from a wireless network of sensors placed around the building. The building's own wiring network serves as a transmission line to conduct these pulses throughout a structure, and every outlet or switch serves as an antenna to couple these radar waves to and from free-space.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed tools for the automatic composition and verification of application-specific coordination service packages; demonstrated the utility of these tools in a fully integrated system consisting of a large network of heterogeneous sensors.
- Developed tools for remotely reprogramming large scale sensor networks and services for authentication and data encryption in those networks.
- Developed and populated a repository of customizable/adaptable services for real-time coordination and synthesis that support military applications.

FY 2008 Plans:

- Develop prototype pulsing and sensing system to measure phenomenology, insertion losses, and radiation efficiency.

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FY 2009 Plans:

- Demonstrate, in non-real time experiments, target localization and tracking in a realistic multi-story urban structure.
- Conduct final field experiments and Military Utility Assessments.

	FY 2007	FY 2008	FY 2009
Combat Zones That See (CZTS)	5.000	7.000	6.000

(U) The Combat Zones That See (CZTS) program improves the situational awareness, effectiveness, and safety of U.S. military forces in foreign urban environments (e.g., Mozul). CZTS provides close-in sensing and extended reconnaissance capabilities using a network of video sensors. The system tracks vehicles over urban areas using sparse arrays of video cameras, automatically detecting vehicles that may be involved in hostile activities based on the observed tracks. This network produces an extreme amount of raw data, precluding human analysis, so advanced video understanding algorithms embedded in commercial-off-the-shelf hardware systems monitor the video feeds automatically. As processing requirements become well understood, novel image-processing chips will be integrated and interleaved with focal plane arrays within a conventional camera architecture, and a fully-compatible communications link developed to support a video-based system for perimeter defense. CZTS will enable vehicle identification with a 10,000-fold reduction in the bandwidth required to transmit key data across the camera network and will provide the capability to track vehicles non-continuously across extended distances. The CZTS goal is to demonstrate technology packaged into a flexible ground-deployed system.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed, installed overseas, and evaluated a force protection prototype that employs approximately thirty cameras.
- Demonstrated sustained tracking of individual vehicles using sensors whose fields-of-view do not overlap.

- Used vehicle track data to calibrate cameras, learn patterns of activity, and retrieve similar or related events from a track database. FY 2008 Plans:

- Employ motion-pattern analysis to assist in finding common elements among collected tracks.
- Develop methodologies for the efficient and timely management of the video network.

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- Simulate the processing of pixel information in the image plane of video camera, to distinguish fundamental features of humans/animals/machines, such as the cooperative movement of aggregate pixel features.

FY 2009 Plans:

- Demonstrate semiconductor circuitry for integration within the image plane of the camera, to process pixel information in an energy-efficient way for identification for perimeter intrusion.
- Demonstrate the completed video sensor system, for actual determination of human/animal/machine penetration of a perimeter defensive system.
- Develop, install, and evaluate a rapid deployment prototype using approximately 100 rapidly deployed cameras.

	FY 2007	FY 2008	FY 2009
Automated Battle Management	13.400	20.418	20.328

(U) The pace of battle will continue to increase as more-capable platforms and higher-bandwidth communication networks become operational. While experienced commanders are required to formulate strategy and select tactics, the increased operational tempo will demand more automation of low-level decision processes, such as route-finding, weapon/target pairing, and sensor scheduling. Some elements of these processes, such as collision avoidance and navigation, will be embedded in each platform. However, groups of platforms will be able to execute cooperative tactics to achieve coordinated effects. This cross-platform coordination and synchronization requires new technologies that can carry out aggregate maneuvers and tasks, while leveraging the functions embedded in each platform. This program is developing novel technologies for multi-platform, automated battle management at the tactical level, in the air, on the ground, and within mobile sensor networks.

(U) The Collaborative Networked Autonomous Vehicles (CNAV) program will be the primary demonstration of Automated Battle Management Techniques. It will develop autonomous control methods to cause a distributed set of unmanned undersea vehicles to self-organize and distribute tasks through judicious transactions conveyed over a shared communications network. CNAV will utilize these capabilities to provide submerged target detection, localization, and tracking in restrictive littoral waters. CNAV provides this capability by creating a field of dozens or hundreds of vehicles, networked through acoustic wireless communications. The vehicles work collaboratively and autonomously to detect, classify, localize and track target submarines transiting the field. The field self-organizes to adapt to changes in target locations, environmental conditions, and operational factors. A reach-back capability allows reporting of field health and enables high-level orders and

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control functions to be provided to the field. CNAV will also result in a significant reduction in the cost per square mile for submerged target detection in littoral waters.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed secure, robust underwater wireless communications and networking.
- Conducted live demonstration with thirty-eight underwater vehicles collaborating.

FY 2008 Plans:

- Perform intelligent routing of threat characteristic and track data through the field to alert CNAV nodes down stream to position or reposition for target pursuit and intercept.
- Demonstrate fully autonomous and collaborative CNAV field deployment, autonomous field set-up and self-localization, distributed common tactical operational picture, self-healing and reconfiguration, and threat pursuit and interception.

FY 2009 Plans:

- Demonstrate collaborative automated target detection, classification, localization and tracking.

	FY 2007	FY 2008	FY 2009
Home Field	12.369	15.000	13.000

(U) The Home Field program develops networked video and Laser Detection and Ranging (LADAR) processing technology to rapidly and reliably update a 3-Dimensional (3-D) model of an urban area. It provides 3-D situational awareness with sufficient detail and accuracy to remove the "home field advantage" enjoyed by opponents. Detailed mobility maps to support ground vehicle routing will be inferred and generated, and detailed visibility data to support sensor positioning will then be derived to maximize coverage and minimize detectability. High fidelity baselines will be created to support change detection to cue searches for targets and anticipate changes due to current or impending meteorological events. The program will supply real-time context information to sensor managers, maneuver controllers, weapons operators, and commanders. Furthermore, the program will filter natural change from artificial change indicative of human (threat) activity and permit operation of military forces in hostile terrain normally deemed favorable to opponents because of their historical familiarity with hide points, sight lines, and mobility characteristics.

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(U) Drawing upon technologies developed in the Home Field program, the Urban Photonic Sandtable Display (UPSD) program develops revolutionary interactive holographic displays for complex volumetric 3-D data to replace current 3-D visualization technologies that are either static or have limited effective field-of-view. Current technologies include traditional holography, computer graphics on 2-Dimensional (2-D) screens, slice stacking, parallax autostero, and goggles/glasses. These techniques not only give a poor image quality and poor movement, they also are not created quickly and do not allow for collaborative viewer interaction. The desire to improve these components has launched the development of the UPSD. A monochrome active hogel-based proof-of-concept display and further developed module have been validated by transforming computer data to optical data, making sophisticated integration possible to optimize image quality. The UPSD program will develop an affordable 3-D display that operates at full video rate, displays RGB color, increases viewing angle, and increases display size. The result will be the world's first full-motion, full aspect 3-D imaging technology system.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated a 3-D model method that used distributed video and LADAR cameras in a mixed urban environment.
- Conducted a validation demonstration on a 1-foot by 1-foot active hogel design for the UPSD.
- Validated a monochrome active hogel-based proof-of-concept display by transforming computer data into optical data, making sophisticated integration possible to optimize image quality.
- Fully developed an active hogel module to provide necessary optical and electrical performance.

FY 2008 Plans:

- Demonstrate the ability to extract architectural features, such as windows and doors, from close-in imagery.
- Build and customize the active hogel modules into tiles and align tiles in superstructure for 2-foot by 2-foot and 3-foot by 3-foot systems.

FY 2009 Plans:

- Research advanced technologies for improving the production methods of pixilated emissive displays.
- Demonstrate the final system at full video rate, color display, and with the possibility of tiling to larger display scales (e.g., 6-feet by 6-feet).

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	EX	2007	EV 2009	EX 2000

	FY 2007	FY 2008	FY 2009
Adaptive Reflective Middleware Systems (ARMS)	5.860	8.000	4.000

(U) The Adaptive and Reflective Middleware Systems (ARMS) program is developing an integrated open system computing and information architecture. The initial focus is on the Total Ship Computing Environment in the DD-1000 Future Surface Combatant Family of Ships; however, the technology is applicable to other network-centric DoD systems. Autonomous computing systems require middleware and frameworks that adapt robustly to changes in environmental conditions. The ARMS environment dynamically executes all tasks and mission applications optimized at the platform level, rather than the subsystem level, coordinating the exchange of information predictably, scalably, dependably, and securely among shipboard entities. The ARMS program is developing automated certification technology that will deliver assured deployment of these dynamically managed military computing systems.

(U) Program Plans:

FY 2007 Accomplishments:

- Defined prototype reflective techniques for synthesizing optimized distributed, real-time, and embedded middleware.
- Developed required information models, algorithms, and technologies; developed technologies to configure customizable, standardscompliant middleware and applications.
- Developed robust adaptive protocols, algorithms, patterns, and technologies that exploit standards-compliant middleware.
- Developed and captured design expertise in information models.
- Formalized the successful techniques and constraints associated with building, generating, and validating middleware frameworks and protocol/service components for the DDG-1000 baselines.
- Demonstrated mature, standards-based middleware technologies for transition to the DDG-1000 Surface Combatant Family of Ships.
- FY 2008 Plans:
- Develop simulation and analysis component that generates thousands of plausibly certifiable system configurations, performs failure and timing analysis functions, and uses metrics such as co-failure probability to evaluate and rank configurations.
- Develop an automated testing component that creates and deploys tests across a distributed testbed of computers, produces a subset of certifiable configurations, and learns associations between configurations to operational conditions.
- Develop interface for certification authorities to review performance metrics across certified configurations.

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 Evaluate ability to automatically identify certifiable configurations in a representative subset of possible states within a dynamically managed computing environment.

FY 2009 Plans:

- Develop shipboard operational selector component that chooses and deploys the best certified configuration at runtime.
- Evaluate ability to automatically identify certifiable configurations in full DDG-size scenarios using emulated applications.
- Define requirements for integration of automated certification technology with existing standards and processes.

	FY 2007	FY 2008	FY 2009
Integrated Crisis Early Warning System (ICEWS)	5.747	11.000	13.608

(U) The Integrated Crisis Early Warning System (ICEWS) program develops and integrates a set of data analysis tools into a unified information system to support Theater Security Cooperation. The ICEWS system monitors, assesses and forecasts leading indicators of events that make countries vulnerable to crises. ICEWS technologies include quantitative and computational social science modeling and simulation, scenario generation, ontological modeling of security problems, advanced interactive visualization techniques, and agent-based programming. When integrated, these tools allow combatant commanders and their staff to understand and anticipate conditions that precipitate instability and conflict - while there is still time to influence them. ICEWS also helps anticipate unintended consequences of actions taken to influence or remediate situations - consequences that may be delayed by months or years.

(U) Program Plans:

FY 2007 Accomplishments:

 Obtained and organized a large corpus of data describing a representative set of countries and regions in the Pacific Command (PACOM) that are expected to range from stable to highly unstable social dynamics.

FY 2008 Plans:

- Augment existing social science models with emerging computational social science models and theories.
- Build tools to automatically translate the data corpus into a form usable by quantitative and computational social science models.
- Develop new crisis monitoring and forecasting models across multiple timescales and levels of analysis.
- Integrate in a real-time analytical system.

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BAZ Applied Research	FE 0002702E, FIQ	Ject 11-13

FY 2009 Plans:

- Link Theater Security Cooperation (TSC) resources to factors driving country and regional instability to assess mitigation options.
- Conduct regular experiments to assess predictions in an operational environment.
- Develop tools that can be transitioned to the staff at Combatant Commands (PACOM HQ).
- Create a rigorous analytic capability to predict how alternative courses of actions (COAs) are likely to alter adverse emergent patterns
  of behavior in order to determine ways more beneficial to U.S. interests.
- Create realistic human leadership models for use in policy analysis, military combat models, and other venues.

	FY 2007	FY 2008	FY 2009
Very High Speed Torpedo Defense	0.000	4.156	5.638

(U) The Very High Speed Torpedo Defense program will develop concepts for U.S. ship defense systems to defeat very high-speed (250 knot) rocket-powered super-cavitating torpedoes currently under development by other nations. Queued by a ship's sonar system, the torpedo can be identified and localized using a large search volume laser-radar tracking system that can be used to compute a firing solution. The torpedo will then be engaged using specially designed high-speed projectiles (also super-cavitating) fired from the ship to neutralize the incoming threat.

(U) Program Plans:

FY 2008 Plans:

- Validate preliminary sensor and weapon concepts.
- FY 2009 Plans:
- Design and test final system components, including the laser sensor, the cueing and targeting mechanism, and the projectile weapons.
- Demonstrate and test the entire system using test rigs and lake facilities.
- Conduct a final series of ocean tests in a variety of sea state conditions.

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	FY2	2007	FY 2008	FY 2009
Visualizing the Info Ops Common Operating Picture (VIOCOP)	0	.000	3.125	0.000

(U) The goal of the Visualizing the Info Ops Common Operating Picture (VIOCOP) program is to research methods to provide a commander with a standardized and logical way of depicting the impact of Information Operations on conventional missions. Great strides have been made in digitizing the battlefield and developing standardized sets of representations for the commander to visualize the physical battlefield. However, the area of information operations concerns operations that do not map cleanly to "kinetic" operations and geography. An informationally rich and succinct visual representation of non-geographic, non-kinetic information operations is needed to appropriately assess progress during an information operations campaign as well as to understand interactions with ongoing conventional operations. Information operations require the commander to understand issues and impacts that may be well outside his defined area of responsibility but have significant consequences to the success (or failure) of a mission.

(U) Program Plans:

FY 2008 Plans:

- Research a meaningful symbology and depiction of information operations concepts for the broadest definition of information operations (to include technical, social, geographic, cultural, tactical, cyberdefense, etc.).
- Research human-computer interfaces to visualize and manipulate information operations data.
- Research mechanisms to integrate the tactical picture with the information operations information.

	FY 2007	FY 2008	FY 2009
Laser Guided Bullet	0.000	0.000	5.014

(U) The Laser Guided Bullet program develops and demonstrates a maneuvering bullet that follows a laser beam to an intended target. Technology development includes the design and integration of aero-actuation controls, power sources, and laser sensors into a limited volume (2cm<sup>3</sup>) projectile to withstand a high acceleration environment. When integrated and tested, this system will make every shooter with any

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50-caliber weapon a precision sniper at greater than 2 KM range. The Laser Guided Bullet technology is planned for transition to the Army by FY 2010. This program transfers from PE 0603764E, project LNW-01 in FY 2009.

#### (U) Program Plans:

- FY 2009 Plans:
- Design sensor guidance system.
- Perform system integration and validation.
- Conduct in-weapon testing.

	FY 2007	FY 2008	FY 2009
Digital Media Exploitation (MEDEX)	0.000	0.000	4.000

(U) The Digital Media Exploitation (MEDEX) program will develop technology to extract intelligence of tactical value from digital media found on computers captured in the field of operations. MEDEX will automatically search content found on computers captured in the field and identify data of high intelligence value. MEDEX will develop multiple exploitation algorithms that can quickly index, search, and analyze all digital file types: text documents, audio files, images, videos, applications, etc. Additionally, MEDEX will develop network analysis algorithms that identify significant connections between information found on multiple computers. The goal of the MEDEX program is to reduce the exploitation time for digital media from months to minutes.

(U) Program Plans:

FY 2009 Plans:

- Develop automated media exploitation algorithms for multiple operating systems and file types.
- Develop integrated exploitation system that produces ranked lists of summarized content found on digital media.
- Demonstrate intelligence extraction by testing digital media with simulated data.

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	F	¥ 2007	FY 2008	FY 2009
Strategic Communication Assessment and Analysis System (SCAAS)		0.000	0.000	3.000

(U) Strategic communications are focused, integrated efforts to understand and engage key audiences in order to create, strengthen, or preserve conditions favorable for the advancement of U.S. government interests, policies, and objectives. This is accomplished through the use of coordinated programs, plans, themes, messages, and products synchronized with the actions of all elements of national power. Effective strategic communication is central to our ability to effectively deter adversaries, reassure allies, dissuade future competitors, and communicate our resolve to defeat enemies should deterrence fail. The Strategic Communication Assessment and Analysis System (SCAAS) program will develop new theories, concepts, tools and systems to formulate and assess sound strategic communication strategies and measure their effectiveness in influencing allies, adversaries, and other constituencies around the world. This capability would have dramatic value to Combatant Commands (COCOMS) as it would enable the influencing of diverse people and organizations abroad towards U.S. National Security interests.

(U) Program Plans:

FY 2009 Plans:

- Develop models to continuously analyze/assess the strategic communications "information environment" from multiple perspectives and levels of analysis, including audience, context transmitters, and time.
- Develop models for mapping influences to perceptions (such as influences of cultural context, cognitive and emotional biases on message reception and interpretation).

	FY 2007	FY 2008	FY 2009
Urban Warfare Robotic Surveillance (URS)	4.000	0.000	0.000

(U) The Urban Warfare Robotic Surveillance System (URS) program developed new mobile sensor systems, carried on both long-endurance ground and short-endurance air platforms, to support warfighter operations in constrained urban environments. URS explored a mix of sensor technologies (normal and infrared video, active optics, radar, acoustic, magnetic, chemical, and RF direction finding). Sensors were tested in environments characterized by complex multi-path propagation, limited lines-of-sight, and frequent obscuration. Platforms and sensor networks

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were designed to operate in urban exterior, underground, and indoor environments. Communications repeaters and routers provided terrestrial connectivity to all platforms and provided autonomous operation if communications are interrupted. A program demonstration also delivered a prototype robotic squad for testing. The URS program also supported the DARPA Urban Challenge.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Exercised test platforms in a series of increasingly difficult mission/environment combinations.
- Improved sensors or algorithms that limit performance.
- Funded technology development contracts and program planning support for the DARPA Urban Challenge.

	FY 2007	FY 2008	FY 2009
Diagnostic Network Economies	1.104	0.000	0.000

(U) The Diagnostic Network Economies program improved the speed, accuracy, and efficiency of fault diagnosis in distributed systems that provide support for crucial network centric military operations, such as transmitting a common operational picture and maintaining information dominance. As network centric warfare systems are introduced, the management systems that are needed to operate these networks must become exceptionally robust. The Diagnostic Network Economies program substantially reduced the risk

(U) associated with network-centric operations, and at the same time assures the agility of U.S. forces by developing effective network fault diagnosis capabilities that minimize the logistical footprint associated with that aspect of network management and reduce the opportunities for human error in the process.

(U) Program Plans:

FY 2007 Accomplishments:

- Identified the minimum necessary cryptographic machinery to perform adversary detection using secure packet sampling.
- Derived bounds on accuracy of stealthy adversary detection and localization.
- Prototyped a "stealth probing" system.

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	FY 2007	FY 2008	FY 2009
R31 Systems: Next Generation of Intelligent Communications	1.440	0.000	0.000

(U) Selected and continued to fund initiatives for the next generation of intelligent communications.

#### (U) Other Program Funding Summary Cost:

• Not Applicable.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research			R-1 ITEM NOMENCLATURE Materials & Biological Technology PE 0602715E				
COST (In Millions)	FY 2007	FY 2008	Y 2008 FY 2009 FY 2010 FY 2011 FY 2012				FY 2013
Total Program Element (PE) Cost	270.513	301.741	01.741 285.264 257.799			273.508	263.600
Materials Processing Technology MBT-01	144.762	191.151	91.151 154.158 151.433 161.954 179.070				169.163
Biologically Based Materials and Devices MBT-02	125.751	110.590	131.106	106.366	94.438	94.438	94.437

#### (U) <u>Mission Description:</u>

(U) This program element is budgeted in the Applied Research Budget Activity because its objective is to develop technologies related to those materials and biological systems that make possible a wide range of new military capabilities.

(U) The major goal of the Materials Processing Technology project is to develop novel materials, materials processing techniques, mathematical models, and fabrication strategies for advanced structural and functional materials and components that will lower the cost, increase the performance, and/or enable new missions for military platforms and systems. Included in this project are efforts across a wide range of materials including: structural materials and devices, smart materials and actuators, functional materials and devices, and materials that are enabling for improvements in logistics.

(U) The Biologically Based Materials and Devices Project acknowledges the growing and pervasive influence of the biological sciences on the development of new materials, devices and processes, as well as the commensurate influence of materials, physics and chemistry on new approaches to biology and biochemistry. Contained in this project are thrusts in the application of biomimetic materials and devices for Defense, the development of biochemical materials to maintain performance, the use of biology's unique fabrication capabilities to produce structures that cannot be made any other way, the application of magnetic materials in biological applications, and the development of manufacturing tools that use biological components and processes for material synthesis. It also supports a major thrust that will revolutionize the development of prosthetics for the wounded soldier.

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	APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMRDT&E, Defense-wideMaterials & BiologBA2 Applied ResearchPE 0602			NCLATURE ical Technology 715E		
(U)	Program Chang	e Summary: (In Millions)	<u>FY 2</u>	<u>007</u>	<u>FY 2008</u>	<u>FY 2009</u>
	Previous Preside	nt's Budget	298.1	147	306.022	303.363
	Current Budget		270.5	513	301.741	285.264
	Total Adjustmen	ts	-27.6	634	-4.281	-18.099
	Congressional pr	rogram reductions	-20.0	000	-14.081	
	Congressional in	creases	0.0	000	9.800	
	Reprogramming	S	0.0	000		
	SBIR/STTR tran	sfer	-7.6	634		
(U)	Change Summa	ry Explanation:				
	FY 2007	The decrease reflects the SBIR/STTR tr	ansfer and Section 8043 Rescission.			
	FY 2008	The decrease reflects a PE execution ad Section 8104 Economic Assumptions; of Fuel, Reduce Environmental Impact of Optics.	justment and reductions for Section 8097 O offset by congressional adds for Economic Coal to Liquid Fuels, and Strategic Materia	Contra Produ als an	actor Efficien action of Coa d Silicon Car	icies and l to Liquid bide
	FY 2009	The decrease reflects the transition of the materials programs.	e Prognosis Program to the U.S. Air Force	e and i	rephasing of	several

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA2 Applied Research			R-1 ITEM NOMENCLATURE Materials & Biological Technology PE 0602715E, Project MBT-01					
COST (In Millions)	FY 2007	FY 2	Y 2008 FY 2009 FY 2010 FY 20			FY 2011	FY 2012	FY 2013
Materials Processing Technology MBT-01	144.762	.762 191.151 154.158 151.433 161.954 179.070 16					169.163	

#### (U) <u>Mission Description:</u>

(U) The major goal of the Materials Processing Technology project is to develop novel materials, materials processing techniques, mathematical models and fabrication strategies for advanced structural and functional materials and components that will lower the cost, increase the performance, and/or enable new missions for military platforms and systems. Included in this project are efforts across a wide range of materials including: structural materials and devices, smart materials and actuators, functional materials and devices, and materials that are enabling improvements in logistics.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Materials Processing and Manufacturing	11.710	14.999	14.000

(U) The Materials Processing and Manufacturing thrust is exploring new manufacturing and processing approaches that will dramatically lower the cost and decrease the time it takes for DoD systems to be fabricated. It will also develop approaches that yield new materials and materials capabilities that cannot be made through conventional processing approaches. Included are disruptive manufacturing approaches for raw materials and components.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Established digital representation of microstructure across the nano-, micro- and meso-scales to effectively and quantitatively describe structures and features of interest.
- Developed data synthesis and management techniques for efficient information storage, manipulation and utilization by physics-based models.

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RDT&E, Defense-wide	Materials & Biological Technology		
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- Established protocol for reconstruction of digital microstructure at all appropriate length scales.
- Demonstrated carbon nanotube filaments from electrospun precursor polymer fibers.
- Demonstrated composite fibers incorporating carbon nanotubes in graphite derived via commercially scalable fiber production methodologies.
- Designed and built a maskless optical imaging system (MOIS) suitable for large area lithographic patterning of formulations used to make cores and shells for casting of internally cooled superalloy blades.
- Completed screening activities to determine the best candidate resin, fiber, and film adhesive to be used for out of the autoclave manufacturing of polymer matrix composites for aerospace applications.

FY 2008 Plans:

- Demonstrate capability to capture salient features of microstructure, convert data into functional entries for physics-based model parameters, and demonstrate active reconstruction of microstructure for visualization.
- Demonstrate integration with digital microstructural representation in order to illustrate dynamic effects on salient features in response to extrinsic stimuli.
- Demonstrate carbon fiber properties that are in excess of 1000 ksi in strength, 50 msi in modulus and 2% strain to failure.
- Design, build, and operate large area lithographic exposure machine subsystems to produce ceramic cores for casting of superalloy turbine blades.

FY 2009 Plans:

- Demonstrate integration with digital microstructural representation in order to identify critical features for design of material composition and processing to achieve microstructure for a set of desired properties.
- Demonstrate integration of physics-based predictive models of materials performance with digital microstructural representation.
- Demonstrate carbon fiber properties that are in excess of 1800 ksi in strength, 60 msi in modulus and 3% strain to failure.
- Demonstrate economical tooling for low volume production of polymer matrix composite (PMC) (10-25 units of a CH-47 helicopter ramp) that operates at less than 200 degrees Celsius cure temperature. Verify PMC subcomponent (containing critical details) meets static, fatigue, and destructive evaluations.

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		EX 2000	EX 2000

	FY 2007	FY 2008	FY 2009
Structural Materials and Coatings*	11.550	11.800	8.137

\*Previously this was part of Materials Processing and Manufacturing.

(U) The Structural Materials and Coatings thrust is exploring and developing new materials that will provide enhanced structural and/or surface properties for DoD applications. Included are approaches that avoid corrosion, provide superior strength at greatly reduced material density, provide the basis for a new generation of structural composite materials, and enable prolonged lifetimes for DoD systems and components.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated viability of the electrochemical reduction of TiO2 to titanium at a current efficiency greater than 80%.
- Entered into an agreement with industrial partner to scale-up the technology.
- Demonstrated coatings with outstanding corrosion resistance suitable for both Naval applications and long term radioactive storage capability.
- Demonstrated second generation amorphous metals with high damage tolerance while maintaining very high strength and hardness.
- Demonstrated that ultralight aluminum, calcium, magnesium alloys for space applications can be fabricated using conventional injection molding technologies.
- Demonstrated Al based alloys for turbine fan blade applications that promise increased performance and reduced fuel consumption.

- Demonstrated corrosion resistant material coatings and non-skid capability for naval combatant ships.

- FY 2008 Plans:
- Develop process for large-scale Ti production.
- Perform structural test of unitized multifunctional panel to validate performance of thermal management and load carrying capability over the temperature range of -200 to +200F.
- Produce 1.5 sets of Al based amorphous turbine engine blades that meet print (dimensional) requirements.
- Demonstrate thermal spray technologies and processes at large-scale contractor facility on substrate materials.

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FY 2009 Plans:

- Demonstrate 10x improvement in fracture toughness for Fe based bulk metallic glasses.
- Certify high performance corrosion resistant materials (HPCRM) coatings for unrestricted use on Naval combatants.

	FY 2007	FY 2008	FY 2009
Multifunctional Materials and Structures	15.700	16.000	11.821

(U) The Multifunctional Materials and Structures thrust is developing materials and structures that are explicitly tailored for multiple functions and/or unique mechanical properties. This thrust also explores novel materials that are designed to adapt structural or functional properties to environmental and/or tactical threat conditions. Included in this thrust are efforts that will lower the weight and increase the performance of aircraft, enhance the efficiency of turbines, and improve the survivability of space structures.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated 2X surface hardness in alloy 718 using the low temperature colossal super saturation of Carbon in the atomic lattice.
- Demonstrated ability to control periodic nano features in alumina for warm forming of polymers.
- Designed evaporatively cooled blade configuration of very high thermal efficiency that if applied to current turbine engines would provide significant performance and/or specific fuel consumption benefits.
- Initiated fabrication of a complete set of turbine blades and modified a test bed engine to accept them for engine demonstration.
- Established proof-of-concept that incorporation of circulatory systems into materials can modulate electromagnetic, functional and mechanical properties.

FY 2008 Plans:

- Demonstrate superhydrophobic surfaces up to 1m<sup>2</sup>.
- Integrate solar power collection/thin film battery storage device with collection efficiencies greater than 20% and power output 100X that of state-of-the-art thin film batteries (5 in x 5 in minimum).
- Demonstrate cavitation resistant alloys for use on combat ship propulsors.
- Run test engine with evaporative cooled blades and quantify performance benefits.

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RDT&E, Defense-wide	Materials & Biologic	al Technology
BA2 Applied Research	PE 0602715E, Proj	ect MBT-01

- Initiate development of material systems whose physical, chemical, electromagnetic, or mechanical properties can be modified upon command or in response to environmental stimuli.

FY 2009 Plans:

- Demonstrate functional prototype integrated flexible solar collection array/thin film battery (5 in x 5 in minimum).
- Demonstrate surface wave and power transmission control; point to point communications with less than 1 dB power loss over 1m<sup>2</sup>.
- Predict performance for an operational engine derived from the prototype unit.
- Develop prototype materials whose physical, chemical, electromagnetic, or mechanical properties can be modified upon command or in response to environmental stimuli.

	FY 2007	FY 2008	FY 2009
Materials for Force Protection*	12.123	23.219	18.500

\*Previously this was part of Multifunctional Materials and Structures.

(U) The Materials for Force Protection thrust is developing novel materials and materials systems that will greatly enhance protection against ballistic, blast, and explosively formed projectile (EFP) threats. Included in this thrust are novel topological concepts as well as entirely new structural designs that will afford enhanced protection and functionality, at reduced weight and/or cost.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed opaque armor solutions of classified capability.
- Developed a transparent spinel armor solution of increased capability that is significantly lower weight than current solutions.
- FY 2008 Plans:
- Demonstrate ballistic performance with reduced weight as compared with rolled homogeneous armor areal density.
- Integrate high performance armor systems into vehicle platforms in collaboration with the U.S. Army and Marine Corps.
- Reduce the cost of hybrid composite armor systems with high throughput manufacturing techniques and by exploiting the benefits of commercial materials.
- Develop topological armor concepts for explosively formed projectile defeat.

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BA2 Applied Research	PE 0602715E, Proje	ect MBT-01

FY 2009 Plans:

- Develop lightweight armor systems to mitigate and defeat evolving threats, including explosively formed projectiles (EFPs).
- Evaluate topological armor concepts for protection against multiple threats.
- Optimize transparent armor for fragmentation and armor piercing threats.
- Integrate high performance armor systems with enhanced protection against evolving threats, including EFPs, into vehicle platforms in collaboration with the U.S. Army and Marine Corps.
- Demonstrate protective abilities of novel topological armor against explosively formed projectile threats.

	FY 2007	FY 2008	FY 2009
Prognosis	10.669	12.000	1.000

(U) The Prognosis thrust will demonstrate revolutionary, new concepts, physics-based models and advanced interrogation tools to assess damage evolution and predict future performance of the structural materials in defense platforms/systems. Included are demonstrations on Navy and Air Force aircraft structures, and engines for advanced jet aircraft and helicopters. Also included are sensor and model development required to support the damage prediction.

(U) Program Plans:

FY 2007 Accomplishments:

- Signed MOA between DARPA Director and Secretary of the Air Force transitioning engine system prognosis (ESP) module to the USAF.
- Conducted full scale testing on a modern gas turbine engine fan that includes prognosis sensors, transfer functions, and reasoners which will permit operating gas turbine engines with damaged fan and compressor blades to double the current damage limits thus significantly decreasing aircraft engine removal and repair requirements in operational units.
- Demonstrated effectiveness of prognosis technology in the H60 class of helicopter engines and in collaboration with the Navy transitioned elements of prognosis to the fleet.

FY 2008 Plans:

- Demonstrate Structurally Integrated Prognosis System (SIPS) on legacy airframes of EA6B and P3.

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RDT&E, Defense-wide	Materials & Biologic	al Technology
BA2 Applied Research	PE 0602715E, Proje	ect MBT-01

Demonstrate ESP system on the T700 helicopter engines with specific objective of real time "power available" notification to the pilot.

FY 2009 Plans:

 Complete and provide a functional ESP system applicable to the legacy (F100/F110) fleets that incorporates all physics- and datadriven models, exploits the available sensor packages, and incorporates all local and supervisory reasoners interfaced to the aircraft DEEC/MDEC for Oklahoma City Air Logistics Center (OC-ALC). Transition to Air Force Materiel Command.

	FY 2007	FY 2008	FY 2009
Materials for Initiation and Actuation*	4.561	7.234	5.929

\*Previously this was part of Smart Materials.

(U) The Materials for Initiation and Actuation program explores and develops materials for initiation and propagation of mechanical and/or chemical effects. Included efforts are bio-inspired structures for meso-scale electrically initiated combustion, cyclic chemical reactions for communication, and high power, low volume, actuators required for high efficiency mobile platforms.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated 1,000 cycles for a combustion-recombinant based actuator.

FY 2008 Plans:

- Develop chemical systems that are able to encode arbitrary alphanumeric messages and transmit them as modulated optical signals at stand-off distances.
- Perform laboratory testing of modulated chemical systems to assess transmission properties including range.
- Demonstrate spanwise blade twisting on a representative rotor set.
- Fabricate, test, and assess silent maneuver capability of a nastic skin array on a scale model submersible.

FY 2009 Plans:

- Refine chemical systems to achieve 100-fold increase in transmission duration.

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- Engineer prototype chemical communications devices consisting of a disposable transmitter and a replicator device, with the form factor of a personal digital assistant, that translates messages into chemistry.
- Conduct rotor stand test of fully actuated 1/3 scale proprotor to demonstrate blade synchronization and lift improvement.
- Initiate development of a reactive structure in which the energetic and structural functions are integrated into the same material.

	FY 2007	FY 2008	FY 2009
Reconfigurable Structures	6.917	8.000	9.571

(U) In the Reconfigurable Structures thrust, new combinations of advanced materials, devices, and structural architectures are being developed to allow military platforms to morph or change shape to adapt optimally to changing mission requirements and unpredictable environments. This includes the demonstration of a morphing aircraft as well as new materials and devices that will enable the military to function more effectively in the urban theater of operations.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed first prototype of rapidly deployable and reversible, portable barriers to control enemy mobility in urban areas such as intersections, alleyways, doorways, etc.
- Developed model to analyze and reduce stresses due at the corners of contact pads to enable lower attachment pressures and increase adhesion to multiple surfaces.
- Determined the asperity (surface roughness) size distribution across multiple surfaces of interest and developed model to determine the most efficient pattern for asperity matching across said surfaces to ensure adhesion.
- Demonstrated >100 cycles of dry nanoadhesion to glass at approx 30 psi (normal).
- Developed, designed, and tested the actuators, materials, and control architectures necessary for achieving precise shape change in an airframe.
- Demonstrated capabilities of morphing aircraft technology in flight test achieving morphing initiated maneuver, improved turn rate, and improved climb rates between different morphed configurations.

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FY 2008 Plans:

- Develop soft chemically based materials with the ability to drastically change shape, reconfigure, and perform function.
- Engineer soft components from these materials that enable locomotion and size/shape morphing.
- Demonstrate adhesion repeated 100 times on glass, aluminum, and brick under both wet and dry conditions on a 4 inch by 4 inch pad.
- Determine proper climbing techniques via biomechanical analysis for maximum rate of climb, moving laterally, and descending using the required attachment-removal-reattachment kinematics.

FY 2009 Plans:

- Engineer materials and soft components into robotic architecture with the ability to locomote, traverse openings smaller than the characteristic dimension of the robot, reconstitute size/shape, and perform work using embedded payloads.
- Perform laboratory demonstrations of robot function.
- Refine and finalize pad designs for hands and feet based upon results of biomechanical analysis and human climbing trials.
- Demonstrate an equipped soldier (300 lb) scaling a series of 20 ft walls built from relevant materials.
- Develop a new class of synthetic materials whose structure/properties adapt to changing external conditions, using means intrinsic to the material.

	FY 2007	FY 2008	FY 2009
Functional Materials and Devices	14.500	13.399	16.200

(U) The goal of this thrust is to design material microstructures at the scale appropriate to exploit fundamental interactions with the environment in order to create materials with unique properties. Examples include engineered materials (metamaterials) that provide dramatically new electromagnetic behavior across the complete array of Defense applications. Other efforts include nanostructured materials to slow light, negative refractive index systems, and an array of other functional devices (antennas, dosimeters, etc.).

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated a novel metamaterial dielectric with unprecedented properties for RF signal identification and tracking.
- Demonstrated novel thick film negative index materials at 20 GHz.

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- Demonstrated sub wavelength focusing at optical wavelengths.
- Demonstrated interleaving of 10 GigaBytes per second (GB/s) data streams using slow-light based tunable delay line.
- Demonstrated slowing of entire image using slow light tunable delay line.

FY 2008 Plans:

- Initiate parametric studies to define the accessible range of activity in surface/environment interactions.
- Design an optical negative index material based modulator for improved optical communications.
- Design a sub wavelength UHF antenna.
- Demonstrate delay of 10 GB/s data stream by more than 75 ns, and incorporate tunable delay into reconfigurable time-based multiplexer.

FY 2009 Plans:

- Design and develop modeling algorithms for surface/environment interactions.
- Demonstrate a low loss, negative index enabled optical modulator with enhanced performance for military communications.
- Demonstrate a subwavelength UHF antenna with enhanced performance for military radar and communication applications.
- Demonstrate delay of 40 GB/s data stream by more than 1 micro-second, and incorporate tunable delay into reconfigurable optical data buffer.
- Develop materials to create an underwater mission system that eliminates the need to carry a primary oxygen supply.

	FY 2007	FY 2008	FY 2009
Power Components*	7.332	12.000	10.500

\*Previously this was part of Functional Materials and Devices and Materials for Power.

(U) This thrust explores and develops novel components for use in diverse power systems that will dramatically increase the overall energy efficiency, typically with a substantial savings of weight/volume as well as cost. Included in this thrust are new permanent magnetic materials with significantly higher magnetic strength and higher operating temperature for motors and generators, as well as high energy density capacitors. Hybrid superconducting/cryogenic components, which will provide a new paradigm for power electronics for the "all electric" platforms of the future. Materials technology is also being developed to enhance power conditioning for large power applications such as Navy ships.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated a high throughput manufacturing process for 2<sup>nd</sup> generation high temperature superconducting wires with enhanced current carrying capacity at liquid nitrogen temperatures.
- Demonstrated nano-material architectures that are calculated to significantly improve the energy product of magnets, power density of batteries, and figure of merit for high temperature thermoelectrics.
- Demonstrated two optimized nano-phase mixed oxides for anodes in lithium ion batteries.

FY 2008 Plans:

- Determine magnetostatic coupling mechanisms in large grain (> 1 micron) nanocomposite magnets.
- Develop novel compaction methods for achieving high density bulk nanocomposite magnets with superior properties.
- Demonstrate a lightweight inductor based on newly developed 2<sup>nd</sup> generation high temperature superconducting wires.
- Develop model to predict performance of the all superconducting motor concept with stator windings fabricated from 2<sup>nd</sup> generation high temperature superconducting wire.
- Develop new dielectric materials with high permittivity, high breakdown strength and high temperature (>200deg C) and incorporate into high energy dense capacitor able to achieve 20J/cc and 100J.
- Develop nano-structured materials and demonstrate the ability to improve thermal electrics with >30% efficiencies, magnetics (30% improvements), and electrochemical (100% improvements) energy storage and conversion.

FY 2009 Plans:

- Develop a predictive modeling tool for the performance of magnetostatic coupled nanocomposite magnets.
- Verify the fidelity of the nanocomposite magnet modeling tool via experimentation.
- Evaluate the potential for cryogenic power electronics based on 2<sup>nd</sup> generation high temperature superconducting wires for reducing overall losses in Naval shipboard power systems.
- Innovatively package the 20J/cc dielectrics into capacitors with sensing capabilities to provide reliable high power capacitors of 20J/cc and 400J.
- Integrate nano-structured materials with high efficiencies and energy densities into DoD-relevant systems while maintaining the nano-structures of the materials thus increasing energy capabilities.

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	FY	2007 FY 2008	FY 2009

12.220

14.700

14.500

Novel Power Sources\*

\*Previously this was part of Materials for Power and Alternate Power Sources.

(U) The Novel Power Sources thrust will explore new materials solutions to enable power to be efficiently generated and controlled. This includes new materials concepts to increase the efficiency and robustness of portable fuel cells as well as the exploitation of nanotechnology to increase the efficiency and lower the weight of batteries. New materials and designs will also be applied to the development of novel mesoscale engines (e.g., Stirling, water lubricated steam engines) that will provide needed power on the battlefield. An additional focus is to develop materials to drastically improve the efficiency of low temperature thermoelectric components and develop these components into demonstration systems.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated concepts for highly power-dense, man-portable kilowatt generators that will reduce the logistics burden for the soldier in the field.
- Demonstrated a propane fueled 20 W solid oxide fuel cell capability with energy densities > 7x that of current military batteries.
- Demonstrated a portable JP-8 fueled Stirling engine generator.
- Demonstrated record breaking thermal to electric conversion efficiencies approaching 20%.
- Demonstrated record breaking thermal to electric power densities  $> 5 \text{ W/cm}^2$ .
- Demonstrated novel fuel cell based on liquid tin anode for electrochemically converting JP-8 fuel to electricity.
- Developed a theoretical tool for predicting cathode chemistries with > 3x energy density compared to current batteries.
- Initiated new methods for high efficiency non-sacrificial catalysts to reduce carbon dioxide to carbon monoxide for conversion to liquid fuel.

FY 2008 Plans:

- Demonstrate the advantages of fuel cell and Stirling engine generators for enabling longer duration UAV, UGV, and soldier portable applications in relevant military environments.
- Demonstrate "proof of concept" for hybrid electronics enabled high efficiency, high density power electronics for military platforms.

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- Scale up current thermal to electric conversion generators to > 100 W.
- Scale up and integrate JP-8 fuel cell components into a 4 cell stack.
- Develop catalysts for reducing carbon dioxide with sunlight.
- Identify other chemical reactions necessary for liquid fuel systems.
- Design strategy for the conversion of carbon dioxide to JP-8.
- Demonstrate proof of concept for high energy density batteries using newly developed nanostructured cathode and anode materials.

FY 2009 Plans:

- Demonstrate high energy density power sources that enable UAV and UGV mission durations that are >5x longer than current state of the art batteries allow.
- Demonstrate a fully ruggedized (MIL-STD environmental factors) JP-8 fueled battery charger for next generation military rechargeable batteries.
- Provide a military relevant prototype demonstration of the weight and volume savings achievable using a hybrid approach for military efficiency power electronics.
- Conduct a full scale demonstration of a 1 kW or greater thermal to electric generator with record efficiencies and power densities.
- Demonstrate proof of concept for a novel fuel cell chemistry that operates at low to moderate temperatures but exhibits the higher power density, reduced balance of plant complexity, and fuel flexibility of higher temperature solid oxide fuel cells.
- Transition JP-8 fuel cell technology to Services for further development.
- Demonstrate 50% efficiency in the reduction of carbon dioxide to a carbon intermediate.
- Provide a full scale demonstration of a high energy density, high power density nanostructured battery.
- Investigate scaling of potential catalysis approaches for personal, small unit, and mobile power facility fuel cell applications.
- Optimize catalyst performance over a broad range of potential fuels including, but not limited to, ethanol, butanol, and JP-8.
- Develop second generation catalyst approaches and corresponding fuel cell designs to expand the range of operable fuels and scale down system size to personal or small unit scale.

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	FY	2007 FY 2008	FY 2009

Very High Efficiency Solar Cell (VHESC)\* 8.000 17.500 21.000

\*Previously this was part of Materials for Power.

(U) The objective of the Very High Efficiency Solar Cell (VHESC) program is to demonstrate at least 50% efficiency in an affordable, manufacturable photovoltaic (PV) device. This technology breakthrough will provide soldiers with portable power for electronic devices resulting in a dramatic reduction in the complex logistics associated with delivering batteries to troops in the field, while improving mission endurance and individual soldier agility.

The program addresses all aspects of the high-efficiency PV problem including the development and analysis of high efficiency design (U) concepts, the development of new and innovative components, materials, and processes necessary to achieve these concepts, and the development of scalable fabrication processes that are extensible to industrial manufacturing and an affordable product. Breakthrough results achieved in previous program phases including lateral architectures and non-imaging optical systems, high performance multi-band PV conversion, and ultralow-cost PV materials fabrication processes have strongly narrowed the focus of the effort going forward. Future program phases will address both the technology development and manufacturing concept and engineering development necessary for the effective implementation of the VHESC technology in an affordable product. The key focus areas of these next two phases will be: 1) the system-integrated design optimization of the non-imaging lateral optics subsystem and the corresponding photovoltaic devices and 2) the development of high-volume cost-effective manufacturing engineering designs and processes for the subsequent future transition to affordable production.

(U)**Program Plans:** 

FY 2007 Accomplishments:

- Demonstrated greater efficiency of solar cell optics and converter technologies in high, mid, and low energy photon environments.
- Developed novel concepts for extremely high efficient solar cells (>50%) and novel solar cell configurations for battlefield deployment.
- Demonstrated optical elements design with > 90% optical efficiency.
- Demonstrated solar cell device efficiency >40%.

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FY 2008 Plans:

- Demonstrate integrated prototype module > 40% efficiency.
- Demonstrate potential cost reduction technologies supporting cost scaling in large scale production.

FY 2009 Plans:

- Deliver initial product designs and production processes for >40% efficient modules.
- Demonstrate integrated system efficiency >50% in 10cm<sup>2</sup> prototypes.
- Deliver an initial integrated soldier systems device (e.g. flashlight or radio with integral solar cell).

	FY 2007	FY 2008	FY 2009
Alternate Power Sources	13.980	13.000	6.000

(U) The aim of the Alternate Power Sources thrust is to develop materials and technologies to utilize alternative power sources that have the potential to provide significant strategic and tactical advantages to the Department of Defense. The thrust is very diverse, and includes the development of diverse, portable power platforms that efficiently (>90%) utilize military waste materials (plastic and paper) for generation of electricity, as well as the development of agricultural plastics that are optimum for electricity generation in these platforms. An additional thrust aims to autonomously extract hydrocarbons such as methane hydrates from the continental shelves, using unmanned drilling and energy recovery vehicles.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Completed independent evaluation of recently reported experimental protocol for achieving "excess heat" conditions in Pd cathodes loaded with deuterium.
- Integrated new battery and fuel cell chemistries and architectures to fabricate microbatteries with energy densities greater than 200 Wh/L, breaking current state-of-the-art energy densities in volumes smaller than 10 cubic millimeters.
- Completed design for neutron generator with a rate of 10 million per second in a pyroelectric crystal enabled-device.
- Demonstrated directional neutron generation in laboratory scale device.
- Demonstrated depolymerization of mixed plastic into simple hydrocarbon gases by supercritical water.

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- Demonstrated pilot-scale Mobile Integrated Sustainable Energy Recovery (MISER) process for converting waste to 5 kilowatts electric power.
- Demonstrated overall system efficiency of MISER process equal to 70%.
- Demonstrated lab-scale synthesis (0.1g/L/hr) of new bio-based monomer and conversion to high molecular weight polymer with high energy recoverability for future packaging applications.

FY 2008 Plans:

- Develop plan to reduce the volume of the packaged battery and fuel cell to 1 cubic millimeter, while maintaining an energy density of 200 Wh/L.
- Scale up 5 kW MISER process to 60 kW electric generator and demonstrate at a military base.
- Demonstrate efficiency of 90% in MISER system installation.
- Demonstrate use of mixed plastics and paper as fuel for MISER system.
- Improve synthesis (0.5g/L/hr) and polymerization processes for high energy recoverability polymers.
- Determine the correlation between excess heat observations and production of nuclear by-products.

FY 2009 Plans:

- Further improve packaging and architectures to reach final energy density goals of greater than 350 Wh/L, in a volume less than 1 cubic millimeter.
- Demonstrate conversion of bio-based monomer to polymer in 8 hours and conversion of polymer to bio-fuel in 24 hours.
- Demonstrate autonomous cutter head replacement and wellbore measurement for the extraction of hydrocarbons concept.
- Perform system energy analysis including modeling and assessment of scaling limits for the extraction of hydrocarbons concept.

	FY 2007	FY 2008	FY 2009
Biofuels*	3.000	6.500	8.000

\*Previously this was part of Alternate Power Sources.

(U) The Biofuels program is exploring longer term, higher risk approaches to obtaining and using energy. A pathway to affordable selfsustainable agriculture-sourced production of an alternative to petroleum-derived JP-8 that will meet all DoD needs will be investigated. Initial efforts are focused on the conversion of crop oil triglycerides to JP-8. Additional efforts will expand the spectrum of convertible feedstocks to

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cellulosic, algal, and other similar materials, enabling a diversified feedstock portfolio that can meet the entire DoD need within a sustainable commercial framework. An important variant of this latter category is the development of man- and vehicle-portable technologies to produce substantial quantities of JP-8 and other useful liquid fuels from indigenously available or harvestable resources near desired locations worldwide.

(U) Program Plans:

FY 2007 Accomplishments:

- Selected a diverse set of technological development pathways to achieve a 60% (or greater) conversion efficiency, by energy content, of crop oil to JP-8 surrogate and elucidate a path to 90% conversion.
- Identified an alternative potential pathway for the production of affordable JP-8 fuel from the seed and grain husk remnants resulting from oil seed and grain seed processing.

FY 2008 Plans:

- Design, develop, and demonstrate a process pathway for >60% conversion (by energy) of crop oil to JP-8.
- Elucidate a path to 90% conversion of crop oil to JP-8.
- Demonstrate the scalability of production technologies for the affordable conversion of crop oil to JP-8 at <\$5/gal cost.
- Identify and select technology pathways for the conversion of a broad diversity of cellulosic, algal, and other similar feedstocks to affordable bulk quantities of JP-8.
- Identify and select technology pathways for the development of man- and vehicle-portable systems capable of producing JP-8 and other useful liquid fuels from a broad diversity of feedstocks.

FY 2009 Plans:

- Demonstrate the conversion of cellulosic materials to JP-8 range alkanes with >30% efficiency (by energy).
- Identify a pathway for the conversion of cellulosic materials to JP-8 range alkanes with >50% efficiency (by energy).
- Explore the size and volume efficiency scaling relationships for various processing technologies for converting indigenous materials to JP-8 and other liquid fuels.
- Develop preliminary designs for vehicle-portable and man-portable liquid fuel production systems.

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		2007 EV 2008	EV 2000

	FY 2007	FY 2008	FY 2009
Long Duration Power Concepts	8.500	11.000	9.000

(U) The requirement for generating power over long duration missions proposes unique challenges in energy storage, power conditioning and overall integration. This thrust is exploring the breakthroughs in power generation needed for extremely long duration, unmanned applications including unmanned underwater vehicles (UUVs) and unmanned air vehicles (UAVs). These include energy storage approaches that are structurally efficient as well as energy efficient. It also includes approaches for efficiently removing the energy at rates commensurate with the high sprint power often required in these applications.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated an engineering concept based on solid oxide fuel cell and rechargeable batteries for enabling a 30 day large scale UUV mission.
- Demonstrated fuel cell with energy density required to achieve mission set.
- Demonstrated 3x enhancement of carbon fuel cell power output.
- Developed multifunctional material concept for UUV fuel storage.

FY 2008 Plans:

- Demonstrate breadboard UUV power system capable of enabling a 30 day large scale UUV mission.
- FY 2009 Plans:
- Full scale laboratory demonstration of solid oxide fuel cell/battery power system for a 30 day large scale UUV mission.

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	FY 2007	FY 2008	FY 2009
Strategic Materials	4.000	5.000	0.000

(U) Program Plans:

FY 2007 Accomplishments:

 Developed reliable, robust, repeatable, and cost effective Chemical Vapor Composite (CVC) SiC manufacturing process for high tech military, space, and industrial applications.

FY 2008 Plans:

- Optimize the process for reliable, robust, repeatable, and cost effective CVC SiC manufacturing process for high tech military, space, and industrial applications.

	FY 2007	FY 2008	FY 2009
Economic Production of Coal-to-Liquid Fuels	0.000	2.400	0.000

(U) This program will research the economic production of converting coal fuels to liquid fuels.

(U) Program Plans:

FY 2008 Plans:

- Research the economic production of converting coal fuels to liquid fuels.

	FY 2007	FY 2008	FY 2009
Reduce Environmental Impact of Coal-to-Liquid Fuels	0.000	2.400	0.000

(U) This program will research ways to reduce the environmental impact of converting coal fuels to liquid fuels.

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(U) Program Plans:

FY 2008 Plans:

- Research ways to reduce the environmental impact of converting coal fuels to liquid fuels.

## (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY 2	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Biologically Based Materials and Devices MBT-02	125.751	110	0.590	131.106	106.366	94.438	94.438	94.437

#### (U) <u>Mission Description:</u>

(U) This project acknowledges the growing and pervasive influence of the biological sciences on the development of new DoD capabilities. This influence extends throughout the development of new materials, devices and processes, and relies on the integration of biological breakthroughs with those in engineering and the physical sciences. Contained in this project are thrusts in the application of biology's unique fabrication capabilities to produce structures that cannot be made any other way, the application of magnetic materials in biological applications, and the development of manufacturing tools that use biological components and processes for materials synthesis. This project also includes major efforts aimed at integrating biological and digital sensing methodologies and maintaining human combat performance despite the extraordinary stressors of combat. Finally, this thrust will develop new diagnostics, therapeutics, and procedures to save lives on the battlefield, as well as restore full functional capabilities to combat amputees by developing a revolutionary upper limb prosthetic device.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
BioRobotics and BioMechanics*	25.000	7.000	1.000

\*Formerly Bioinspired Locomotion and Sensing.

(U) The BioRobotics and BioMechanics thrust explores approaches to capture biological systems' ability to move and sense, and emulate these in man-made robotic or sensor systems. The effort includes providing robotics with the mobility required to provide support to soldiers in all terrains, including climbing. This thrust also includes efforts to develop bioinspired swimming aids that will increase the speed and reduce the metabolic costs for combat divers, and make current devices (fins) obsolete for most tactical scenarios.

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BA2 Applied Research	PE 0602715E, Proje	ect MBT-02

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed bioinspired flow sensors (based on fish lateral lines) with a velocity sensitivity 10x better than current state-of-the-art sensors.
- Demonstrated velocity sensitivity better than .01 m/sec and an angular resolution of  $<5^{\circ}$  (over 360°).
- Completed modeling of the Melanophila beetles' infrared sensilla organ including photomechanics, microfluidics and microhydraulics.
- Designed, built and tested oscillating foil devices (OFDs), which decreased the metabolic cost of swimming 1km by 50%.
- Finalized the functional geometry of the OFD in a rebreather compatible configuration.
- Developed revolutionary processing approach for fabricating polymer gradient index (GRIN) lenses of almost any size. This
  technique allows the tailoring of the refractive index profiles in the radial direction as well as along the optical axis in a GRIN lens.
- Achieved GRIN lens Index variations of .12.
- Built and demonstrated a foveated vision system (120 degrees field of view) based on a new generation of high-birefringence liquid crystal (having an index change of >.6) spatial light modulator.
- Demonstrated tetrapod bio-inspired robot with dynamic stability over unplanned terrain including scree.
- Demonstrated carriage of >30kg load over unplanned terrain by tetrapod robot.
- Demonstrated vertical climbing bioinspired robot in both urban and forest environments.
- Signed transition MOA with USMC for tactical tetrapod robot.
- FY 2008 Plans:
- Design folding OFDs with 0.5 ft<sup>3</sup> packed volume. Perform simulated missions with OFD devices with elements of the Army, Navy, and Marines. Fabrication of sixty OFD units followed by operational validation. Transition to the military user.
- Design and demonstrate a GRIN lens solution for a night vision system operating in the short wave infrared (SWIR) band.
- Design and demonstrate a non-mechanical (adaptive optical) zoom riflescope based on viscous optical polymer lenses. Zoom riflescope will operate between 1x, 2x, 4x and 10x at the push of a button.
- Demonstrate mobility and range capability in a militarily relevant environment by traversing five miles of wooded terrain while following a human lead.
- Demonstrate dynamic climbing on vertical terrestrial features.

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FY 2009 Plans:

- Deliver fully integrated GRIN optical system to the Army Night Vision Laboratory to be incorporated into a light-weight, highperformance SWIR imaging system.
- Deliver and qualify (MilSpec shock/temperature) a non-mechanical zoom riflescope (1x through 10x) to the Army for field evaluation.

	FY 2007	FY 2008	FY 2009
Bioderived Materials	9.856	1.000	0.000

(U) The Bioderived Materials thrust explores the use of biological materials to support diverse Defense missions and/or technologies that enhance the capability of military biological platforms. Examples include the direct use of biological systems (e.g., plants) as sensors or antennas, as well as exploiting the work and energy harvesting capabilities of biological motors. Additional efforts provide sensor, localization, and communication technologies in direct support of military operations.

#### (U) Program Plans:

FY2007 Accomplishments:

- Demonstrated the utility of biomolecular motors for DNA transcription.
- Investigated the importance of quantum effects in biomotor function, performance and efficiency.

- Developed a biologically integrated stealthy platform for visual and auditory surveillance capabilities.

FY 2008 Plans:

- Demonstrate training of biological platform integrated with GPS, visual and auditory surveillance, in an urban-based environment.

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	FY 2007	FY 2008	FY 2009
Bioinspired Sensors*	9.000	11.500	12.900

\*Previously part of Bioderived Materials.

(U) The Bioinspired Sensors thrust explores the application of biomimetic principles to materials and devices of interest to the DoD. Specifically, the unique characteristics of biologically derived material and devices will be exploited through understanding, control and emulation of the structure and chemistry of the interface between man-made and biotic materials. This includes an effort to understand the mammalian olfactory system and develop a system that performs equal to or better than a canine, in distance and level of chemical detection. Biological hearing systems also provide localization accuracy much better than predicted by simple array theory. Such systems use complex interactions between reflections off the outer ear and finely tuned neural patterns that provide exquisite localization and sensitivity. This effort includes a program to mimic similar reflections and signal processing approaches suitable for small UAVs.

(U) Program Plans:

FY 2007 Accomplishments:

- Initiated a series of bioinspired materials and sensor (e.g., visual, auditory, olfactory, gustatory and tactile) studies to examine unique characteristics/signatures.
- Investigated the applications to improve current sensor technologies, such as:
  - -- Approaches for utilizing bio-derived components from the mammalian olfactory system for the design of novel chemo-sensing systems.
  - -- Prototype vision sensors based on the properties of the mammalian retina for the creation of high dynamic range sensor capabilities, and tactile sensors for novel situational awareness in robotic platforms.

FY 2008 Plans:

- Develop components for a sensitive, but flexible olfactory system built from and inspired by the structure and components of the mammalian olfactory system.
- Develop methods for high throughput generation of odorant molecules of interest and stable expression of receptor proteins in a cellbased system.

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- Complete design review of prototype olfaction system, for a small number of candidate odorant molecules, using cell-based detection.
- Explore the fundamental interaction of loading metals into plants and elevating their conductive properties through injectable solutions.

FY 2009 Plans:

- Develop brassboard system, with emphasis on synthetic cell or non-cellular expression (chip) for detection of relevant odorant molecules.
- Demonstrate rapid production and detection of new odorant molecule not previously expressed in the synthetic system.
- Exploit increased knowledge in biologic sensing architectures to determine relevant opportunities for improved RF sensing techniques.
- Develop new signal processing and waveform design approaches to facilitate improved sensitivity and localization accuracy with less weight and power.
- Study plant morphologies that will produce useful transmit and receive capabilities in a plant antenna.

	FY 2007	FY 2008	FY 2009
Maintaining Combat Performance	11.700	6.500	8.500

(U) The Maintaining Combat Performance thrust utilizes breakthroughs in biology and physiology to sustain the peak physical and cognitive performance of warfighters operating in extreme conditions. Today, warfighters must accomplish their missions despite extraordinary physiologic stress. Examples of these stressors include extremes of temperature (-20°F to 125°F), oxygen deficiency in mountains, personal loads in excess of 100 lbs, dehydration, psychological stress, and even performance of life-sustaining maneuvers following combat injury. Not only must troops maintain optimum physical performance, but also peak cognitive performance, which includes the entire spectrum from personal navigation and target recognition, to complex command and control decisions, and intelligence synthesis. The Maintaining Combat Performance thrust leverages breakthroughs in diverse scientific fields in order to mitigate the effects of harsh combat environments. For example, understanding the natural mechanisms for core body temperature regulation in hibernating mammals has led to a novel, practical approach for soldier cooling, which is now being evaluated by troops in the far forward combat areas. Other examples include fundamental research elucidating the biological mechanisms of

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adaptation to extreme altitude, the molecular correlates of muscle fatigue and psychological stress, and natural resistance to disease through dietary nutrients.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated a safe, natural dietary supplement that prevented post-stress viral illness in humans.
- Demonstrated a novel ketone-based dietary fat substitute that prevents fatigue in experimental models.
- Identified the biochemical mechanism of skeletal muscle fatigue.
- Developed an understanding of the biochemical and physiological causes of decreased cognitive performance during sleep deprivation through studying animal model systems, synaptic function, and transcranial magnetic stimulation (TMS).
- Validated approaches for natural interventions and other concepts that restore the cognitive performance capabilities of warfighters during extended periods of sleep deprivation and stress.

FY 2008 Plans:

- Establish biologic mechanism for illness prevention by Quercetin.
- Complete pharmacokinetic and pharmacodynamic studies in humans.
- Complete toxicology evaluation of ketone-based dietary fat substitute.
- Develop a human formulation of ketone-based diet and demonstrate tolerability in humans.
- Implement prototype hand cooling device for light armored vehicles.
- Investigate approaches for mitigating the effects of disrupted circadian cycles including the use of targeted napping, sound or stimulation enhanced slow wave sleep, light modulation and other restorative techniques.
- Identify novel methodologies to reduce fatigue in sleep deprived conditions through brief, restorative sleep and sleep-like experiences.
- Identify genetic indicators of acute mountain sickness and develop approaches to improve cardio-pulmonary function at high altitude.
- Demonstrate high altitude acclimation can be induced by an effector treatment.
- Demonstrate a >40% improvement from preconditioning prior to high altitude exposure in experimental animals.
- Identify  $\geq 2$  novel biochemical pathways adversely affected by physiological and/or psychological stress.

FY 2009 Plans:

- Demonstrate performance benefits of ketone-based supplement in humans.

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- Develop and test hand-based warming device on SEAL Delivery Vehicles.
- Develop and demonstrate a selective stabilizer of the skeletal muscle sarcoplasmic reticulum calcium channel.
- Demonstrate the direct cognitive benefit of fatigue reduction methodologies on operational task measures.
- Develop prototype technologies that enable operators to experience restorative sleep at any point in their circadian rhythm in the deployed environment.
- Determine the effects of nitric oxide on altitude acclimatization and methods to minimize acute mountain sickness.
- Identify countermeasures that normalize stress-induced biochemical changes.
- Demonstrate functional improvement in experimental models of stress.

	FY 2007	FY 2008	FY 2009
Cognitive Technology Threat Warning System (CT2WS)*	0.000	8.600	15.800

\*Previously part of Maintaining Combat Performance.

(U) Recent advances in computational and neural sciences indicate it is possible to push the visual threat detection envelope to enable more response choices for our soldiers than ever before. The objective of the Cognitive Technology Threat Warning System (CT2WS) program is to drive a breakthrough in soldier-portable visual threat warning devices by leveraging discoveries in the disparate technology areas of flat-field, wide-angle optics, large pixel-count digital imagers, visual processing pathways, neurally based target detection signatures and ultra-low power analog-digital hybrid signal processing electronics. This program will lead to the development of prototype soldier-portable digital imaging threat queuing systems capable of effective detection ranges of 1-10 km against dismounts and vehicles. Simultaneously, the system will survey a 120-degree or greater field of view, enabling the warfighter to detect, decide and act on the most advantageous timeline in complex operational environments.

(U) Program Plans:

FY 2008 Plans:

- Initiate system-level preliminary design of a prototype soldier-portable digital imaging visual threat cueing system capable of improving current effective detection ranges while simultaneously surveying wide field of view.

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<ul> <li>Evaluate methodologies for inclusion of wide angle optics, lat brain-derived target detection signatures and low power analo</li> <li>Demonstrate single path (20° x 20°) advanced optics on a breas performance and package volume.</li> </ul>	ge pixel count digital imagers, cognitive g-digital hybrid electronics. adboard system in a field environment co	e visual processing algorithms,

- Demonstrate composite software system capable of high fidelity threat detection with extremely low false alarm rates.

FY 2009 Plans:

- Develop integrated brassboard designs consistent with desired threat cueing performance with an increased field of view of 120° x 20° while maintaining size, weight and power constraints.
- Demonstrate visual/cognitive algorithm performance for threat detection on operationally significant image streams with probability of detection (>.98) and false alarm rates (<10) in less than thirty seconds of scan time.
- Complete critical design review of bench-integrated prototype system evaluations that demonstrate the capability of the design to meet the objective system program metrics.
- Evaluate device packaging approaches with the knowledge of ruggedization and robustness required for soldier-portable tactical electronic devices.

	FY 2007	FY 2008	FY 2009
Neovision2*	0.000	6.000	9.000

\*Previously part of Maintaining Combat Performance.

(U) Biological vision systems have the exquisite ability to recognize, categorize, and learn new objects in fractions of a second. While animals and humans accomplish this seemingly effortlessly and constantly, computational vision systems have, to date, been unable to replicate this feat of biology. The Neovision2 program is pursuing an integrated approach to developing an advanced object recognition capability based on the visual pathways in the mammalian brain. Specifically, this program will develop a cognitive sensor technology with limited size, weight, and power that transforms data from an imaging sensor suite into communicable knowledge for mobile, autonomous surveillance systems. To achieve the vision, the program will utilize advanced device design, signal processing and mathematical techniques across multiple brain regions to revolutionize the field and create a neuromorphic vision system. This effort originated in PE 0601101E, Project BLS-01.

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#### (U) Program Plans:

FY 2008 Plans:

- Develop, fabricate and complete functional test of a neuromorphic application specific integrated circuit (ASIC) for emulation of mammalian visual pathway functionalities.
- Initiate scaling studies for design of a complete system prototype for biological visual pathway capabilities.
- Demonstrate advanced algorithms for visual pathway functionality (saccade, foveate and basic object recognition) on the ASIC and validate using topological analysis techniques.

FY 2009 Plans:

- Demonstrate a complete breadboard visual pathway emulation of saccade, foveation and object recognition with visual inputs, neuromorphic processing and natural language outputs in real time.
- Design a second generation application specific integrated circuit (ASIC) with increased functionality for the emulation of all nodes within the mammalian visual pathway (retina through higher cortex).
- Incorporate further refinements and developments of visual pathway algorithms and neuromorphic hardware into brassboard design for production and testing.

	FY 2007	FY 2008	FY 2009
Tactical Biomedical Technologies	19.895	16.950	18.756

(U) The Tactical Biomedical Technologies thrust will develop new approaches to deliver life-saving medical care on the battlefield, as well as novel technologies for reconstruction and rehabilitation of severely injured warfighters. Implicit in this thrust is the fact that there are unique, warfighter-specific challenges in acute and chronic treatment that are not addressed by civilian research and development. Today, more than half of American battlefield fatalities are due to hemorrhage, particularly due to improvised explosive devices (IEDs). To prevent these deaths, there is an urgent need for technologies that enable relatively unskilled personnel (battlefield medics) to diagnose and treat injuries, including the ability to locate and coagulate non-compressible deep bleeders in the thorax or abdomen. Other critical needs stem from the fact that warfighters are frequently victims of blasts, causing patterns of brain, burn, and orthopedic injuries not seen in civilian medical practice. As such, there is a unique military need to develop systems for pain control that are safe even in medically unmonitored environments, such as an active battlefield.

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Once lives are saved, there is an unmet need for new methods to restore function, for example, by restoring long segments of bone that were lost due to blast fragmentation. Development of a transportable magnetic resonance imager (MRI) that is an order of magnitude smaller in volume and weight than current technologies will greatly improve battlefield care. The results of this program will greatly enhance our ability to save lives on the battlefield and provide restoration of normal function to survivors.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed an ultrasound transducer cuff and modeled device performance and biophysical parameters.
- Demonstrated ultrasound detection, localization and coagulation of a simulated deep bleeder in an *in-vitro* testbed.
- Down selected approaches for biomarker control of drug release.
- Manufactured prototype simplified automated ventilator portable ventilators in order to provide emergency respiratory support to far forward personnel.
- Miniaturized first generation portable ventilators and implemented autonomous flow control; extended duty-cycle and improved ease of use.
- Demonstrated 75% group survival without fluid resuscitation after 60% total blood volume hemorrhage.

FY 2008 Plans:

- Develop and test algorithms for bleeder detection, localization, coagulation, and cuff control; integrate into a complete system.
- Conduct in vivo and in vitro experiments to determine the effect of physiological variables on the deep bleeder acoustic coagulation (DBAC) algorithm.
- Demonstrate efficacy of freeze-dried platelet hemostatic agent in pre-clinical models.
- In collaboration with the Navy, conduct clinical studies of freeze-dried platelet in humans.
- Demonstrate an in vitro delivery system that releases a therapeutic dose of a pain drug based on a chosen biological signal and that the release of the drug can be "shut off" when a biomarker for toxic effect is present.
- Initiate in vivo studies of the drug delivery system in live experimental models.
- Finalize good laboratory practices models required for animal rule approval by the FDA.
- Complete studies and reports required for pre-investigational new drug evaluations.
- Determine optimum contrast mechanisms for very low field MRI brain images.

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- Determine method to assess intra-cranial pressure using MRI system.

FY 2009 Plans:

- Develop a fieldable prototype DBAC system that is automated and operates on batteries.
- Demonstrate DBAC system is capable of detecting and localizing clinically significant bleeder size, tracking the movement of the site
  of bleeding despite patient movement, coagulating the bleeder, and determining completion of coagulation without a human decision
  maker in the loop.
- Demonstrate performance of pharmaceutical delivery system with additional class of drug(s).
- Demonstrate initiation of the full tissue repair process after loss of a multi-tissue structure in a mammal.
- Develop target product profile for clinical transition of injury repair therapeutics.
- Demonstrate a sprayable nano-clot technology with an in vitro burst pressure greater than 95mm Hg without heat generation of greater than 5°C.
- Conduct final review of freeze-dried platelet product and transition to Army and Navy-sponsored clinical trials.
- Design low magnetic field MRI system capable of producing diagnostic-quality brain image.
- Demonstrate efficacy of "surviving blood loss" therapies in a Good Laboratory Practices (GLP) animal model.

	FY 2007	FY 2008	FY 2009
Trauma Pod*	6.000	8.500	10.500

\*Previously part of Tactical Biomedical Technologies.

(U) New approaches are necessary to deliver life-saving medical care on the battlefield. Research has demonstrated that several functions that currently take place in an operating room can be automated, such as tool and supply handling. Furthermore, these functions can be conducted faster and more effectively by autonomous machines making it possible to move these functions onto the battlefield. Developing the capability to perform autonomous diagnosis will assist the medic in determining the type and extent of the injury. Innovative procedure modules, imaging and surgical techniques, and a portable tactical platform will allow patient stabilization and provide precious additional time for transport to the combat support hospital.

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## (U) Program Plans:

FY 2007 Accomplishments:

- Performed computed tomography scan on human phantom mounted on a life support for trauma and transport stretcher.

- Demonstrated remote surgery on a surgical mannequin through robotic assistance.

FY 2008 Plans:

– Develop and test additional, fully automated surgical techniques including opening of an airway and insertion of an IV.

- Design integrated system capable of treating pneumothorax, internal hemorrhage, and head trauma.
- Demonstrate proof of principle imaging and surgical techniques on human phantoms and animal models.

FY 2009 Plans:

- Integrate imaging and surgical modules into a portable tactical platform and test overall system.
- Demonstrate imaging and automated imaging diagnosis of a tension pneumothorax, intracerebral bleeding, abdominal bleeding, and retroperitoneal bleeding in an animal model.
- Demonstrate surgical techniques of an airway on an anatomical model, and insertion of an IV, relief of tension pneumothorax, and control of internal bleeding on an animal model.
- Demonstrate scalability of system.

	FY 2007	FY 2008	FY 2009
Biological Interfaces*	0.000	2.500	5.000

\*Previously part of Tactical Biomedical Technologies.

(U) This thrust area explores and develops biological interfaces between biotic and abiotic materials. Examples include infection prevention/sterilization at the interface between skin and a battlefield medical device (such as a central intraveneous catheter) as well as enhancing the rehabilitation/recovery effectiveness of interfaces between bone and orthopedic stabilization devices.

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### (U) Program Plans:

FY 2008 Plans:

- Demonstrate reliable plasma-initiated million-fold reduction in bacterial count and 99.9% inactivation of bacterial spore population on artificial or animal skin surfaces.
- Determine biochemical variables that accelerate bone growth to integrate with mechanical factors.

FY 2009 Plans:

- Design and construct plasma-based catheter capable of bacterial and spore population reduction.
- Determine appropriate control laws for osseous distraction rates and timing based upon the measured quantities.

	FY 2007	FY 2008	FY 2009
Neuroscience Technologies*	5.300	9.500	12.000

\*Previously part of Maintaining Combat Performance.

(U) The Neuroscience Technologies thrust leverages recent advances in neurophysiology, neuro-imaging, cognitive science and molecular biology to sustain and protect the cognitive functioning of the warfighter faced with challenging operational conditions. Warfighters experience a wide variety of operational stresses, both mental and physical, that degrade critical cognitive functions such as memory, learning and decision making. Currently, the long-term impact of these stressors on the brain is unknown, both at the molecular and behavioral level. This thrust area will utilize modern neuroscientific techniques to develop quantitative models of this impact and explore mechanisms to protect and restore cognitive functioning following operational stressors. For example, molecular targets for the restoration of long term memory using micro-ribonucleic acids (mi-RNA) will be tested in animal models for their efficacy following stress and training. This project will also investigate the integration of recently-characterized properties of human brain function and real-time signal processing to enable rapid triage of target-containing imagery. This thrust area will have far-reaching implications for both current and future military operations, with the potential to protect warfighter cognitive performance both prior to and during deployment.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated that neural signals can be used to significantly (300-500%) improve throughput in visual analysis tasks such as imagery analysis, as compared to using an individual's visuomotor transformation (i.e., movement) based response.
- Identified robust neural signatures for visually salient objects in operationally relevant imagery.

FY 2008 Plans:

- Demonstrate a 10x improvement in long term memory performance thirty days after training, using short nucleotide sequences administered in a single animal model prior to training.
- Develop a comprehensive quantitative description of the impact stress has on the brain, including neurophysiological, cognitive and behavioral measures. This includes understanding the processes by which certain individuals are resilient to the negative effects of stress, understanding how to prevent deleterious effects of stress exposure without blocking the biological and behavioral responses necessary for survival.
- Develop both task-specific and task-independent methods and strategies for neurophysiology-based learning acceleration applicable across multiple domains.
- Determine the stability of neural signatures in complex imagery conditions, including imagery sources and target types.
- Initiate controlled operational tests to demonstrate utility of neural signatures in imagery analysis environment to motivate potential transition interest.

FY 2009 Plans:

- Evaluate delivery methods of mixtures of short nucleotide sequences for long-term memory enhancement and demonstrate a 10-fold enhancement in long-term memory with single and multiple training episodes in two animal models for >30 days.
- Demonstrate the elimination of a deleterious stress response in the mammalian brain through pre-treatment with either behavioral training or pharmacologic administration without negatively impacting normal memory and brain function.
- Demonstrate learning acceleration techniques feasible for use across a broad range of individuals and explore the potential for group/team learning paradigms for increased quantity of expertise production.
- Demonstrate significant increase in imagery throughput and analytic product generation on specific operational tasks.
- Develop prototype systems that utilize neural signatures to speed analysis and improve quality and accuracy of imagery exploitation.

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 Initiate transition of technologies and methodologies to operational use, while validating utility of neural signature inputs into imagery workflow.

	FY 2007	FY 2008	FY 2009
Bio-Magnetic Interfacing Concepts (BioMagnetICs) Materials	4.000	0.000	0.000

(U) The Bio-Magnetic Interfacing Concepts (BioMagnetICs) Materials program developed and demonstrated novel capabilities for integrating nanomagnetics with biology and demonstrated the advantages of magnetics as a powerful new transduction mechanism for detecting, manipulating, and controlling biological function in single cells and biomolecules. The state-of-the-art research "tools" that have allowed researchers to observe the most fundamental units of biology (cells, DNA, proteins, etc.) do not possess the resolution, precision, or high throughput capacity to enable manipulation and/or functional control of large numbers of cells and biomolecules. Such a capability would have a pervasive and paradigm shifting impact on future military and civilian applications of biotechnology including chem-bio detection, therapeutics, and medical diagnostics. Nanoscale magnetics offers the promise of a robust, non-invasive, non-destructive, multiplexing, and high throughput interface that is compatible with the nanometer scale at which the biochemistry of cellular function exists.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated proof of concept for novel magnetics-based approaches to therapeutics and diagnostics for military personnel.
- Demonstrated proof of concept for portable, magnetics-based DNA and biochemical sensors.
- Demonstrated proof of concept for high sensitivity magnetics based biosensor array and transitioned to the Defense Threat Reduction Agency.

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	FY	2007	FY 2008	FY 2009

	FY 2007	FY 2008	FY 2009
Military Medical Imaging*	7.500	6.040	9.000

\*Previously part of Tactical Biomedical Technologies.

(U) The Military Medical Imaging thrust will develop medical imaging capabilities to support military missions and operations. Examples include novel technologies to miniaturize and enhance the capabilities and speed of CAT scanners and to develop non-invasive imaging modalities for use by medics. The emergence of advanced medical imaging allows us to appreciate newly recognized physical properties of biological tissue, or metabolic pathway, or physiological function in order to map it into an image of diagnostic utility and performance. This need is ever increasing as we seek to better understand anatomical, functional and cellular level interactions. The advanced development of these tools will provide a formidable arsenal of diagnostic tools for warfighter performance and care.

(U) Program Plans:

FY 2007 Accomplishments:

- Determined cause of fatal injuries and provided assessments of vulnerabilities and recommendations for enhancements to current protective gear.
- Validated virtual data with data from actual procedures for virtual autopsy.
- Initiated development of relational database for image queries for virtual autopsy.
- Completed development of a new advanced X-ray detector (Pixel Irradiated Contact (PIC)) which provided X-ray detection at quantum-limited signal-to-noise ratios over a thousandfold dynamic range.
- Built low power, demountable X-ray tube source.
- Demonstrated 3x improvement in photon production compared to conventional source.
- Demonstrated 5x improvement in X-ray vertex angle.
- X-ray source provided 2x yield, cone beam uniformity and 3.75x resolution in z dimension.

FY 2008 Plans:

 Incorporate rapid mission rehearsal thrust technologies with computer-aided forensic methods into after-action review to aid in reconstructing incidents from existing data.

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 Complete development of a new transmission anode X-ray source having 2.5 times higher yield and efficiency than conventional reflection anode X-ray tubes and a 40 degree vertex angle.

FY 2009 Plans:

- Demonstrate that an incident can be fully reverted to initial conditions using only injury and vehicle data.
- Utilize reconstructed scenarios for assessment of "lessons learned" and to gain immediate and relevant tactical battlefield knowledge.
- Develop a new dual-energy transmission anode X-ray source enabling dual-energy, digital-subtraction contrast imaging specifically targeting the detection of occult bleeding in battlefield casualties.

	FY 2007	FY 2008	FY 2009
Revolutionizing Prosthetics	25.000	23.500	24.650

(U) The goal of this thrust is to radically improve the state of the art for upper limb prosthetics, moving them from crude devices with minimal capabilities to fully integrated, fully functional limb replacements. Current prosthetic technology generally provides only gross motor functions, with very crude approaches to control. This makes it difficult for wounded soldiers to return to military service. The advances required to provide fully functional limb replacements will be achieved by an aggressive, milestone driven program combining the talents of scientists from diverse areas including: medicine, neuroscience, orthopedics, engineering, materials science, control and information theory, mathematics, power, manufacturing, rehabilitation, psychology and training. The results of this program will radically improve the ability of combat amputees to return to normal function.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Constructed and tested three upper extremity limb prototypes incorporating features of advanced control, sensory feedback, and high degrees of articulation.
- Developed control methods using brain/neural activity as well as methods based on natural body movements supplemented by residual limb control.
- Began clinical testing of prototype limbs with amputee populations through surgical and non-surgical control methods.

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FY 2008 Plans:

- Perform testing and evaluation required for initiation of clinical trials.
- Design and manufacture prototype limb including biomimetic articulation, longevity of power consumption, and strength and weight which emulate form, function, and response of natural biological limbs.
- Develop and demonstrate a clinical prototype virtual integration environment.
- Initiate clinical testing of initial limb prototype in combat amputees at military medical centers.
- Develop strategies and technologies for commercial manufacture.

FY 2009 Plans:

- Integrate sensory feedback into prosthetic devices.
- Evaluate sensory feedback in patients with targeted neural re-implantation.
- Complete design of chip for transmission of central nervous system motor signals.
- Evaluate chip in experimental models.
- Demonstrate the ability to implement brain/neural control with sensor feedback in a control architecture that combines the kinetics and mechanics (degrees of freedom) of natural movement, including the realization of proprioception and reflex.
- Develop clinical protocol for testing of four-year prosthetic devices at military medical centers.
- Initiate manufacture plan consistent with Good Manufacturing Practices (GMP).

	FY 2007	FY 2008	FY 2009
Biodemilitarization of Munitions	0.000	3.000	4.000

(U) Based on results from the External Protection Program in PE 0602383E, Project BW-01, the Biodemilitarization of Munitions program will develop a system for rapid, safe, and effective inactivation of explosive munitions stockpiles in place. If these stockpiles can be removed, the raw materials for constructing improvised explosive devices will be greatly reduced. Chemical and biological technologies and control processes will be developed that rapidly perforate munition casings and alter the explosive fill. The perforation and explosive alteration technologies will be integrated into a fieldable system and tested against munitions stockpiles.

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#### (U) Program Plans:

FY 2008 Plans:

- Investigate technologies for rapidly perforating diverse types of munitions casings.
- Develop mathematical models that describe the perforation and inactivation technologies.
- Investigate technologies for rapidly inactivating diverse types of explosive fill.

FY 2009 Plans:

- Test system against explosive munitions with 155 mm projectiles.
- Develop prototype fieldable system.
- Integrate technologies into a prototype system.
- Test system against munitions stockpiles.

	FY 2007	FY 2008	FY 2009
Bio-Fabrication (B-FAB)	2.500	0.000	0.000

(U) The Bio-Fabrication (B-FAB) program demonstrated the feasibility of using biochemical processes as a new nanofabrication toolset to synthesize and manufacture chemicals, materials, and devices of high value to the DoD. Such approaches would be useful as part of the nanostructure for highly efficient solar cells. Other targets for demonstration within this program included scalable technologies for opto-electronic materials and devices, mechanical materials, and site-directed-synthesis.

## (U) Program Plans:

FY 2007 Accomplishments:

- Developed bio-enabled routes for the fabrication of relevant electronic, optical, or structural materials.
- Demonstrated the essential capacity for the fabrication of the materials at the scale of interest (2-20nm range control).
- Demonstrated the capability to produce bio-fabricated materials with chemically and spatially modulated properties.
- Designed, developed, and integrated bio-fabricated optical devices with improved cost characteristics.

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## (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 20	)11	FY 2012	FY 2013
Total Program Element (PE) Cost	215.742	19	6.707	211.457	229.195	237.7	67	238.162	248.066
Electronics Technology ELT-01	215.742	19	6.707	211.457	229.195	237.7	767	238.162	248.066

#### (U) <u>Mission Description:</u>

(U) This program element is budgeted in the Applied Research budget activity because its objective is to develop electronics that make a wide range of military applications possible.

(U) Advances in microelectronic device technologies, including digital, analog, photonic and microelectromechanical systems (MEMS) devices, continue to have significant impact in support of defense technologies for improved weapons effectiveness, improved intelligence capabilities and enhanced information superiority. The Electronics Technology program element supports the continued advancement of these technologies through the development of performance driven advanced capabilities, exceeding that available through commercial sources, in electronic, optoelectronic and MEMS devices, semiconductor device design and fabrication techniques, and new materials and material structures for device applications. A particular focus for this work is the exploitation of chip-scale heterogeneous integration technologies that permit the optimization of device and integrated module performance.

(U) The phenomenal progress in current electronics and computer chips will face the fundamental limits of silicon technology in the early 21st century, a barrier that must be overcome in order for progress to continue. Another thrust of the program element will explore alternatives to silicon-based electronics in the areas of new electronic devices, new architectures to use them, new software to program the systems, and new methods to fabricate the chips. Approaches include nanotechnology, nanoelectronics, molecular electronics, spin-based electronics, quantum-computing, new circuit architectures optimizing these new devices, and new computer and electronic systems architectures. Projects will investigate the feasibility, design, and development of powerful information technology devices and systems using approaches to electronic device designs that extend beyond traditional Complementary Metal Oxide Semiconductor (CMOS) scaling, including non silicon-based materials technologies, to achieve low cost, reliable, fast and secure computing, communication, and storage systems. This investigation is aimed at developing new capabilities from promising directions in the design of information processing components using both inorganic and organic substrates, designs of components and systems leveraging quantum effects and chaos, and innovative approaches to computing designs

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incorporating these components for such applications as low cost seamless pervasive computing, ultra-fast computing, and sensing and actuation devices. This project has five major thrusts:

- Electronics: The manipulation of electrons in digital, analog, and mixed signal circuits for sensing, processing, and communications. This thrust includes such programs as Advanced Microsystems Technology Program; Applications of Molecular Electronics (MoleApps); High Frequency Wide Band Gap Semiconductor Electronics Technology; High Power Wide Band Gap Semiconductor Electronics Technology; J-Band Advance Digital Receiver (JADR); Ideal Channel Electronics (ICE); Quantum Information Science (QIS); Robust Integrated Power Electronics (RIPE); Submillimeter Wave Imaging FPA Technology (SWIFT); Technology Efficient Agile Mixed Signal Microsystem (TEAM); Technology for Frequency Agile Digitally Synthesized Transmitters (TFAST); Feedback-Linearized Microwave Amplifiers; Terahertz Imaging Focal-Plane Technology (TIFT); Trusted, Uncompromised Semiconductor Technology (TrUST); Carbon Electronics for RF Applications (CERA); Compound Semiconductor Materials On Silicon (COSMOS); Compact Vacuum Electronic Radio Frequency Technology (COVERT (HiFIVE)); Steep-subthreshold-slope Transistors for Electronics with Extremely-low Power (STEEP); Semiconductor-Tuned HTS Filters for Ultra-Sensitive RF Receivers (SURF); Thz Transistors; and Ultra-low Power Subthreshold Electronics (UPSE).
- Photonics: The generation, detection, and modulation of photons for imaging, communications, and sensing. This thrust encompasses the following programs: Adaptive Focal Plane Arrays (AFPA); Advanced Precision Optical Oscillator (APROPOS); Bio-Electronics and Photonics; Chip-to-Chip Optical Interconnects; Photonic Analog Signal Processing Engines with Reconfigurability (PhASER); Parametric Optical Processes and Systems (POPS); Linear Photonic radio frequency (RF) Front End Technology (PHOR-FRONT); Optical Arbitrary Waveform Generation (OAWG); Transparent Displays; Systems of Neuromorphic Adaptive Plastic Scalable Electronics (SyNAPSE); Technology for Agile Coherent Optical Transmission & Signal Processing (TACOTA); Ultrabeam; Photonic Bandwidth Compression for Instaneous WideBand Analog to Digital (A/D) Conversion; Novel Technologies for Optoelectronics Materials Manufacturing (NTOMM); Optical Antenna Based on Nanowires; Short Range Wide-field-of-regard Extremely-agile Electronically-Steered Photonic Emitter & Receiver (SWEEPER); Ultra Low Loss Photonic Integrated Circuits and Processors; Visible InGan Injection Lasers (VIGIL); Ultra Fast Lasers with Response > 100 GHz; Precision OptoMechanics Mechanical Properties of light; Raman Beam Combining and Cleanup; Frequency Domain Analog Optical Signal Processor; Receiver Power Optimized for Reconnaissance and Tagging (REPORT); Non-Contact EEG Technologies (NET); and Ultra-low Power Subthreshold Electronics.

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- MicroElectroMechancial Systems (MEMS): Exploitation of the processing tools and materials from semiconductor technology to build electro-mechanical structures at the micro- and nano-scale. The MEMS thrust encompasses: 3-D Microelectromagentic RF Systems (3-D MERFS); Chip Scale Atomic Clock; Radioisotope Micropower Sources (RIMS); and Micro Isotope Micro-Power Sources (MIPS).
- Architectures: Exploitation of new arrangements of materials, devices, and circuits to increase performance or reduce power. Programs under this thrust include: Analog-to-Information (A-to-I); Computational Imaging (CI); Design Tools for 3-Dimensional Electronic Circuit Integration; Multiple Optical Non-Redundant Aperture Generalized Sensors (MONTAGE); Polymorphous Computing Architecture (PCA); Vertically Interconnected Sensor Arrays (VISA); and Structured ASIC Design (StASD).
- Algorithms: Exploitation of insights into mathematical constructs for data representation, process control, and discrimination routines by leveraging knowledge of Microsystem hardware operation. Programs under this thrust include: Cognitively Augmented Design for Quantum Technology (CAD-QT); Design-space Exploration and Synthesis Technology for Integrating nontraditional Microsystems at yield (DESTINY); Non-Linear Math for Mixed Signal Microsystems; Processing Algorithms with Co-design of Electronics (PACE); and Quantum Sensors.
- Other Electronic Technology Research: National Secure Foundry Initiative; Characterization, Reliability and Applications for 3-D Microdevices; and 3-D Technology for Advance Sensor Systems.

#### (U) <u>Program Accomplishments/Planned Programs:</u>

	FY 2007	FY 2008	FY 2009
Advanced Microsystems Technology Program	5.000	5.000	5.000

(U) The Advanced Microsystems Technology program will explore a range of advanced microsystem concepts well beyond existing current technologies. The program focuses on technologies that exploit 3-dimensional (3-D) structures, new materials for Gieger mode detectors, advance patterning, and extreme scaling in silicon devices. Insights derived in these areas will be exploited in future program initiatives. These initiatives include advanced high-resolution lithography, high speed avalanche devices with response out to 2 micrometers (um); integration of periodic elements III-V material with silicon; and novel cryogenic electronics.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Established and exercised multi-project wafer runs for 3-D integrated circuits.
- Demonstrated bonding and functionality of Silicon-On-Insulator circuits to Indium Phosphide detectors.
- Extended maskless multiple exposure system to 2x smaller features.

FY 2008 Plans:

- Demonstrate photoresist capable of multiple in-situ exposure with enhanced resolution.
- Demonstrate sub-35 nanometer (nm) half-pitch interometric liquid exposure capability.

FY 2009 Plans:

- Prepare report analyzing prospects for beyond roadmap technologies.
- Deliver data on ultra-low voltage operation of Silicon CMOS for DoD applications.

	FY 2007	FY 2008	FY 2009
Applications of Molecular Electronics (MoleApps)	3.638	0.000	0.000

(U) The goal of the Applications of Molecular Electronics (MoleApps) program extended the capabilities being developed in the previous Moletronics program to demonstrate the computational processing capabilities of molecular electronics in a system that integrates memory with control logic and data paths. This approach allowed the use of simpler processor designs to demonstrate the advantages of nano-scale molecular electronics that do not have the conventional circuitry overhead associated with modern pipeline chip designs.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Constructed combinatorial logic functions assembled from molecular-scale components.
- Demonstrated molecular electronics sensor array capable of probability of detection > 0.95 and false positive < 0.01.
- Demonstrated sequential logic, control and Input/Output (I/O) circuit compatible with memory and full computer design.

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	FY 2007	FY 2008	FY 2009
High Frequency Wide Band Gap Semiconductor Electronics Technology	30.711	25.000	25.000

(U) The High Frequency Wide Band Gap Semiconductor Electronics Technology program is developing high performance, cost-effective high-power electronic devices that exploit the unique properties of wide band gap semiconductors. Specifically, this program will develop low defect epitaxial films, high yield fabrication processes, and device structures for integrated electronic devices for emitting and detecting high-power radio frequency/microwave radiation, and high power delivery and control.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed bulk and surface process technologies for reducing or mitigating crystallographic defects in wide bandgap materials.
- Developed semi-insulating substrates for high frequency devices.
- Designed high power enclosures for microwave electronic assemblies.
- Demonstrated large periphery high power devices suitable for microwave and mm-wave operation.
- Demonstrated process reproducibility and minimization of yield limiting factors.
- Established device characterization for very high power solid-state amplifiers.
- Demonstrated 100 mm Silicon Carbide (SiC) and wide band gap alternate substrates with less than 80 micropipe/cm<sup>2</sup> and resistivity 10<sup>6</sup> ohms-cm.

FY 2008 Plans:

- Demonstrate epitaxial processes that yield + 3% uniformity over 75 mm wide bandgap substrates.
- Initiate thermal management study to determine best packaging approach for high power, high frequency microwave and millimeter wave transistors.
- Demonstrate 100 mm SiC and wide band gap alternate substrates with less than 40 micropipe/ $cm^2$  and resistivity  $10^7$  ohms-cm.
- Demonstrate epitaxial processes that yield + 1% uniformity over 100 mm wide bandgap substrates.
- Identify fabrication processes for robust microwave and mm-wave devices.

FY 2009 Plans:

- Identify thermal management concepts to sustain more than 1 KW/cm<sup>2</sup> power density in high-power devices.

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- Optimize wide bandgap semiconductor materials to achieve 100 mm substrates with less than 10 micropipe/cm<sup>2</sup> and resistivity greater than 10<sup>7</sup> ohms-cm at room temperature.
- Demonstrate fabrication processes for robust microwave and mm-wave devices with radio frequency yields greater than 70 percent.
- Demonstrate thermal management concepts to sustain more than 1KW/cm<sup>2</sup> power density in high power device.

	FY 2007	FY 2008	FY 2009
High Power Wide Band Gap Semiconductor Electronics Technology	10.500	1.500	1.000

(U) An initiative in High Power Wide Band Gap Semiconductor Electronics Technology will develop components and electronic integration technologies for high power, high frequency microsystem applications based on wide bandgap semiconductors.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed low defect conducting Silicon Carbide (SiC) substrate consistent with yielding 1 cm<sup>2</sup> devices.
- Developed lightly doped, thick (more than 100 micron) SiC epitaxy with low defects to enable 10 kV class power devices.
- Developed low on-state resistance SiC diodes capable of blocking 10 kV.
- Demonstrated SiC wafer and thick epitaxy with less than 1.5 catastrophic defects per cm<sup>2</sup> consistent with 10 kV reverse blocking.
- Initiated work on Megawatt class SiC power device able to switch at more then 100 kHz.
- Initiated work on packaging of high power density, high temperature SiC power electronics.

FY 2008 Plans:

- Demonstrate megawatt Class SiC power devices.
- Demonstrate high power density packaging for greater than 10 kV operations.

FY 2009 Plans:

- Develop integrated power control logic compatible with high temperature and power SiC power devices.

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	FY 2007	FY 2008	FY 2009
Quantum Information Science (QIS)	8.240	4.240	3.350

(U) The Quantum Information Science (QIS) program will explore all facets of the research necessary to create new technologies based on quantum information science. Research in this area has the ultimate goal of demonstrating the potentially significant advantages of quantum mechanical effects in communication and computing. Expected applications include: new improved forms of highly secure communication; faster algorithms for optimization in logistics and wargaming; highly precise measurements of time and position on the earth and in space; and new image and signal processing methods for target tracking. Technical challenges include: loss of information due to quantum decoherence; limited communication distance due to signal attenuation; limited selection of algorithms and protocols; and larger numbers of bits. Error correction codes, fault tolerant schemes, and longer decoherence times will address the loss of information. Signal attenuation will be overcome by exploiting quantum repeaters. New algorithm techniques and complexity analysis will increase the selection of algorithms, as will a focus on signal processing. The QIS program is a broad-based effort that will continue to explore the fundamental open questions, the discovery of novel algorithms, and the theoretical and experimental limitations of quantum processing as well as the construction of efficient implementations.

(U) Program Plans:

FY 2007 Accomplishments:

- Refined quantum architecture and designed solutions for problems such as graph isomorphism, imaging, and signal processing.
- Investigated alternative protocols for secure quantum communication, quantum complexity, and control.

- Integrated improved single and entangled photon sources and detectors into existing quantum communication networks.

FY 2008 Plans:

- Investigate alternative designs, architectures and devices for quantum communication and demonstrate high-rate (1Gbit/sec) quantum-secure communication over a single link.

FY 2009 Plans:

- Investigate unresolved fundamental issues related to quantum information science.
- Employ qubit architectures to demonstrate an application of interest to the DoD (e.g., quantum repeater, secure metropolitan-area network).
- Demonstrate interoperation between multiple qubit types to interconnect quantum communications links.

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	FY 2007	FY 2008	FY 2009
Robust Integrated Power Electronics (RIPE)	8.879	5.753	4.800

(U) The Robust Integrated Power Electronics (RIPE) program will develop new semiconductor materials, devices, and circuits that enable highly compact, highly efficient electronic power converter modules. These new modules will be capable of providing up to 50kW of power per module at a power density of 500W/cubic inch. Based on fundamental material properties, the new power modules will be capable of operating in harsh environments. These new power converters will reduce the launch weight of space-based platforms by hundreds of pounds and will enable new modes of operation where the power conversion is done at the point of load and provides high quality power to payloads. Application of RIPE on Naval surface ships would result in a significant reduction of power supply weight; allowing for additional electronic components and/or weapons.

(U) Program Plans:

FY 2007 Accomplishments:

- Identified key technical challenges and quantified impact on potential platforms.

FY 2008 Plans:

- Perform concept study to define opportunities for smart power and the potential for integrating silicon carbide, or other wide band gap semiconductor, with silicon electronics.
- Select and optimize wide band gap materials and processes for smart power circuits.

FY 2009 Plans:

- Develop integration techniques for silicon carbide, or other wide bandgap semiconductor, onto silicon and/or silicon onto silicon carbide.
- Develop low on-resistance, fast switching silicon carbide power devices with hybrid control electronics.

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	FY 2007	FY 2008	FY 2009
Submillimeter Wave Imaging Focal Plane Array (FPA) Technology (SWIFT)	5.116	5.260	0.000

(U) The Submillimeter Wave Imaging Focal Plane Array (FPA) Technology (SWIFT) program will develop revolutionary component and integration technologies to enable exploitation of this spectral region. A specific objective will be the development of a new class of sensors capable of low-power, video-rate, background and diffraction limited submillimeter imaging.

#### (U) Program Plans:

FY 2007 Accomplishments:

Developed compact, efficient, and high-power THz (terahertz) sources using new electronic and frequency conversion approaches.
 FY 2008 Plans:

- Develop sensitive and large format receiver arrays, advanced integration, and backend signal processing techniques.

- Develop and demonstrate a submillimeter focal plane imager.

	FY 2007	FY 2008	FY 2009
Technology Efficient, Agile Mixed Signal Microsystem (TEAM)	5.254	0.000	0.000

(U) Technology for Efficient, Agile Mixed Signal Microsystems (TEAM) explored fabrication of high performance mixed signal systems-onchip that will be the core of the embedded electronics in new platforms that are constrained by size and on-board power.

### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated several large-scale compelling radio frequency (RF)-technology integrated circuits (ICs):
  - -- 60 GHz (gigahertz) transceiver.
  - -- Radar-on-a-chip front end demo (7 18 GHz).
  - -- Electronic warfare receiver (2-18 GHz).

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- Developed enhanced passive components for silicon-germanium (SiGe) RF technology.

	FY 2007	FY 2008	FY 2009
Technology for Frequency Agile Digitally Synthesized Transmitters (TFAST)	8.120	7.420	0.000

(U) The Technology for Frequency Agile Digitally Synthesized Transmitters (TFAST) program (Ultra High-Speed Circuit Technology) will develop super-scaled Indium Phosphide (InP) Heterojunction Bipolar Transistor (HBT) technology compatible with a ten-fold increase in transistor integration for complex mixed signal circuits. Phase I established the core transistor and circuit technology to enable the demonstration of critical small scale circuit building blocks suitable for complex mixed signal circuits operating at speeds three times that currently achievable and ten times lower power. Phase II is extending the technology to the demonstration of complex (more than 20,000 transistors) mixed signal circuits with an emphasis on direct digital synthesizers for frequency agile transmitters.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed material and processed technology for super-scaled InP double heterostructure bipolar transistors (DHBTs).
- Extended the core DHBT and interconnect technology with the implementation of complex mixed signal circuits.
- Developed super-scaled InP HBT processing technology for 0.25 micron and below.
- Developed greater than 100 gigahertz (GHz) mixed signal circuit building blocks.
- Demonstrated a critical mixed signal building block circuit operating at more than 100 GHz.
- Developed circuit designs for direct digital frequency synthesizers (DDS) operating with clock speed up to 30 GHz.
- Demonstrated world's fastest transistor, frequency divider, and mixed signal circuit.
- FY 2008 Plans:
- Develop full circuit capability using super-scaled InP HBTs in complex (more than 20,000 transistor) circuits.
- Establish device models and critical design rules.
- Continue further development of world's fastest InP HBT device technology.

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	FY 2007	FY 2008	FY 2009
Feedback-Linearized Microwave Amplifiers	5.580	4.970	3.840

(U) Modern military platforms are requiring increased dynamic range receivers for their onboard communications, in both radar and electronic warfare antenna systems. The goal of the Feedback-Linearized Microwave Amplifiers program is to develop radio frequency (RF) amplifiers with revolutionary increased dynamic range receivers through the use of linear negative feedback. This program will develop the core technologies and components that may be used as building blocks and/or modules in future system applications. This program will leverage technologies from the TFAST program.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated record ultra-wideband high-linearity Indium Phosphide (InP) Heterojunction Bipolar Transistor (HBT)-based RF operational amplifier and record InP high electron mobility transistor (HEMT).
- Demonstrated world's first enhancement-mode InP HEMT.
- Demonstrated ultra-high linearity RF amplifiers.

FY 2008 Plans:

- Develop InP HBT-based ultra-high linearity low-noise amplifier circuit architecture and develop low-noise InP HEMT devices.
   FY 2009 Plans:
- Develop and enhance InP HBT-based RF operational amplifier and InP HEMT-based ultra-low-noise amplifier.

	FY 2007	FY 2008	FY 2009
Terahertz Imaging Focal-Plane Technology (TIFT)	10.667	10.440	7.000

(U) The Terahertz Imaging Focal-Plane Technology (TIFT) program will demonstrate large, multi-element (> 40K pixels) detector receiver focal plane arrays that respond to radiation in the terahertz (THz) band (> 0.557 THz). The sensor system will be able to operate effectively at a stand-off range (> 25m) with a high spatial resolution (< 2 cm) limited only by beam diffraction. The imaging receiver will produce a two-

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dimensional image in which each pixel records the relative intensity of the THz radiation received on the focal plane within the appropriate section of the field of view of the scene being sensed. The program will achieve intensity sensitivities as close as possible to the thermal background limit at room temperature. The minimal acceptable acquisition time is video-rate (30 Hz (hertz)). The receiver may be either passive or active (including THz time domain methods). The size, weight, and electrical power requirements will be consistent with portability.

(U) Program Plans:

FY 2007 Accomplishments:

 Demonstrated revolutionary component and integration technologies necessary for the development of a diffraction-limited, video-rate THz (at least 0.557 x 10<sup>12</sup>Hz) frequency imager.

FY 2008 Plans:

- Demonstrate a compact THz source achieving at least 10 mW of average power and 1% wall plug efficiency, as required for active illumination and/or for local oscillators in heterodyne or homodyne detection schemes.

FY 2009 Plans:

Demonstrate a THz receiver capable of achieving a noise equivalent power of less than 1 pW/Hz<sup>1/2</sup> as measured with an integrated acquisition time of no more than 30 milliseconds and a pre-detection bandwidth of no more than 50 GHz (gigahertz), as required in order to achieve a system-level noise equivalent delta temperature of 1K or better.

	FY 2007	FY 2008	FY 2009
Trusted, Uncompromised Semiconductor Technology (TrUST)	12.982	19.817	2.000

(U) The Trusted, Uncompromised Semiconductor Technology (TrUST) program will explore techniques to insure Integrated Circuits (ICs) of interest to the DoD can be certified as trustworthy after fabrication. These efforts will compliment other maskless lithography and verifiable design programs. The first thrust will develop new tools and techniques for rapidly analyzing fabricated circuits and comparing the circuit topology to that of the design produced at the trusted design source. The second thrust will exploit emerging research in 3-dimensional (3-D) stacked and monolithic circuits to distribute, or segment, a complex IC into smaller sub-circuits. In this way, the sub-circuits can be fabricated separately, making it more difficult to compromise the complete circuit and making it easier to characterize each circuit for trustworthiness. This approach will also leverage the performance advances projected for 3-D architectures. The final thrust will explore novel ways to add a "hardware jacket" to complete IC's that will serve to monitor the circuits' performance and raise a flag if unspecified operations are encountered.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed new tools and techniques for rapidly analyzing fabricated circuits and comparing the circuit topology to that of the design produced at the trusted design source.

- Exploited emerging research in 3-D stacked and monolithic circuits to distribute, or segment, a complex IC into smaller sub-circuits. FY 2008 Plans:

- Explore novel ways to add a "hardware jacket" to complete ICs that will serve to monitor the circuits' performance and raise a flag if unspecified operations are encountered.
- Develop distributed circuit architectures by building trusted circuits through 3-D segmented designs.

#### FY 2009 Plans:

- Explore Integrated Circuit monitoring for deployed performance verification.

	FY 2007	FY 2008	FY 2009
Carbon Electronics for RF Applications (CERA)*	0.000	4.406	5.000

\*Formerly titled Co-integration of Carbon-Based RF Electronics with Silicon Technology (CrEST).

(U) The Carbon Electronics for RF Applications (CERA) program seeks to develop metal oxide silicon field effect transistors based on the planar carbon monolayer (graphene) system. Such a system has most of the desirable properties of carbon nanotubes, but found in a planar geometry, which is much more compatible with standard Complementary Metal-Oxide Semiconductor (CMOS) processing. The 10x mobility enhancement of graphene with respect to silicon will be exploited for high performance (high current drive) and low power electronics applications. The excellent mobility is achieved in a monolayer system, which is ideal from the electrostatic (i.e., gate control) point of view enabling efficient scaling to very small device geometries. Graphene Field-Effect Transistor (FET) devices are envisioned to be an enhancement, not replacement for silicon CMOS, for critical radio frequency or mixed signal circuit elements. Thus, the demonstrated integration of graphene devices into standard silicon CMOS processing is a key task of this program.

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(U) Program Plans:

FY 2008 Plans:

- Demonstrate hybrid graphene-silicon CMOS circuits for high performance and low power applications.

FY 2009 Plans:

- Integrate graphene devices into standard silicon CMOS processing.

	FY 2007	FY 2008	FY 2009
Compound Semiconductor Materials On Silicon (COSMOS)	6.579	6.680	16.040

(U) The objective of the Compound Semiconductor Materials On Silicon (COSMOS) program will be to develop new methods to tightly integrate compound semiconductor technologies within silicon CMOS circuits in order to achieve unprecedented circuit performance levels. Currently, heterogeneous integration of compound semiconductors with silicon is typically achieved through the use of multi-chip modules and similar assemblies. While adequate for relatively low performance applications (e.g., power amplifiers for cellular telephone handsets), the integration complexity that can be achieved in this manner is extremely limited. At the other end of the spectrum, epitaxial methods to grow III-V materials onto silicon substrates have generally proven unsatisfactory due to high defect densities, cost, and inflexibility in supporting multiple technologies. Instead, COSMOS will focus on an intermediate approach, which is likely to be the most successful strategy in terms of performance, size and cost. This will involve sub-circuit integration in which III-V materials devices are placed onto a processed CMOS wafer.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated ultra-low power dissipation circuits.
- Investigated approaches that permit mix-and-match of devices processed either before or after placement.
- Demonstrated device placement and interconnect capabilities.

- Refined and demonstrated an approach for intimate compound semiconductor/CMOS integration.

FY 2008 Plans:

- Develop methods for sub-circuit integration onto fully processed CMOS wafers.
- Develop scalable electro-magnetic (EM), thermal and mechanical models.

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- Estimate thermal and mechanical properties of integration materials and perform thermal and stress modeling to determine and improve the viability of the COSMOS thermal and mechanical design.

FY 2009 Plans:

- Fabricate wafers using the COSMOS process.
- Evaluate alignment and bonding methods to achieve mechanical integrity of dissimilar materials, post-processing compatibility with CMOS, and the achievement of high fabrication yields.
- Extend the capabilities of wide band gap devices for use in power amplifiers (PAs) at frequencies at least as high as X-band and to make this technology useful at very high frequencies.
- Demonstrate large (>1 mm) devices.
- Decrease the number of optical phonons in the critical gate region of radio frequency (RF) PA devices.

	FY 2007	FY 2008	FY 2009
Steep-subthreshold-slope Transistors for Electronics with Extremely-low Power	0.000	8.000	8.730

(U) The Steep-subthreshold-slope Transistors for Electronics with Extremely-low Power (STEEP) program seeks to develop field emission (tunneling) based Metal Oxide Silicon Field Effect Transistors (MOSFETs). Such devices would enable lowering supply voltages by 5x, which would result in an active power savings of 25x and a stand-by power saving of at least 5x. Prototype circuits will be developed showing such power savings with little to no impact on performance (current drive). These field emission devices will be integrated into standard CMOS based processing methods and offer significant CMOS power reduction with no performance penalty.

### (U) Program Plans:

FY 2008 Plans:

- Develop novel MOSFET switch with significantly steeper sub-threshold slope.
- Develop CMOS process integration.

FY 2009 Plans:

- Optimize drive current in presence of tunneling barrier.
- Demonstrate ultra-low power, high performance prototype circuits.

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	FY 2007	FY 2008	FY 2009
COmpact Vacuum Electronic Radio-frequency Technology (COVERT) (HiFIVE)	0.000	4.000	5.000

(U) The goal of the Compact Vacuum Electronic Radio-frequency Technology (COVERT) (HiFIVE) program is to demonstrate microfabricated, integrated vacuum tubes operating at 220 gigahertz (GHz) with a minimum of 50 watts of output power and 5 GHz bandwidth. The COVERT program figure of merit will be power bandwidth product, and the goal is to achieve 500 power-bandwidth (W-GHz). The ultimate goal is to develop a micro-fabricated, high-bandwidth, high-power "upper" millimeter-wave (220 GHz) amplifier consisting of an integrated high-power amplifier (HPA) consisting of a solid-state millimeter-wave monolithic integrated circuit (MMIC) driver, an integrated cathode, compression optics, micromachined interaction structure, and beam collector.

(U) Program Plans:

FY 2008 Plans:

- Demonstrate a high aspect ratio beam with required power and transport efficiency.

FY 2009 Plans:

- Validate cold test interaction of structure design and high current density cathode.

	FY 2007	FY 2008	FY 2009
Semiconductor-Tuned HTS Filters for Ultra-Sensitive RF Receivers (SURF)	0.000	4.169	3.213

(U) The operation of frequency-hopping radios greatly interferes with co-located ultra-sensitive receivers. The situation will get worse as the "hoppers" proliferate, even interfering within the receive channels of one another. At present there is no solution to this problem, other than turning off the receivers when communicating. A general solution would be to use "brick-wall" front-end filters for the receivers, re-tuning at the rate of the hoppers, if such agile filters were available. High-temperature superconducting (HTS) filters have been used very successfully for negating strong transmissions at nearby frequencies, and are unique in their ability to totally reject out-of-band signals without attenuation of signals in the pass-band. However, they have been used only for rejection of fixed-frequency interference. The Semiconductor-Tuned HTS Filters for Ultra-Sensitive RF Receivers (SURF) program will increase the tuning speed of HTS filters, from about a second with present mechanical

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methods, to microsecond speeds required for systems such as the Joint Tactical Information Distribution System (JTIDS). The technology for such a million-fold improvement will rely upon semiconductor tuning, properly mated with the superconducting filter materials. In addition to interference-rejection at microsecond speeds, these filters will make it possible to perform wide spectral searches with unprecedented frequency resolution, enabling detection of very weak emissions (signatures) characteristic of threat systems.

(U) Program Plans:

FY 2008 Plans:

- Demonstrate one microsecond switching of HTS filters, between three frequencies.
- Develop models of the high-temperature superconducting (HTS) tunable filters.
- Achieve microsecond stepwise semiconductor switching between three stable states.
- Continue development of low-loss semiconductor tuning elements for HTS filters, operating at cryogenic temperatures.
- Demonstrate stepwise tuning of HTS filters at microsecond increments over a broad tuning range.

FY 2009 Plans:

- Complete the development of reconfigurable filter design tools.
- Demonstrate the operation of continuously tunable notch and passband filters, using cryo-optimized semiconductor and varactor tuning elements.

	FY 2007	FY 2008	FY 2009
Adaptive Focal Plane Arrays (AFPA)	4.000	2.870	1.275

(U) The goal of the Adaptive Focal Plane Arrays (AFPA) program is to demonstrate high-performance focal plane arrays that are widely tunable across the entire infrared (IR) spectrum (including the short-, middle- and long-wave IR bands), thus enabling "hyperspectral imaging on a chip." This program will also allow for broadband Forward Looking Infrared (FLIR) imaging with high spatial resolution. These AFPAs will be electrically tunable on a pixel-by-pixel basis, thus enabling the real-time reconfiguration of the array to maximize either spectral coverage or spatial resolution. The AFPAs will not simply be multi-functional, but rather will be adaptable by means of electronic control at each pixel. Thus, the AFPAs will serve as an intelligent front-end to an optoelectronic microsystem. The AFPA program outcome will be a large format focal plane array that provides the best of both FLIR and Hyper-Spectral Imaging (HSI).

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(U) Program Plans:

FY 2007 Accomplishments:

- Developed component technology (tunable IR photodetectors).

FY 2008 Plans:

- Integrate detector array.

– Demonstrate pixel-by-pixel electrical tunability in IR.

FY 2009 Plans:

- Demonstrate AFPA prototype field using a large format array.

	FY 2007	FY 2008	FY 2009
Advanced Precision Optical Oscillator (APROPOS)	6.020	2.200	0.000

(U) The Advanced Precision Optical Oscillator (APROPOS) program will leverage advances in materials and lasers to develop new precision microwave-stable local oscillators with extremely low phase noise (up to 50 decibels better than the current state of the art) at small offsets from microwave carrier frequencies. This capability will enhance performance of radars in the detection of slow moving targets, electronic warfare systems in the identification of specific emitters, and communication systems in weak signal detection and clutter suppression all at increased stand-off range.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated first opto-electronic oscillator without any electronic radio frequency (RF) amplifier.
- Demonstrated 10 gigahertz RF Optical Oscillator that outperforms any existing RF oscillator at 1 hertz to 10 kilohertz frequency offsets.

- Demonstrated tunable Opto-Electronic Oscillator with phase noise performance 20 decibels better than best synthesizer alternative.

FY 2008 Plans:

- Develop an opto-electronic oscillator with ultra low phase noise, tunable oscillator range, and vibration sensitivity.

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	FY 2007	FY 2008	FY 2009
Bio-Electronics and Photonics	4.000	5.000	0.000

(U) The Bio-Electronics and Photonics program will demonstrate new capabilities in biologically derived optical and electronic media and devices. The thrust will explore highly promising organic and biological materials, such as Deoxyribonucleic Acid (DNA), proteins and novel nucleic acid-like materials that have the potential to fundamentally change the way that we develop and process electronics. The novel use of these materials has the potential to produce the biological analog of band gap and heterostructure engineering. This program will develop techniques for inclusion of such biological materials in a myriad of electrical devices ranging from diodes to batteries. The primary objective of this program is toward improved performance and lower costs. Examples of improved device performance would be reduced leakage current and faster switching times in field effect transistors, two areas that have shown promise in the recent breakthrough of the first DNA Schottky Diode. Other possible advantages are devices that are more compact, robust, environmentally friendly, require less power; and are amenable to flexible, just-in-time manufacturing; and has the potential to leverage the well established techniques such as combinatorical chemistry and high throughput nucleic acid sequencing. Results from this effort have the potential to: improve the performance of electronic devices, create new computational constructs, and define unique biotic-abiotic interfaces.

(U) Program Accomplishments and Plans:

FY 2007 Accomplishments:

- Developed process for room temperature fabrication of electronic materials with improved efficiency.
- Demonstrated 10x improvement in optical properties for high density storage with protein expression.
- Explored the integration of biological materials with several types of optical and electronic media and devices.
- Characterized the electrical properties of DNA Shottky Barrier Devices.

FY 2008 Plans:

- Develop computational models for designing novel biological materials for electronic media and devices.
- Develop computational models of DNA Shottky Barrier Devices to model the electron interaction at the DNA/metal interface, as well as the movement of the band gap barrier height.
- Develop new materials for device fabrication taking the computational models into account.

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Demonstrate electronic devices fabricated with novel biological materials. These devices will have improved performance (e.g. current leakage, switching times) over standard electrical devices.

	FY 2007	FY 2008	FY 2009
Chip-to-Chip Optical Interconnects	3.355	2.000	1.000

(U) Continuing advances in integrated circuits technology are expected to push the clock rates of Complimentary Metal-Oxide Semiconductor (CMOS) chips into 10 gigahertz (GHz) range over the next five-to-seven years. At the same time, copper-based technologies for implementing large number of high-speed channels for routing these signals on a printed circuit board and back planes are expected to run into fundamental difficulties. This performance gap in the on-chip and between-chip interconnection technology will create data throughput bottlenecks affecting military-critical sensor signal processing systems. To address this pressing issue, this program developed optical technology for implementing chip-to chip interconnects at the board and back plane level.

(U) Program Plans

FY 2007 Accomplishments:

- Developed high-linear density, low-loss optical data transport channels that can be routed to ~1 meter distance in a geometric form factor compatible with a printed circuit board.
- Demonstrated high-speed (faster then 10 billions of bits per second (GBps)), low-power (less then 50 mW) optical transmitters/receivers.

FY 2008 Plans:

- Integrate optical transmitters/receivers and optical data paths with electronic packaging.

FY 2009 Plans:

- Complete integration activities and manufacturing approaches.

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	FY 2007	FY 2008	FY 2009
Photonic Analog Signal Processing Engines with Reconfigurability (PhASER)	0.000	9.000	7.891

The goal of the Photonic Analog Signal Processing Engines with Reconfigurability (PhASER) program is the creation of new Photonic (U)Integrated Circuit (PIC) elements, and associated programmable filter array concepts that will enable high-throughput, low-power signal processors. The focus is on the development of novel "Unit Cells," which may be used as building blocks to synthesize arbitrarily complex filters within a PIC platform for ultra-high bandwidth signal processing applications.

(U) **Program Plans:** 

FY 2008 Plans:

- Define and design a novel analog photonic "Unit Cell," which is nominally comprised of a sub-array of waveguide-connected programmable active elements. The Unit Cell should be externally linkable with integrated waveguides, which will allow it to function as a building block in programmable PIC arrays for generalized high-order finite impulse response/infinite impulse response (FIR/IIR) filters.

FY 2009 Plans:

- Demonstrate an experimental Unit Cell concept.
- Determine how the Unit Cell, when arrayed within a high-density PIC, will perform. \_
- Develop a filter synthesis tool to demonstrate how Unit Cells will enable generalized high-order filters. \_
- Determine how unit cells will be programmed and tested at the chip-level to ensure high yield.

	FY 2007	FY 2008	FY 2009
Linear Photonic RF Front End Technology (PHOR-FRONT)	7.266	4.594	6.385

The goal of the Linear Photonic RF Front End Technology (PHOR-FRONT) program is to develop photonic transmitter modules that can (U) adapt their frequency response and dynamic range characteristics to mate with the full spectrum of narrow-band and broadband microwave

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transmission applications covering the 2 megahertz (MHz) – 20 gigahertz range. These field programmable, real-time adaptive photonic interface modules will find application in high dynamic range communications, radar and Electronic Warfare antenna applications.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated photonic demodulation and optical down conversion; >56 decibels (dBs) spurious free dynamic range (SFDR) measured over a 333 MHz instantaneous bandwidth for an radio frequency (RF)-to-intermediate frequency (IF) link.
- Demonstrated phase demodulator with all-optical phase-locked loop with SFDR of more than 124 dB- hertz (Hz) 2/3 with 3 megampere of photocurrent.
- Demonstrated < 10 Hz Full Width Half-Maximum laser line-width with locked "slow light" fiber laser.
- Compounded doping of glass for laser outputs of more than 500 megawatts.
- FY 2008 Plans:
- Develop narrow line-width, 1,550 nanometer (nm) lasers with improved efficiency, relative intensity noise (RIN), and stability.
- Develop compact linear photonic receivers with improved sensitivity and dynamic range.
- FY 2009 Plans:
- Develop and enhance narrow line width, 1,550 nm lasers with world record efficiency, RIN, and stability in a compact package.
- Develop and enhance compact and packaged linear photonic receivers with world record sensitivity and dynamic range.

	FY 2007	FY 2008	FY 2009
Optical Arbitrary Waveform Generation (OAWG)	15.636	6.964	4.284

(U) The ultimate vision for the Optical Arbitrary Waveform Generator (OAWG) program is to demonstrate a compact, robust, practical, stable octave-spanning optical oscillator, integrated with an encoder/decoder capable of addressing individual frequency components with an update rate equal to the mode-locked repetition rate. This would provide an unprecedented level of performance for optical systems, and enable numerous high-level applications including sub-diffraction-limited imaging and ultra-wide band optical communications.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated 100 gigahertz (GHz) positive linear chirp with <5% least-squared deviation from mathematical ideal waveform.
- Demonstrated production of single-cycle, 1.5 GHz square wave with fidelity of <5% least-squared deviation from mathematical ideal waveform.

FY 2008 Plans:

- Develop 10 GHz octave-spanning carrier-envelope stabilized laser with integrated molecular frequency standard.
- Design and build miniature 10 gigabyte/s multi-channel, parallel bit-error rate testbed for integrated system testing.

FY 2009 Plans:

- Demonstrate 1,000 GHz positive linear chirp with <5% least-squared deviation from mathematical ideal waveform.
- Demonstrate production of single-cycle, 3 GHz square wave with fidelity of <1% least-squared deviation from mathematical ideal waveform.

	FY 2007	FY 2008	FY 2009
Transparent Displays	0.000	0.000	3.000

(U) The Transparent Displays program will develop technologies for the next generation of displays by leveraging the successes of previous programs in molecular electronics, as well as exploiting the optical plasmon phenomenology characteristics of nanoscale structures. Harnessing these tools will enable display systems that are transparent, low-power, light-weight, and high-speed. The new displays will replace existing displays in a host of applications, such as canopy-, windshield-, and window-integrated displays, and new light-weight avionics displays. Furthermore, the technology will enable innovative approaches to information sharing, such as integrated helmet display visors, bringing the digital battle space to the individual warfighter.

(U) Program Plans:

FY 2009 Plans:

- Develop new materials for thin, transparent, displays with daylight bright intensity using laser illumination.

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Explore the use of new diffractive optics technology to provide lightweight optics with a comfortably viewable "virtual" image ahead of the viewer.

	FY 2007	FY 2008	FY 2009
Systems of Neuromorphic Adaptive Plastic Scalable Electronics (SyNAPSE)	0.000	0.000	3.000

The Systems of Neuromorphic Adaptive Plastic Scalable Electronics (SyNAPSE) program will develop a brain inspired electronic "chip" (U) that mimics the function, capacity, size, and power consumption of a biological cortex. If successful, the program will provide the foundations for functional machines to supplement humans in many of the most demanding situations faced by warfighters today. In particular, the objective of the program is to process video images for information abstraction (e.g. annotation) and task initiation. The two main technical challenges to achieving this vision are developing an artificial electronic synapse and developing a neural algorithm-architecture that exploits these synapses.

**Program Plans:** (U)

FY 2009 Plans:

- Develop hybrid CMOS and high-density synaptic crossbar arrays with density and function comparable to biological systems.
- Simulate large-scale neurally inspired systems using electronic device models.
- Develop standard testing protocols for assessing the performance of large neuromorphic electronic systems.

	FY 2007	FY 2008	FY 2009
Technology for Agile Coherent Optical Transmission & Signal Processing (TACOTA)	1.845	3.700	1.000

The goal of Technology for Agile Coherent Optical Transmission & Signal Processing (TACOTA) is to develop optoelectronic (U)component technologies that enable increased physical layer security in optical transmission systems through the synergistic use of coherent optical technologies and high-speed electronics. Secure, high-capacity free-space communications is essential for the transformational communications architecture to be realized. Both digital and analog transmission will be considered.

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### (U) Program Plans:

FY 2007 Accomplishments:

- Developed signal design and compensation methods for nonlinear transmission impairments that occur in optical fibers.
- Developed indoor and outdoor testbeds to quantify advantages of mid-wavelength infrared versus short-wavelength infrared coherent optical communications.
- Successfully modeled the Optical Parametric Oscillator Wavelength Translation Approach.
- Demonstrated silica photonic crystal fiber based coherent wavelength translation between near-infrared and visible bands.

FY 2008 Plans:

- Demonstrate multi-spectral coherent optical transmission and frequency (wavelength) translation with high conversion efficiency and narrow line-width.
- FY 2009 Plans:
- Complete final program demonstrations.

	FY 2007	FY 2008	FY 2009
Ultrabeam	2.626	2.188	3.419

(U) The Ultrabeam program involved conversion of femtosecond duration ultraviolet laser light pulses to X-rays and the study of intense X-ray pulse propagation in various media.

## (U) Program Plans:

FY 2007 Accomplishments:

Achieved peak X-ray output pulses estimated to exceed the predicted critical power requirement for channel formation experiments.
 FY 2008 Plans:

- Demonstrated X-ray pulse spatial compression and observed preliminary indications of channel formation in a solid target.

FY 2009 Plans:

- Create a Gamma Ray Laser between 100 KeV and 1 MeV.

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Photonic Bandwidth Compression for Instantaneous Wideband A/D Conversion*	0.000	3.235	4.445

\*Formerly titled Ultra-Wideband A/D Conversion (UWB-ADC).

(U) The objective of the Photonic Bandwidth Compression for Instantaneous Wideband A/D Conversion program is to develop revolutionary technologies to enable Analog to Digital Converters (ADCs) with high-resolution and large instantaneous bandwidth while maintaining power consumption that is commensurate with user community requirements. It is expected that such ADCs would have a dramatic impact on signals intelligence capabilities such as direct down conversion of ultra high frequency through X-band radio frequency (RF) signals. Furthermore, ADCs enabled by this program alleviate the current ADC bottleneck in high capacity digital RF communications links by enabling more spectrally efficient wideband waveforms. This program aims to develop a bandwidth-compressing photonic front end that provides a force multiplier for any available back-end electronic ADCs.

(U) Program Plans:

FY 2008 Plans:

- Demonstrate transient ADC with 6.5 estimated number of bits (ENOB) signal-to-noise ratio over a 10 gigahertz bandwidth.

- Develop a low-power ADC with high-dynamic range for an improved ENOB.

FY 2009 Plans:

- Develop and enhance a low-power ADC with high-dynamic range for further improvement in the ENOB.

	FY 2007	FY 2008	FY 2009
Optical Antenna Based on Nanowires	0.000	2.000	1.000

(U) In optics, nanotechnology research will develop the ability to create structures of the same scale as incident light wavelengths. These structures can interact with and affect the incident light. This program will create nano-meter scale structures, which will act as optical antenna arrays that can respond coherently to electromagnetic fields at optical wavelengths. Each array element would be a nanostructure, such as a nanotube or nanowire, and provide a way to measure directly the field magnitude and phase in both space and time. A system based on this

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technology would potentially be smaller, lighter in weight, and able to move from the sub-optimal method of intensity-only measurements into the information-rich domain of complex imaging.

- (U) Program Plans:
  - FY 2008 Plans:
  - Study small element count two-dimensional array to identify performance and scaling relationships.

FY 2009 Plans:

- Characterize ability to measure the magnitude and phase of the incident light.

	FY 2007	FY 2008	FY 2009
3-D Microelectromagnetic RF Systems (3-D MERFS)	2.524	2.172	0.000

(U) The 3-D Microelectromagnetic RF systems (3-D MERFS) program will develop complete millimeter wave (MMW) active arrays on a single or a very small number of wafers. The program will exploit new technologies being developed commercially that allow Gallium Arsenide (GaAs) active components to be placed on Silicon wafers, and advances in Indium Phosphide and Silicon Germanium that may allow an entire MMW Electronically Scanned Array (ESA) to become very highly integrated on a sandwich of wafers. At lower frequencies, the large spacing between radiating elements precludes the efficient use of the wafer real estate for fabricating the entire ESA, but at Ka- and W- bands, the element spacing is small enough to allow an ESA to be made with active transmit/receive chips and control circuits on one layer, radiators on another, and a feed system on a third. This could potentially make them very cheap, compact, lightweight and reliable. This would enable the development of new MMW ESAs of a six inch diameter or less for seekers, communication arrays for point-to-point communications, sensors for smart munitions, robotics and small remotely piloted vehicles. This program will build upon technology developed under the Vertically Interconnected Sensor Array program.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated >99.9% yield on 1-centimeter transmission lines on individual wafers, a 300x increase in yield.
- Demonstrated 11-layer fabrication process, enabling transmission line cross-overs, low-loss transmission lines, and high-Q (energy ratio) resonators.

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- Demonstrated monolithically fabricated 16-beam (4 simultaneous) transmit/receive aperture.
- Established transition path for Sat-Com-on-the-Move application through Communications-Electronics Research, Development and Engineering Center (CERDEC) to PM/Warfighter Information Network Terrestrial (PM/Win-T).
- Established Phase IIB yield improvement plan to improve total yield for 1,000 element manifolds from 5% to the 50% needed for Satcom-on-the-move application.

FY 2008 Plans:

- Demonstrate 50% total yield for 1,000 element manifolds.
- Demonstrate resistor and active element integration.
- Demonstrate ability to stack and tile MERFS substrates.

	FY 2007	FY 2008	FY 2009
Chip Scale Atomic Clock (CSAC)	5.000	4.519	4.471

(U) The Chip Scale Atomic Clock (CSAC) will demonstrate a low-power chip scale atomic-resonance-based time-reference unit with stability better than one part per billion in one second. Application examples of this program will include the time reference unit used for Global Positioning System (GPS) signal locking.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Demonstrated feasibility and theoretical limits of miniaturization of cesium clock.
  - FY 2008 Plans:
  - Demonstrate subcomponent fabrication including atomic chamber, excitation and detection function.
  - FY 2009 Plans:
  - Demonstrate design and fabrication innovation for atomic-confinement cell and for gigahertz (GHz) resonators suitable for phase locking or direct coupling with atomic confinement cell.

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	FY 2007	FY 2008	FY 2009
Radio Isotope Micro-Power Sources (RIMS)	2.969	1.946	2.490

(U) The Radio Isotope Micro-Power Sources (RIMS) effort will seek to develop the technologies and system concepts required safely to produce electrical power from radioisotope materials for portable and mobile applications, using materials that can provide passive power generation. There will also be research in compact radioisotope battery approaches that harness micro-electro-mechanical systems (MEMS) technology to safely and efficiently convert radioisotope energy to either electrical or mechanical power while avoiding lifetime-limiting damage to the power converter caused by highly energetic particles (e.g., such as often seen in previous semiconductor approaches to energy conversion). The goal is to provide electrical power to macro-scale systems such as munitions, unattended sensors, and weapon systems, radio frequency identification tags, and other applications requiring relatively low (up to tens of milliwatts) average power.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Developed and demonstrated core technology for the direct capture of beta particles.
  - Demonstrated longevity for the chosen radioisotope-to-electrical power conversion technique.
  - FY 2008 Plans:
  - Demonstrate advances in power output and particle capture with high conversion efficiencies, while operating within safety considerations and limitations.
  - Demonstrate advanced dielectrics with high stability suitable for solid-state capture devices.
  - FY 2009 Plans:
  - Develop large-scale radioisotope generation cell based on beta particle capture.
  - Demonstrate actual, long-lasting power generation in a militarily useful form factor.

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	FY 2007	FY 2008	FY 2009
Micro Isotope Micro-Power Sources (MIPS)	8.033	2.201	0.000

(U) The goal of the Micro Isotope Micro-Power Sources (MIPS) program is to demonstrate safe, affordable micro isotope power sources able to outperform conventional batteries in terms of energy and/or power density, and provide long lasting milliwatt-level power for an array of critical military applications, such as unattended sensors, perimeter defense, detection of weapons of mass destruction; and environmental protection.

(U) Program Plans:

FY 2007 Accomplishments:

- Fabricated boron carbide (BC) junctions with >10% conversion efficiency.
- Conducted survey of potential isotopes and determined isotopes most applicable to MIPS applications.

FY 2008 Plans:

- Demonstrate radiation hardened BC junctions with >10% efficiency.
- Demonstrate thermophotovoltiac conversion system.
- Demonstrate thermo electric conversion system.

	FY 2007	FY 2008	FY 2009
Design Tools for 3-Dimensional Electronic Circuit Integration	4.589	7.474	1.000

(U) The Design Tools for 3-Dimensional Electronic Circuit Integration program will develop a new generation of Computer Aided Design (CAD) tools to enable the design of integrated 3-dimensional (3-D) electronic circuits. The program will focus on methodologies to analyze and assess coupled electrical and thermal performance of electronic circuits and tools for the coupled optimization of parameters such as integration density, cross talk, interconnect latency and thermal management. The goals of this initiative are to develop a robust 3-D circuit technology through the development of advanced process capabilities and the design tools needed to fully exploit a true 3-D technology for producing high performance circuits. The deliverables from this program will have a significant impact on the design of mixed signal (digital/analog/radio

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frequency) systems and Systems-on-a-Chip for high performance sensing, communications, and processing systems for future military requirements.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated two-tier wafer-to-wafer bonding in both silicon-on-insulator and bulk complementary metal-oxide semiconductor (CMOS) technologies dense interlayer and thru-silicon via process.
- Improved commercial off-the-shelf (COTS) chip-to-chip stacking process developed and tested in two-tier field-programmable gate array (FPGA) stacks twelve-tier chip-to-chip stack demonstrated without electrical interconnects.
- Low temperature silicon, silicon germanium and germanium epitaxial growth processes developed to enable monolithic 3-D integration.
- 3-D architecture studies were performed assessing the advantages of 3-D topologies for enhancing digital performance.
- 3-D design kits, layout visualization and computer-aided design (CAD) tools were developed to enable 3-D design process.

FY 2008 Plans:

- Complete 3-D process technology development.
- Choose several compelling applications to map into the 3-D technologies developed.
- Begin fabrication of 3-D demo design chips.
- Complete fabrication of 3-D demo design chips.

FY 2009 Plans:

- Assess performance gains due to 3-D topologies.

	FY 2007	FY 2008	FY 2009
Multiple Optical Non-Redundant Aperture Generalized Sensors (MONTAGE)	2.248	0.000	0.000

(U) The Multiple Optical Non-Redundant Aperture Generalized Sensors (MONTAGE) program explored a revolutionary change in the design principles for imaging sensor systems; enabling radical transformation of the form, fit, and function of these systems for a wide variety of high-value DoD applications. Significant improvements in the performance, affordability, and deployability of imaging sensor systems were obtained

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through rational co-design and joint optimization of the imaging optics, the photo sensor array and the post-processing algorithms. By reaching well beyond conventional designs, MONTAGE sensors will realize optimal distribution of information handling functions between analog optics and digital post-detection processing.

### (U) Program Plans:

FY 2007 Plans:

- Developed novel optical designs allowing depth reduction by 10x.
- Demonstrated ability to allocate highest spatial resolution to specified regions of interest in the image while maintaining medium resolution elsewhere.
- Demonstrated real-time performance of thin imaging systems in representative DoD applications with performance evaluated using application-specific metrics for image quality, sensor cost, power consumption, and mechanical properties.

	FY 2007	FY 2008	FY 2009
Polymorphous Computing Architecture (PCA)	5.802	0.000	0.000

(U) The Polymorphous Computing Architectures (PCA) program developed a revolutionary approach to the implementation of embedded computing systems to support reactive multi-mission, multi-sensor, and in-flight retargetable missions. This revolutionary approach reduced the payload adaptation, optimization and verification processes from years to minutes. The program breaks the current development approach of hardware first and software last by moving beyond conventional silicon to flexible polymorphous computing systems. PCA architectures will adapt to efficiently perform a broad range of high-performance, challenging DoD processing functions utilizing a single architectural implementation.

(U) Two promising PCA architectures, eXtended Tera-op Reliable Intelligently Adaptive Processing System (XTRIPS) and eXtended MOrphable Networked microARCHitecture (XMONARCH), have bridged the gap between the prototypes developed in the PCA program and the transition-ready solutions that can be adopted by DoD and intelligence agencies. This effort included performing product-level and prototype development of processor chips and software development environments planned for future deployment by DoD and intelligence end users.

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(U) Program Plans:

FY 2007 Accomplishments:

- Successfully fabricated and delivered full implementation of the xMONARCH chip first polymorphic computer exceeding original goals.
- Successfully fabricated prototype TRIPS chip first novel Explicit Data Graph Execution architecture computing chip and supporting TRIPS compiler for implementing backend optimizations.
- Performed early small scale proof-of-concept testing, integration and evaluation of early polymorphic computing architecture prototypes evaluation boards developed for both MONARCH and TRIPS devices.

	FY 2007	FY 2008	FY 2009
Vertically Interconnected Sensor Arrays (VISA)	5.200	0.000	0.000

(U) The Vertically Interconnected Sensor Arrays (VISA) program developed and demonstrated vertically interconnected, focal plane array read-out technology capable of more than 20-bits of dynamic range – over an order of magnitude higher than current state-of-the-art – enabling significant advances in the functionality of infrared systems. Vertical interconnections between the detectors and the read-outs that avoid first going through row-column multiplexers will allow for high frame rates concurrent with high resolution images.

(U) The VISA program expanded architectures for three-dimensional focal plane arrays, where multiple levels of signal processing were integrated into each pixel in the array, to include multiple processing layers, higher density vias (small openings in an insulating oxide layer that enable electrical connections, e.g., between layers) at the pixel, and coverage of a broad spectral band from the visible to the infrared. This increased on-chip processing power enabled new capabilities for smart sensors, such as high-speed imaging, on-chip threat discrimination, and anti-jamming. Defense applications include mid/long wavelength target acquisition systems for air and ground; smart missile seekers; anti-jamming; and imaging through high intensity sources. This program transitioned to PE 0603739E, Project MT-15.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed a wafer stacking process incorporating high-density vias and design novel circuits that enable high frame rates, countermeasure hardening and adaptive signal processing functions on a concept test chip.

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- Demonstrated a high dynamic range Analog/Digital VISA technology based sensor designed with advanced high performance circuit architecture implemented in stacked semiconductor process with high-density interconnections.
- Determined the best bands for improving the detection of objects in varying degrees of fog.

	FY 2007	FY 2008	FY 2009
Novel Techologies for Optoelectronics Materials Manufacturing (NTOMM)	0.000	3.750	3.000

(U) The goal of the Novel Technologies for Optoelectronics Materials Manufacturing (NTOMM) program is to develop and demonstrate new technologies for Group II-VI (e.g., Cadmium Selenide (CdSe)) and III-V (e.g., Gallium Nitride (GaN)) materials and device manufacturing, enabling imaging and emissive device fabrication at 1% to 10% current costs. This advance will dramatically expand the application space of such devices, by providing lower cost per large area infrared (IR) imaging systems, non-planar devices and systems, and thin film and flexible devices and systems. This program will demonstrate IR detectors and imagers, Light Emitting Diodes (LED), and solid-state lasers fabricated via new methods, and include a rapid demonstration of at least five times reduction in yielded device cost. The NTOMM program will leverage recent and ongoing developments in nano-material synthesis and assembly, which have demonstrated the potential for over 50% precursor stream usage in the fabrication of II-VI and III-V materials. An additional focus of the NTOMM program is the development of technologies to support the fabrication of low-cost high pixel density power efficient direct emission microdisplays. Current microdisplay systems use light modulation systems (Liquid Crystal Displays, Digital Micromirror Devices) and consequently only transmit a small fraction of the light from the illumination source thus limiting efficiency and use.

(U) Program Plans:

FY 2008 Plans:

- Develop synthesis methods that improve quality and monodispersity (characterized by particles of uniform size in a dispersed space) of Indium nitride (InN) and Indium gallium nitride (InGaN) nanocrystals.
- Develop cost effective synthesis methods for Group II-VI and III-V materials.
- Utilize controlled arrays of InGaN to form high efficiency Light Emitting Diode (LED) structures and imaging sensors in infrared.
- Assemble layer-by-layer heterostructures (characterized by dissimilar materials with non-equal bandgaps) from ordered planar arrays
  of nanocrystals.

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- Develop and demonstrate techniques for layer doping of heterostructure materials.

- Evaluate and select approaches for the development of affordable emissive microdisplays.

FY 2009 Plans:

- Demonstrate initial device concepts.

- Select fabrication technologies with 5x cost reduction potential.

- Demonstrate fabrication technologies that support the fabrication of affordable emissive microdisplays.

	FY 2007	FY 2008	FY 2009
Structured ASIC Design (StASD)	0.000	3.500	5.500

(U) Currently Application Specific Integrated Circuits (ASIC) have a 20-30x performance advantage over general-purpose programmable processors, this performance advantage is critical for high performance systems and platforms. Current ASIC design solutions are high in cost, require extensive time to design, apply to a single application, and need dedicated hardware; making them unattainable for most critical DoD systems. Also, when customizing ASICs for multiple applications, the overhead costs greatly increase resulting in reduced performance density, reduced clock speeds, and higher power. The development of a Structured ASIC Design (StASD) capability will provide the performance advantages of a customized ASIC but without the high overhead costs of programmable or fine-grain reprogrammable devices. The result will be highly novel, customizable ASICs that will dramatically enhance DoD application processing capabilities in terms of cost, time to design, and performance.

## (U) Program Plans:

FY 2008 Plans:

 Complete studies establishing the potential impact and underlying principles of structured ASIC approaches and perform the initial analysis of selected potential approaches.

FY 2009 Plans:

- Determine which common high performance functional elements provide the best option for high performance functionality and the appropriate level and capability of interconnects for optimal customization.
- Investigate and evaluate potential architectures and implementations for structured ASIC.

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	FY 2007	FY 2008	FY 2009
Cognitively Augumented Design for Quantum Technology (CAD-QT)	2.000	4.000	5.000

(U) The Cognitively Augmented Design for Quantum Technology (CAD-QT) program has developed learning-based optimization tools and represents a stepping stone towards an intelligent search engine capable of guiding the designer through the complex trade spaces of quantum device design.

(U) Program Plans:

FY 2007 Accomplishments:

- Validated CAD-QT system by employing it to design optoelectronic modulator devices performing significantly beyond the current state-of-the-art.
- Investigated the exploitation of new fields of nanophotonics and plasmonics in which metal nanostructures converted electromagnetic radiation into charge density waves.

FY2008 Plans:

 Demonstrate the next generation CAD-QT tool to include thermoelectric coolers which employ superlattices to discriminate electrons and photons.

FY 2009 Plans:

- Determine methods of controlling the ultimate CAD-QT product.
- Apply diffusion graph data organization/dimensionality reduction to biological data.

	FY 2007	FY 2008	FY 2009
Non-Linear Math for Mixed Signal Microsystems	4.563	1.339	0.000

(U) The principal goal of the Non-Linear Math for Mixed Signal Microsystems program is to demonstrate a significant linearity enhancement capability based upon a digital signal processing approach, implemented in a high performance, very large scale integration (VLSI) chip that will enable wideband high-dynamic range sensor systems to be developed in a cost effective manner.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed broadly applicable methodologies for exploiting novel encoding strategies, closed loop adaptive equalization, integration of sensing and processing, and application-specific knowledge in order to provide revolutionary advances in information conversion.
- Explored novel architectures leveraging intelligent pre-processing based upon space, time, and mathematical transformations of analog measurements and employing cooperative integration of analog and digital processing to obtain required system level performance.

FY 2008 Plans:

Work with new classes of quantization devices based on novel "error correcting" representations of numbers, such as beta encoders, phase encoders, geometric invariants.

	FY 2007	FY 2008	FY 2009
THz Transistors (TT)	0.000	0.000	3.000

(U) The THz Transistors (TT) program will develop the technologies for terahertz (THz) transistors by following recently-established scaling laws for indium phosphide (InP) heterojunction bipolar transistors (HBTs). This program will focus on developing transistors larger than 1THz. In addition, the target integration level will be ~1000 transistors, sufficient for the circuit building blocks. Demonstration circuits will be >400 gigahertz (GHz) frequency dividers, >700 GHz power amplifiers, and a more complex mixed signal circuit at the end of the program. This program will address these super-scaled InP transistor challenges with innovative band gap engineering at the base and collector regions, aggressive reduction of the contact resistances and junction capacitances, reliable patterning processes for sub-100 nanometer emitter, and development of a multi-level dense interconnect process. Pushing into unchartered frequency domains, the testing, calibration, and modeling of THz transistors and circuits will also be addressed in this program.

### (U) Program Plans:

FY 2009 Plans:

- Scale the state-of-art transistors to record operating speed and develop associated device models.
- Develop an integration process and fabricate simple demonstration circuits.

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- Develop a high yield fabrication process for complex demonstration circuits.

	FY 2007	FY 2008	FY 2009
Ultra Fast Lasers With Response > 100 GHz	0.000	0.000	2.000

(U) The objective of the Ultra Fast Lasers with Response > 100 GHz program is to develop ultra-fast lasers with modulation response > 100 gigahertz (GHz) resonance frequency. The frequency response of directly modulated semiconductor lasers has been limited by the relaxation oscillation to  $\sim$  40 GHz. This fundamental limit can be overcome by strong optical injection locking as demonstrated recently in vertical cavity surface-emitting lasers (VCSEL) and edge-emitting distributed feedback (DFB) lasers with enhanced resonance frequencies of 50 and 72 GHz, respectively. These are the highest ever reported for such lasers. Despite the impressive experimental demonstrations, the fundamental limit of such frequency enhancement was not well understood until very recently. A newly derived analytical expression for the maximum enhanced resonance frequency shows that it is proportional to the square root of the external injection ratio, and inversely proportional to the photon lifetime of the slave laser cavity. This new understanding makes it possible to engineer the resonance frequency and to design monolithically integrated laser structures with a tailored radio frequency (RF) response. This concept will lead to more efficient, higher power, millimeter-wave optoelectronic sources with the resonance frequency scaleable to ~ terahertz (THz).

(U) Program Plans:

FY 2009 Plans:

- Investigate response of DFB and VCSEL.
- Explore "all-optical" mode-locking by matching the resonance frequency with the cavity round-trip frequency of the slave laser.
- Design monolithically integrated devices with the engineered RF response.

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	FY 2007	FY 2008	FY 2009
DESTINY	0.000	0.000	3.000

(U) The goal of Design-space Exploration and Synthesis Technology for Integrating Nontraditional Microsystems at Yield (DESTINY) program is to introduce a rational methodology for co-design of mixed signal systems with embedded fine-grain re-configurability and compensation. Beyond enabling the optimal application of compensation for high yield and adaptability in mixed signal function, such a design discipline would also lead to very new systems, which will dramatically change the accepted notions among customary component subsystems, buffer amplifiers, mixers, and digitizers. For instance, traditional hard tradeoffs between noise figure and linearity in front end amplifiers can be broken by deliberately designing nonlinearities, which ease the design of low noise figures without impairing system function through use of Non-Linear Equalizer (NLEQ) for overall improved system performance. The program will combine advanced ideas from robust optimization mixed signal architecture and design expertise from DoD and commercial companies, nonlinear signal processing expertise.

(U) Program Plans:

FY 2009 Plans:

- Establish methodologies to manage complexity in design trades through recent advances in fast, low-rank updates in physical models and distributed optimization.

	FY 2007	FY 2008	FY 2009
Ideal Channel Electronics (ICE)	0.000	0.000	2.400

(U) The objective of the Ideal Channel Electronics (ICE) program will be to develop the ideal channel field effect transistor (FET), with a composite channel integrating highly mismatched semiconductors in order to achieve unprecedented performance levels. The ICE FETs will enable ultra-high-speed high-power amplifiers, which are critical for high-performance wideband transmitters. One example of ICE will be to integrate an ultra-high mobility channel with a high-breakdown sub-channel for an ultra-fast high-power FET that does not exist today. Successful integration of different semiconductors to form a FET channel will demand no or little degradation in charge distribution and transport properties, i.e. maintaining high mobility and charge concentrations in the channels as well as introducing minimal and tolerable defects. The approach will

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be to develop methods for composite channel integration, such as wafer fusing the high mobility channel to the high breakdown channel or selective epitaxial regrowth. Significant technical challenges to be addressed include minimizing defects, which will affect the channel properties, alignment and bonding; methods to achieve mechanical integrity of dissimilar materials; and the achievement of high fabrication yields.

- (U) Program Plans:
  - FY 2009 Plans:
  - Develop mechanical integrity of dissimilar materials.
  - Develop high fabrication yields.

	FY 2007	FY 2008	FY 2009
Ultra-Low Power Subthreshold Electronics (UPSE)	0.000	0.000	3.000

(U) The Ultra-Low Power Subthreshold Electronics (UPSE) program will achieve a >10x reduction in energy consumption for integrated circuits by developing technology that allows for circuit operation at the physical limits of power supply voltages. The objective of the UPSE program is to develop a circuit technology that will allow operation of devices in the subthreshold regime ( $\leq 0.3$  V) in contrast to the typical super-threshold regime ( $\approx 1.0$ V). Particular emphasis is placed on the use of standard commercial complementary metal-oxide-semiconductor (CMOS) technology avoiding the need for specialized custom device fabrication. Application-specific parallelism will be leveraged for maintaining adequate performance in the sub-threshold regime while still consuming minimal power. A demonstration sensor or communication integrated circuit (IC) of significant military interest showing compelling low power performance and new mission capabilities will be built.

(U) Program Plans:

FY 2009 Plans:

- Develop subthreshold standard cell library for application specific integrated circuit (ASIC) designs in a state-of-the-art commercial CMOS foundry process.
- Identify candidate IC designs of DoD interest that could demonstrate ultra-low power sub-threshold performance.

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	FY 2007	FY 2008	FY 2009
Precision Opto-Mechanics - Mechanical Properties of Light	0.000	0.000	3.000

(U) The Precision Opto-Mechanics - Mechanical Properties of Light program will develop new optomechanical devices that utilize enhanced optical gradient forces within resonant nano-optical cavities for all-optical actuation and sensing. Specific target applications will include optically controlled nano-mechanical resonators and optically tunable filters. One area of application is the use of optical force to drive the coupling of guided modes across a small gap between a waveguide and the coupled resonator. This will lead to optical tuning of nano-mechanical resonators with a resonance frequency exceeding 1 gigahertz (GHz). Radio frequency (RF) filters and reference oscillators based on on-chip resonators offer a solution to the increasing count of RF components needed in miniaturized wireless systems.

(U) Program Plans:

FY 2009 Plans:

- Demonstrate all-optical tuning of a nano-mechanical resonator with a resonance frequency greater than 1 GHz.
- Demonstrate dynamic storage and release of optical pulses (10-100 per second) within the coupled double-layer resonator.
- Determine the bandwidth and sensitivity limits of optically driven resonators.

	FY 2007	FY 2008	FY 2009
Raman Beam Combining and Cleanup	0.000	0.000	3.000

(U) The objective of the Raman Beam Combining and Cleanup program is to develop a fundamentally new beam combining technology for delivering high brightness, diffraction limited and tunable output beams in the Mid-Wave infrared (MWIR). The approach does not require phase locking or wavelength locking of input lasers, yet there is no compromise in power or beam quality. Outputs from an array of free running MWIR lasers (such as quantum cascade laser) are added together to form a diffraction limited output with no loss of intensity or beam quality arising from their random relative phases. In the proposed approach, a multimode silicon Raman laser will provide the seed for a silicon power amplifier that exploits Raman amplification along with the Talbot effect in a multimode waveguide. While the resulting pump mode may have high aberration, the laser output will have a clean diffraction limited mode profile with high on-axis intensity. As a ubiquitous beam combiner that is agnostic with

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respect to input laser technology, this technology enables scalable high brightness diffraction limited output and has enormous potential as an efficient, compact, and robust source for infrared countermeasures and low noise MWIR amplification for chemical biological weapon detection.

#### (U) Program Plans:

- FY 2009 Plans:
- Convert low quality pump into a diffraction limited beam.
- Combine multiple pumps via self imaging in multimode waveguide.

	FY 2007	FY 2008	FY 2009
SWEEPER	0.000	0.000	4.000

(U) The objective of the Short-range Wide-field-of-regard Extremely-agile Electronically-steered Photonic Emitter and Receiver (SWEEPER) program is to develop chip-scale dense waveguide modular technology to achieve true embedded phase array control for beams of ~10W average power, < 0.1 degree instaneous field of view (IFOV), > 45 degree total field of view (TFOV), and frame rates of > 100 Hertz in packages that are "chip-scale." Such performance will represent a three order of magnitude increase in speed, while also achieving a greater than two order of magnitude reduction in size. Additionally, the integrated phase control will provide the unprecedented ability to rapidly change the number of simultaneous beams, beam profile, and power-per-beam, thus opening up whole new directions in operational capability. Key technical challenges will center the ability to achieve the needed facet density (facet pitch should be on the order of a wavelength or two), control the relative phase across all facets to ~ 9-bits, and efficient coupling and distribution of coherent light to facets from a master laser oscillator with an integrated waveguide structure. Related projects and studies have pointed to the significant system-level pay-offs of the new proposed technology.

### (U) Program Plans:

FY 2009 Plans:

- Create a chip-scale optical beam forming and scanning technology.
- Combine architecture and technology to address integrated control of phased optical signals.

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	FY 2007	FY 2008	FY 2009
Analog-to-Information (A-to-I)	0.000	0.000	3.000

(U) The Analog-to-Information (A-to-I) program will develop and demonstrate the practical advantages of several specific suggestions for mechanization uncovered in the study phase, whose further development is likely to provide dramatic breakthroughs in digitization techniques and hardware. Success in this program will show the way to hardware and system advances enabling accurate extraction of useful information from broadband environments crowded with diverse signals and interference spread over a large dynamic range, as required to meet DoD's requirements for radio frequency (RF) applications of the present and the future. Additionally, by extracting signals of interest during the measurement phase, A-to-I based approaches reduce the bandwidth and resolution requirements of analog-to-digital converters, and simultaneously reduces the data glut that impacts downstream processing of digitized signals.

(U) Program Plans:

FY 2009 Plans:

- Systematically exploit practical hardware and software implementations of the most promising approaches from study phase: compressive sampling, variable projective unfolding, and nonlinear affine encoders.

	FY 2007	FY 2008	FY 2009
Frequency Domain Analog Optical Signal Processor	0.000	0.000	4.000

(U) The objective of the Frequency Domain Analog Optical Signal Processor program is to develop an analog signal processor, which is capable of processing the equivalent of one teraflop per watt in the frequency domain. This program will require the development of large photonic integrated circuit-based filter arrays and associated photonic components which are many times more complex than the current state of the art.
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## (U) Program Plans:

FY 2009 Plans:

- Implement an analog vector-matrix-multiply operation by utilizing programmable, high-quality, micro-ring-resonator filters arranged in a matrix to multiply and add photonic signal inputs.
- Develop an integrated frequency domain analog optical signal processor to enable improved signal processing capabilities for improved radio frequency communication, laser radar, bio-sensing, and optical computing capabilities on platforms such as unmanned aerial vehicles.

	FY 2007	FY 2008	FY 2009
J-Band Advanced Digital Receiver (JADR)	0.000	0.000	2.400

(U) Exploiting the pioneering architectural breakthroughs of the Digital Receiver program will create the next generation of analog-to-digital converters in low-power (4W) silicon germanium (SiGe) chip/complementary metal-oxide-semiconductor (CMOS) decoder chip integrated into a compact flip chip package. J-Band Advanced Digital Receiver (JADR) extends its impact into the J-band (10 gigahertz (GHz) to 20 GHz) by aggressive integration into scalable SiGe technology.

(U) Program Plans:

FY 2009 Plans:

- Direct radio frequency sampling strategies for 1-20 GHz input range.
- Devise and optimize SiGe/CMOS Monolithic RF Noise Shaping Modulator.

	FY 2007	FY 2008	FY 2009
REceivers Power Optimized for Reconnaissance and Tagging (REPORT)	0.000	0.000	4.000

(U) The goal of the REceivers Power Optimized for Reconnaissance and Tagging (REPORT) program is to demonstrate a 2.45 gigahertz (GHz) wake-up receiver, which will only consume less than 250 microwatts of power (~100X reduction) and will need < 10 Pico watt of the radio

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frequency (RF) input power to wake up the circuit (>  $10^{6}x$  reduction). Additionally, this receiver will contain all necessary functions, including low-noise RF amplification, demodulation, baseband processing, wake-up decision logic and power conversion functions. To achieve these challenging power goals and necessary functionalities, the program will focus on the following technical developments. First, short-gate-width enhancement-mode High Electronic Mobility Transistor technologies will be developed to achieve low-noise RF gain at extremely low DC power levels. In addition, multi-layer interconnect process will be developed to monolithically integrate high-Q (~100), very high resonant impedance load inductors, which are critical to provide RF gain for low-power amplifier stages. Furthermore, innovative complimentary metal-oxidesemiconductor circuit designs will be explored to smartly utilize and manage bias currents for reducing total power consumption while providing necessary logic and signal processing capabilities.

(U) Program Plans:

FY 2009 Plans:

- Achieve low-noise RF gain at extremely low DC power levels.

- Integrate very high resonant impedence load inductors to provide necessary RF gain for low-power amplifier stages.

	FY 2007	FY 2008	FY 2009
Computational Imaging (CI)	0.000	0.000	3.000

(U) The Computational Imaging (CI) program seeks to develop new imaging constructs that exploit the full information content (intensity, phase, and frequency) at the detection plan to perform real-time image processing in the analog domain. This imagery will be combined with advanced digital image processing algorithms to leverage the unique image plane information for more rapid image analysis and target identification.

(U) Program Plans:

FY 2009 Plans:

- Develop image processing algorithms.
- Initiate the development of new imaging devices.

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	FY 2007	FY 2008	FY 2009
Non-contact EEG Technologies (NET)	0.000	0.000	3.000

(U) The goal of the Non-contact EEG Technologies (NET) program is to develop a non-contact Electroencephalograph (EEG) system based on new electric field sensor designs. The sensors would have performance characteristics to measure the electric field due to brain activity (0.5-21 hertz (Hz) signal with 500 nV/Hz<sup>1</sup>/<sub>2</sub> sensitivity) and be compact enough to mount on a light-weight cap or inside a warfighter's helmet. The signal from the individual sensors would then be collected and sent wirelessly to a unit mounted on the subject for further processing. The main challenges are to develop high sensitivity sensors in a small form factor, overcome one over frequency noise in sensing the electric fields and in multiplexing the sensor array to produce a high spatial resolution image of brain activity. In order to transition the EEG system to the brain monitoring community (both DoD and universities), the developed system's performance will be validated versus state-of-the-art wet electrode systems under a variety of operational situations.

(U) Program Plans:

FY 2009 Plans:

- Develop sensor technology to measure the electric field of brain activity.
- Demonstrate single non-contact sensor for EEG.

	FY 2007	FY 2008	FY 2009
Ultra Low Loss Photonic Integrated Circuits and Processors	0.000	0.000	3.000

(U) The Ultra Low Loss Photonic Integrated Circuits and Processors program will realize high time-bandwidth products in planar optical waveguide technologies, thereby enabling compact, low power, high dynamic range frequency processors for signals intelligence (SIGINT) and imagery intelligence (IMINT).

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(U) Program Plans:

FY 2009 Plans:

- Develop an on-chip, ultra low-loss waveguide technology.

- Develop and enhance an on-chip, ultra low-loss waveguide technology to meet desired "fiber-like" performance.

	FY 2007	FY 2008	FY 2009
Processing Algorithms with Co-design of Electronics (PACE)	0.000	0.000	3.000

(U) The Processing Algorithms with Co-design of Electronics (PACE) program enables the co-design of the next generation of embedded signal processing algorithms and architectures capable of processing large sparse matrix data structures associated with graph structured signal processing algorithms. Graph algorithms are the key to post-detection signal processing, helping to "connect the dots" in a huge variety of emerging challenges ranging from social network analysis, change detection in massive data transactions, and forensic and predictive analyses of activities from video data over wide areas and extended times. The goal of the PACE program is to provide the DoD with an architecture and algorithm co-design capability for what is likely to be the next big thing in DoD embedded signal processing: Graph-structured signal processing. Solutions available today that might meet these mission requirements are limited by prohibitively long and costly manual design times. The PACE program will provide signal processing capabilities not possible today while achieving dramatically reduced design time and cost.

(U) Program Plans:

FY 2009 Plans:

- Recast algorithms into sparse arrays.
- Co-design mapping to novel high performance computing architectures.

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	FY 2007	FY 2008	FY 2009
Visible InGan Injection Lasers (VIGIL)	0.000	2.000	5.382

(U) The objective of the Visible InGan Injection Lasers (VIGIL) program is to demonstrate injection lasers emitting in green, at l=500 nanometers (nm). Specific program goal is to demonstrate green injection lasers operating continuous wave at room temperature with the power output up to 1 watt (W), wallplug efficiency of 30%, and stable output during a time period longer than 1,000 hours. These lasers will be fabricated with a yield of 20%. VIGIL lasers will enable applications requiring a close match between the light source and the peak response wavelength of the human eye. Another class of applications will take advantage of the minimum absorption of sea water in the blue-green spectral region. Diverse other applications include miniaturized displays and pumps for generation of high-frequency mode-locked combs.

(U) Program Plans:

FY 2008 Plans:

- Scale the output power of the laser to at least 100 milliwatts (mW).
- Achieve wallplug efficiency of 20%, stable operation of 500 hrs.
- Demonstrate wafer yield of at least 10%.

FY 2009 Plans:

- Demonstrate room temperature 500 nanometer lasers and validate the technical approach for device demonstrations.
- Scale the output power of the laser to at least 100 mW, achieve wallplug efficiency of 20%, stable operation of 500 hours, and demonstrate wafer yield of a least 10%.

	FY 2007	FY 2008	FY 2009
Quantum Sensors	0.000	0.000	7.000

(U) The Quantum Sensors program is developing approaches to exploit non-classical effects called entanglement to improve the resolution and range of military sensors. Quantum sensors will retain the generally better propagation characteristics of long wavelength light while achieving the better spatial resolution of short wavelength radiation. Conventional classical sensors rely on light with shorter wavelengths, like blue light, to

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produce sharp images. As wavelengths increase, for example from blue to infrared, the classical resolution decreases. Quantum sensors will be able to retain high resolution as the wavelength increases using a non-classical effect called entanglement. Two broad classes of sensor are under consideration. Type I quantum sensors propagate entangled photons to a target and back to a detector, where quantum effects may enhance resolution. Type II quantum sensors propagate classical radiation to the target, and entangled photons are used within the detector to improve resolution. A third class of approach, based on ghost imaging, is also being explored. During the theoretical proof stage in FY 2007 to 2008 this program is funded under PE 0601101E, Project MS-01.

(U) Program Plans:

FY 2009 Plans:

- Commence component technology development.
- Begin Quantum Sensor systems analysis to quantify achievable system performance and component technology requirements.

	FY 2007	FY 2008	FY 2009
Parametric Optical Processes and Systems (POPS)	0.000	1.000	2.142

(U) The Parametric Optical Processes and Systems (POPS) program aims to direct terabits per second (Tb/s) optical switching to move ultrashort optical bits in time and wavelength to accomplish wavelength grooming. This program will develop disruptive manufacturing processes to reduce the cost and delivery time for future DoD systems.

(U) Program Plans:

FY 2008 Plans:

- Develop basic building block components multiple-pump amplifiers.
   FY 2009 Plans:
- Initiate quantitative system demonstrations in wavelength grooming.
- Develop technology in highly nonlinear dispersion flattened fiber.

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	FY 2007	FY 2008	FY 2009
National Secure Foundry Initiative	2.000	0.000	0.000

(U) The Secure Advanced Fabrication Facility for Electronics (SAFFE) developed nanoelectronics innovations in support of homeland security and national defense applications with target products ranging from power electronics systems, advanced superconductors, integrated "nanochip" solutions for lithography, 3-Dimensional integration, device modeling and simulation, and metrology applications. Scaling down of semiconductor device feature sizes has led to advanced electronic components and new capabilities for signal and data processing.

(U) Program Plans:

FY 2007 Accomplishments:

- Pursued research concepts for shrinking semiconductor devices to the nanoscale and explored applications to integrated microsystems.

	FY 2007	FY 2008	FY 2009
Characterization, Reliability & Applications for 3-D Microdevices	2.600	0.000	0.000

(U) The Characterization, Reliability & Applications for 3-D Microdevices explored innovative processes to improve the fabrication of 3-Dimensional (3-D) Microdevices.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed innovative processing instrumentation for the fabrication of 3-D Microdevices.

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	FY 2007	FY 2008	FY 2009
3-D Technology for Advance Sensor Systems	2.200	2.400	0.000

(U) The 3-D Technology for Advance Sensor Systems effort will exploit 3-Dimensional (3-D) technology for applications in Advance Sensor Systems.

(U) Program Plans:

FY 2007 Accomplishments:

- Explored 3-D technology innovation for application to Advance Sensor Systems.
- FY 2008 Plans:
- Apply 3-D technology to device implementation.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
	Previous President's Budget	239.370	213.529	219.844
	Current Budget	215.742	196.707	211.457
	Total Adjustments	-23.628	-16.822	-8.387
	Congressional program reductions	-7.500	-19.222	
	Congressional increases	0.000	2.400	
	Reprogrammings	-10.000		

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	SBIR/STTR transfer	128					
(U)	Change Summary Ex	xplanation:					
	FY 2007	Decrease reflects the Section 8043 Recis	sion, the DoDEA/DSS reprogramming, a	and the SBIR/STTR transfer.			
	FY 2008Decrease reflects a PE execution adjustment and reductions for Section 8097 Contractor Efficiencies and Section 8104 Economic Assumptions; offset by a congressional add for 3-D Technology for Advanced Sensor Systems.FY 2009Decrease reflects minor rephasing of electronics programs.						
(U)	Other Program Fund	ling Summary Cost:					

• Not Applicable.

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COST (In Millions)	FY 2007	FY 2007 FY 2008 FY		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	58.414 7		1.925	107.857	324.607	230.740	219.032	224.128
Advanced Aerospace Systems AIR-01	58.414	58.414 71.925 107.857 324.607 230.7				230.740	219.032	224.128

#### (U) <u>Mission Description:</u>

(U) The Advanced Aerospace Systems program element is budgeted in the Advanced Technology Budget Activity because it addresses high pay-off opportunities to dramatically reduce costs associated with advanced aeronautical systems and provide revolutionary new system capabilities for satisfying current and projected military mission requirements. Research and development of integrated system concepts, as well as enabling vehicle subsystems will be conducted. Studies conducted under this project include examination and evaluation of emerging aerospace threats, technologies, concepts, and applications for missiles, munitions, and vehicle systems.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Heliplane	14.800	15.400	16.000

(U) The Heliplane program will design, develop and flight test an air vehicle that combines the vertical take-off and landing (VTOL) and low disk loading characteristics of a helicopter with the speed and efficiency characteristics of a fixed wing aircraft. The Heliplane demonstrator aircraft will be tailored to a Combat Search and Rescue (CSAR) mission with a 400 mph cruise speed, a 1,000 lb payload, and an unrefueled range of 1,000 miles. The Heliplane program will conduct a combination of analysis and experiments to develop and demonstrate key enabling technologies. Once key enabling technologies have been demonstrated, a preliminary design of the Heliplane system will be completed, a test of the rotor system will be conducted to demonstrate that the rotor is stable in high-speed flight, detailed design will be completed, and a Heliplane demonstrator will be fabricated and flight tested. Potential customers include the Special Operations Command (SOCOM), Air Force, Marines, Army and Navy.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Performed Heliplane system trade studies and developed conceptual design.
- Developed and conducted risk-reduction demonstrations of key Heliplane technologies and components.
- Completed the preliminary design of the rotor.

FY 2008 Plans:

- Complete the preliminary design of an alternate rotor configuration with a > 10 dB reduction in noise from the tip-jet.
- Design and fabricate a scale model to demonstrate capability for stable operation of the Heliplane at high speed in a wind tunnel.

FY 2009 Plans:

- Complete preliminary design of Heliplane demonstrator.
- Complete detailed design of the Heliplane rotor.
- Demonstrate capability for stable operation of the Heliplane at high speed in a wind tunnel.
- Demonstrate tip-jet performance on a whirl stand.

	FY 2007	FY 2008	FY 2009
Oblique Flying Wing (OFW)	16.500	20.150	29.525

(U) An Oblique Flying Wing (OFW) aircraft is an asymmetric flying wing that can vary its wing sweep in flight with increasing speed to optimize aerodynamic performance. The variable sweep is achieved asymmetrically on the oblique wing, with one end of the wing swept forward and the other swept aft. An operational supersonic, variable sweep oblique flying wing holds the promise of being very efficient in both high speed cruise and long endurance low speed loiter. Possible applications that would take advantage of the unprecedented combination of high and low speed performance include: penetrating intelligence, surveillance, and reconnaissance; long range strike; hunter/killer; and multi-mission aircraft. A supersonic aircraft capable of long loiter times would have a revolutionary impact on the battlefield, necessitating fewer combat aircraft and fewer tankers to accomplish mission objectives. The goal of the OFW program is to expand the design space for future aircraft concepts, particularly for those missions that demand both supersonic speed and long endurance. The potential for a unique combination of excellent high speed and low speed performance would enable rapid deployment and long loiter time, for example, in surveillance or combat air patrol (CAP) roles. The OFW program will integrate technologies such as advanced controls to develop and fly a small-scale supersonic

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technology demonstrator X-Plane. The program will also identify key design requirements for the objective system, allowing the Services to evaluate the technology for implementation in future operational systems. The anticipated transition partner is the Air Force.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed Oblique Flying Wing (OFW) X-Plane system design.
- Conducted initial subsonic and supersonic wind tunnel tests.
- Completed system requirements review.
- Conducted conceptual design studies of potential operational OFW aircraft.

FY 2008 Plans:

- Conduct stability and control analysis to evaluate predicted trim and handling characteristics of OFW design.
- Complete development of a dynamic flight simulation, which will couple modeling of rigid aerodynamics and aeroelasticity effects for control system development.
- Complete preliminary design review.

FY 2009 Plans:

- Perform additional wind tunnel testing for subsonic and supersonic aerodynamic data, dynamic derivative data and aeroelastic evaluations.
- Initiate procurement of long lead items for X-Plane demonstrator.
- Begin flight test software development and test.
- Continue conceptual design studies of potential operational OFW aircraft.

	FY 2007	FY 2008	FY 2009
Heavy Fuel Engine/Low Friction Engine	5.669	4.935	4.932

(U) The Heavy Fuel Engine/Low Friction Engine program will develop and demonstrate a heavy-fuel, lightweight, and efficient engine for air vehicles. In the future, heavy fuel (diesel or JP-8) may be the only logistic fuel for the battlefield. Conventional heavy fuel engines are too heavy for air vehicles and, at the desired size, not efficient enough. Innovative and advanced diesel engine designs are being developed to achieve both

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efficiency and a significant reduction in weight. Such engines will enable air vehicles increased maximum range and endurance while operating on diesel fuel. Novel approaches to achieving challenging performance goals include an opposed piston, opposed cylinder (OPOC) concept and a low friction in-line opposed piston configuration. The OPOC engine is designed to achieve sustained high power at high altitude and to minimize the impact of lapse rate. The Low Friction Engine (LFE) is designed to operate without conventional piston rings which are a principal cause of internal combustion engine friction and diminish the amount of useful work that is available from an engine. Detailed design, fabrication, and testing is being conducted to assess engine performance and reliability. Initial engine technology transition planning identified the A160 air vehicle as a promising platform for a heavy fuel engine. Integration of a lightweight heavy fuel engine could double flight endurance for a given weight of fuel. Potential customers include the Army, Special Operations Command (SOCOM), and Marines.

#### (U) Program Plans:

FY 2007 Accomplishments:

Successfully completed performance demonstrations of the dual module OPOC prototype engine achieving >36.7% efficiency, a power to weight ratio of >0.92 hp/lb, and producing sea level power of 468 hp (rated at 450 hp at 15,000 ft).

FY 2008 Plans:

- Conduct risk reduction demonstrations of enabling technologies in a single cylinder LFE test engine module to show low friction and viable performance.
- Complete LFE performance, structural and thermodynamic analysis, assessment, and conceptual design.

FY 2009 Plans:

- Demonstrate a four-cylinder LFE for full performance.
- Demonstrate compatibility of prototype engine with the A160 air vehicle.

	FY 2007	FY 2008	FY 2009
Advanced Aerospace System Concepts	3.445	2.440	3.000

(U) Studies conducted under this program examine and evaluate emerging aerospace technologies and system concepts for applicability to military use. This includes the degree and scope of potential impact/improvements to military operations, mission utility, and warfighter capability. Studies are also conducted to analyze emerging aerospace threats along with possible methods and technologies to counter them. The

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feasibility of achieving potential improvements, in terms of resources, schedule, and technological risk, is also evaluated. The results from these studies are used, in part, to formulate future programs or refocus ongoing work. Topics of consideration include: methods of defeating enemy anti-aircraft attacks; methods to intercept and defeat enemy unmanned air vehicles (UAVs); autonomous refueling for air vehicles; munition technologies to increase precision, range, endurance, and lethality of weapons for a variety of mission sets; novel launch systems; air vehicle control, power, propulsion, materials, and architectures; payload and cargo handling systems; and the ability of fixed wing UAVs to perform perch-and-stare missions.

(U) Program Plans:

FY 2007 Accomplishments:

 Performed studies on precision airdrop systems; high altitude, long endurance aircraft; autonomous air refueling; critical strike munitions; and novel propulsion systems.

FY 2008 Plans:

- Investigate the use of novel propulsion systems allowing small fixed wing UAVs to perform perch-and-stare missions.
- Evaluate advanced high-performance rotor system concepts for tiltrotor aircraft
- Perform studies of candidate technologies and develop system concepts.
- Conduct modeling and simulation of system architectures and scenarios.

FY 2009 Plans:

- Analyze materials, designs and techniques for air systems weight reduction and structural efficiency, including complex fittings associated with propulsion and drive system housings and gearbox cases.
- Conduct enabling technology and sub-system feasibility experiments.

	FY 2007	FY 2008	FY 2009
A160	7.000	6.000	4.000

(U) The A160 program will exploit a hingeless, rigid rotor concept operating at the optimum rotational speed to produce a vertical take-off and landing (VTOL) unmanned air vehicle (UAV) with low disk loading and rotor tip speeds resulting in an efficient low power loiter and high endurance system. This unique concept offers the potential for significant increases in VTOL UAV range (>2,000 nm) and/or endurance (>20

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hours). The focus of the remaining program is on the final development and demonstration of the A160 turboshaft variant. Proof of concept flight test will demonstrate platform performance goals, most notably, endurance, a 15,000 feet high altitude hover-out-of-ground effect capability, payload carrying and speed. This program will also demonstrate airworthiness, reliability, and autonomous capabilities of the vehicle. The A160 concept has the potential to meet a range of surveillance and targeting, communications and data relay, crew recovery, resupply of forces in the field, and special operations missions in support of Army, Navy, Marine Corps, and other agency needs. The program also provides a platform for integration and testing of highly efficient heavy fuel engine technologies. These technologies can further advance current range and endurance. The A160 program will transition to the Army and SOCOM after completion of this Phase.

(U) Program Plans:

FY 2007 Accomplishments:

- Achieved performance payload and high speed goals.

- FY 2008 Plans:
- Complete expansion of flight envelope and demonstration of flight performance goals to include hover-out-of-ground effect and long endurance flight.
- FY 2009 Plans:
- Transition program to the Army and SOCOM.

	FY 2007	FY 2008	FY 2009
Dual Mode Small Gunship	3.000	0.000	0.000

(U) The Dual Mode Small Gunship program investigated the utility of a low-cost small aircraft, configured with sensors, weapons and special equipment controlled either remotely or by a crew on-board. The ability to have a pilot on-board would allow for easy deployment to theater and safe operation over populated areas by allowing the pilot to interface with the air traffic control infrastructure rather than the current, cumbersome method of deploying large UAVs. The plan to "unman" an existing aircraft would also minimize development costs.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed a preliminary feasibility study for modification of an existing low-cost aircraft.

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	FY	2007	FY 2008	FY 2009

1.500

4.000

7.500

(U) The Close Air Support Technology for Loitering Engagement (CASTLE) program will develop alternatives to current, manned systems and explore approaches to provide persistent on-demand overhead fire support with gun-ship like precision, tailored lethal effectiveness and unit directed responsive command and control. The vehicle will demonstrate persistent, sustained mission capabilities with troops on the ground directly commanding the aircraft's weapons and sensors. It will give the ground warfighter particular advantage in urban environments where it will operate with high availability, fast response, precision strike and low collateral damage. Key technologies to be analyzed, developed and integrated under CASTLE include 1) affordable, survivable, and persistent unmanned aircraft, 2) weapons consistent with man-in-the loop close air support application, such as auto-loading Electro Magnetic (EM) guns, directed energy weapons, vertical launch missiles, or deep magazine traditional guns and precision bombs, 3) sensors for targeting and designation, and 4) an adaptive command and control system to permit small unit request, coordination, and direction of supporting fires. Potential customers include the Army, SOCOM, Marines, and AFSOC.

(U) Program Plans:

FY 2007 Accomplishments:

- Evaluated candidate technologies for CASTLE.

Close Air Support Technology for Loitering Engagement (CASTLE)

FY 2008 Plans:

- Conduct initial concept trade-off for preliminary CASTLE system designs.
- Perform modeling and simulation of alternative candidate air system architectures to assess effectiveness of alternative CASTLE approaches.

FY 2009 Plans:

- Complete preliminary design of air vehicle design concept and development.
- Perform CASTLE technology risk reduction experiments and demonstrations.

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	FY	2007	FY 2008	FY 2009

(U) The Aircraft Self Protection (ASP) program explored the active protection of slow moving, high altitude aircraft systems with guided missiles or high energy laser weapons as an alternative/complement to passive defense by signature control. An active aircraft self-defense system could relax the design constraints imposed by signature control, allowing a greater range of platform capabilities. Because lasers provide "speed-of-light" response and a deep magazine, their suitability relative to the more conventional missile based solutions was considered. The ASP program evaluated both pod-mounted and fully integrated system concepts for missile detection, threat tracking, engagement, and defeat at a safe range.

3.000

0.000

0.000

(U) Program Plans:

Aircraft Self Protection (ASP)

FY 2007 Accomplishments:

- Performed ASP system trade-off analysis, resulting in system size, weight, power and effectiveness criteria.

	FY 2007	FY 2008	FY 2009
Rapid Eye	0.000	10.500	15.900

(U) The goal of the Rapid Eye program is to develop a high altitude, long endurance unmanned aircraft that can be rocket-deployed from the continental United States world-wide within 1-2 hours to perform intelligence, surveillance, reconnaissance (ISR), and communication missions. The enabling technologies are inflatable/folding structures, stable and dense energy storage, and low-oxygen propulsion. Rapid Eye will provide decision makers rapid-reaction ISR and persistent communication capability for emerging situations. The anticipated transition partner is the Air Force.

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### (U) Program Plans:

FY 2008 Plans:

- Perform multi-team conceptual design study of system trades to include launch locations and systems, and aircraft altitude, survivability and endurance; effectiveness; and affordability through modeling and simulation.
- Develop Rapid Eye, risk management plan, and technology and system maturation plan.

FY 2009 Plans:

- Perform subsystem technology development and subscale tests, including sounding rocket, drop, wind tunnel, and high-altitude chamber testing.
- Develop Rapid Eye preliminary design.

	FY 2007	FY 2008	FY 2009
Vulture	0.000	6.500	11.000

(U) The objective of the Vulture program is to develop an aircraft capable of remaining on-station uninterrupted for over five years to perform intelligence, surveillance, reconnaissance (ISR), and communication missions over an area of interest. The technology challenges include development of energy management and reliability technologies capable of allowing the aircraft to operate continuously for five years. Vulture, in effect, will be a retaskable, persistent pseudo-satellite capability, in an aircraft package. The Vulture program will conclude with a year-long flight demonstration with a fully functional payload. The anticipated transition partner is the Air Force.

#### (U) Program Plans:

FY 2008 Plans:

- Perform multi-team conceptual design study of system trades to include aircraft altitude, survivability, payloads, and missions; effectiveness; and affordability through modeling and simulation.
- Develop risk mitigation and technology maturation plan.
- Begin technology development in the area of energy management.

FY 2009 Plans:

– Maturation of energy management and reliability technologies.

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 Demonstration of component performance and reliability including energy storage, propulsion, and flight management/control systems.

– Initiate construction of a sub-scale demonstrator aircraft.

	FY 2007	FY 2008	FY 2009
Heavy Lift	2.500	0.000	0.000

(U) The Heavy Lift program explored technologies that would lead to novel STOL/VTOL air vehicle concepts and designs. The objective VTOL aircraft would have been optionally-manned and able to lift a 20-ton payload and carry it forward at speeds of 200+ knots with a tactical radius of 400 miles. The program examined technology advances in advanced rotors, propellers, hybrid-mode engines, controls, and advanced composite airframes.

(U) Program Plans:

FY 2007 Accomplishments:

- Performed trade studies.

	FY 2007	FY 2008	FY 2009
Heavy Fuel Engine Development	1.000	0.000	0.000

(U) The Heavy Fuel Engine Development program developed and demonstrated a heavy-fuel (e.g. diesel), lightweight, and efficient engine for air vehicles. Innovative and advanced diesel engine designs are being developed to achieve both efficiency and a significant reduction in overall weight. Such engines provide air vehicles increased maximum range and endurance while operating on a logistic fuel.

(U) Program Plans:

FY 2007 Accomplishments:

- Assessed initial concepts.

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- Completed preliminary design.

	FY 2007	FY 2008	FY 2009
Multi-Modal Missile	0.000	0.000	7.500

(U) The Multi-Modal Missile program will explore the development of an integrated, networked man-portable weapon system capable of performing surface-to-surface, and surface-to-air missions with an emphasis on extreme precision. The program will focus on delivering precision targeting accuracy in both direct and indirect fire modes against multiple targets, and beyond line-of-sight functionality including; armored and soft ground vehicles, bunkers, personnel, helicopters and UAVs. The Multi-Modal Missile will be compatible with existing Javelin and TOW launch infrastructures. The objective Multi-Modal Missile capability will integrate a variety of existing weapons-systems functions and provide both mounted and dismounted soldiers with an affordable compact system. Critical characteristics of this weapon system concept include light weight, simple operation, and affordability. Technologies under consideration will include advanced imaging seekers, precision terminal guidance, propulsion, power storage, vertical launch with lock-on-after-launch capability, and novel warhead concepts to support a wide range of engagement geometries with desired lethality effects against a range of targets. This program was previously funded in PE 0603764E, Project LNW-01. Anticipated service users include the Army, Marines and Special Forces.

(U) Program Plans:

FY 2009 Plans:

 Develop, analyze and assess initial Multi-Modal Missile system preliminary designs and carry out key subsystem technology demonstrations.

	FY 2007	FY 2008	FY 2009
Small UAV Strike Munition	0.000	0.000	4.000

(U) The Small UAV Strike Munition program will develop the technologies to enable a precision guided munition, dramatically reduced in size and cost, for application to airborne unmanned systems and for use by dismounted soldiers/Marines. An inexpensive, low-weight precision

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guided munition that is effective against soft targets (vehicles, dismounts, conventional structures) can be utilized where expensive precision guided weapons (e.g. Hellfire, intended for armored vehicles) are used today. Employed in an airborne weapon that delivers multiple precision guided sub-munitions, this technology will allow the force to engage an increased number of soft targets at a lower cost, with reduced response time and logistic footprint. A loitering munition with multiple stowed kills can allow successful engagement of high-value, fleeing targets otherwise not possible to detect and engage today. Use of this small munition by dismounts can enable precision fires with a compact warhead size to effectively engage high value targets in complex terrain with minimal collateral damage.

(U) Technical challenges include: a capable precision guidance system and a control system in a package approximately half the size of the most advanced systems currently in development; a low-cost, strap-down sensor capable of autonomously detecting targets with high probability of detection and low false alarm rate, designation by and in close proximity to dismounted soldiers and marines; precision enabling effective target prosecution with dramatically reduced collateral damage; and safe and effective launch from fielded unmanned aircraft and dismounts. Anticipated service users include the Army, Marines and Special Forces.

(U) Program Plans:

FY 2009 Plans:

- Conduct system trades, effectiveness, and affordability studies through modeling and simulation.
- Develop preliminary design, risk management plan, and technology and system maturation plan.

	FY 2007	FY 2008	FY 2009
Stealthy, Persistent, Perch and Stare (SP2S)	0.000	0.000	4.500

(U) The goal of the Stealthy, Persistent, Perch and Stare (SP2S) program is to develop the technology to enable an entirely new generation of perch-and-stare micro air vehicles, based on the Wasp platform, capable of: 1) vertical launch, 2) forward flight to a target, 3) transition from forward flight to hover, 4) vertical landing at the target site, 5) secure, stable attachment to its "perch," 6) sustained perch-and-stare missions, to include data collection, and 7) at mission end SP2S would re-launch from the perch and fly home. During perch-and-stare, SP2S would perform surveillance and transmit live video/still images beyond line-of-sight back to the home base, utilizing other low altitude UAVs as relay links, as required. Anticipated service users include the Army, Marines and Special Forces.

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	APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENCRDT&E, Defense-wideAdvanced AerospacBA3 Advanced Technology DevelopmentPE 0603286E, Projet			ems 2-01		
(U)	<ul> <li>Program Plans:</li> <li>FY 2009 Plans:</li> <li>Demonstrate a perch-and-stare prototype.</li> <li>Fabricate perch-and-stare field test systems.</li> </ul>					
		FY	2007	FY 2008	FY 2009	
Buoy	ancy Assisted Lift Air Vehicle	0	.000	2.000	0.000	
(U)	<ul><li>Program Plans:</li><li>FY 2008 Plans:</li><li>– Investigate a buoyancy assisted lift air vehicle.</li></ul>					
(U)	Program Change Summary: (In Millions)	<u>FY 2</u>	<u>007</u>	<u>FY 2008</u>	<u>FY 2009</u>	
	Previous President's Budget	80.	.474	86.385	95.703	
	Current Budget	58.	.414	71.925	107.857	
	Total Adjustments	-22.	.060	-14.460	12.154	
	Congressional program reductions	-10.	.000	-16.460		
	Congressional increases	0.	.000	2.000		
	Reprogrammings	-10.	.000	0.000		
	SBIR/STTR transfer	-2.	.060	0.000		

	RDT&E BUD	GET ITEM JUSTIFICATION S	HEET (R-2 Exhibit)	1	DATE Februa	ary 2008
	APPROPRIA RDT& BA3 Advanced	<b>R-1 ITEM NOMENCLATURE</b> Advanced Aerospace Systems PE 0603286E, Project AIR-01				
(U)	Change Summary Ex	xplanation:				
	FY 2007	Decrease reflects the reprogramming for transfer.	DoDEA/DSS, the Section 80	043 Recission	1, and the SBII	R/STTR
	FY 2008	Decrease reflects a PE execution adjustm Section 8104 Economic Assumptions; of	ent and reductions for Section fset by a congressional add f	on 8097 Cont for Buoyancy	ractor Efficien Assisted Lift	cies and Air Vehicle.
	FY 2009	Increase reflects funding of several progr Munition.	ams such as SP2S, Multi-Mo	odal Missile,	and Small UA	V Strike
(U)	<u>Other Program Fund</u> A160	ling Summary Cost:		FY 2007	FY 2008	FY 2009
	Army S&T, Phase I			14.407	0.000	0.000

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COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	222.300	21	6.419	287.009	211.510	235.331	250.032	254.221
Space Programs and Technology SPC-01	222.300	21	6.419	287.009	211.510	235.331	250.032	254.221

#### (U) <u>Mission Description:</u>

(U) The Space Programs and Technology program element is budgeted in the Advanced Technology budget activity because it addresses high payoff opportunities to dramatically reduce costs associated with advanced space systems and provides revolutionary new system capabilities for satisfying current and projected military missions.

(U) A space force structure that is robust against attack represents a stabilizing deterrent against adversary attacks on space assets. The keys to a secure space environment are situational awareness to detect and characterize potential attacks, a proliferation of assets to provide robustness against attack, ready access to space, the ability to neutralize man-made space environments, and a flexible infrastructure for maintaining the capabilities of on-orbit assets. Ready access to space allows the delivery of defensive systems and replenishment supplies to orbit. An infrastructure to service the mission spacecraft allows defensive actions to be taken without limiting mission lifetime. In addition, developing space access and spacecraft servicing technologies will lead to reduced ownership costs of space systems and new opportunities for introducing technologies for the exploitation of space.

(U) Systems development is also required to increase the interactivity of space systems, space-derived information and services with terrestrial users. Studies under this project include technologies and systems that will enable satellites and microsatellites to operate more effectively by increasing maneuverability, survivability, and situational awareness; enabling concepts include solar thermal propulsion, novel ion-thruster applications, payload isolation and pointing systems.

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#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Orbital Express Space Operations Architecture	34.711	0.000	0.000

(U) The goal of the Orbital Express Space Operations Architecture program was to validate the technical feasibility of robotic, autonomous on-orbit refueling and reconfiguration of satellites to support a broad range of future U.S. national security and commercial space programs. Refueling satellites would enable frequent maneuver to improve coverage, change arrival times to counter denial and deception and improve survivability, as well as extend satellite lifetime. Electronics upgrades on-orbit provide performance improvements and dramatically reduce the time to deploy new technology on-orbit. The Orbital Express advanced technology demonstration designed, developed and tested on-orbit a prototype servicing satellite (ASTRO) and a surrogate next generation serviceable satellite (NextSat). The elements of the Orbital Express demonstration, coordinated with Air Force Space Command and Air Force Space and Missile Command, was tied together by non-proprietary satellite servicing interfaces (mechanical, electrical, etc.) facilitating the development of an industry wide on-orbit servicing infrastructure. Orbital Express successfully launched in March 2007 as part on the Air Force Space Test Program's STP-1 mission. The demonstration program met all mission success criteria and was completed in July 2007.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed and validated software for autonomous mission planning, rendezvous, proximity operations and docking.
- Designed, fabricated, and tested on-orbit robotic satellite servicing, including fuel and electronics transfer, deployment of and operations with a micro-satellite.
- Performed utility assessments of on-orbit servicing in conjunction with operational customers.

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		2007 EX 2000 EX 2000	

	FY 2007	FY 2008	FY 2009
Space Surveillance Telescope (SST)	19.771	12.833	7.000

(U) The Space Surveillance Telescope (SST) program will develop and demonstrate an advanced ground-based optical system to enable detection and tracking of faint objects in space, while providing rapid, wide-area search capability. A major goal of the SST program is to develop the technology for large curved focal plane array sensors to enable an innovative telescope design that combines high detection sensitivity, short focal length, wide field of view, and rapid step-and-settle to provide orders of magnitude improvements in space surveillance. This capability will enable ground-based detection of un-cued objects in deep space for purposes such as asteroid detection and space defense missions. The Air Force will participate in the DARPA funded developmental testing of SST and then take over operation of SST as a sensor in the Air Force Space Surveillance Network. A Memorandum of Agreement (MOA) has been established with Air Force Space Command for transition in FY 2009.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Developed and fabricated major components of the 3.5m aperture telescope.
  - Designed telescope enclosure.

FY 2008 Plans:

- Develop and fabricate a mosaic of curved focal plane arrays and construct the sensor subsystem.
- Develop, test, and validate software for autonomous telescope operations and data reporting.
- Design and fabricate telescope enclosure and supporting infrastructure at White Sands Missile Range.
- Integrate telescope elements at contractor facility.
- FY 2009 Plans:
- Integrate telescope elements on site.
- Validate end-to-end telescope performance and surveillance operations.

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	FY 2007	FY 2008	FY 2009
Novel Satellite Communications (NSC)	16.275	15.622	3.800

(U) The aim of the Novel Satellite Communications (NSC) program is the development of a multi-user satellite communications (SATCOM) system that allows ground-based users with handheld radios to communicate with the satellite at high data rates, even when the users are close to multiple jammers and/or located in urban (i.e. severe multi-path) settings. This will be accomplished through novel signal processing, communications and coding techniques. The NSC technology will transition to the Navy (SPAWAR) and Air Force (SMC) following the NSC demonstration in 2009.

#### (U) Program plans:

FY 2007 Accomplishments:

- Collected experimental SATCOM jamming data using Tracking and Data Relay Satellite System (TDRSS) and Commercial SATCOM satellites, and demonstrated that the NSC algorithms being developed worked on the data collected.
- Developed detailed hardware and software design of the NSC demonstration system.

FY 2008 Plans:

- Conduct additional experimental data collection and processing.
- Finalize design of the NSC demonstration system.
- Begin integration of the NSC System.
- Conduct performance testing of key demonstration subsystems.
- FY 2009 Plans:
- Complete assembly of the NSC system.
- Conduct testing and proof of concept demonstrations.

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	FY	2007	FY 2008	FY 2009

23.318

29.000

44.000

(U) The Integrated Sensor is Structure (ISIS) program is developing a sensor of unprecedented proportions that is fully integrated into a stratospheric airship that will address the nation's need for persistent wide-area surveillance, tracking, and engagement for hundreds of timecritical air and ground targets in urban and rural environments. ISIS is achieving radical sensor improvements by melding the next-generation technologies for enormous lightweight antenna apertures and high-energy density components into a highly-integrated lightweight multi-purpose airship structure - completely erasing the distinction between payload and platform. The ISIS concept includes 99% on-station 24/7/365 availability for Simultaneous Airborne Moving Target Indicator (AMTI) (600 kilometers) and Ground-Based Moving Target Indicator (GMTI) (300 kilometers) operation; 12-plus months of autonomous, unmanned flight; hundreds of wideband in-theater covert communications links; responsive reconstitution of failed space assets; plus CONUS-based sensor analysis and operation. The ISIS technology is planned for transition to the Army's PEO Air-to-Surface Missile Defense, Air Force Joint Warfighter Space and the Missile Defense Agency by FY 2011.

(U) Program Plans:

FY 2007 Accomplishments:

Integrated Sensor is Structure (ISIS)

- Refined objective system concept designs enabling simultaneous AMTI and GMTI operation, one year logistics-free operation, 99% on-station availability, and high-bandwidth covert communications.
- Developed lightweight technologies for system integration (i.e. high-energy density batteries, electronic circuits on thin-film barrier materials, advanced multi-purpose airship hulls, and regenerative fuel technologies).

FY 2008 Plans:

- Demonstrate lightweight technologies for system integration (i.e. high-energy density batteries, electronic circuits on thin-film barrier materials, advanced multi-purpose airship hulls, and regenerative fuel technologies).
- Develop a preliminary design and fully-operational scaled flight system demonstrating complete system integration over an extended period.

FY 2009 Plans:

- Design and simulate new radar modes; tracking air and ground targets through the clutter notch; detection and response to rockets, artillery, and mortars; detection of dismounted enemy combatant; and "track-all-the-way" fire control.

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RDT&E, Defense-wide	Fechnology
BA3 Advanced Technology Development	ct SPC-01

- Integrate and flight test a sub-scaled airship demonstrating launch and recovery operations, station-keeping and altitude control algorithms, and validate environmental data models.
- Design and simulate new radar modes; tracking air and ground targets through the clutter notch; detection and response to rockets, artillery, and mortars; detection of dismounted enemy combatant; and "track-all-the-way" fire control.
- Develop a critical design for a fully-operational scaled flight system demonstrating complete system integration over an extended period.

	FY 2007	FY 2008	FY 2009
Deep View	10.250	4.730	0.000

(U) The Deep View program will develop a high-resolution radar imaging capability to characterize objects in earth orbit. A special emphasis will be placed on imaging small objects at orbits ranging from low earth orbit (LEO) to geo-synchronous orbit (GEO). The system will be based upon a large aperture imaging radar system redesigned to operate at very high power over very broad bandwidth at W-band. Key technology development will focus on: (1) transmitters capable of providing the required power to image at deep-space ranges over full bandwidth, and (2) an antenna design that maintains the necessary form factor over a very large aperture. The capabilities emerging from this program will enable the classification of unknown objects, such as space debris, as well as the monitoring of the health and status of operational satellites. DARPA established a joint MOA with the Air Force for this program in August 2004, and technologies developed under the Deep View program are transitioning in FY 2008.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed W-band gyro-twystron transmitter tubes.
- Developed the technology for W-band power combining and frequency multiplexing, to obtain the required transmitter power over the required bandwidth for deep space imaging.
- Completed transmitter and radar system design, retaining the current Haystack X-band capability.

FY 2008 Plans:

- Demonstrate 4-tube gyro-twystron power combining to verify diplexer performance under near-operational conditions.

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- Complete development of advanced signal processing software required by the new broadband high power transmitter approach.

	FY 2007	FY 2008	FY 2009
Long View	9.430	13.809	18.989

(U) The Long View program will develop an inverse synthetic aperture laser radar (LADAR) that will enable the high-resolution imaging of geostationary satellites when coupled to a large aperture telescope. Specifically, the technologies being developed in the Long View program are an optical reference oscillator that is stable over the propagation time to a geostationary satellite (GEOSTAT) and back (about a quarter of a second) and autofocus algorithms that restore image quality that has been degraded due to atmospheric turbulence and optical reference oscillator instability over the imaging time (about 100 seconds). These two technologies are required in order to make inverse synthetic aperture LADAR systems feasible for objects in geostationary orbits. The Long View technology will transition to the Air Force in 2012.

(U) Program Plans:

FY 2007 Accomplishments:

- Designed and began assembling the stable optical reference oscillator.
- Simulated autofocus algorithms.
- Developed and tested autofocus algorithms.

FY 2008 Plans:

- Demonstrate that the stable optical reference oscillator meets stability requirements.
- Demonstrate that the autofocus algorithm is capable of eliminating the blurring due to atmospheric turbulence and stable optical reference oscillator instability over the imaging time.
- Commence design of the Long View demonstration system.
- Conduct measurement of atmospheric turbulence at sub-Hertz frequencies.

FY 2009 Plans:

- Complete design of Long View demonstration system.
- Integrate hardware with telescope.
- Complete measurements of atmospheric turbulence.

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RDT&E, Defense-wide	Space Programs and 7	Fechnology
BA3 Advanced Technology Development	PE 0603287E, Proje	ct SPC-01

- Fabricate the Long View demonstration system.

- Conduct high-resolution imaging of geostationary satellites.

	FY 2007	FY 2008	FY 2009
Falcon	51.500	25.000	25.000

The Falcon program objectives are to develop and demonstrate hypersonic technologies that will enable prompt global reach missions. (U) This capability is envisioned to entail a reusable Hypersonic Cruise Vehicle (HCV) capable of delivering 12,000 pounds of payload at a distance of 9,000 nautical miles from CONUS in less than two hours. The technologies required by a HCV include high lift-to-drag technologies, high temperature materials, thermal protection systems, and guidance, navigation, and control. Leveraging technology developed under the Hypersonic Flight (HyFly) program, Falcon will address the implications of hypersonic flight and reusability using a series of hypersonic technology vehicles (HTVs) to incrementally demonstrate these required technologies in flight. The HTV-2 program will demonstrate enabling hypersonic technologies for future operational systems through rocket-boosted hypersonic flights with sufficient cross-range and downrange performance to evaluate thermal protection systems, aerodynamic shapes, maneuverability, and long-range communication for hypersonic cruise and re-entry vehicle applications. The HTV-3X program will demonstrate key Hypersonic Cruise Vehicle technologies in a realistic flight environment by developing a re-usable hypersonic aircraft test bed capable of takeoff from runway under turbojet power, acceleration to Mach 6 speed under combined turbojet and scramjet propulsion, controlled deceleration, and runway landings. In order to implement this flight test program in an affordable manner, Falcon will develop a low-cost, responsive Small Launch Vehicle (SLV). The SLV will be capable of launching small satellites into low earth and sun-synchronous orbits and will provide the nation a new, small payload access to space capability. Thus, the Falcon program addresses many high priority mission areas and applications such as global presence and space lift. DARPA established an MOA with the Air Force for the HTV-2 program in May 2003 and with NASA in October 2004. Falcon capabilities are planned for transition to the Air Force.

(U) An MOA with the Air Force in FY 2007 established the HTV-3X Blackswift Test Bed program. Given the importance of this activity, the HTV-3X Blackswift Test Bed has been separately budgeted in FY 2008 and out in this Program Element.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Conducted a second demonstration SLV launch.
- Manufactured an integrated second stage of an SLV.
- Conducted long-duration hot firing tests for second stage VaPak engine.
- Built a new horizontal test stand for more, and longer, second stage hot firings.
- Conducted HTV-2 preliminary design review.
- Conducted HTV-3X feasibility study.
- Conducted wind tunnel testing of HTV-2 outer mold line and completed aero critical design review.
- Completed HTV-2 aeroshell prototype parts fabrication and conducted leading-edge arc-jet test.
- Initiated concept design of the HTV-3X technology flight demonstration vehicle.
- FY 2008 Plans:
- Conduct critical design review of HTV-2 demonstration system and initiate fabrication.
- FY 2009 Plans:
- Complete assembly, integration, and test (AI&T) of two HTV-2 vehicles.
- Conduct flight testing of HTV-2 vehicles incorporating next generation hypersonic technologies.

	FY 2007	FY 2008	FY 2009
Blackswift Test Bed*	0.000	35.000	70.000

\*Formerly Falcon HTV-3X.

(U) The Blackswift Test Bed program will develop an extended duration hypersonic test bed which will allow for the study of tactics for a hypersonic airplane that includes a runway take-off, Mach 6 cruise, and a runway landing. This test bed is an evolution of the reusable Hypersonic Cruise Vehicle developed under the Falcon program. Key technologies that will be demonstrated include efficient aerodynamic shaping for high lift to drag, lightweight and durable (reusable) high-temperature materials and thermal management techniques including active cooling, autonomous flight control, and turbine-based combined cycle propulsion. To accomplish this objective, the Blackswift program will leverage propulsion component technologies developed by the Air Force and DARPA. It is envisioned that flying this hypersonic aircraft test bed in a

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relevant, flight environment will permit the future development of enhanced-capability reusable high-speed vehicles for intelligence, surveillance, reconnaissance, strike or other national need missions. This program will transition to the Air Force following completion of flight-testing.

#### (U) Program Plans:

FY 2008 Plans:

- Conduct HTV-3X propulsion trade studies.
- Conduct HTV-3X conceptual design review.
- Conduct further second stage engine firing tests on the horizontal and vertical test stands to validate the VaPak system.

FY 2009 Plans:

- Develop Blackswift preliminary design, risk management plan, and technology and system maturation plan.
- Mature and ground test the scramjet flow path.
- Integrate the scramjet with the high-speed turbine engine.
- Complete a turbine-based combined-cycle propulsion ground demonstration.

	FY 2007	FY 2008	FY 2009
Sleight of HAND (SOH)	9.636	12.710	17.045

(U) The effects of High Altitude Nuclear Detonations (HAND) are catastrophic to satellites. HAND-generated charged particles are trapped for very long periods of time, possibly for years, oscillating between the earth's north and south magnetic poles. This enhanced radiation environment would immediately degrade low earth orbiting (LEO) spacecraft capability and result in their destruction within a few weeks. The Sleight of HAND (SOH) program is a proof-of-concept demonstration of the technology and techniques to rapidly mitigate the HAND-enhanced trapped radiation within days of a HAND event, before LEO spacecraft capabilities are degraded. Other slower remediation methods, taking weeks versus days, would result in spacecraft degradation and would require asset replacement. The SOH effort will explore two alternative approaches to radiation mitigation: 1) using ground transmitted very low frequency (VLF) transmissions to interact with trapped particles and 2) using neutral gas release in space to generate plasma interactions producing ultra low frequency (ULF)/VLF energy to interact with trapped particles. Following laboratory proof-of-concept experiments and a risk reduction sounding rocket flight, a space-based demonstration will be pursued as a pathfinder for a future program in space remediation capability. Potential transition partners include the Navy and Air Force.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed VLF propagation and radiation interaction/effects model.
- Constructed and deployed an instrumented buoy to sense and report VLF signal strength and effects of VLF on trapped radiation.
- Utilized the HAARP facility to perform 1-hop experiments to anchor VLF propagation and interactions model.
- Performed 2-hop experiments to further enhance the fidelity of VLF prediction codes.

Performed feasibility studies to determine potential performance of neutral gas release radiation mitigation strategy.
 FY 2008 Plans:

- Use results of ground-based SOH experiments to enhance requirements for a space-based SOH demonstrator.
- Develop risk reduction sounding rocket experiment to validate neutral gas release and timing.
- FY 2009 Plans:
- Perform risk reduction sounding rocket flight, evaluate results, and incorporate into proposed demonstration.
- Develop preliminary design for space-based SOH neutral gas release demonstration.

	FY 2007	FY 2008	FY 2009
RAD Hard by Design	5.000	4.220	4.705

(U) This program is developing, characterizing, and demonstrating microelectronic design technologies to enable fabrication of radiation hardened electronic components using leading-edge, commercial fabrication facilities. The current mainstream approach for fabricating radiation-hardened electronics depends on specialized process technologies and dedicated foundries that serve this military market niche. While commercial semiconductor fabrication is not explicitly radiation hardened, recent trends in deeply scaled fabrication such as very thin oxides, trench isolation, and multiple levels of metal are resulting in semiconductor devices that are inherently more tolerant of radiation than older generations. This program is pursuing development of design-based technologies that will enable pure commercial fabrication technologies to attain radiation hardened electronics equivalent to those from the dedicated foundries. The design technology developed under the Radiation Hardening by Design program is planned for transition to the Air Force and to the Defense Threat Reduction Agency (DTRA) at the end of Phase II, which is anticipated to be completed by FY 2009. Specific design libraries for hardened circuits will transition through the defense electronics design industry, which are being supported largely by DTRA and the Air Force.

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#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed a Rad Hard by Design (RHBD) standard cell Application-Specific Integrated Circuit (ASIC) library in a commercial 90 nanometer (nm) complementary metal-oxide-semiconductor (CMOS) process.
- Achieved specified Rad-hard performance metrics with only a "one technology node" penalty in terms of performance, area and power.

FY 2008 Plans:

- Identify candidate system-on-a-chip integrated circuit (IC) to harden utilizing the RHBD standard cell libraries previously developed by this program.
- Fabricate "intermediate" demonstration IC as preliminary to the complete RHBD version of the system on chip (SOC) above.
- Begin exploration of 65 nm technology with respect to RHBD methods.
- Begin exploration of silicon on insulator (SOI) technology with respect to RHBD methods.

FY 2009 Plans:

- Fabricate and test "final" RHBD demo ICs chosen in FY 2008 (90 nm CMOS technology).
- Complete investigation of RHBD efficacy in 65 nm CMOS technology.
- Complete investigation of RHBD efficacy in SOI technology.

	FY 2007	FY 2008	FY 2009
Microsatellite Demonstration Science and Technology Experiment Program (MiDSTEP)	8.000	10.000	8.000

(U) The Microsatellite Demonstration Science and Technology Experiment Program (MiDSTEP) will develop the advanced technologies, capabilities, and space environment characterization required to demonstrate a suite of advanced lightweight microsatellite technologies integrated into high performance microsatellites across the continuum from low earth orbit (LEO) to deep space super geo-synchronous orbit (GEO) environment. The program will integrate a variety of advanced technologies, which have not been previously flight-tested, and may include: lightweight optical space surveillance/situational awareness sensors, lightweight power, chemical and electric propulsion systems, advanced lightweight structures, advanced miniature radio frequency (RF) technology including micro crosslink and use of commercial off the shelf (COTS) approaches, active RF sensor technology, COTS processor and software environment, miniature navigation technologies, including the use of

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starfields for deep space navigation, and autonomous operations. The developed capabilities will include high thrust, high efficiency solar thermal propulsion systems that can enable responsive orbit transfer as well as provide radiation resistant high-density electrical power. The program will also explore ultra-stable payload isolation and pointing systems and components to enable advanced miniature communication systems. In addition, the program will also consider affordable, responsive fabrication and integration approaches and the possibility of networking microsatellites/modules to create a flexible architecture of assets responsive to multiple missions and threats. If successful, MiDSTEP will demonstrate these technologies in space. The anticipated transition partner is Air Force Space Command.

(U) The Microsatellite Technology Experiment (MiTEx) technology demonstration investigated and demonstrated advanced high-payoff technologies from a variety of potential candidates, including: lightweight power and propulsion systems, avionics, structures, COTS components, advanced communications, and on-orbit software environments. MiTEx flight-tested a new, experimental upper stage, and demonstrated small COTS technologies to support a fast-paced, low-cost, lab-like, build-to-launch satellite approach in a shared industry/government environment.

(U) Program Plans:

#### FY 2007 Accomplishments:

- Completed MiTEx technology demonstration.

FY 2008 Plans:

- Conduct system design trades of appropriate technologies.
- Perform mission utility assessments and feasibility studies and develop concepts of operation.

FY 2009 Plans:

- Design and develop microsatellite system concepts and integrate selected technologies.
- Perform component and subsystem ground tests.

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	FY	2007 FY 2008	FY 2009

12.224

21.095

37.270

(U) The goal of the System F6 program is to demonstrate a radically new space system composed of a heterogeneous network of formation flying or loosely connected small satellite modules that will, working together, provide at least the same effective mission capability of a large monolithic satellite. Current large space systems used for national security purposes are constrained due to their monolithic architecture. They can be launched only on a small number of large launch vehicles, cannot readily be upgraded and/or reconfigured with new hardware on-orbit, and are risk-intensive, since the unforgiving launch and space environments can result in a total loss of investment with one mistake. The System F6 will partition the tasks performed by monolithic spacecraft (power, receivers, control modules, etc.) and assign each task to a dedicated small or micro satellite. This fractionated space system offers the potential for reduced risk, greater flexibility (e.g. simplified on-orbit servicing, reconfigurability to meet changing mission needs), payload isolation, faster deployment of initial capability, and potential for improved survivability. This program will develop, design, and test new space system architectures and technologies required to successfully decompose a spacecraft into fundamental elements. Such architectures include, but are not limited to, ultra-secure intra-system wireless data communications, wireless power systems, electromagnetic formation flying systems, remote attitude determination systems, structure-less optical and RF arrays, distributed spacecraft computing systems, and reliable, robust, rapidly re-locatable ground systems. The anticipated transition partner is the Air Force.

(U) Program Plans:

System F6

FY 2007 Accomplishments:

- Conducted system design trades of appropriate technologies and system architectures.

Performed mission utility and econometric-based value assessments and feasibility studies and developed concepts of operations.
FY 2008 Plans:

- Design and develop fractionated system concepts and integrate selected technologies.
- Formulate econometric value-modeling methodologies to inform system engineering trade decisions.
- Conduct Hardware-In-the-Loop (HIL) demonstrations of successively greater capability simulating wireless network operating environment for fractionated satellite systems.
- Develop trajectories for launch, deployment and sustainment of cluster satellite systems.

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- Review feasibility of wireless power transfer approaches for inter and intra-satellite operations.

FY 2009 Plans:

- Perform component and subsystem ground tests.

Conduct Hardware-In-the-Loop (HIL) demonstrations of successively greater capability simulating 1) wireless network operating environment for fractionated satellite systems, 2) orbit propagation with real world dynamics, 3) guidance, navigation and control schemes, 4) cluster flying algorithms, and 5) distributed resource management.

- Refine system design to provide a detailed description of spacecraft and ground modules, subsystem-level allocation of mass, power and reliability, trade space definition for each component/technology, and risk analysis with mitigation schemes.

	FY 2007	FY 2008	FY 2009
Front-end Robotics Enabling Near-term Demonstration (FREND)	13.196	14.400	10.700

(U) The goal of the Front-end Robotics Enabling Near-term Demonstration (FREND) program is to develop, demonstrate and fly robotic manipulator technologies designed to allow interaction with geosynchronous orbit (GEO)-based military and commercial spacecraft, extending their service lives and permitting satellite repositioning or retirement. Existing GEO spacecraft are outfitted with sufficient propellant to provide for needed station keeping, repositioning, and retirement maneuvers, which in many cases defines their useful mission durations. Once this propellant is expended, the vehicle is retired and, in many cases replaced. FREND technologies can enable significant service extension to these spacecraft through re-boosting near end-of-life. FREND combines detailed stereo photogrammetric imaging with robotic multi-degree-of-freedom manipulators to autonomously grapple space objects not outfitted with custom interfaces. A FREND-based servicing spacecraft offers the potential for spacecraft salvage, repair, rescue, reposition, de-orbit and retirement, and debris removal. The anticipated transition partner is the Air Force.

(U) Program Plans:

FY 2007 Accomplishments:

- Designed, fabricated, and ground tested the rendezvous sensor and robotic payload elements.
- Conducted risk reduction lab testing.
- Developed control algorithms for autonomous grapple and contingency operations.

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FY 2008 Plans:

- Procure and fabricate flight hardware for integration and testing.
- Conduct robotic payload ground test.
- Test control schemes in 1G environment.

FY 2009 Plans:

- Conduct hardware-in-the loop testing in proximity operations test facility.
- Work with mission partner to develop demonstration mission.

	FY 2007	FY 2008	FY 2009
Fast Access Spacecraft Testbed (FAST)	4.300	7.000	12.000

(U) The goal of the Fast Access Spacecraft Testbed (FAST) program is to demonstrate a suite of critical technologies required to perform rapid orbital repositioning in the geosynchronous belt. The ultimate goal of FAST is to demonstrate technology to enable a high-efficiency, high-power (50-80 kW), fast-transfer roaming satellite permitting on-demand access to any point on the geosynchronous ring or within the high-altitude, super synchronous "graveyard" (where derelict systems are regularly repositioned in order to free up orbital slots within the ring), greatly improving our space situational awareness capabilities. The FAST demonstrator satellite, while possessing high power (20 kW or more), would be revolutionary in its small size. At just 500 kilograms, a FAST spacecraft would carry a novel solar power collection and distribution system, composed of large-aperture (5-10 m diameter) concentrating mirrors, high-efficiency solar photovoltaics, and ultra-lightweight, deployable radiators, achieving specific power (130 watts/kilogram at the power subsystem level) figures an order of magnitude better than today's state of the art. The anticipated transition partner is the Air Force.

# (U) Program Plans:

FY 2007 Accomplishments:

- Conducted system design trades and investigated utility of applicable power and propulsion technologies.

FY 2008 Plans:

- Perform preliminary design and technology selection.
- Perform detailed design, development, and ground testing of the FAST spacecraft high-power generation subsystem.

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FY 2009 Plans:

Initiate design and development of the FAST demonstrator spacecraft.

	FY 2007	FY 2008	FY 2009
NanoPayload Delivery (NPD)	0.000	3.000	6.000

The goal of the NanoPayload Delivery (NPD) program is to validate the technical feasibility of ultra-lightweight, rapid-response (U) spacecraft delivery from land, sea, or air-based platforms. Such nanopayloads (1-10 kilograms) could be boosted to low earth orbit (200 km altitude) in a matter of hours following call-up. Multiple sorties are envisioned, enabling a number of small spacecraft to be placed in an orbit "box" and aggregated together to perform a mission. The NPD program will develop and test a lightweight rocket platform similar in size to existing small missile systems such as the High-Speed Anti-Radiation Missile (HARM), AIM-7, or AIM-120. Current technology does not permit such small systems to reach orbit, owing to disproportionately high drag and low thrust-to-weight rocket engines. NPD will leverage ongoing technology development efforts, which permit the fabrication of microscale pumps, thrust chambers, and valves. Such rocket engines, which are theoretically capable of thrust-to-weight ratios of 100:1 or greater, would allow for significant reductions in overall engine mass and permit nanosatellites to be placed in low orbits for several weeks to months. The delivery system would rely on one of several methods for launch, including: (1) a stock aircraft, such as the F-15E or F-16, (2) a truck-mounted erector, or (3) the deck of a small naval vessel. The goal for persortie cost is \$100,000. Fielding NPD will permit U.S. forces to rapidly emplace short-term capabilities in low orbit, when they are needed, without resorting to legacy domestic launch systems that are sized and costed for much larger payloads. NPD will also allow many non-traditional users (e.g. laboratories, operational commanders, and small commercial firms) the capability to "use space" by lowering the significant barrier to entry into space. NPD will allow a streamlined, inexpensive approach to launch, descoping lengthy test and documentation requirements and demanding far fewer engineers, technicians, range personnel, and spacecraft operators per mission. Potential transition customers include the Air Force and Navy.

#### (U) **Program Plans:**

FY 2008 Plans:

- Survey existing aircraft-, land-, and sea-based missile platforms for compatibility with NPD mission constraints and requirements.
- Design, fabricate, and test an integrated micro chemical engine; including pumps, lines, valves, and thrust chamber; to validate performance models.

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FY 2009 Plans:

- Design, develop, and test arrays of micro engines for use as the first and upper stages of the NPD rocket platform.
- Integrate and test micro engine arrays on selected missile platforms.

	FY 2007	FY 2008	FY 2009
Space Situational Awareness & Counterspace Operations Response Environment	0.000	4.000	7.000

(U) The goal of the Space Situational Awareness (SSA) & Counterspace Operations Response Environment (SCORE) program is to develop and demonstrate an operational framework and responsive defense application to enhance the availability of vulnerable commercial space-based communications resources. SCORE will correlate a wide range of operational support and space system ground user data to rapidly identify threat activities, propose mitigating countermeasures, and verify the effectiveness of selected responses. Critical technologies include accessing disparate sources of relevant data, model-based situational awareness, and candidate response generation and evaluation. Particular emphasis will be placed on the ability to continuously adapt to changes in defended system components and usage patterns as well as validation of SCORE system integrity. The potential transition customer is the Air Force.

(U) Program Plans:

FY 2008 Plans:

- Develop initial system requirements and design.
- Develop adaptive model of defended systems and identify relevant sources of data.
- FY 2009 Plans:
- Conduct system trades and validate critical components.
- Mature system parameters and operational procedures.

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		FY 2007	FY 2008	FY 2009

0.000

0.000

4.000

(U) Synthetic Aperture Radar (SAR) integration time is currently limited by the amount of ground vehicle motion encountered during the synthetic aperture collection time. For space radar systems, this has traditionally meant that SAR had to be accomplished at low earth orbit (LEO) trajectories where the collection time would be much shorter given the high speeds of a LEO satellite. Although the specifics depend heavily on geometric considerations, medium earth orbit (MEO) SAR imaging intervals can be a factor of approximately eight longer, compared to a LEO alternative. The longer integration times required at MEO can have a major impact on the quality of the otherwise equivalent SAR image due to the presence of internal motion within the image scene. To achieve equivalent quality imagery, the contribution of the moving targets within the image must be excised. The MEOSAR program will develop techniques to identify moving targets and extract them from the data prior to imaging to avoid the streaking caused by their motions. The program will develop reliable automated detection of moving targets within SAR imagery using a double thresholding process in interferometric phase and amplitude. This moving target detection technique can be readily reversed to excise the moving targets from the clutter (image) background. Temporal sub-array processing will demonstrate early detection and rejection of moving targets in sub-array images. The program will develop improved motion detection and removal algorithms, demonstrate their performance on simulated and airborne data, and develop an architectural concept for a MEOSAR system. This program will transition to the Air Force and STRATCOM in FY 2013.

(U) Program Plans:

FY 2009 Plans:

MEO Synthetic Aperture Radar (MEOSAR)

- Develop algorithms to identify moving targets and extract them from the data prior to imaging to avoid the streaking caused by their motions.
- Demonstrate algorithms on emulated data sets.

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	FY 2007	FY 2008	FY 2009
Bi-Static Shield	0.000	0.000	4.500

(U) The Bi-Static Shield will utilize existing satellite tracking, telemetry and control (TT&C) radio frequency (RF) illumination beams to create an electromagnetic (EM) shield in the immediate satellite vicinity (within a 30km radius from the geosynchronous orbit (GEO) satellite). Using the satellite omni antennas to serve as bi-static receivers, reflections from intruder satellites could be detected up to 10km from GEO spacecraft by extracting the very weak bi-static illumination signals reflected off the intruder satellites. Use of existing satellite TT&C transmit antennas to generate a bi-static EM shield would provide a very important situational awareness capability without the need for additional on-orbit assets around individual satellites. The Bi-Static Shield program is planned for transition to the Air Force for space situational awareness applications in FY 2012.

# (U) Program Plans:

FY 2009 Plans:

- Conduct modeling and simulation to determine algorithms required.
- Develop software required to decipher received reflections.
- Upload and conduct over-the-air test using Tracking and Data Relay Satellite System (TDRSS) or other suitable cooperative satellite and satellite ground station.

	FY 2007	FY 2008	FY 2009
High Delta-V Experiment (HiDVE)	0.000	4.000	7.000

(U) The goal of the High Delta-V Experiment (HiDVE) program, an outgrowth of the MiDSTEP program, is to design, develop, and demonstrate a low-mass, low-volume, high delta-V solar thermal propulsion (STP) engine suitable for integration with a ~15kg nanosatellite host. The enabling technologies are very high-temperature materials and innovative receiver and concentrator designs. A HiDVE system will provide small satellites, historically constructed without propulsive capability, with substantial delta-V affording nanosatellites increased orbital range, in terms of both attitude and plane. In addition, this flexibility will be essential to future nanosatellite mission designers and operators, who will be

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able to take advantage of less-than-optimal insertion orbits and later move to an intended mission orbit. Specific objectives of the HiDVE program include: development and demonstration of a functioning STP system in a relevant environment; an operational test plan that outlines the steps needed to flight-qualify an integrated nanosatellite with an STP system.

- (U) Program Plans:
  - FY 2008 Plans:
  - Develop a functioning high delta-V solar thermal propulsion system in a relevant environment.
  - FY 2009 Plans:
  - Develop and ground demonstrate low-cost, low-volume solar thermal propulsion prototypes.

	FY 2007	FY 2008	FY 2009
Micro Electric Space Propulsion (MEP)	4.689	0.000	0.000

(U) The Micro Electric Space Propulsion program (MEP) would have demonstrated flexible, lightweight, high-efficiency, scalable micropropulsion systems to enable a new generation of fast, long-lived, highly flexible, and highly maneuverable 1-100 kg-class satellites/spacecraft.

(U) Program Plans:

FY 2007 Accomplishments:

 Demonstrated core technology by showing sustained ion emission from an array of micro-fabricated microelectromechanical (MEMS) field effect electric propulsion (FEEP) thrusters.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
	Previous President's Budget	253.950	224.551	225.238
	Current Budget	222.300	216.419	287.009

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	Total Adjustments		-31	.650	-8.132	61.771
	Congressional program reduct	ion	0	).000	-8.132	
	Congressional increases		0	0.000		
	Reprogrammings		-25	.149		
	SBIR/STTR transfer		-6	5.01		
(U)	Change Summary Explanati	on:				
	FY 2007	Decrease reflects the Innovative transfer.	Space-Based Antenna Technology (ISA	T) repro	ogramming a	nd the SBIR/STTI
	FY 2008	Decrease reflects the cancellatio and Section 8104 Economic Ass	n of the MEP program and reductions for sumptions.	r Section	n 8097 Contr	actor Efficiencies
	FY 2009	Increase reflects funding of the l programs.	Blackswift Test Bed and expansion of the	e System	n F6 and Slei	ght of HAND
(U)	Other Program Funding Sur	nmary Cost:				
	Falcon		FY 200	7	FY 2008	FY 2009
	PE 0604855, Air Force SPC		5.60	0	0.000	0.000
	PE 0604856, Air Force SPC		26.50	0	23.500	11.000

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	SHEET (R-2 Exhibit)	DATE February	2008		
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development	<b>R-1 ITEM NOMENCLATURE</b> Space Programs and Technology PE 0603287E, Project SPC-01				
Deep View	FY 2007	FY 2008	FY 2009		
PE 0305940F, Air Force SPC	13.576	8.859	0.000		
Space Surveillance Telescope	FY 2007	FY 2008	FY 2009		
USAF	0.000	0.000	1.100		

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>					DATE	February 2	2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development			А	<b>R-1 ITEM N</b> dvanced Elec PE 0	OMENCLATU tronics Tech 603739E	RE nology	
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	212.889	202.942	201.146	198.712	194.939	203.418	203.416
Centers of Excellence MT-07	5.625	5.500	0.000	0.000	0.000	0.000	0.000
MEMS and Integrated Microsystems Technology MT-12	80.077	39.470	57.057	63.886	71.806	80.721	80.720
Mixed Technology Integration MT-15	127.187	157.972	144.089	134.826	123.133	122.697	122.696

# (U) Mission Description:

(U) The Advanced Electronics Technology program element is budgeted in the Advanced Technology Development Budget Activity because it seeks to design and demonstrate state-of-the-art manufacturing and processing technologies for the production of various electronics and microelectronic devices, sensor systems, actuators and gear drives that have military applications and potential commercial utility. Introduction of advanced product design capability and flexible, scalable manufacturing techniques will enable the commercial sector to rapidly and cost-effectively satisfy military requirements.

(U) The Microelectromechanical Systems (MEMS) and Integrated Microsystems Technology project is a broad, cross-disciplinary initiative to merge computation and power generation with sensing and actuation to realize a new technology for both perceiving and controlling weapons systems and battlefield environments. MEMS applies the advantages of miniaturization, multiple components and integrated microelectronics to the design and construction of integrated electromechanical and electro-chemical-mechanical systems to address issues ranging from the scaling of devices and physical forces to new organization and control strategies for distributed, high-density arrays of sensor and actuator elements. The MEMS project has three principal objectives: the realization of advanced devices and systems concepts, the development and insertion of MEMS into DoD systems, and the creation of support and access technologies to catalyze a MEMS technology infrastructure.

(U) The goal of the Mixed Technology Integration project is to leverage advanced microelectronics manufacturing infrastructure and DARPA component technologies developed in other projects to produce mixed-technology microsystems. These 'wristwatch size', low-cost, lightweight and low power microsystems will improve the battlefield awareness and security of the warfighter and the operational performance of military platforms. The chip assembly and packaging processes currently in use produce a high cost, high power, large volume and lower performance

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development	APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENCRDT&E, Defense-wideAdvanced ElectronicsBA3 Advanced Technology DevelopmentPE 0603739			

system. This program is focused on the monolithic integration of mixed technologies to form batch-fabricated, mixed technology microsystems 'on-a-single-chip' or an integrated and interconnected 'stack-of-chips'. The ability to integrate mixed technologies onto a single substrate will increase performance and reliability, while driving down size, weight, volume and cost.

(U) The Centers of Excellence project finances demonstration, training and deployment of advanced manufacturing technology at Marshall University and the MilTech Extension program.

(U)	Program Change Summary: (In Millions)	FY 2007	FY 2008	<u>FY 2009</u>
	Previous President's Budget	243.728	220.548	232.383
	Current Budget	212.889	202.942	201.146
	Total Adjustments	-30.839	-17.606	-31.237
	Congressional program reductions	-10.000	-21.346	
	Congressional increases	0.000	3.740	
	Reprogrammings	-14.600		
	SBIR/STTR transfer	-6.239		

	RDT&E B	UDGET ITEM JUSTIFICATION SH	EET (R-2 Exhibit)	DATE February 2008		
APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMRDT&E, Defense-wideAdvanced ElectroBA3 Advanced Technology DevelopmentPE 060				CLATURE s Technology 39E		
(U)	Change Summar	y Explanation:				
FY 2007 Decrease reflects the Section 8043 Recission, the OMNIBUS reprogramming, an internal reprogramming the SBIR/STTR transfer.						
	FY 2008	Decrease reflects a PE execution adjustmen Section 8104 Economic Assumptions; offse Processing, MilTech Extension program, an	t and reductions for Section 8097 Co t by congressional adds for Computi d Ultra Low Power Electronics for S	ntractor Efficiencies and ng and NanoscaleElectronic pecial Purpose Computers.		
	FY 2009	Decrease reflects the completion of several programs in the Mixed Technology Integration Project (MT-15), including Ultra-Wideband Technology, High Operating Temperature – Mid-Wave Infrared (HOT MWIR), Space, Time Adaptive Processing (STAP) BOY, and Electronics and Phonic Integrated Circuits on Silicon; offset by an increase in MEMs and Integrated Microsystems Project (MT-12) for new chip scale and nanofabrication efforts.				

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>				DATE	DATE February 2008		
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development			R-1 ITEM NOMENCLATURE Advanced Electronics Technology PE 0603739E, Project MT-07				
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Centers of Excellence MT-07	5.625	5.500	5.500 0.000 0.000 0.000 0.000				0.000

### (U) <u>Mission Description:</u>

(U) This project provides funding for the Robert C. Byrd Institute for Advanced Flexible Manufacturing at Marshall University and the Defense Techlink Rural Technology Transfer Project. The Byrd Institute provides both a teaching facility and initiatives to local area industries to utilize computer-integrated manufacturing technologies and managerial techniques to improve manufacturing productivity and competitiveness. Training emphasizes technologies to significantly reduce unit production and life cycle costs and to improve product quality. The Defense Techlink Rural Technology Project helps businesses transition innovative technologies to the DoD.

### (U) <u>Program Accomplishments/Planned Programs:</u>

	FY 2007	FY 2008	FY 2009
Advanced Flexible Manufacturing	4.000	4.000	0.000

(U) Program Plans:

FY 2007 Accomplishments:

Assessed the Institute for Advanced Flexible Manufacturing's performance and worked toward transitioning from DoD to state/private support.

FY 2008 Plans:

- Continue to assess the Institute for Advanced Flexible Manufacturing's performance and transition from DoD to state/private support.

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RDT&E, Defense-wide	Advanced Electronic	s Technology
BA3 Advanced Technology Development	PE 0603739E, Pro	ject MT-07

	FY 2007	FY 2008	FY 2009
Defense Techlink Rural Technology Transfer Project	1.625	1.500	0.000

# (U) Program Plans:

- FY 2007 Accomplishments:
- Provided funding for the Defense Techlink Rural Technology Transfer Project.
- FY 2008 Plans:
- Continue to provide funding for Defense Techlink Rural Technology Transfer Project.

# (U) Other Program Funding Summary Cost:

• Not Applicable.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Developme	ent	R-1 ITEM NOMENCLATURE Advanced Electronics Technology PE 0603739E, Project MT-12						
COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
MEMS and Integrated Micro-systems Technology MT-12	80.077	3	9.470	57.057	63.886	71.806	80.721	80.720

## (U) <u>Mission Description:</u>

(U) The Microelectromechanical Systems (MEMS) program is a broad, cross-disciplinary initiative to merge computation and power generation with sensing and actuation to realize a new technology for both perceiving and controlling weapons systems and battlefield environments. Using fabrication processes and materials similar to those used to make microelectronic devices, MEMS applies the advantages of miniaturization, multiple components and integrated microelectronics to the design and construction of integrated electromechanical and electro-chemical-mechanical systems. The MEMS program addresses issues ranging from the scaling of devices and physical forces to new organization and control strategies for distributed, high-density arrays of sensor and actuator elements. These issues include microscale power and actuation systems as well as microscale components that survive harsh environments. The microfluidic molecular systems program will develop automated microsystems that integrate biochemical fluid handling capability along with electronics, optoelectronics and chip-based reaction and detection modules to perform tailored analysis sequences to monitor environmental conditions, health hazards and physiological states.

(U) The MEMS program has three principal objectives: the realization of advanced devices and systems concepts; the development and insertion of MEMS into DoD systems; and the creation of support and access technologies to catalyze a MEMS technology infrastructure. These three objectives cut across a number of focus application areas to create revolutionary military capabilities, make high-end functionality affordable to low-end systems and extend the operational performance and lifetimes of existing weapons platforms. The major technical focus areas for the MEMS program are: 1) inertial measurement; 2) fluid sensing and control; 3) electromagnetic and optical beam steering; 4) mass data storage; 5) chemical reactions on chip; 6) electromechanical signal processing; 7) active structural control; 8) analytical instruments; and 9) distributed networks of sensors and actuators.

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RDT&E, Defense-wide	Advanced Electronics Technology			
BA3 Advanced Technology Development	PE 0603739E, Project MT-12			

### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Micro Power Generation	6.094	3.000	0.000

(U) Compact portable power sources capable of generating power in the range of a few hundred milliwatts to one watt are critical to providing power for unterhered sensors and other chip-scale microsystems. This program will replace today's technologies relying on primary and rechargeable batteries, which severely limit mission endurance and capabilities, by extending microelectronic machine technology to develop micro-power generators based on mechanical actuation and thermal-electric power generation. Operating with traditional fuels, these micropower generators will be capable of generating sustained power in the desired range for use with remote, field-deployed microsensors and microactuators. The program will also explore innovative micro-scale, integratable power sources to provide high-density energy sources. The Micro Power Generation program is anticipated to transition via industry to dismounted warrior and unattended ground sensor network programs under development by the Army.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated capabilities in fuel processing, energy conversion to electricity, and thermal and exhaust management.
- Demonstrated MEMS micro heat engines utilizing micropower sources.
- FY 2008 Plans:
- Demonstrate integration of various power-generation components with microsensors and microactuators.
- Demonstrate stand-alone, remotely distributed microsensors and actuators with built-in power supply and wireless communication.

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		FY 2007	FY 2008	FY 2009
Harsh Environment Robust Micromechanical Technology (HERMIT)		10.585	2.767	3.990

The Harsh Environment Robust Micromechanical Technology (HERMIT) program is developing micromechanical devices that can (U) operate under harsh conditions - e.g., under large temperature excursions, large power throughputs, high g-forces, corrosive substances, etc. while maintaining unprecedented performance, stability, and lifetime. Micromechanical RF switches are of particular interest, where sizable power throughputs and impacting operation constitute harsh operational environments. Other applications such as vibrating resonator reference tanks, gyroscopes, and accelerometers are also of interest. Among the HERMIT implementation approaches deemed likely to succeed are two of most interest: 1) wafer-level encapsulation or packaging strategies based on microelectromechanical systems (MEMS) technology that isolate a micromechanical device from its surroundings while maintaining a desired environment via passive or active control; or 2) material and design engineering strategies that render a micromechanical device impervious to its environment, with or without a package (if possible). A key approach in this program that should allow orders of magnitude power savings is to selectively control only the needed micro-scale environment or volume via MEMS-enabled isolation technologies. The success of this program should enable a myriad of strategic capabilities including lower cost, more complex phased array antennas for radar applications; tiny frequency references with long- and short-term stabilities that greatly extend the portability of ultra-secure communications; and micro-scale inertial measurement units with bias stabilities approaching navigation-grade. The HERMIT program is anticipated to transition via industry to phased array antenna, reconfigurable communication front-end, seeker, and steerable aperture programs being developed by the Army, Navy, and Air Force, as well as to inertial navigation systems and Joint Tactical Radio System (JTRS) communications needed by these Services.

(U) Program Plans:

FY 2007 Accomplishments:

- Established the feasibility of encapsulating micromechanical devices under low-cost, wafer-level packages with minimal out-gassing or leaking and with minimal impact on device performance.
- Demonstrated engineered materials and/or surface treatments that render a micromechanical device impervious to its surroundings or operating environment.

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FY 2008 Plans:

 Demonstrate essential elements (e.g., thermistors, heaters, getters, etc.) needed for low power control of the operating environment surrounding a micromechanical device.

FY 2009 Plans:

 Demonstrate micromechanical devices (e.g., RF switches, vibrating resonators, etc.) fully integrated together with environment isolating measures (including circuits, if any) that maintain unprecedented performance, stability, and reliability, even under harsh environments.

	FY 2007	FY 2008	FY 2009
Chip-Scale Micro Gas Analyzers	20.504	5.267	0.000

(U) The Chip-Scale Micro Gas Analyzers program will utilize the latest microelectromechanical systems (MEMS) technologies to implement separation-based analyzers (e.g., gas chromatographs, mass spectrometers, poly-chromator-like devices) at the micro-scale to greatly enhance the selectivity of sensors to specific species, and thus, enable extremely reliable, remote detection of chemical/biological agents. The use of MEMS technology should also increase analysis speed and make possible the operation of such complex analyzer systems at extremely low power levels-perhaps low enough for operation as autonomous, wireless sensors. The many challenges in this program include the exploration and realization of micro-scale preconcentrator approaches, stacked gas columns, multiple sensor arrays, ionizers, vacuum pumps, and vacuum packaging. The success of this program will yield sensors substantially more selective than conventional sensors, again, making them particularly suitable for detection and identification of airborne toxins. The Chip-Scale Gas Analyzers program is anticipated to transition via industry to Chemical Warfare Agents (CWA) detector programs being developed by the Defense Threat Reduction Agency (DTRA) and the Army Soldier and Biological Chemical Command (SBCCOM).

(U) Program Plans:

FY 2007 Accomplishments:

 Established design trade-offs in (column) length vs. species separation efficiency for micro-scale gas chromatographs, mass spectrometers, resonator-based separation mechanisms, etc.

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BA3 Advanced Technology Development	PE 0603739E, Project MT-12			

Demonstrated MEMS-enabled, micro-scale preconcentrators and explored the degree to which they enhanced separation efficiency and species detectability.

FY 2008 Plans:

- Demonstrate MEMS-enabled, micro-scale separation columns, ionizers, electromagnetic field generators, vacuum pumps, gas sensor arrays, calibration sources, all needed for separation-based analyzers.
- Demonstrate advanced methods for making micromechanical sensor elements species sensitive (e.g., combinations of absorption spectroscopy and resonators coated with species-and-light sensitive films).
- Implement fully functional, MEMS-enabled gas separation analyzers with power consumptions small enough for autonomous, remote \_ operation and control electronics integrated directly.

	FY 2007	FY 2008	FY 2009
MEMS Exchange	7.250	2.908	1.000

The MEMS Exchange program seeks to provide flexible access to complex microelectromechanical systems (MEMS) fabrication (U) technology in a wide variety of materials and to a broad, multi-disciplinary user base via the MEMS Exchange service. A major goal of the effort is to ensure self-sustained operation of MEMS Exchange after the end of the program by adding several process modules to the existing repertoire and increasing the number of processes run per year to raise revenues to the point of self-sufficiency. Among the future payoffs of this program is the establishment of an accessible infrastructure for low or medium volume production of MEMS-enabled products for DoD applications. The goal of the MEMS Exchange program is self-sufficiency at which point it will be able to provide MEMS fabrication services to all levels of industry and academia in support of Army, Navy, Air Force, and other DoD requirements without further DARPA sponsorship.

#### **Program Plans:** (U)

FY 2007 Accomplishments:

- Demonstrated online software capable of error checking and optimized process flow input by users, which reduced the turn-around time per run and increased success rates.
- Inserted a MEMS process module into the MEMS Exchange repertoire and made it available for use.

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FY 2008 Plans:

- Double the number of runs processed per year, to achieve a goal rate of 500 runs per year.

- Provide a modular merging process that combines modules together with transistor integrated circuits.

FY 2009 Plans:

- Insert MEMS technology into three DoD applications using MEMS Exchange as the fabrication vehicle.

	FY 2007	FY 2008	FY 2009
Low Power Micro Cryogenic Coolers	5.230	3.450	0.810

(U) The Low Power Micro Cryogenic Coolers program will attain superior performance in micro-scale devices (e.g. Low Noise Amplifier (LNA's) IR detectors, RF front-ends, superconducting circuits) by cooling selected portions to cryogenic temperatures. The key approach in this program that should allow orders of magnitude power savings is to selectively cool only the needed volume/device via MEMS-enabled isolation technologies. Such an approach will benefit a large number of applications where performance is determined predominately by only a few devices in a system, e.g., communications where the front-end filter and LNA often set the noise figure; and sensors, where the transducer and input transistor in the sense amplifier often set the resolution. MEMS technology will also be instrumental for achieving micro-scale mechanical pumps, valves, heat exchangers, and compressors, all needed to realize a complete cryogenic refrigeration system on a chip. Transition of this technology is anticipated through industry, who will incorporate elements of the technology in current and future weapon system designs.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated thermal isolation of >10,000 kilowatt (K/W) in a silicon micromachining process.
- Demonstrated on-chip cooling to 77 kilo (K) using a photonic fiber heat exchanger.

- Demonstrated new localized on-chip cooler approaches using integrated thermoelectric coolers and photonic heat exchangers.

FY 2008 Plans:

- Demonstrate micro-scale coolers capable of providing the needed cryogenic temperature while still fitting into a miniature size, with sufficient efficiency for low power operation.
- Demonstrate heat exchangers, Joule-Thompson plugs, valves, pumps, all needed for cryo-cooler implementation.

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FY 2009 Plans:

- Integrate micro cooler components together with sufficiently isolated devices to-be-cooled to yield a single chip system consuming very little power.

	FY 2007	FY 2008	FY 2009
Microsystem Integrated Navigation Technology (MINT)*	9.000	5.230	7.096

\*Formerly titled Chip-Scale Atomic Sensors.

(U) The Microsystem Integrated Navigation Technology (MINT) program is developing technology for precision inertial navigation coupled with micro navigation aiding sensors. The MINT program will develop universally reconfigurable microsensors (e.g., for magnetic fields, temperature, pressure) with unmatched resolution and sensitivity. These devices will use the latest in MEMS and photonic technologies to harness perturbations in atomic transitions as the sensing and measuring mechanisms for various parameters. Program transition will occur through industrial performers into future DoD platforms.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed a tunable microwave local oscillator to excite and select different hyperfine transitions.

FY 2008 Plans:

- Develop technology to dramatically reduce bias drifts in Complementary Metal-Oxide Semiconductor (CMOS)-integrated MEMS accelerometers and gyros.
- Develop CMOS-MEMS sensors for precision navigation aids such as velocity ranging and zero-velocity updating.

FY 2009 Plans:

- Reduce power and volume requirements.
- Develop technologies to harvest power through energy scavenging.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development	R-1 ITEM NOMENCLATURE Advanced Electronics Technology PE 0603739E, Project MT-12			
	FY	2007	FY 2008	FY 2009

15.894

7.848

8.161

Thermal Ground Plane (TGP)\*

\*Formerly titled Site-Specific Thermal Management (SSTM).

(U) The Thermal Ground Plane (TGP) program will develop new approaches to removing local hot-spots that limit the performance of highspeed signal processing electronics, radar imaging systems, optoelectronic devices, and other systems characterized by above-ambient thermal issues. This program will provide a natural complement to the Low Power Micro Cryogenic Coolers program by addressing the performancecritical issue of excessive heat removal. The TGP program will consider both monolithic and heterogeneous thermal management approaches based on variety of thermal materials and heat removal methods. Examples include self-powered liquid spray cooling, integral copper heat pipes, microfluidic channels and diamond interposer layers. This technology is lowering power consumption and overall cooling requirements and will be inserted through DoD industrial firms into future DoD systems.

(U) Program Plans:

FY 2007 Accomplishments:

– Initiated review of thermal management approaches.

FY 2008 Plans:

- Identify and apply new integrated technologies for the thermal management of microsystems.

FY 2009 Plans:

- Develop and integrate cooling approaches using new materials.

	FY 2007	FY 2008	FY 2009
Micro-Beam Clocks	2.602	2.000	4.000

(U) The Micro-Beam Clock program will extend the accuracy of Chip Scale Atomic Clock (CSAC) by exploiting the precision of nuclear particle transport. The concept of beam clock has been known at least since the 1960's but has not been widely pursued due to the difficulty in containing a large volume of xenon gas. This problem will be addressed by going to the micro-scale. Miniaturization of the conventional beam

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BA3 Advanced Technology Development	PE 0603739E, Proj	ject MT-12

clocks with major innovations are possible due to microscale implementation – microscale xenon atom source, micromachined permanent magnets, and micromechanical atom flux detectors. This approach will not only improve the stability over existing CSAC but will further reduce the required power. This technology will be transitioned into DoD systems through innovative companies, including performers under the Chip-Scale Atomic Clock program.

(U) Program Plans:

FY 2007 Accomplishments:

- Generated sufficient atom flux using adsorption-desorption control at microscale.
- Detected atoms in flight using micro-cantilever array Brownian noise limited.

FY 2008 Plans:

- Determine permanent magnet laser cutting at microscale.
- Determine High B-field gradients at microscale.

FY 2009 Plans:

- Determine pressure measurement in presence of high magnetic field with MEMS pressure sensors.

	FY 2007	FY 2008	FY 2009
Nano-Electro-Mechanical Computers (NEMS)	1.818	7.000	9.000

(U) The goal of the Nano-Electro-Mechanical Computers (NEMS) program is to develop nanoscale mechanical switches and gain elements integrated intimately with complementary metal-oxide semiconductor switches. One mechanical switch per transistor will enable the transistor to operate at near zero leakage powers, enabling pico or femtowatt standby operation. The program will also develop mechanical gain elements using physical effects such as giant magnetoresistance, buckling, electromechanical phase transitions, van der Waals forces, and Casimir forces to enable very low-noise, high-frequency amplifiers for low-power, low-noise analog signal processing. Possibilities of using mechanical power supplies and mechanical vibrating clocks could enable electronics that are less susceptible to electromagnetic pulse attacks. Enabling of nanomechanical elements in direct bandgap materials will circumvent problems of gate oxide stability, allowing fast logic with optics functionality. This program will transition into DoD systems via industrial program performers.

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BA3 Advanced Technology Development	PE 0603739E, Proj	ject MT-12

(U) Program Plans:

FY 2007 Accomplishments:

- Developed nanomechanical switch-based logic in semiconductors, metals and insulators.

FY 2008 Plans:

Develop mechanical gain elements for analog amplification using effects such as buckling and electromechnical phase changes.
FY 2009 Plans:

- Develop NEMS switches in direct bandgap materials to enable optical functionality with switches.

	FY 2007	FY 2008	FY 2009
Chip-Scale Auto Pilot	0.000	0.000	3.000

(U) The Chip-Scale Auto Pilot program will develop a new chip-scale subsystem for unmanned aerial vehicles (UAVs), which will provide on-board autonomous capabilities for collision avoidance and maneuvering support. The system will use data from miniature inertial sensors, imagers, and other sensors, and a data-fusion algorithm to produce control signals for the facilities on an existing UAV, such as the Wireless Application Service Provider (WASP). The goal is to allow operators of UAVs in dense urban environments to focus on high-level objectives, and to leave responsibility for survival and maneuvering to the UAV.

(U) Program Plans:

FY 2009 Plans:

- Develop mm-scale navigation system merging signals from Inertial Measurement Unit (IMU), Vision, GPS, and Timing.

- Fuse data from complimentary systems for on-board, autonomous collision avoidance and basic navigation functions.

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	FY	2007	FY 2008	FY 2009

0.000

0.000

3.000

(U) The Micropumps program will address the current need for chip-scale micropumps with significantly improved performance ( $\sim 10^{-6}$  Torr and less than 1 cm<sup>3</sup> in volume). Microscale pumps have been developed by numerous research groups, but many microsystems still employ off-chip pumping because available microscale pumps do not meet application requirements. Pumping is crucial for distributing fluids through a microsystem and for providing a vacuum for various technologies, including micro mass spectrometers, nanoscale detectors, RF resonators, and a variety of other Nano MEMS devices. In many cases, the limiting factor in development of an integrated, low-power, micro total analysis system or electronic device is the pump. The goal of the Micropumps program is to provide improvements in microscale pumping capabilities to facilitate and greatly enhance operation of a variety of microsystems for DoD applications.

(U) Program Plans:

Micropumps

FY 2009 Plans:

- Demonstrate new microscale pump designs with high compression ratios.
- Demonstrate microscale pumps with high pump speeds and high vacuum levels.

	FY 2007	FY 2008	FY 2009
NanoCAD	0.000	0.000	3.000

(U) One of the key problems with nano electro-mechanical system (NEMS) and microelectromechanical systems (MEMS) component development is the time lag between device conception to manufacturing or even prototyping. This long development time is often due to the many number of iterations needed to make devices, which involve multiphysics domains. Furthermore, the cost of manufacturing tends to be determined in the future rather than in the beginning, as it is the case with other developed technologies like CMOS. The goal of the NanoCAD program is to reduce the time to market for MEMS and NEMS components.

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# (U) Program Plans:

FY 2009 Plans:

- Develop natural graphic modeling techniques to take mechanical and electrical concepts and turn them into process flows.
- Develop reduced variable models that connect the nanoscale physics (e.g. contact physics, thermal and electrical conduction) to microscale to macro-scale physics on a PC workstation.
- Develop a simulation database from different working groups.

	FY 2007	FY 2008	FY 2009
Magnetic MEMS	0.000	0.000	3.000

(U) Recent breakthroughs in 3-dimensional (3-D) fabrication, including work on DARPA's 3-D Micro Electromagnetic Radio Frequency Survey (MERFS) program, as well as development of photo-patternable glasses, patternable ceramics, and other technologies have now opened up the potential of 3-D fabrication. This effort will explore the potential of using these new fabrication technologies to capture magnetic phenomenology and effect miniaturization and improved performance of a range of critical military systems.

## (U) Program Plans:

FY 2009 Plans:

- Utilize 3-D fabrication technologies to demonstrate range of new high-performance 3-D magnetic components and systems.

	FY 2007	FY 2008	FY 2009
Chip-Scale Direct Sampling Receivers (CSDSR)	0.000	0.000	2.000

(U) The Chip-Scale Direct Sampling Receiver (CSDSR) program aims to realize true software-defined radio front-ends that feed RF input signals directly to analog-to-digital converters (ADC's), allowing the rest of the radio to be realized digitally and completely reconfigurable via software. The key to making this possible is the use of technologies capable of isolating channels (not bands, but channels with <0.05% bandwidth) directly after the antenna, removing all out-of-*channel* interferers before they arrive at the low noise amplifier (LNA)/ADC input,

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hence substantially relaxing the dynamic range required by the LNA/ADC to achieve a given receiver jam-resistance. In essence, the removal of all interferers by the channelizer allows the ADC to operate without the need to reject strong interferers, thereby without the need for a high dynamic range. This allows the use of fewer bits, making it possible for the ADC to handle GHz input frequencies without excessive power consumption. The CSDSR program would ultimately make possible universal receivers capable of operating under conceivably any communication standard by merely reconfiguring itself.

(U) Program Plans:

FY 2009 Plans:

- Demonstrate software-defined radio functions.
- Demonstrate an array of nanomechanical resonators for software-defined communications and jam-resistant applications.

	FY 2007	FY 2008	FY 2009
Micromechanical Amplifiers	0.000	0.000	3.000

(U) The Micromechanical Amplifiers program will realize micromechanical circuits that amplify signals (e.g., for communications, sensing, etc.) with substantially better efficiency, lower noise, and higher dynamic range, than currently achievable via state-of-the-art electronic implementations.

(U) Program Plans:

FY 2009 Plans:

- Demonstrate and optimize new approach for resonant switch-based mechanical amplifier.

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FY 2007 FY 2008 FY				

Chip-Scale High Energy Atomic Beams	0.000	0.000	3.000
(II) The Chin Scale High Energy Atomic Poems program will develop ship scale high energy stemic h	aam taabnala	ay by dayala	ning high

(U) The Chip-Scale High Energy Atomic Beams program will develop chip-scale high-energy atomic beam technology by developing highefficiency radio frequency (RF) accelerators, either linear or circular, that can achieve energies of protons and other ions up to a few mega electron volts (MeV). Chip-scale integration offers precise, micro actuators and high electric field generation at modest power levels that will enable several order of magnitude decreases in the volume needed to accelerate the ions. Furthermore, thermal isolation techniques will enable high efficiency beam to power converters, perhaps making chipscale self-sustained fusion possible.

# (U) Program Plans:

FY 2009 Plans:

- Develop 0.5 MeV proton beams and collide onto microscale B-11 target with a fusion Q (energy ratio) > 20, possibly leading to self-sustained fusion.
- Develop neutron-less fusion allowing safe deployment for handheld power sources.
- Develop microscale isotope production by proton beam interaction with specific targets.
- Explore purification of isotope systems.
- Develop hand-held pico-second laser systems to introduce wakefield accelerators for x-ray and fusion sources.

	FY 2007	FY 2008	FY 2009
Microtechnologies for Air-Cooled Exchangers (MACE) Heat Sink Enhancement	0.000	0.000	3.000

(U) The Microtechnologies for Air-Cooled Exchangers (MACE) Heat Sink Enhancement program will explore emerging concepts for enhancement of the performance of heat rejection systems throughout the DoD. Specific program goals include the reduction of the thermal resistance by a factor of 4x and reducing the power consumption of the cooling system by 3x. Successful projects will apply MACE technologies to a customer-specified application.

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(U) Program Plans:

FY 2009 Plans:

- Demonstrate models, measurements, and Single-Fin device.
- Establish functional full-scale heat sink 4"x4"x1" with 4x reduction in thermal resistance and 3x improvement in coefficient of performance.

	FY 2007	FY 2008	FY 2009
Small Scale Systems Packaging	1.100	0.000	0.000

(U) The Small Scale Systems Packaging program developed small-scale electronics packaging technology for more efficient microelectronics manufacturing.

### (U) Program Plans:

FY 2007 Accomplishments:

- Developed advanced roll-to-roll manufacturing processes for microelectronics.

## (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY 20	008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Mixed Technology Integration MT-15	127.187	127.187 157.972 144.089 134.826 123.133 122.697					122.696	

## (U) <u>Mission Description:</u>

(U) The goal of the Mixed Technology Integration project is to leverage advanced microelectronics manufacturing infrastructure and DARPA component technologies developed in other projects to produce mixed-technology microsystems. These 'wristwatch size', low-cost, lightweight and low power microsystems will improve the battlefield awareness and security of the warfighter and the operational performance of military platforms. At the present time, systems are fabricated by assembling a number of mixed-technology components: microelectromechanical systems (MEMS), microphotonics, microfluidics and millimeterwave/microwave. Each technology usually requires a different level of integration, occupies a separate silicon chip and requires off-chip wiring, and requires fastening and packaging to form a module. The chip assembly and packaging processes produce a high cost, high power, large volume and lower performance system. This program is focused on the monolithic integration of mixed technologies to form batch-fabricated, mixed technology microsystems 'on-a-single-chip' or an integrated and interconnected 'stack-of-chips'.

(U) The field of microelectronics incorporates micrometer/nanometer scale integration and is the most highly integrated, low-cost and highimpact technology to date. Microelectronics technology has produced the microcomputer-chip that enabled or supported the revolutions in computers, networking and communication. This program extends the microelectronics paradigm to include the integration of heterogeneous or mixed technologies. This new paradigm will create a new class of 'matchbook-size', highly integrated device and microsystem architectures. Examples of component-microsystems include low-power, small-volume, lightweight, microsensors, microrobots and microcommunication systems that will improve and expand the performance of the warfighter, military platforms, munitions and Unmanned Air Vehicles (UAVs).

(U) The program includes the integration of mixed materials on generic substrates including glass, polymers and silicon. The program is design and process intensive, using 'standard' processes and developing new semiconductor-like processes and technologies that support the integration of mixed-technologies at the micrometer/nanometer scale. The program includes the development of micrometer/nanometer scale isolation, contacts, interconnects and 'multiple-chip-scale' packaging for electronic, mechanical, fluidic, photonic and rf/mmwave/microwave technologies. For example, a mixed-technology microsystem using integrated microfluidics, MEMS, microphotonics, microelectronics and microwave components could provide a highly integrated, portable analytical instrument to monitor the battlefield environment, the physical condition of a warfighter, the identity of warfighters (friend or foe) or the combat readiness of equipment. The ability to integrate mixed

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technologies onto a single substrate will drive down the size, weight, volume, and cost of weapon systems while increasing their performance and reliability.

### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Adaptive Photonic Phased Locked Elements (APPLE)	11.300	10.521	9.792

(U) The goal of the Adaptive Photonic Phased Locked Elements (APPLE) program is to demonstrate a fully scalable and modular architecture of phased sub-apertures capable of producing an arbitrarily large optical aperture that can be rapidly and non-mechanically steered over a wide field of regard with high precision. This effort is anticipated to transition via industry for potential laser systems and space based applications.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated a small (25 millimeter diameter) single aperture that can handle a low level of input laser power (50 Watts) and was able to support an initial demonstration of a controlled combination of outputs from multiple apertures.

FY 2008 Plans:

- Demonstrate the controlled combining of the outputs of multiple (7) small individual apertures at low input powers.

- Demonstrate a small single aperture that can handle a high level of input laser power (200 Watts).

FY 2009 Plans:

- Demonstrate high power combined output of multiple (7) small individual apertures.
- Demonstrate atmospheric compensation in the real atmosphere at low powers.

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	FY	2007	FY 2008	FY 2009

9.299

7.200

7.851

(U) Currently, optical networks use photonics to transport data and electronics to process data. However, as the underlying bit rates of the optical networks are pushed beyond 40 giga-bits per second there will be significant processing bottlenecks in these networks and these bottlenecks will severely limit the military's ability to rapidly transport time critical information. A potential solution to this problem is to develop photonic technology so optics can take over higher order network processing functions. The DoD-Network program will develop and demonstrate four key photonic technologies to meet these challenges: all-optical routing, all-optical data buffering (controllable and eventually random access), optical logic and circuits, and all-optical (multi-wavelength) regenerators. These photonic technologies will lead to intelligent all-optical networks. The program will have two major areas of interest: the first will focus on developing new photonic technology that is essential if photonics is to play a significant role in higher order processing in optical networks, the second area will focus on developing novel architectures that will fully exploit the new photonic technology to bring new and increased functionalities to the optical networks. The DoD-Network program is anticipated to transition via industry to high speed, high capacity optical networking programs of interest to the Air Force.

(U) Program Plans:

FY 2007 Accomplishments:

Data in Optical Domain Network (DoD-Network)

- Demonstrated that small buffers (achievable in the optical domain) have minimal impact on the network performance when the network traffic consists of a large number of simultaneous unsynchronized Transmission Control Protocol flows.
- Demonstrated all optical and hybrid clock recovery.

FY 2008 Plans:

- Demonstrate all-optical, Indium Phosphide (InP)-based, integrated photonic, packet forwarding chip which supports forwarding and re-labeling of optical packet headers.
- Demonstrate the first fully monolithic separate absorption and modulation wavelength converter operating "error-free".

FY 2009 Plans:

- Develop an all-optical data router with high data rate ports.

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		FY 2007	FY 2008	FY 2009
Microantenna Array Technology & Applications (MIATA)		2.275	1.821	2.000

(U) The goal of the Microantenna Array Technology & Applications (MIATA) program is to develop low-cost arrays that can sense both Millimeter Wave (MMW) and IR scenes along with compact MMW designator sources for passive and active imaging applications in the spectral region from W-band (94 GHz) to the long wave infrared optical region. New micro- and nano-fabrication techniques of low cost antenna arrays provide a basis for revolutionary tactical military applications in the unexploited submillimeter to long wave optical spectral region. The military utility of this technology includes conventional passive imaging with compact devices at elevated temperatures, passive or active ballistic imaging through extreme weather and obscurants, polarization discrimination of manmade objects, rapid electronic spectral tuning for clutter discrimination, ultra-wide band response (achieved using metal-insulator-metal tunneling structures for sensing/rectifying the antenna current), and may also include synthetic apertures, phased arrays, true time, and steered receiver beams. The resulting MMW cameras will be lighter, cheaper, and have a higher performance than conventional cameras. The improved MIATA diodes will have low-gain low-noise amplifiers (LNAs) integrated on the focal plane. Applications include imagers for concealed weapon detection and helicopter landings in brownout. The MIATA program is planned for transition to the Army Research Laboratory at the conclusion of Phase III, which is anticipated to be completed in FY 2009.

(U) Program Plans:

FY 2007 Accomplishments:

- Achieved 95 gigahertz (GHZ): Noise Equivalent Temperature Detection (NETD)  $\leq 20$  Kelvin (K) in a 2x2 array.
- Achieved 8-12 um: NETD  $\leq 0.1$  K in an 8x8 array.
- FY 2008 Plans:
- Achieve 95 GHZ: NETD  $\leq 2$  K in an 8x8 array.
- FY 2009 Plans:
- Achieve 8-12 um: NETD  $\leq 0.02$  K in a 64x64 array.

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	FY 2007	FY 2008	FY 2009
Ultra-Wide Band Technology	5.500	10.500	0.000

(U) Radar array antennas that use the Ultra-Wide Band Technology hold the promise of a new class of high coverage/high sensitivity systems. DARPA is tackling the issue through Ultra-Wide Band Multi-Function Photonic Transmit and Receive (ULTRA T/R) Modules.

(U) The objective of the ULTRA T/R program is to develop a wideband microwave antenna interface and corresponding antenna elements that would replace the conventional electronic T/R module-antenna combination and offer multiple modes of operation (e.g. simultaneous transmit and receive or switched mode), fiber interface to/from either digital or analog beamformer at significantly reduced size, weight, and power. The ULTRA T/R program is planned for transition to Navy and Air Force Airborne Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (C<sup>4</sup>ISR) platforms and wide band phased-array antenna systems at the conclusion of Phase III, which is anticipated to be completed by FY 2008.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed and demonstrated optical modulators, which exhibit low switching voltages and incorporate a long effective electrode length.
- Demonstrated > +27 decibel milliwatt radio frequency power handling in a single photodiode at 6 gigahertz.

FY 2008 Plans:

- Demonstrate > 40 decibels transmit/receive isolation in a photonic circulator over a larger bandwidth in the X-band.
- Demonstrate photodiodes with 3rd order output intercept points higher than state-of-art.
- Demonstrate a photonic circulator with world record gain and low noise figure in the receive mode and with improved transmit/receive isolation over a large bandwidth in the X-band.

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FY 2007 FY 2008 FY 2				

5.238

0.000

0.000

(U) The Laser-Photoacoustic Spectroscopy (L-PAS) program developed and demonstrated highly sensitive, compact, rapid, reliable, inexpensive, and low power consuming chemical agent sensors based on the principle of laser photoacoustic spectroscopy. The L-PAS sensor discriminated a wide variety of possible chemical agents, explosives, and narcotics in the presence of diverse background environments. L-PAS transitioned prototype chemical agent sensors to the Joint Science and Technology Office (JSTO), Defense Threat Reduction Agency for evaluation. To that end, JSTO and DARPA worked closely to ensure that the final program addressed the joint Chemical/Biological community needs.

(U) Program Plans:

FY 2007 Accomplishments:

Laser-Photoacoustic Spectroscopy (L-PAS)

- Demonstrated working prototypes that have a sensitivity to <1 part per billion (ppb) at a false alarm rate of better than  $10^{-6}$ .
- Demonstrated a major improvement in performance (measured in terms of sensitivity) over the Joint Chemical Agent Detector system, which is the next generation chemical sensor currently under development.
- Developed tuned lasers with a range of  $\pm 40$  nanometers (nm).
- Fabricated infrared micro-photonics.
- Assembled complete quantum laser diode modules with mid- and long- wave IR ranges.
- Developed tunable Quantum Cascade Lasers with resonant acoustic chamber detection cell.

	FY 2007	FY 2008	FY 2009
High Operating Temperature - Mid-Wave Infrared (HOT MWIR)	8.870	19.405	0.000

(U) The objective of the High Operating Temperature - Mid-Wave Infrared (HOT MWIR) program is to establish technology for high-speed sampling and high spatial resolution infrared focal plane arrays that operate in the mid-wave infrared without cryogenic cooling. The high sampling speed is required for both threat detection and for imaging from fast moving platforms. Technology goals are to achieve greater than an

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order of magnitude reduction in currents contributing to detector noise demonstrated with a high density, large area detector array format of up to 1280 x 720 elements. For imaging, the sensor will respond in a broad spectral band, including the mid and long wave infrared, and will be optimized for imaging at high frame rates with large field of view. This program is anticipated to transition via industry for applications such as multi-band mid-wave or micro-detectors.

**Program Plans:** (U)

FY 2007 Accomplishments:

- Designed new approaches necessary to reduce detector dark current and noise.
- Amplified the low-level signal in multi-band mid wave detectors, showing potential for high sensitivity and fast response in room temperature arrays.
- Developed micro-detectors, which collect signals from a large area while reducing the volume available for detector noise generation.
- Demonstrated carrier extraction techniques in the laboratory to show potential to reduce excess current while maintaining high-speed signal levels.

FY 2008 Plans:

- Demonstrate 256x256 arrays operating at 250 kelvin with X8 X10 lower dark current.
- Establish pixel design and test arrays for mega-pixel room temperature arrays.
- Demonstrate high density arrays with dual band (Mid/Long Wavelength Infrared) response.

	FY 2007	FY 2008	FY 2009
Visible/Short Wave IR - Photon Counting	3.880	5.297	5.004

The Visible/Short Wave IR - Photon Counting program will develop imaging over a broad spectral band at extremely low levels of (U) ambient illumination to provide a unique capability for remote sensing, unattended sensors, and pay-loads for autonomous ground and air platforms. Recent innovations in solid state imaging devices, including parallel processing at the pixel level and novel read read-out technology, can contribute to development of a new class of sensors, which can create an image with only a few photons per pixel, exceeding performance of current low light level imagers. The direct conversion of low light level information into an electronic format provides access to a suite of signal

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processing, image enhancement and communications techniques not available with current low light level imaging devices. This program will transition via industry for ultraviolet to infrared imaging applications.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed unique electronic read-outs with internal gain that boost low level signals above output amplifier noise.
- Developed potential approaches to include distributed amplification in the read-out signal chain, avalanche multiplier gain internal to the pixel.
- Reduced short wave infrared detector dark current, resulting in lower power man-portable imaging sensors.

FY 2008 Plans:

- Demonstrate read-out integrated circuit for short wave infrared with less than 10 noise electrons.
- FY 2009 Plans:
- Integrate low noise focal plane array into a mega-pixel array format and demonstrate room temperature imaging.
- Demonstrate single photon counting devices for ultra low noise imaging.

	FY 2007	FY 2008	FY 2009
Electronic & Photonic Integrated Circuits on Silicon (EPIC)	12.548	5.223	0.000

(U) The Electronic & Photonic Integrated Circuits on Silicon (EPIC) program will develop two critical alternative photonic technologies based on silicon substrates. The first thrust addresses active photonic components based on silicon, which do not rely on generating light within the material. While passive photonic components, such as waveguides, can be fabricated from silicon, silicon's indirect bandgap does not lend itself to fabricating active photonic components based on the generation of photons (lasers, amplifiers etc.). The first alternative technology development will be optical amplifiers using Raman gain. Fiber amplifiers based on Raman gain currently play a major role in optical networks, and demonstrating this optical amplification in silicon will be a major step toward overcoming on-chip losses in complex chip-scale optical components. The second alternative technology development will address optical transistor action, or switching, in silicon (i.e., a three-terminal optical device in which control photons at one terminal will make a large change in the photons transmitted between the other two terminals). Taken together, these two capabilities will create a new paradigm in which silicon will provide a platform for monolithic integration of photonic

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and electronic functions. The EPIC program is anticipated to transition via industry to optical communication and electronic warfare programs of interest to all Services.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed process for integration of germanium-based photodetectors with an integrated amplifier in foundry-compatible complementary metal-oxide-semiconductor process.
- Demonstrated optically-implemented microwave-frequency nulling filter to drop unwanted channels.

FY 2008 Plans:

- Demonstrate 40 gigabytes per second capacity transceiver chip with four wavelengths.
- Demonstrate a wideband radio frequency channelizer with multiple channels and nulling of at least a single channel.
- Increase integration complexity of electronics and photonics to include hundreds of photonics components.

	FY 2007	FY 2008	FY 2009
Space, Time Adaptive Processing (STAP) BOY	4.899	4.240	1.000

(U) The Space, Time Adaptive Processing (STAP) BOY program will research, develop, and demonstrate miniature, low-power, low-cost, teraflop-level signal processing solutions derived from commercial Graphics Processor Unit (GPU) hardware and software of the type currently used for fast geometry computations in hand-held electronic games like Nintendo's GAME BOY®. Success in this program will allow the DoD to exploit the continuing phenomenal growth in both performance and programmability of GPUs resulting from competition in the multi-billion dollar international electronic entertainment industry. Particularly relevant advantages of recent GPUs over more traditional embedded processors include enhanced memory access bandwidth, hardware-accelerated floating-point vector geometry functions, low power consumption, and open source programming language support. The STAP BOY technology is planned for transition to the Army at the conclusion of Phase III, which is anticipated to be completed in FY 2009.

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(U) Program Plans:

FY 2007 Accomplishments:

- Developed and characterized a prototype architecture using a single GPU and a Field Programmable Gate Array input-output structure.

FY 2008 Plans:

 Demonstrate that the prototype system is capable of sustaining 100 giga floating point operations per second (Gflops) potentially scalable to a multi-GPU pipeline mesh teraflop computing architecture, and is easily programmable to provide extremely high performance in diverse challenge problems.

FY 2009 Plans:

- Demonstrate the single GPU prototype consisting of 1) adaptive algorithm for data structure simplification, suitable for adaptive weight computations in STAP and 2) 3-D tomographic reconstruction processing for aperture synthesis.

	FY 2007	FY 2008	FY 2009
Vertically Integrated Sensor Arrays (VISA)	3.000	6.713	0.000

(U) The Vertically Interconnected Sensor Arrays (VISA) program will develop and demonstrate vertically interconnected, focal plane array read-out technology capable of more than 20-bits of dynamic range, over an order-of-magnitude higher than current state-of-the-art, enabling significant advances in the functionality of infrared systems. Vertical interconnections between the detectors and the read-outs that avoid first going through row-column multiplexers will allow for high frame rates concurrent with high-resolution images.

(U) The program will expand architectures for three-dimensional focal plane arrays, where multiple levels of signal processing are integrated into each pixel in the array, to include multiple processing layers, higher density vias (small openings in an insulating oxide layer that enable electrical connections, e.g., between layers) at the pixel, and coverage of a broad spectral band from the visible to the infrared. This increased on-chip processing power will enable new capability for smart sensors, such as high-speed imaging, on-chip threat discrimination, and anti-jamming. Defense applications include mid-/long-wavelength target acquisition systems for air and ground, smart missile seekers, anti-jamming, and imaging through high intensity sources. This effort will transition through the current VISA industrial performers into a wide range of military imaging systems including the capability to image targets in low contrast, high clutter, or low light scenes such as a low signature cruise missile against sun-glint from the ocean.

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(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated high dynamic range imaging sensors with an analog to digital converter at each pixel in the array.
- Designed and developed three-dimensional focal plane architectures with multiple levels of signal processing at each detector in the array.

FY 2008 Plans:

- Develop thru-via and interconnection technology with greater than 99% operability on 256x256 arrays.
- Perform imaging showing temperature gradients in object at a high temperature, demonstrating capability of high dynamic range.
- Demonstrate wafer bonded interconnect showing feasibility of high-density pixel arrays, beyond current indium bump interconnect technology.
- Demonstrate feasibility of high-density vias to increase circuit area available for processing.
- Develop advanced vertically integrated sensor architecture with capability to integrate high dynamic range into high density pixel.

	FY 2007	FY 2008	FY 2009
Analog Spectral Processors (ASP)	4.500	13.483	13.877

(U) The Analog Spectral Processors (ASP) program will leverage existing MEMS capabilities to make precision RF components, and perform low-insertion-loss/heterogeneous components integration to demonstrate integrated Analog Spectral Processors that greatly reduce dynamic range and bandwidth required on analog/digital converters and other front-end components. This will enable proliferation of advanced RF capabilities to the individual war fighter by dramatic reduction in size, weight, and power of RF systems. Industrial firms that are currently the major suppliers of radio equipment for defense and homeland security applications will serve as the primary transition partners upon successful completion of the program.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed design and modeling of novel front-end architecture, and derived specifications for filter and switch components.
- Developed and tested novel filter and switch components operating from 20 megahertz (MHz) 6 gigahertz (GHz).

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<ul> <li>Conducted independent verification of component performan</li> <li>Completed Preliminary Design Review utilizing filter results</li> <li>FY 2008 Plans:</li> </ul>	ce. to demonstrate component feasibility.	

- Demonstrate intimate integration of filter and switch components.
- Demonstrate pre-selector, intermediate frequency, and analog filter sensor banks.
- Complete Conceptual Design Review.

FY 2009 Plans:

- Integrate filter banks with active components.
- Demonstrate complete front end meeting size, power, and performance objectives.

	FY 2007	FY 2008	FY 2009
Electromagnetic Pulse Tolerant Microwave Receiver Front End (EMPIRE)*	5.525	5.100	5.690

\*Formerly titled All-Dielectric Non Electronic RF Front-End (ADNERF).

(U) The Electromagnetic Pulse Tolerant Microwave Receiver Front End (EMPIRE) program will create a wide bandwidth, tunable RF front end technology that is immune to electromagnetic pulse (EMP) attack. This program will seek an entirely new approach to RF front-end technology where all metal and front-end electronic circuitry are eliminated. Of particular interest will be an all-dielectric, electronics-free RF front end with sensitivity and dynamic range consistent with today's wireless communication and radar systems. By eliminating the metallic antenna, a secondary goal is to effect a significant reduction in detectable radar cross section.

(U) EMPIRE represents the ultimate solution for protecting wireless communication and radar systems. EMPIRE can find immediate application protecting tactical communication and radar systems, which are highly vulnerable to EMP attack due to their close proximity to enemy assets. As the efficiency and tunability of the all-dielectric non-electronics front-ends improve, the technology can become an ubiquitous RF front end for all military as well as commercial wireless devices, providing the communications infrastructure immunity against EMP attacks. This program will transition through industry performers involved with reducing the susceptibility of electronics to damage from high electro-magnetic pulse weapons.

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(U) Program Plans:

FY 2007 Accomplishments:

- Identified and developed innovative dielectric materials with high dielectric constant and low loss.

FY 2008 Plans:

- Design and implement doubly resonant (RF and optical) antenna structures in support of non-electronic signal transduction.

FY 2009 Plans:

- Demonstrate dramatic reduction in RF front-end susceptibility to electromagnetic pulses while maintaining militarily useful system.

	FY 2007	FY 2008	FY 2009
Microsensors for Imaging (MISI)*	3.757	3.920	4.917

\*Formerly titled High Gain Optical Transceiver on a Chip.

(U) The Microsensors for Imaging (MISI) program establishes technology for extremely small, lightweight cameras sensitive in the short wave infrared for a wide range of applications. MISI is initially focused on two important areas, micro-air vehicles and a head-mounted system. The camera components comprise a micro-system including optics, focal plane array and electronics with display, energy source and illuminator included as the head-mounted system. The limitation of weight and power places demands on the sensor technology for exceptional image quality in a micro-package. This technology will have many DoD applications. In the micro-air vehicle application, the weight goal is ten (10) grams, (including the optics, detector and electronics) for a camera with a degree field of view and recognition range of one-hundred meters. In the head-mount application, the weight goal of three-hundred fifty (350) grams includes the sensor with display and power source. This program will transition through industry performers into DoD systems, allowing integration into small robotic platforms and micro-air vehicles.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Completed array and integrated package design to achieve microsensor in extremely small package suitable for microvehicle applications.
- Completed design to demonstrate stable device operation over a wide temperature range.

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FY 2008 Plans:

 Demonstrate imaging arrays in micropackage for both man-portable and micro-vehicle applications, with package thermal stability for long-lifetime operation.

- Complete design of short wave arrays for helmet mounted applications compatible with illuminator and compact system design.

FY 2009 Plans:

- Demonstrate megapixel arrays in micropackage that amplify low level optical signals with minimum excess noise while maintaining uniformity across the array.
- Demonstrate operation at room temperature over military temperature range.

	FY 2007	FY 2008	FY 2009
Maskless Direct-Write Nanolithography for Defense Applications	19.000	22.600	20.100

(U) The Maskless Direct-Write Nanolithography for Defense Applications program will develop a maskless, direct-write lithography tool that will address both the DoD's need for affordable, high performance, low volume Integrated Circuits (ICs) and the commercial market's need for highly customized, application-specific ICs. In addition, this program will provide a cost effective manufacturing technology for low volume nanoelectromechanical systems (NEMS) and nanophotonics initiatives within the DoD. Transition will be achieved by maskless lithography tools, installed in the Trusted Foundry and in commercial foundries, which will enable incorporation of state-of-the-art semiconductor devices in new military systems, and allow for the cost-effective upgrade of legacy military systems.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Completed and delivered End-to-End System Error Budget and throughput model.

FY 2008 Plans:

- Design, build and integrate a demagnification optics system and wafer adapter, and achieve a patterning resolution on the wafer of about 1 micron.
- Characterize prototype Reflection Electron Branch Lithography (REBL) system to validate simulation results.

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FY 2009 Plans:

- Demonstrate rotary stage at 10 meters per second.
- Demonstrate static imaging on prototype REBL system.
- Demonstrate dynamic imaging on prototype REBL system.

	FY 2007	FY 2008	FY 2009
Stand-off Solid Penetrating Imaging*	3.058	3.942	5.900

\*Formerly titled Stand-off Detection and Identification.

(U) The Stand-off Solid Penetrating Imaging program will detect and identify explosive threats at a stand-off distance, a critical requirement for force protection in all military operations, especially in urban scenarios. Multiple techniques will be available for detection, but no single technique provides both high probability of detection with low false alarm rate, and identification of specific characteristics of the threat. A microsystem approach with multiple, synergistic sensor technologies integrated in a compact package will be critical to wide spread deployment of this sensor capability.

(U) The microsystem approach involves the identification of significant attributes from multiple non-over-lapping perspectives, such as shape and chemical signature, at stand-off ranges of fifty meters to potentially one hundred meters. This presents major challenges in imaging through opaque media, identifying signatures in parts per billion in high background ambient, selecting specific wavelength bands of interest, and the signal/imaging processing required for positive identification. The system configuration presents additional integration challenges for potential application in manportable systems or small autonomous vehicles. This program will transition through industry performers into DoD systems aimed at developing stand-off X-ray imaging devices for robotic vehicles. This program will allow X-ray imaging at a distance of up to 50 meters.

(U) Program Plans:

FY 2007 Accomplishments:

 Demonstrated in the laboratory unique image reconstruction techniques suited to imaging through visually opaque objects at a 50 – 100 meter stand-off distance.

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FY 2008 Plans:

- Assess X-ray source requirements, such as power, size, weight, focal spot, and tube configuration including various beam formation techniques.
- Implement X-ray imaging reconstruction for remote vehicle applications.

FY 2009 Plans:

- Trade-off source requirements for more efficient sensor technology, notably two-dimensional arrays of cadmium telluride or silicon carbide with high spatial resolution.
- Demonstrate X-ray image at 50 100 meters, and address issues including efficient radiation coupling into the sensor, spectral selectivity, and signal enhancement techniques.

	FY 2007	FY 2008	FY 2009
Deep Ultraviolet Avalanche Photodetectors (DUVAP)	3.500	3.171	2.434

(U) Recent advances in Wide-Bandgap Semiconductor materials have opened new possibilities for exploiting the ultra-violet (UV) region of the electromagnetic spectrum. The current Deep Ultraviolet Avalanche Photodetectors (DUVAP) program has been successful in advancing the state of the art of UV light emitting diodes and laser diodes. This follow-on program seeks to develop high sensitivity, compact UV detectors. Specifically, avalanche photodiodes (APDs) will be developed to detect single photons. These UV detectors will dramatically improve the performance and reduce the size and weight of the biological warning detectors under development in the DUVAP program. They will also increase the range and data rate of covert UV communications systems. This program will transition through industry and university performers developing compact, reliable, and cost-effective photodetectors for a variety of military applications.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated Geiger mode operation at 280 nanometers.
- Determined maximum defect density for stable avalanche gain.
- Demonstrated solar blind UV filter compatible with the APD structure.

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FY 2008 Plans:

- Develop Optimized Geiger mode device.
- Optimize E Materials for low defect density and reproducibly high device yield.
- Demonstrate Solar-blind UV filter with on/off cutoff of 103, integrated with a discrete device.

FY 2009 Plans:

- Demonstrate  $1 \text{ cm}^2$  array of Geiger mode APDs with dark count rate < 10 kHz and solar rejection ratio of 106.

	FY 2007	FY 2008	FY 2009
WIFI-EYEPOD	0.000	3.492	6.000

(U) The WIFI-EYEPOD program will transform the dismounted soldier into a semi-autonomous direct current (DC) - 10 GHz sensor/comms/signals intelligence platform using a personal digital assistant (PDA) modified with a broadband multifunctional RF sensor plugged into its Universal Serial Bus (USB) port. Combined with the current DARPA STAP BOY program, or even a standard laptop, the RF-EYEPOD enhancement will enable real-time local processing for extremely time-sensitive and perishable data requiring immediate processing and response. The WIFI-EYEPOD RF sensor may be used to control and or hunt near field enemy WIFI and communications networks allowing the soldier to virtually see enemy combatants communicating and setting up attacks, hiding behind walls and in buildings mixed with non-combatants. Working in small networks will permit instantaneous location(s) of sniper fire and gunfire for retribution, and positions of tactical squad members relative to inside and outside of buildings, without detection by enemy sensors.

(U) In addition to adding RF-sensory and networking capability to PDAs and vehicle-mounted information processing hardware, the WIFI-EYEPOD will provide secure communications and networking capability so that the processed information can be compressed and downloaded real-time to larger, holistic sensor integration systems, providing micro-detail to create macro understanding at the unit and division command levels. Transition targets are through Army PM Soldiers Systems and USMC ground forces.

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(U)	Program Plans: FY 2008 Plans: – Develop integrate and optimize diverse system capabilities in	to a single low cost miniature package w	vith a cost target at less than

- Develop, integrate and optimize diverse system capabilities into a single low cost miniature package with a cost target at less than \$1 thousand per unit.
- Optimize commercial integrated circuits in wideband digital synthesizers, and custom high dynamic range Analog/Digital Converters and digital filters into a mixed-signal Analog Signal Integrated Circuits using the latest processes in silicon-germanium (SiGe) and 90nm complementary metal-oxide-semiconductor.

FY 2009 Plans:

 Integrate a modem, quad-band antenna, and Ultra-Wide Band antenna and transmitter with commercial interface to create an embedded processing unit.

	FY 2007	FY 2008	FY 2009
Airplane on a Chip (AOC) - Chip Scale Avionics	0.000	0.000	2.000

(U) The Airplane-on-a-Chip (AOC) - Chip Scale Avionics program seeks to exploit continued advances in integrated Microsystems technology to remake the stovepipe/legacy avionics architecture which are present in modern aircraft. The fundamental goal of the program is to deliver an avionics system approaching one cubic centimeter in volume and dissipating 10s of milliwatts of power, compared with 10s of cubic centimeters (best case) and 10s of Watts of power in contemporary systems. The program will bring together advances in Chip Scale Atomic Clocks, Navigation Grade Integrated Micro Gyroscopes, 3-Dimensional Electronics, Compressive Sensing, Chip Scale Wavelength Division Multiplexing, and Robust Integrated Power Sources, to name only a few, to revolutionize avionics for the 21<sup>st</sup> century. It is expected that such advances will revolutionize airframe design and capability by delivering more functionality at lower power in a smaller volume, enabling distributed avionics for enhanced survivability and increase autonomous operation.

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## (U) Program Plans:

FY 2009 Plans:

- Develop advanced integrated microsystems technologies for avionics guidance, navigation, and control that exploit progress in Chip Scale Atomic Clocks, Navigation Grade Integrated Micro Gyroscopes, 3-Dimensional Electronics, Compressive Sensing, Chip Scale Wavelength Division Multiplexing, and Robust Integrated Power Sources programs.
- Deliver an avionics system approaching one cubic centimeter in volume with power dissipation on the order of tens of milliwatts.

	FY 2007	FY 2008	FY 2009
Ultradense Nanophotonic Intrachip Communication (UNIC)*	9.486	5.500	10.950

\*Formerly titled Nanophotonics for Ultradense On-chip Communications.

(U) The goal of the Ultradense Nanophotonic Intrachip Communication (UNIC) program is to demonstrate nanophotonic technology for (1) access to on-chip ultra-dense systems and (2) Input/Output (I/O) to/from a chip containing such ultra-dense systems. Technical challenges that must be met include: high precision, low loss nanophotonic circuit fabrication, low cost fabrication methods, high performance nanoscale modulators, detectors, multiplexers and demultiplexers, architecture for addressing ultra-dense systems, techniques for efficient high capacity/bandwidth I/O of data to and from the chip. This technology will transition via industrial performers developing ever faster and more complex processing such as real-time pattern matching, target recognition, image processing and THz class command-and-control networks.

(U) Program Plans:

FY 2007 Accomplishments:

 Initiated high performance, low power active and passive photonics at ~ 1 mm size-scale for on-chip global interconnects for significantly improved processor performance.

FY 2008 Plans:

 Create novel designs to demonstrate extremely low power complementary metal-oxide-semiconductor (CMOS) compatible silicon photonic devices.

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FY 2009 Plans:

- Demonstrate extremely low power CMOS-compatible silicon photonic devices that demonstrate a path to on-chip optical communication links that are superior to conventional electronic messaging in single-die multiprocessor computing architectures.

	FY 2007	FY 2008	FY 2009
Hemispherical Array Detector for Imaging (HARDI)	4.744	3.486	4.682

(U) The objective of the Hemispherical Array Detector for Imaging (HARDI) program is to exploit the benefits of the hemispherical imaging surface. The basic idea behind the program is that a detector array can be fabricated on a hemispherical substrate using materials such as organic/inorganic semiconductors and that this array can be combined with a single lens to produce a wide field of view, small form factor camera. Organic materials have been shown to have good electronic and optoelectronic properties including light emission and detection. Furthermore, in-plane organic/inorganic transistors can be incorporated for pre-processing of images. This program will transition to eventual DoD systems through a demonstration of an array prototype developed by industrial contractors.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated a manufacturing process for fabrication on hemispherical surface and developed high detectivity materials over broad wavelength range.

FY 2008 Plans:

- Develop high efficiency detector materials.
- Demonstrate curved single pixel detector.

FY 2009 Plans:

- Develop improved materials for Visable-Near IR-Shortwave IR (VIS-NIR-SWIR).
- Demonstrate a curved focal plane array.

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	FY	2007 FY 2008	FY 2009

0.000

3.000

5.000

(U) Low light level imaging has proven its value by providing the individual warfighter with the tactical advantage to see first in crucial night imaging scenarios. With widespread use of low light level technology, a new paradigm in low light level imaging is necessary to maintain these distinct advantages and provide new capability beyond current imaging technology. The new approach will incorporate noiseless detection and processing of individual photon events to leverage the benefits of solid state imaging and take advantage of three dimensional signal processing architecture at the detector. By detecting an image formed from individual photon events without the addition of excess noise, the image can be processed and manipulated to provide the user image information not possible with current sensors. This technology will transition through industrial performers into eventual systems for sniper scope devices and electronic imaging sensors for micro-air vehicles.

(U) Programs Plans:

FY 2008 Plans:

Day/Night Adaptive Imager

Develop ultra-wide dynamic range imaging sensors that count individual photon events and also operate in high light level.
 FY 2009 Plans:

- Reduce dark counts for room temperature operation.
- Demonstrate integrated functions, such as day/night imaging with covert signal detection.

	FY 2007	FY 2008	FY 2009
Miniature, Room Temperature, Ultra-sensitive Magnetic Sensor (MRUMS)	0.000	3.500	6.500

(U) The goal of this effort is to develop a micron scale, room temperature magnetic sensor with detection sensitivity at least comparable to that of a Superconducting Quantum Interference Device (SQUID). The device would also require low power and be produced with standard micro-fabrication processes. Recent work in organic materials that preserve electron spin coherence over tens to hundreds of nanometers and also in atomtronics suggest that room temperature ultra sensitive magnetic sensors are achievable. This technology will transition into DoD systems via industry.

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(U) Program Plans:

FY 2008 Plans:

- Demonstrate proof of concept of compact single room temperature sensor for magnetic field.

FY 2009 Plans:

- Demonstrate high sensitivity compact single room temperature sensor for magnetic field.

	FY 2007	FY 2008	FY 2009
Contiguous Multi-Mega-pixel Infrared Imaging Arrays	0.000	3.000	5.000

(U) The Contiguous Multi-Mega-pixel Infrared Imaging Arrays program will address the development of large arrays for persistent surveillance with the objective of developing technology for multi-mega-pixel pixel arrays with integral signal and image processing. Since contiguous coverage over large areas is essential, approaches will be developed to construct extremely large array assemblies from smaller arrays without loss of lines at the intersection between arrays. A new array architecture will be designed to integrate electronic overhead functions, such as synchronization clocks, power bias lines and ground connections in a three dimensional structure directly under the active pixel array. This design leverages and extends the emerging three-dimensional signal processing technology and establishes a technology base for large contiguous array assemblies, not possible with current infrared arrays. Approaches also will be developed for the assembly of multiple infrared arrays on non-planar surfaces in order to realize practical optical designs for large arrays. This technology will transition via industry.

(U) Program Plans:

FY 2008 Plans:

Develop approaches for contiguous butting of large infrared arrays without line loss at array intersection.
 FY 2009 Plans:

- Demonstrate large arrays with integral data pre-screening to highlight potential targets and areas of interest.

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	H	FY 2007	FY 2008	FY 2009
High Resolution Short Wave Infrared/High Density Infrared Retina		0.000	4.000	7.000

(U) The High Resolution Short Wave Infrared/High Density Infrared Retina program will address emerging material growth and deposition technology with the potential to produce extremely high resolution, high density short wave detector arrays. Growth approaches to be investigated include infrared quantum dots, which can be deposited directly from a solution, molecular beam epitaxy, and epitaxial growth onto selected areas of the silicon read-out. The growth techniques must be optimized to produce films with high optical absorption, and uniform film characteristics consistent with deposition over large areas. Electrical contact to small size detector elements also will be addressed. Approaches must be developed to form the electrical contact between small area detectors and input to low noise preamplifiers on the silicon substrates. This program is directed at reducing pixel size to the dimension of the wavelength, in the shortwave infrared (SWIR), mid-wave infrared (MWIR) and long-wave infrared (LWIR). The SWIR is the most demanding case since the wavelength is the shortest. This technology will transition into eventual DoD systems through program industrial performers.

(U) Program Plans:

FY 2008 Plans:

- Develop material growth and array processing for extremely high-resolution short wave infrared with pixel size on the order of the wavelength.

FY 2009 Plans:

- Develop new detector approaches for high pixel density with passivation processes to control surface leakage, which will dominate small detectors.
- Demonstrate test structures with detector size approaching two microns and show contact method to small pixel structure.

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	FY	2007	FY 2008	FY 2009
Control of Optical Properties of Infrared Semiconductors	(	0.000	3.250	5.000

(U) The Control of Optical Properties of Infrared Semiconductors program seeks to electronically control the optical emission from infrared semiconductor material in infrared material and devices with pay-off in several new areas important to defense systems. The equilibrium level of electronic charge carriers in a semiconductor material can be controlled by the applied bias, altering optical emission at the surface. In a light emitting diode, electronic injection of excess charge into a semiconductor stimulates radiation emission. Analogously, the extraction of charge carriers suppresses radiation emitted from the sample. In the infrared spectral region, radiation emitted from a semiconductor defines the apparent temperature of the material. Control of the apparent temperature of infrared material has direct application in radiation shielding for room temperature detectors, covert communications and marking targets in the infrared. Radiation shielding in a room temperature imager has the potential to increase sensitivity five to ten times expanding the application base of room temperature infrared imagers. This program will develop materials where the apparent temperature can be modulated above and below the background level, with an average level of zero. Imagers without the specific code used will not have capability to detect the modulation. Imagers cued to the code will detect the modulated signal. This program will transition to defense systems via industry.

#### (U) Program Plans:

FY 2008 Plans:

- Demonstrate detection of modulated signal with zero average using existing 3-5um NL material.

- FY 2009 Plans:
- Reduce Long-Wave Infrared (LWIR) dark current and material doping by a factor of 10.
- Investigate growth of LWIR material on silicon substrates for larger area, lower cost and longer range.

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	F	Y 2007	FY 2008	FY 2009

0.000

0.000

3.000

(U) The Cost Effective Low Volume Nanofabrication program will develop revolutionary circuit design methodologies combined with hybrid lithography tools to enable cost-effective low volume nanofabrication for DoD applications. Moore's law has driven the silicon industry for several decades with the minimum feature size on an integrated circuit (IC) reduced to 45 nm for today's commercial products. Due to challenging patterning requirements and complex circuit designs, costs of lithography tools and masks have become unaffordable for low-volume manufacture, i.e., military electronics or application specific integrated circuit (ASICs). Similarly, the circuit design, verification, and testing costs have also grown exponentially further preventing military electronics from using advanced silicon technology nodes. Military electronics capabilities are currently limited by the high cost of nanofabrication. To solve this important problem, DARPA has invested in a variety of maskless patterning technologies including parallel e-beam arrays, parallel scanning probe arrays, and an innovative e-beam lithography tool. This program will develop revolutionary circuit design methodologies coupled with innovative hybrid maskless patterning tools to realize cost-effective nanofabrication for low-volume defense or commercial ASICs. Such an approach can also address the nanofabrication requirements of other low-volume DoD technologies such as photonics and MEMs.

(U) Program Plans:

FY 2009 Plans:

Cost Effective Low Volume Nanofabrication

- Evaluate the efficacy of regular geometry templates for improving lithographic performance for more robust imaging, simplified design/layout process, and increased throughput for maskless lithography methods.

	FY 2007	FY 2008	FY 2009
Technology for Ultra-High-Linearity Mixers	0.000	0.000	5.000

(U) The Technology for Ultra-High-Linearity Mixers program goal is to develop ultra-high-linearity electronic mixers to support the need of wideband high-dynamic-range receivers. To fully realize the capabilities of the ultra-high-linearity low-noise amplifiers (LNA) and ultra-high-dynamic-range analog-to-digital converters (ADCs) currently being developed under other DARPA programs, the dynamic range requirements

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through the receiver chain will need to be larger. Since the mixer is a critical part of the receiver and is located between the LNA and ADC, this challenging dynamic-range goal will require the output third intercept point of the mixer to be larger than +60 decibel milliwatt (dBm). This regime of linearity performance is well beyond current state-of-the-art. Although the linearity of the mixer usually increases with the power of the associated local oscillator, the projected power required to meet the +60dBm requirement will be impractical for most applications. Thus, this proposed project will focus on developing the necessary technologies to enable a mixer without an additional power penalty.

(U) Program Plans:

FY 2009 Plans:

- Develop scalable ultra-high speed gallium nitride (GaN) High Electron Mobility Transistor device technology.
- Develop ultra-high linearity mixer circuit architecture.
- Demonstrate integration technology for ultra-high-linearity mixer.

	FY 2007	FY 2008	FY 2009
Disruptive Manufacturing Technologies (DMT)	3.008	3.368	5.392

(U) The goal of the Disruptive Manufacturing Technologies (DMT) program is to achieve significant and pervasive cost savings, and/or decreases in cycle time, for existing or planned procurements. There has been a long-standing desire to replace traveling wave tube amplifiers (TWTAs), which are pervasive in nearly all electronic warfare (EW), information warfare (IW), radar, and communication systems, with lower cost solid-state components. The DMT program will merge Polystrata<sup>TM</sup> and GaN technologies to eliminate the need for monolithic microwave integrated circuits (MMICs). The direct product replacement transition candidate for this program is the TWT power amplifier output stage in the AN/ALE-55, Fiber Optic Towed Decoy for the Navy's new F/A-18 E/F Super Hornet, and the Air Force B1-B and F-15 platforms. It will be replaced with solid-state hybrid microwave integrate circuit (HyMIC) modules developed by merging Polystrata<sup>TM</sup> and gallium nitride (GaN) technologies. The result will be a 10x reduction in TWTA cost, equaling >\$150M for the Integrated Defensive Electronic Countermeasures (IDECM) program, a joint Navy-Air Force program. Beyond developing a replacement for TWTAs, HyMIC technology promises to increase adoption of high performance MMW systems employing mature III-V technologies as well as advance earlier adoption of those using nascent III-V technologies.

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APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENCLATURE		
RDT&E, Defense-wide	Advanced Electronics Technology		
BA3 Advanced Technology Development	PE 0603739E, Project MT-15		

(U) Program Plans:

FY 2007 Accomplishments:

– Demonstrated integration of GaN transistors and passive elements with Polystrata<sup>TM</sup> waveguides.

FY 2008 Plans:

- Demonstrate flip chip mounting on Polystrata<sup>TM</sup> structures.
- Complete proof-of-concept GaN 20 watts module implemented with Polystrata<sup>™</sup> technology, along with a passive element library to enable development of the 57 W GaN building block.

FY 2009 Plans:

- Demonstrate a form-fit-function 160 W GaN amplifier ready for insertion into the IDECM decoy module.

	FY 2007	FY 2008	FY 2009
Electronic Miniaturization	2.800	0.000	0.000

- (U) This program developed advanced electronic miniaturization technologies.
- (U) Program Plans:

FY 2007 Accomplishments:

- Developed novel techniques for miniaturization of electronic components.

	FY 2007	FY 2008	FY 2009
Ultra-Low Power Electronics for Special Purpose Computers*	1.000	1.040	0.000

\*Formerly Enabling Obiquitous Computing through Nanoscale Ultra-Low Power Electronics.

(U) This program developed advanced computing technology utilizing very low power electronic devices.

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(U) Program Plans:

FY 2007 Accomplishments:

- Developed nanoscale low power electronics for defense applications.

FY 2008 Plans:

- Develop low power nanoscale electronics for special purpose computers.

	FY 2007	FY 2008	FY 2009
Computing and Nanoscale Electronic Processing	0.000	1.200	0.000

(U) The main objective of this program is to explore computing and nanoscale electronic processes.

(U) Program Plans: FY 2008 Plans:

- Develop new applications for nanoscale electronics.

#### (U) Other Program Funding Summary Cost:

• Not Applicable.

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>					DATE	TE February 2008			
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development			R-1 ITEM NOMENCLATURE Command, Control and Communications Systems PE 0603760E						
COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 20	)11	FY 2012	FY 2013
Total Program Element (PE) Cost	229.399	25	5.235	338.964	283.277	284.7	710	289.469	298.657
Command & Control Information Systems CCC-01	54.499	5	0.581	52.562	63.670	65.5	577	65.577	65.576
Information Integration Systems CCC-02	104.291	10	1.305	126.707	96.154	97.5	568	99.549	99.548
Classified CCC-CLS	70.609	10	3.349	159.695	123.453	121.5	565	124.343	133.533

#### (U) <u>Mission Description:</u>

(U) The Command, Control and Communications Systems program element is budgeted in the Advanced Technology Development Budget Activity because its purpose is to demonstrate and evaluate advanced information systems research and development concepts.

(U) The goals of the Command and Control Information Systems project are to develop and test innovative, secure architectures and tools to enhance information processing, dissemination and presentation capabilities for the commander. This will give the commander insight into the disposition of enemy and friendly forces, a joint situational awareness picture that will improve planning, decision-making and execution support capability and provide secure multimedia information interfaces and assured software to "on the move" users. Integration of collection management, planning and battlefield awareness programs is an essential element for achieving battlefield dominance through assured information systems.

(U) The goals of the Information Integration Systems project are to take diverse data inputs from a variety of sources, efficiently disseminate the information, and perform distributed and dynamic all-source correlation and fusion to produce an integrated, geo-spatially referenced, battlefield database and knowledge-base. The principal element of this project is assured communications using standard and non-traditional means.

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	APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENRDT&E, Defense-wideCommand, Control and ConBA3 Advanced Technology DevelopmentPE 06037		NCLATURE mmunications Systems 760E		IS	
(U)	Program Chang	ge Summary: (In Millions)	<u>FY 2</u>	<u>2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
	Previous Preside	ent's Budget	227	.626	256.868	267.786
	Current Budget		229	.399	255.235	338.964
	Total Adjustmer	nts	1	.773	-1.633	71.178
	Congressional p	rogram reductions	0	.000	-1.633	
	Congressional ir	ncreases	0	.000		
	Reprogramming	s	7	.600		
	SBIR/STTR Tra	nsfer	-5	.827		
(U)	Change Summa	ry Explanation:				
	FY 2007	Decrease reflects a below threshold repr	rogramming and the SBIR/STTR transfer	•		
	FY 2008	Decrease reflects reductions for Section	8097 Contractor Efficiencies and Section	n 8104	Economic A	ssumptions.
	FY 2009 Increase reflects enhancements in the C2 area for the introduction of cognitive computing tools into on-goin C2 programs, offset by other C2 program completions, increases to communications efforts to fund continua and expansion of the Wireless Network After Next (WNAN) and Optical RF Communications programs, an increases to classified programs.				on-going continuation ams, and	

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>					DATI	DATE February 2008		
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development			R-1 ITEM NOMENCLATURE Command, Control and Communications Systems PE 0603760E, Project CCC-01					
COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Command & Control Information Systems CCC-01	54.499	50	0.581	52.562	63.670	65.577	65.577	65.576

#### (U) <u>Mission Description:</u>

(U) Military operations since the end of the Cold War illustrate that current theater-level command, control, communications, and intelligence/information systems lack the ability to fully support operations in complex, time-critical environments. Warfighters must be prepared for operations ranging from conflict and peacekeeping in urban centers to heavy battle actions in remote areas. Current capabilities do not provide the commander with real-time, secure, situational awareness or the ability to orchestrate high-tempo planning, rehearsal, and execution. The programs in this project are developing and testing innovative, secure architectures and tools to enhance information processing, dissemination, and presentation capabilities. The programs provide the commander insight into the disposition of enemy and friendly forces, a joint situational awareness picture that will improve planning, decision-making, and execution support capability, as well as secure multimedia information interfaces and software assurance to the warfighter "on the move". Integration of collection management, planning, and battlefield awareness are essential elements for achieving battlefield dominance through assured information systems.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Joint Air/Ground Operations: Unified, Adaptive Replanning (JAGUAR)	9.178	8.531	7.761

(U) The Joint Air/Ground Operations: Unified, Adaptive Replanning (JAGUAR) program improves battle management for complex air campaigns that employ new air platforms featuring precision sensors, weapons and communications relays. The JAGUAR system is driven by: 1) targeting information, both for sensor targets and strikes, expressed as point and area targets (i.e., search, combat air patrol); 2) rules of engagement and procedural constraints, such as airspace restrictions; and 3) availability of platforms, weapons, sensors, and communications equipment. From this information, JAGUAR produces ingress routes, flight schedules and patrol zones, while assuring airspace and electronic deconfliction. The technology provides pilots and commanders the option to choose conventional tactics or conceive unconventional operations. In the latter case, the system captures the innovation and retains the strategic maneuver for future mission plans. JAGUAR monitors actual plan

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RDT&E, Defense-wide	Command, Control and Com	munications Systems
BA3 Advanced Technology Development	PE 0603760E, Proje	ect CCC-01

execution against expected results and alerts commanders to significant differences. The technology captures statistical descriptions of small differences to help assess the robustness of future plans. There is a Memorandum of Understanding in place with the U.S. Air Force and technology transition is planned to occur in late FY 2009.

(U) Program Plans:

FY 2007 Accomplishments:

- Equipped a training facility with software tools and human observers to capture plans as constructed, executed, and modified.
- Developed dynamic plan generation to accommodate popup targets or mission changes.
- Developed continuous plan monitoring to assess deviations from plans.

FY 2008 Plans:

- Develop a large-scale integration algorithm to assemble plan fragments into a synchronized operational plan.
- Build optimization tools to tailor routes, schedule events, and deconflict airspace and radio frequencies.
- FY 2009 Plans:
- Conduct operationally realistic experiments at Air Force Distributed Mission Operations Center.

	FY 2007	FY 2008	FY 2009
Heterogenous Urban Reconnaissance Team (HURT)	22.270	5.000	4.358

(U) The Heterogeneous Urban Reconnaissance Team (HURT) initiative develops integrated tactical planning and sensor management systems for heterogeneous collections of unmanned platforms operating in urban environments. HURT employs a model-based control architecture with dynamic teaming and platform-independent command and control. The system registers new platforms with the battle manager (kinematics, maneuverability, endurance, payloads, and communications links) to facilitate platform-independent tasking. HURT provides a commander's interface that allows collaborative tasking of the platforms in the form of operational missions, such as search, track, identify, or engage, rather than routes and events. Additionally, it supplies computationally intensive decision aids, such as advanced 4-D airspace and groundspace deconfliction tools, route planners, and task/platform assignments algorithms. The technology presents mission status and future courses of action to commanders for collaborative adjudication. HURT enables augmentation of low-footprint, rapidly deployable, easily sustainable human command structures with teams of machines operating together. There is a Memorandum of Agreement in place with the U.S. Special Operations Command.

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(U)	<ul> <li>Program Plans:</li> <li>FY 2007 Accomplishments:</li> <li>Conducted two live-fly exercises of prototype system.</li> <li>FY 2008 Plans:</li> <li>Expand capability to include taskable sensors on manned aircs</li> <li>Integrate into combat aviation brigade testbed at Ft. Hood.</li> <li>FY 2009 Plans:</li> <li>Support user training operations at Ft. Hood</li> </ul>	raft.	

	FY 2007	FY 2008	FY 2009
Collision Avoidance & Dynamic Airspace Control*	2.500	4.000	5.000

\*Formerly Dynamic Airspace Allocation.

(U) The goal of the Collision Avoidance and Dynamic Airspace Control program is to maximize airspace utilization through dynamic military airspace management. Today's labor-intensive human centric airspace management processes result in an inefficient use of airspace and limit the density and responsiveness of airborne systems. Further, the introduction of unmanned aircraft has increasingly complicated the challenge, leading to operating constraints and the potential for mishaps related to the different characteristics of manned and unmanned systems. This program will evaluate and develop technologies for an automated and distributed system that efficiently manages all objects in the airspace to include munitions, manned and unmanned aircraft. Specifically focused on the needs of the military, the program will enable provable levels of safety while ensuring military freedom of maneuver. The automated system will be developed as a replacement for current management systems and processes and will support all service users. Challenges to be addressed include complex algorithms and network information exchange, and integration with legacy, degraded and intentionally disruptive aircraft. The program will also explore novel concepts of operation enabled by radically enhanced airspace utilization. The capabilities developed by this program will benefit all of the Services.

(U) Program Plans:

FY 2007 Accomplishments:

- Examined potential system architectures.

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FY 2008 Plans:		

Develop and simulate potential system architecture models.

Develop a preliminary design for the system. \_

FY 2009 Plans:

- Demonstrate critical technologies.

	FY 2007	FY 2008	FY 2009
Advanced Ground Tactical Battle Manager	4.133	6.000	8.389

The Advanced Ground Tactical Battle Manager program develops automated decision support tools for Army and Marine tactical (U)commanders at the division level and below. The program also provides support for combined operations employing dismounted soldiers, manned platforms, and autonomous vehicles. The tool elicits skeletal courses of action through a graphical interface with unit commanders and extends plans by applying adversarial reasoning techniques to identify vulnerabilities and opportunities in the predicted enemy course of action. Finally, it examines modifications or counteractions to reduce vulnerabilities. Products will transition to the Army.

The Real-time Adversarial Reasoning and Decision-making (RAID) program develops technologies to anticipate enemy actions, (U) especially in urban operations against irregular combatants. Experiments demonstrate how RAID can assist a Distributed Common Ground System-Army operator in the preparation of better intelligence products as compared to those built by un-assisted analysts.

The Know What Is to Know Subsystem (KWIKS) develops a support tool that autonomously and continually, during the execution of a (U) military operation, tracks the state of what is known about the environment (and how well), and what are the forms and priorities of additional collection needs. This tool will provide substantially automated assistance to the current (laborious and non-real-time) process of collections planning, which currently includes manual steps such as analysis of external context, enemy and neutral goals and capabilities, and assessment of known threats. The overall benefit is more effective, rapid, complete identification of enemy state, resulting in achieving mission objectives with fewer friendly casualties and lower collateral damage.

The Deep Green subsystem combines anticipatory planning with adaptive execution, providing military decision makers with capabilities (U)on the battlefield that the IBM computer 'Deep Blue' brings to the chessboard. This effort explores closed-loop simulation to integrate planning,

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execution, and will incorporate continuous learning. The technology will also employ software agents to monitor the execution of the current operation against the plan, identify variations as the scenario unfolds and consistently explore the possible future states of the battlefield. This technology allows a proactive rather than reactive stance in the command of the battlefield giving the U.S. warfighter the advantage.

- (U) Program Plans:
  - RAID

FY 2007 Accomplishments:

- -- Developed an exercise environment with the Army Battle Command Battle Labs.
- -- Defined interfaces to existing and future Army intelligence and command and control systems.
- -- Completed experimentation on predictions and counteractions.
- -- Completed experiment on concealment and deception.

FY 2008 Plans:

- -- Integration and transition into the Distributed Common Ground System-Army.
- KWIKS

FY 2008 Plans:

- -- Extend and develop emerging computational techniques for analysis of information state under conditions of adversarial concealment and deception and partial observability.
- -- Design and execute a series of realistic wargame-based experiments to enhance and validate the capabilities of the system.

FY 2009 Plans:

- -- Adapt and validate the system for transition requirements.
- Deep Green

FY 2008 Plans:

-- Create initial Deep Green subsystems/components including Crystal Ball (assembles a diverse set of candidate plans and provides an integrated probabilistic overlay for all), Commander's Associate (induces the commander's intended plan from multi-modal man-machine dialog), and Blitzkrieg (fast multi-resolution combat model that permits high quality playoffs across the portfolio of planning options).

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FY 2009 Plans:

- -- Extend technologies to monitor an ongoing operation and update the likelihoods that the possible futures being generated by Deep Green will actually occur.
- -- Integrate major components to produce an initial prototype Deep Green system that enables proactive (vice reactive) battle management.

	FY 2007	FY 2008	FY 2009
Urban Commander	4.006	8.000	6.000

(U) The Urban Commander thrust develops automated tools to help ground commanders construct detailed, realistic operational plans, particularly in nontraditional and urban environments. Partial plans are represented in hierarchical task networks and visualized through synchronization matrices, icon overlays, or tactical sketch animations. Commanders and staff modify, refine, and extend a plan through voice, sketching, and semi-structured input. The system links fragments constructed at different sites, transfers information among related parts, and discovers and recommends solutions for inconsistencies. The system continuously compiles a set of plan cases and employs analogical matching to propose extensions to current plans suggested by past experience. Plan elements are communicated through an integrated set of protocols from the unit commander down to dismount commanders equipped with advanced heads-up displays and helmet-worn sensors. Finally, the program continuously assesses progress against the operational plan and alerts users to significant deviations.

(U) The Multi-spectral Adaptive Networked Tactical Imaging System (MANTIS) program develops, integrates, and demonstrates a soldierworn visualization system. Both helmet-mounted and handheld versions are being built. The system consists of five elements: 1) multi-spectral sensor suite; 2) high resolution digital display; 3) inertial measurement unit; 4) high-speed processor; and 5) power supply. MANTIS provides the warfighter with digitally-fused imagery in real time from the multi-spectral sensor suite, exploiting three distinct spectral bands. The fused imagery is shown on two displays; one has a wide field-of view and the other a narrow field-of-view. When viewed together, the system furnishes a larger field-of-view image with simultaneous high resolution and stereo capability. The system also allows the warfighters to record and "play back" the video while on the battlefield. MANTIS interfaces with the future soldier's advanced communications and networking systems, allowing the warfighter to send/receive video images and position information with fellow soldiers and commanders in real time. There is a Memorandum of Agreement in place with the Program Executive Officer Soldier, and Night Vision & Electronics Sensor Directorate for transition at the conclusion of Phase III anticipated to be completed early in FY 2008.

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The ULTRA-VIS program develops an integrated system to provide Army and Marine small unit leaders with the ability to conduct (U)daytime operations in an urban environment. The system includes a conformal, see-through, optical waveguide visor that displays intra-squad commands, alerts, and even icons that are attached to the urban landscape. Network protocols support information management to allow the squad leader to hand-off actionable information and direct alerts to the squad/fire teams for real-time collaboration without overload. ULTRA-VIS relays standard phrases and visual annotations that can be issued covertly, avoiding hand signals or shouting that may be recognized by the enemy. A robust, optically-assisted navigation technique will provide continuous geo-location and head tracking for each squad member while operating in GPS-denied environments. The system synthesizes weapon fire observables across a networked moving squad to detect and locate hostile weapon fire using a helmet mounted IR sensor and small acoustic array for precise sniper location and real time designation within the warfighter's visor. ULTRA-VIS empowers the small unit leader with a clear tactical advantage through inter/intra-squad collaboration, heightened awareness and the ability to take decisive action while on-the-move. The ULTRA-VIS technology is planned for transition to the Army.

(U) **Program Plans:** 

- Multi-spectral Adaptive Networked Tactical Imaging System (MANTIS).

FY 2007 Accomplishments:

- -- Fabricated three MANTIS functional prototypes (two helmet-mounted, one handheld) for evaluation.
- -- Conducted independent laboratory/field tests of MANTIS prototypes.

FY 2008 Plans:

-- Transition to the U.S. Army (PEO Soldier).

- ULTRA-VIS

FY 2008 Plans:

- -- Develop see-thru display conformal visor using holographic waveguide.
- -- Develop optically-assisted navigation for continuous geo-location and pose estimation.
- -- Develop interface to actuate non-verbal commands and post icons onto a shared urban landscape.

FY 2009 Plans:

- -- Create network protocols for alerts and information management for inter-squad collaboration.
- -- Develop fusion algorithms to precisely locate weapon fire using IR and acoustic signatures within a moving networked squad.

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	FY	2007	FY 2008	FY 2009
Tactical Group Decision Analysis Support System		2.329	2.000	0.000

(U) The Tactical Group Decision Analysis Support Systems program develops distributed group decision analysis and network management tools. These tools increase the tempo of the tactical commander's observe-orient-decide-act loop, the quality of decisions, the contribution of data point input across the organization, and the necessary communications capabilities needed to support this decision structure. This effort develops a set of tools to evaluate risks and identifies optimal "network configuration pivot points," and automates specific configurations for each network element. The Command, Control, Communications, and Computers (C4) tool suite provides the warfighter with a reliable communications network, which is critical to successful military operations. The tools apply to crisis management situations for tactical commanders and could be transitioned to existing emergency response command and control systems as well as emerging tactical command and control systems. The technologies developed under this program transition to the Army.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed automated reasoning including fast Boolean (a deductive logical system) solvers that satisfy million-variable problems in seconds.
- Built "model finders" that compile first-order logic into Boolean logic and take advantage of Boolean solvers.
- Developed strategies to formalize planning problems as deductive reasoning problems.
- FY 2008 Plans:
- Perform scaling and laboratory-based experimentation.

	FY 2007	FY 2008	FY 2009
Increased Command and Control Effectiveness (ICE)	0.000	3.000	6.000

(U) The Increased Command and Control Effectiveness (ICE) program develops and incorporates cognitive systems technology into operational Command, Control, and Intelligence (C2I) systems within each service. DARPA's Cognitive Systems programs have been developing

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the machine learning, reasoning, and human-machine dialogue technologies necessary to create cognitive assistants. This new technology promises to enable information systems to adapt – during deployment, in real time – to the changing conditions that military commanders confront. Information systems automatically adjust to new environments and new users, helping commanders adapt to evolving situations and priorities, and accelerating the incorporation of new personnel into command operations. This program funds portions of the technologies developed in the Personalized Assistant that Learns (PAL) program (funded in PE 0602304E, Project COG-02) that are ready for application to command and control systems.

(U) From an operational perspective, cognitive approaches to information processing offer three major enhancements to current command and control systems. First, they efficiently sort, segregate, separate and identify relevant data based on priority hierarchies established by the command structure. For example, image data can be selected based on target priority, historical context or anomalous changes. Second, cognitive technologies adapt the presentation of information to suit the needs and preferences of the individual commander. Finally, cognitive systems make relevant data generally available to all users both during collaborative planning processes and individual tactical analysis. In short, cognitive technology is introducing the equivalent of "just in time" inventory management to information management for command decision-making.

(U) The Army's Command Post of the Future (CPOF), STRATCOM's Strategic Knowledge Integration Web (SKIweb), the Navy Marine Corp Intranet (NMCI), and the Web Timeline Analysis System (WebTAS) are candidate systems for insertion of this new technology. This will ultimately reduce the staffing footprint of command centers.

- (U) Program Plans:
  - FY 2008 Plans:
  - Develop initial prototypes of cognitively-enhanced versions of the following systems suitable (e.g., certifiable) for use on military networks: Command Post of the Future (CPOF); Strategic Knowledge Integration Web (SKIweb); Navy Marine Corp Intranet (NMCI); and Web Timeline Analysis System (WebTAS).

FY 2009 Plans:

Develop and refine advanced operational prototypes of cognitively-enhanced versions of the CPOF, SKIweb, NMCI, and WebTAS systems that would provide users with advanced information management capabilities such as learning to anticipate users' information needs, pre-fetching needed information, learning users' interests, alerting users about the occurrence of events of interest, coordinating teams, and managing message traffic.

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 Demonstrate, test, and evaluate PAL-enhanced information systems in military exercises to validate that the PAL technologies are robust to the dynamics and uncertainties of the battlefield and dramatically compensate for end-user "cognitive overload".

	FY 2007	FY 2008	FY 2009
Predictive Battlespace Awareness	2.258	3.000	1.000

(U) The Predictive Battlespace Awareness program develops tools to interactively draw upon a distributed network of human experts, allowing them to collaboratively anticipate an opponent's future actions. The program enables commanders to pre-position sensors, weapons, and information to counter the opponent's actions. The program develops model and knowledge-based techniques to predict areas of operation and tactical objectives. The technology supports the modeling of courses of action ranging over time horizons from hours to days. Program techniques permit "on-the-fly" tailoring of models and contextual knowledge, and leverage knowledge of sensor effectiveness, mobility factors, tactical templates, and target characteristics. Techniques include variable-fidelity prediction, such as the ability to determine both target locations over minutes and force zones of influence over hours. The tools anticipate enemy operations in time to thwart them with effects-based targeting, enabling use of sensors and other resources in proactive modes. The program both enables commanders to avoid canned responses and supports rapid incorporation of insights about new enemy strategies, capabilities, and tactics from peacetime to the heat of battle. The program significantly enhances today's mostly manual, slow planning, and analysis processes. Technologies are planned to be transitioned to the Air Force Distributed Common Ground Station.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed algorithms to decompose information needs into steps to be performed by experts.

- Developed schema to allow experts to register with the system.

FY 2008 Plans:

- Downselect algorithms for match-making, negotiation, monitoring and assimilation.

FY 2009 Plans:

– Define a system architecture.

- Integrate selected technologies and conduct collaboration demonstrations.

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	F	Y 2007	FY 2008	FY 2009
Predictive Analysis for Naval Deployment Activities (PANDA)		7.825	11.050	14.054

(U) Predictive Analysis for Naval Deployment Activities (PANDA) develops technology to automatically learn normal activity models (motion and emission) for maritime surface vessels, automatically detect anomalous behavior, provide context modeling to resolve known categories of anomalies (e.g., due to weather and business rule changes), and alert processing. The resulting technology can be extended and applied to a wide range of applications including ground vehicles, troop movements, and individual targets of interest (e.g., suspected insurgents), as the methods of tracking those targets improves. The initial application will be anomaly detection in the maritime domain. PANDA technologies are planned to transition to the Office of Naval Intelligence and the Fleet Commanders.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Implemented initial motion-based learning algorithms.
- Implemented and tested adaptive context model.

FY 2008 Plans:

- Demonstrate that individual and class-of-vessel motion-based activity patterns can be learned and used to detect anomalies.

- Use patterns to predict movements and classify (groups of) vessels as potentially (non) hostile with a low incidence of false alarms.

- Discover and learn correlated activities, integrate on two nodes simultaneously, and conduct SeaTrial Demonstration. FY 2009 Plans:

- Rapidly relearn models in response to sudden changes and generate timely alerts, integrate with three nodes simultaneously, and conduct SeaTrial Demonstration.

# (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY :	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Information Integration Systems CCC-02	104.291	101	.305	126.707	96.154	97.568	99.549	99.548

#### (U) <u>Mission Description:</u>

(U) The goals of the Information Integration Systems project are to take diverse data inputs from a variety of sources, efficiently disseminate the information, and perform distributed and dynamic all-source correlation and fusion to produce an integrated, geo-spatially referenced, battlefield database and knowledge-base. Through the use of wideband dissemination and integrated sensor management, the project will also facilitate multi-site, real-time, collaborative situation assessment and course-of-action evaluations to enable true network centric warfare concepts. This project hosts many of DARPA's most innovative communications and networking systems.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Polarized Rotation Modulation (PZRM) Communications	4.127	1.398	1.000

(U) The goal of the Polarized Rotation Modulation (PZRM) Communications program is to develop new extremely high data rate, point-topoint, or point-to-multipoint wireless communications waveform using the PZRM/Orthogonal Signal Spectrum Overlay (OSSO) communications concept to exploit the presently unused polarization and rotation dimensions of radiation. The PZRM communications program will investigate the use of polarization, including OSSO, modulation and the ability for conventional radios to carry all information over the transmitted signal amplitude, phase and frequency. Polarization modulation introduces an additional dimension. A radio with four polarization possibilities would transmit four times the information with all other aspects of the waveform held constant. OSSO enables multiple orthogonal signals to overlay one another in the same radio bandwidth thereby increasing spectral efficiency. Use of the antenna as part of the information processing architecture of a radio has not been previously performed. This technology will greatly increase the capacity of existing radio channels without increasing spectrum or modem complexity. The program will be demonstrated as an enhancement to an otherwise state-of-the-art communications system. The PZRM technology will transition to Service applications in FY 2009.

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(U) Program Plans:

FY 2007 Accomplishments:

- Performed simulations to determine bit error rates and the optimum modulation schemes commensurate with the center frequencies and bandwidth permissible.
- Conducted simulations to verify performance predictions and identify component elements.

FY 2008 Plans:

- Conduct over-the-air satellite testing to demonstrate utility over waveforms currently in use.

FY 2009 Plans:

- Complete final demonstrations and transition to the Services.

	FY 2007	FY 2008	FY 2009
Advanced HF Communications	3.300	2.500	0.000

(U) The goal of the Advanced HF Communications program is to investigate techniques to provide always-available, high-rate communications at long ranges for Special Operations Force (SOF) teams using miniaturized equipment. Currently SOF teams rely on satellite communications (Satcom) for long-range connectivity. However, Satcom requires line of site access, and channel availability. The Advanced HF Communications will develop antenna and radio technology to provide high-rate communications at long ranges using ground wave and near vertical incidence skywave (NVIS) propagation. A fundamental challenge is in reducing the size, weight and power (SWaP) requirements for SOF applicability. The technologies developed under this program are planned for transition to the Special Forces.

(U) Program Plans:

FY 2007 Accomplishments:

- Designed miniaturized antennas that allow man-portable radio systems to operate over a wideband at HF and low VHF frequencies to permit substantially enhanced range and data rate with maximum penetration in jungle environments.
- Conducted field tests in a dense pine forest.
- Demonstrated an over-the-horizon point-to-point communication capability that supports real-time video with low-output power over tactically significant ranges (> 1 km).

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FY 2008 Plans:

Perform propagation experiments to determine atmospheric effects on communications using the ground wave electromagnetic propagation modality.

	FY 2007	FY 2008	FY 2009
Next Generation (XG)	3.994	1.600	1.000

(U) The Next Generation (XG) program goals are to develop both the enabling technologies and system concepts to provide dramatic improvements in assured military communications in support of a full range of worldwide deployments through dynamic spectrum access. U.S. Forces face unique spectrum access issues in each country in which they operate due to competing civilian or government users of national spectrum. These constraints must be reflected in all force planning and may preclude operation of critical systems. Coalition and allied operations are even more complex to manage, and may severely limit the U.S. ability to fully exploit its superiority and investment in information technology. The XG program approach is to develop the theoretical underpinnings for dynamic access to the spectrum, the technologies and subsystems that enable dynamic access, and the system prototypes to demonstrate applicability to legacy and future DoD radio frequency emitters. The program is investigating methods to leverage the technology base in microelectronics with new waveform and medium access and control protocol technologies to construct an integrated system. The program goals are to develop, integrate, and evaluate the technology to enable equipment to automatically select spectrum and operating modes to both minimize disruption of existing users, and to ensure operation of U.S. systems. The result of the XG program will be to develop and demonstrate a set of standard dynamic spectrum adaptation technologies for legacy and future emitter systems for joint service utility. The XG communications technology is planned to transition to the Army for implementation in a range of current and future communication systems including the Joint Tactical Radio Systems clusters.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Developed initial set of hardware prototypes and undertook initial field experimentation.
- Developed and evaluated approaches for implementation complexity, on-board processor and memory capability/power, overhead, scalability and performance.
- Developed final set of hardware prototypes to evaluate and demonstrate system capabilities in an operational exercise.
- Demonstrated spectrum agility performance of prototypes in field experiments.

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<ul> <li>Demonstrated spectrum effectiveness and operational charact</li> <li>Initiated transition to several candidate military radios.</li> </ul>	teristics.	

FY 2008 Plans:

- Develop and demonstrate large-scale network organization and adaptation.

- Conduct medium and large-scale military scenario demonstrations.

FY 2009 Plans:

- Continue transition to candidate military radios.

	FY 2007	FY 2008	FY 2009
Advanced Speech Encoding (ASE)	5.383	3.992	3.995

(U) The Advanced Speech Encoding (ASE) program will achieve an order of magnitude reduction of voice communication bit rates in noisy military environments over current state-of-the-art voice encoders (VOCODER). Such a reduction will significantly decrease the probability of detection of transmitted signals and will also decrease the required transmit energy, thereby increasing battery lifetime. The program will pursue two novel approaches toward achieving its goal. One approach builds upon multiple noise-immune sensors that have been combined with traditional coding algorithms to achieve significant improvements in intelligibility and quality in harsh noisy environments at 2,400 bits per second (bps). This approach will be extended to nontraditional ultra-low-bit-rate coding algorithms in order to achieve 300 bps coding capability in harsh military environments. Alternative approaches will also be explored, such as the communication without acoustic information achieved by extracting laryngeal and sublingual muscle signals that are produced when a person generates sub vocal speech. This approach will yield a revolutionary capability in situations where stealth is of the utmost importance, or in situations where acoustic signals cannot be used, such as under water. The ASE technology is planned for transition to the Special Operations Command and the Communications and Electronics Command of the U.S. Army after a prototype demonstration scheduled for FY 2009.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated that a 600 bps coder substantially exceeded the ASE program goal in three harsh noise environments.

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FY 2008 Plans:

- Develop a prototype real-time ultra-low-bit-rate communication system integrating the ASE VOCODER technology and a military radio.
- Develop techniques to capture and enhance sub-vocal signals to enable stealth communication among warfighter teams.
- Explore the nature of sub-vocalic signals (physiological source, speaker dependence, and robustness) and the information content of the signals.

FY 2009 Plans:

- Demonstrate a robust sub-vocalic silent-speech communications system.
- Demonstrate the ultra-low-bit-rate communication system in the field.

	FY 2007	FY 2008	FY 2009
Optical & RF Combined Link Experiment (ORCLE)	16.180	34.142	52.067

(U) The Optical & RF Combined Link Experiment (ORCLE) program seeks to develop combined radio frequency (RF) and free space optical (FSO) communications as well as networking technologies that exploit the benefits of complementary path diversity. This effort using optical and RF communication adjunct techniques will demonstrate improved battlespace communications using a hybrid RF and FSO link in air-to-air-to-ground environments. The central challenge is to enable optical communications bandwidth without giving up RF reliability and "all-weather" performance. ORCLE will develop RF and FSO propagation channel analysis, coding techniques and modeling to include weather, atmospherics and aero-optics to provide the joint force commander assured high-data rate communications. The technical objective is to prototype and flight demonstrate hybrid FSO/RF air-to-air-to-ground links that combine the best attributes of both technologies and simulate hybrid network performance. The ORCLE technology is planned for transition to the Special Operations Forces and the Air Force.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed initial investigation and research of optical pointing, acquisition and tracking.
- Demonstrated ability to transfer large data rates using combined optical and RF links over long distances.

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FY 2008 Plans:

- Plan range and flight demonstrations of air-to-ground-to-ground hybrid FSO/RF links with high availability and gigabit data flows.
- Design and engineer a prototype hybrid FSO/RF high-capacity network system.
- Investigate the optical channel obscuration mitigation using ultra-short pulse lasers and partially coherent beams.
- Construct and field test a brassboard system incorporating the FSO/RF components and dynamic network communication and interface system.
- Plan experiments of air-to-air-to-ground nodes that will operate in direct interface to the Global Information Grid (GIG) and the tactical network gateway.

FY 2009 Plans:

- Perform range and flight demonstrations of air-to-ground-to-ground hybrid FSO/RF links in operational representative environment.
- Demonstrate high availability and gigabit data flow network performance with air-ground-ground using multiple FSO/RF nodes.
- Integrate and test the ORCLE terminals to verify performance and readiness for field experiments and demonstrations.
- Develop, design, and build hardware and software of a prototype system for integration into military air and ground platforms.
- Plan field demonstrations of ORCLE networking that supports multiple airborne platforms, a ground node with direct interface to the GIG, and a ground node with an interface to a tactical gateway supporting IP-addressable nodes.

	FY 2007	FY 2008	FY 2009
Disruption Tolerant Networking (DTN)	8.425	7.205	7.625

(U) The Disruption Tolerant Networking (DTN) program is developing network protocols and interfaces to existing delivery mechanisms ("convergence layers") that provide high reliability information delivery using communications media that are not available at all times, such as low earth satellites, UAV over-flights, orbital mechanics, etc. The program is developing a single model for bundling information and ensuring its delivery, through a series of episodic communications links, from generator to user. Mechanisms and protocols that reduce bandwidth consumption, reduce latency, and improve reliability of information delivered to tactical deployments will be explored. The program is also exploring a new security model which protects information held in portable devices. To maximize the applicability and commercial viability of these protocols, and develop the basic software in an open source mode, the military, commercial and Internet communities have been engaged.

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These protocols will be implemented in a typical military system to verify both the performance of the protocol and to validate the utility. The DTN technology is planned for transition to the Army and Marines in FY 2009.

(U) Program Plans:

FY 2007 Accomplishments:

- Commenced research to show "fuzzy scheduling" can make network routing decisions in the presence of uncertainty about available or optimal paths.
- Developed mechanisms to allow code-base-independent environmentally-aware selection of routing algorithms.
- Demonstrated that information organized into bundles can be delivered across intermittently-connected networks.
- Enabled networks to deliver traffic without the end-to-end address and routing information using deferred, hierarchical address binding techniques.
- Demonstrated trusted delivery of bundles across networks in which access to a public key infrastructure is not reliable.
- Demonstrated distributed in-network cache and indexing services and improved reliability with DTN-over-Internet Protocol (IP) vice end-to-end IP.
- Demonstrated information binding on demand from a network cache.
- Investigated policy cognitive operation by moving intelligence into networks to make the best choices on delivery.
- Completed initial integration of DTN into USMC Command and Control On-the-Move Network Digital Over-the-Horizon Relay (CONDOR) system; incorporated DTN into USMC laptop build.

FY 2008 Plans:

- Integrate distributed in-network caching and indexing services into DTN system.
- Integrate information binding on demand from a network cache into DTN system.
- Demonstrate temporal security architecture.
- Demonstrate policy cognitive operation choosing best delivery options.
- Complete equipment integration into USMC CONDOR systems. Integrate DTN into military tactics, techniques, and procedures.
   FY 2009 Plans:
- Integrate temporal security architecture into DTN.
- Deploy prototype DTN system tactical networks.

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	FY 2007	FY 2008	FY 2009
Conflict Modeling, Planning, and Outcomes Experimentation (COMPOEX)*	11.926	3.229	1.000

\*Formerly Network Centric Operations/Battle Command.

(U) The DoD is transforming to a more network centric focus for military operations. Network centricity, among other benefits, facilitates the sharing of situation information and access to resources. Shared situation awareness enables collaboration and self-synchronization at all operational levels thereby greatly increasing mission effectiveness. Military campaigns in the future will not necessarily be focused solely on major military operations. These campaigns will involve attempts at conflict avoidance, and if this fails, possibly major combat operations with periods of various security, stability, reconstruction, transformation and transition operations. Future campaigns will be characterized by an increased demand for the commander to employ the most appropriate actions (diplomatic, information operations, military, economic, etc.) against the adversary's various political, military (air, land and sea; regular or irregular), economic, social, information distribution, infrastructure, etc. systems. Commanders in the future will use network centricity to access a larger base of knowledge sources and a greater range of resources and actions. Concurrently, the commander will be challenged to exploit these capabilities to achieve a mixture of appropriate effects.

(U) The Conflict Modeling, Planning, and Outcomes Experimentation (COMPOEX) research effort is developing technologies that will enhance the capability of leaders to plan and conduct government campaigns. This includes a comprehensive suite of decision support tools that help leaders with: visualizing and understanding the situation and the complex operational environment they must operate in; constructing and managing plans that enable the commander to synchronize and integrate interdependent effects over a long period of time; employing the best sequence of unified actions to produce the desired effects; and generating and exploring options and courses of action to understand the range of outcomes and appreciate the side effects that may occur.

(U) Technologies developed in the program are planned to transition to the Army Network Enabled Battle Command program and to the U.S. Joint Forces Command with more comprehensive capabilities transitioning incrementally by FY 2009.

#### (U) Program Plans:

FY 2007 Accomplishments:

- Successfully conducted limited objective experiments with Joint Force Command (JFCOM) and other military participants.

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<ul> <li>BA3 Advanced Technology Development</li> <li>Developed and demonstrated technologies for integrating modeling and visualization techniques into action/effects exploration campaign planning with an emphasis on modeling an adversarial coalition's various political, social, economic, information dissemination, service infrastructure, etc. systems as well as its military or insurgent capabilities.</li> <li>FY 2008 Plans:         <ul> <li>Develop and demonstrate technologies to support humans in authoring courses of action, development and campaign plans; decompose objectives, to effects, to nodes, to actions; capture and model interdependencies between assumptions, activities a intended objectives, and between intended and unintended effects; and assist the human in synchronizing objectives and activ FY 2009 Plans:             <ul> <li>Complete final demonstration and transition to the Services.</li> </ul> </li> </ul> </li> </ul>		ration and on is; es and ictivities.	

	FY 2007	FY 2008	FY 2009
Retro-directive Ultra-Fast Acquisition Sensor (RUFAS)*	5.345	3.530	0.000

\*Formerly Ultra-Fast Radar.

**—** 

(U) The Retro-directive Ultra-Fast Acquisition Sensor (RUFAS) effort will design, construct, and demonstrate an X-band noise correlating radar with a retro-directive antenna. This effort will research and develop a new type of radar sensor based on the correlations of the Gaussian noise received by an antenna array from a small object located in the far field of the antennas and the retro-directive re-radiation of the correlated noise. Combining and tailoring noise correlating interferometry and retro-directive antenna arrays into retro-directive noise-correlating (RNC) radar will allow the radar to operate in omni-directional search mode. The result of this project will be a new type of search-mode radar having promising performance in terms of short acquisition time and low probability-of-intercept. The RUFAS technology is planned for transition to the Army and Marines.

# (U) Program Plans:

FY 2007 Accomplishments:

- Modeled, simulated, and demonstrated detection of fluctuating and multiple targets.
- Conducted X-band radar free space test using early prototype bench equipment.
- Developed prototype X-band noise correlating radar with a retro-directive antenna with five times reduction in acquisition time compared to traditional electronically-steered search-mode radar and mechanically scanned radars.

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- Conducted successful field demonstration of scale projectile target.

FY 2008 Plans:

 Design and demonstrate ultra-fast radar using retro-directive antenna arrays that will show a significant reduction in probability-ofintercept compared to traditional search radars based on coherent transmitters.

- Conduct production manufacturability study.
- Conduct cost benefit track study to verify RUFAS design capabilities.
- Develop full-scale prototype radar with the size, weight, and power required for military utility.

	FY 2007	FY 2008	FY 2009
Fiber-Optical Network for Aerospace Platforms	3.525	2.500	5.845

(U) The Fiber-Optical Network for Aerospace Platforms program will facilitate building or upgrading military aircraft and other aerospace platforms with a fiber-optical networking infrastructure. This will have many capabilities that are well beyond those of currently used copperbased technology. Originally, the program focused on specific technologies for application on the Navy's EA-6B Prowler aircraft, however, the program has been broadened to focus on technologies that will provide advanced capabilities to a multitude of military aircraft, shipboard and aerospace platforms. These new capabilities include: scalability in bandwidth and number of connected devices; immunity to electromagnetic interference (EMI) and cable cross-talk; reduced cable and overall system weight and volume; increased reliability without an associated weight or volume penalty; ease of integration and future upgradeability; and the ability to carry mixed analog and digital signal formats. This will be accomplished by taking full advantage of fiber-optical wavelength-division-multiplexing (WDM) technology and leveraging optoelectronic and photonic integration techniques developed in DARPA photonics components program. To reduce size, weight and power requirements and to increase the reliability and the flexibility of interconnecting arbitrarily placed client devices with various signal formats, use will be made of passive, transparent, wavelength-routing technology at the core of the network, and tunable optical transmitters and receivers (transceivers) to inter-connect the client devices at the edge of the network. The technologies developed under this program are planned for transition to the Services in FY 2010.

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(U) Program Plans:

FY 2007 Accomplishments:

- Developed unclassified networking requirements for various tactical and wide body military aircraft for enabling open discussions in the international standards bodies.

FY 2008 Plans:

- Develop the architecture of the avionics optical network that satisfies the aforementioned requirements.
- Develop the following key optoelectronic components: tunable digital and analog transmitters, multi-channel digital and analog receivers, tunable digital and analog receivers, and passive wavelength routing components.
- Demonstrate the ability to integrate into a single component the appropriate combination of optoelectronic devices to reduce system size, weight and power.

FY 2009 Plans:

- Validate the architecture of the fiber-optic avionics network and conduct an analysis to estimate the resulting network reliability and survivability under various failure scenarios.
- Continue development of the key optoelectronic components.
- Test the ability to interconnect digital and analog client equipment with the developed optoelectronic components.

	FY 2007	FY 2008	FY 2009
Next Generation Routing and Addressing	3.557	3.750	4.550

(U) The Next Generation Routing and Addressing program seeks to develop networks that use topographically distributed addresses (e.g., geographically or by organizational unit). Current network routing methodologies use internet protocol (IP) address numbers that are distributed in no defined pattern or methodology. As a result, current routing systems spend large amounts of time and computing power updating and maintaining tables that "point" to where different IP addresses are located geographically. The development of new network addressing schemes will reduce the load on routers as well as greatly simplify router configuration. These networks will be a paradigm shift such that numbered IP addresses will no longer exist, and changes to the Domain Naming Server (DNS) system will allow for services to mobile users. This program is planned for transition to the Services in FY 2012.

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(U) Program Plans:

FY 2007 Accomplishments:

- Conducted market survey of existing router techniques and current research efforts.
- Conducted research on Routing Protocols and Management (RPM) for high capacity networks.
- Developed concept ideas for novel methods to allow multi-path route discovery, improved network routing efficiency, and improved authentication/attribution.

FY 2008 Plans:

- Develop machine naming schema for data packets that are geographically based and that allow for fine grained control of precedence and improved quality of service capabilities.
- Develop tactical router replacements that work with existing computers/routers and require no new configuration and enable selfforming networks that will result in at least an order-of-magnitude reduction in training, configuration, and installation time.
   FY 2009 Plans:
- Develop changes to DNS functions to accommodate the forwarding services to mobile users.
- Conduct demonstrations in operationally relevant environments.

	FY 2007	FY 2008	FY 2009
Scalable MMW Architectures for Reconfigurable Transceivers (SMART)	7.534	8.200	8.540

(U) The Scalable MMW Architecture for Reconfigurable Transceivers (SMART) program will develop an integrated, surface-emitting panel architecture for millimeter wave (MMW) transceiver arrays. The program will culminate in an objective demonstration of a large (at least 400 element), coherent, active electronically steerable array (AESA) achieving an output power density of 5W/cm<sup>2</sup> and a total layer thickness of less than 1cm. Taken together, these values would represent a vastly greater "functional density" (e.g., power density, expressed in W/cm<sup>3</sup>) than achievable with current MMW architectures, such as slats or bricks, without compromising performance in other areas (e.g., receiver noise figure). The 3-Dimensional (3-D) multi-layer modules that will be developed during the SMART program will greatly reduce AESA packaging complexity. Such compact, heterogeneously integrated, batch-fabricated, radio-frequency (RF) sub-array "building blocks" will be combinable to form arbitrarily large arrays. New capabilities, such as the ability to construct reconfigurable and/or multi-band AESAs and other MMW circuits,

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will be enabled by this architectural approach. This program will transition through industrial producers of MMW radar systems for DoD applications.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated multilayer wafer scale assembly and interconnect processes.
- Demonstrated beamforming-on-a-chip.
- Demonstrated 96GHz power amplifier at world-record 19.6 dBm.
- Four-layer thermal test coupon demonstrated with micro heat sink demonstrated 31 degrees centigrade per watt thermal resistance.

FY 2008 Plans:

- Achieve an integrated, sixteen element (4x4) transmit (only) millimeter-wave AESA with output power greater than 5W/cm<sup>2</sup> and thickness less than 10mm.
- Demonstrate in an anechoic chamber the ability to direct the beam.
- Initiate development of prototype receiver components.

FY 2009 Plans:

- Incorporate receive capability into the AESA while maintaining the thin dimension.
- Demonstrate high isolation between transmit and receive functions.
- Conduct evaluations and demonstrations of prototype components.
- Initiate development of integrated prototype receiver using digital and analog logic technologies.
- Initiate development of design automation algorithms and tools.

	FY 2007	FY 2008	FY 2009
DARPA Interference Multiple Access (DIMA) Communications	7.104	6.398	5.399

(U) The DARPA Interference Multiple Access (DIMA) Communications program will develop a networked radio system that supports voice and data. The goal of this program is a network that is dynamically controllable using techniques such as reconfiguration, optimum resource allocations based on mission priorities, and dynamic policies, as opposed to relatively passive reactions to changes by the commercial

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infrastructure. This program will initially develop direct sequence spread spectrum (DSSS) communications technologies as a building block to enable robust, mobile, tactical wireless networks, which are the foundation for network centric warfare concepts. The fundamental technical challenges are scalability, multi-user detection processing, covertness, robustness and platform size, weight and power requirements. The DIMA Communications program will develop and demonstrate a system based on multi-user detection concepts that can take advantage of overloaded channels while operating in an environment absent of infrastructure (ad-hoc networked.) The technologies developed under this program are planned for transition to the Army and SOCOM in FY 2010.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed development of DIMA testbed.
- Researched and developed computational algorithms for the Multi-User Dimension (MUD) and Parameter Estimation (PE).
- Commenced design of a test package to support development and demonstration of the system.
- Initiated development of a suitable Media Access Control (MAC) for a MUD-based system.
- Initiated the system design to support a two Field-Programmable Gate Array (FPGA).

FY 2008 Plans:

- Complete development of multi-user PE.
- Complete development of DIMA Infrastructure Free Waveform/MAC.
- Demonstrate real-time DIMA on a COTS platform.

FY 2009 Plans:

- Reduce complexity of DIMA system.
- Develop test and demonstrate real-time DIMA on a handheld platform
- Begin transition of DIMA program to Army and SOCOM.

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		FY 2007	FY 2008	FY 2009
Tactical Combined Fiber-Optical & Free-Space Edge Network		3.024	3.000	4.250

(U) Based on technologies developed under the Next Generation Optical Networks program (budgeted in PE 0602303E, Project IT-03), the Tactical Combined Fiber-Optical and Free-Space Edge Network effort will make it possible for the U.S. military to create a rapidly deployable, self-healing, tactical wavelength-division-multiplexed (WDM) fiber-optical network, combined with free-space optical and directed radio frequency (RF) networks, that can provide substantial communications capability to command centers deployed in somewhat mature areas of hostility. Key capabilities that will be enabled by this program include: (1) the elimination of power needs in the core of the network through the design and fabrication of passive wavelength-routing nodes that will allow the switching functions to be done via tunable optical transmitters and receivers (transceivers) at the edge of the network; (2) enhanced network survivability through a suitable highly connected network topology leveraging a fast-restoration protocol capable of rapid recovery from multiple network node and link failures; and (3) extended geographical coverage of the network to hundreds of kilometers, without requiring additional power at the core. In addition, protocols will be developed to enable the connection of this network to tactical wireless networks as well as to existing fixed networks allowing the efficient transmission of a combination of internet protocol (IP), digital video streams as well as analog and digital radar, electronic warfare (EW) and RF signals. The program will also include the development of techniques to realize ruggedized network nodes and interconnecting fiber cables, which are strung along the ground, buried in the ground and/or in riverbeds or other waterways. This program is expected to transition to the Army and Marines in FY 2011.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed a feasibility study for using a tactical fiber-optic network as an infrastructure to enable reliable wireless communications to warfighters deployed deeply in areas of hostilities.

FY 2008 Plans:

- Evaluate the processing needs and practical limitations of the network's wireless communications capability.

- Create a suitable architecture for a passive, WDM fiber-optical network with high connectivity for increased reliability.

FY 2009 Plans:

Develop prototype wireless base stations and associated processing equipment to enable the network's wireless communications capability.

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RDT&E, Defense-wide	Command, Control and Communications Syste	
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- Demonstrate the ability to interconnect client devices with a wide range of analog and digital signal formats and protocols.

- Devise appropriate protocols to enable the integration of the network with existing networks and tactical wireless networks.

- Build and test a network testbed that is representative of tactical networks and environments.

	FY 2007	FY 2008	FY 2009
Wireless Network after Next (WNaN)	8.000	16.861	23.486

(U) The Wireless Network after Next (WNaN) program goal is to develop and demonstrate technologies and system concepts enabling densely deployed networks in which distributed and adaptive network operations compensate for limitations of the physical layer of the low-cost wireless nodes that comprise these networks. WNaN networks will manage node configurations and the topology of the network to reduce the demands on the physical and link layers of the nodes. The technology created by the WNaN network effort will provide reliable and highly available battlefield communications at low system cost.

(U) The WNaN program will develop a low-cost handheld wireless node that can be used to form high-density ad hoc networks and gateways to the Global Information Grid. This program will also develop robust networking architecture(s) and network technologies/processes that will exploit high-density node configurations. This program will culminate in a large-scale network demonstration using the multi-channel nodes. WNaN technology is planned for transition to the Army in 2011.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed architectural, functional, and electrical designs of the multi-channel WNaN radio that utilize high-volume, low-cost commercial-off-the-shelf (COTS) RF circuits narrowband tuning filters.
- Initiated the development of WNaN advanced networking technology.

FY 2008 Plans:

- Design, build, test, and demonstrate handheld/body wearable multi-channel WNaN radio that utilizes high-volume, low-cost COTS RF circuits narrowband tuning filters and dual-core digital signal process (DSP) baseband processing.
- Develop, integrate and test low risk and enhanced network technologies that exploit diverse paths and frequencies to support network scalability and network formation of tens of thousands of operational nodes.

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BA3 Advanced Technology Development	PE 0603760E, Project CCC-02			

FY 2009 Plans:

- Continue development, integration and testing of network technologies that exploit diverse paths and frequencies to support network scalability and network formation of tens of thousands of operational nodes.
- Demonstrate a communication system where the network layer can mitigate shortfalls in the physical layer.
- Commence demonstration of large-scale operation of 500 to 1000 nodes integrated into a highly adaptive, dynamic, self-forming, self-healing WNaN military network.
- Develop and test 100 advanced prototype WNaN radios in final production form factor.

	FY 2007	FY 2008	FY 2009
Networked Bionic Sensors for Language/Speaker Detection	0.000	1.500	2.950

(U) The Networked Bionic Sensors for Language/Speaker Detection program will develop and demonstrate low-power micro-sensor devices and networks for language/speech detection and recognition processing to detect voice activity, including speaker ID recognition in villages known to be insurgent recruitment "hot-spots". The system will use ultra-low power signal conditioning/processing front-end processors with language/speaker recognition algorithms for distributed sensor network applications in the battlespace. Networked bionic sensors will be able to make detections within meters from the target providing high Signal to Noise Ratio ((SNR) of >10 dB) with sufficient recognition performance in an urban (non-telephonic) environment. This program will provide the ability to discretely monitor buildings, human presence detection/tracking in other sensitive areas, enable force protection, and provide Battle Damage Information. Intelligence, Surveillance, and Reconnaissance (ISR) capabilities can be enhanced with this technology by covertly detecting and tracking high-value targets with hand emplaced or air deployed sensor networks. The technology developed is planned for transition to the Marines in 2010.

(U) Program Plans:

FY 2008 Plans:

- Develop system architecture to exploit low-power micro-sensor devices and networks.
- Develop speech recognition algorithms.

FY 2009 Plans:

- Laboratory performance testing.

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		FY 2007	FY 2008	FY 2009

(U) The Mobile Networked Multiple-Input/Multiple-Output (MIMO) (MNM) project will pursue MIMO communication systems, which have the potential to increase data rates by 10-20 times above current systems. MIMO will use a multipath to create parallel channels in the same frequency band thereby increasing spectral efficiency. This effort will demonstrate the MNM capability under dynamic urban Non-Line-of-Sight multipath channel conditions where conventional techniques are degraded. Final efforts will culminate in the development of a wideband form-factor (Joint Tactical Radio System (JTRS) cluster 1 size PC card) system. MNM was previously funded in PE 0603764E, Project LNW-03. The MNM technology is planned for transition to the Army in FY 2010.

1.000

1.500

3.000

(U) Program Plans:

FY 2007 Accomplishments:

- Researched and designed variable bandwidth MIMO radio that can be reconfigured on a per-packet basis.

- Delivered and demonstrated first generation MNM node including mobility up to 70 mph.

FY 2008 Plans:

- Carry out field trials collecting data in diverse environments including urban, residential, littoral, and rural.

- Demonstrate multi-node MIMO operation.

Mobile Networked Multiple-Input/Multiple-Output (MIMO) (MNM)

- Demonstrate MAC-PHY interface that can be configured on a per-packet basis.
- Demonstrate wideband interference mitigation using various techniques.
- FY 2009 Plans:
- Test an 8 node MNM radio network with baseline capability against the performance of a traditional single channel radio.
- Demonstrate energy aware link adaptation.

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	F	<u>č</u> 2007	FY 2008	FY 2009

0.000

0.000

2.000

(U) Tactical implementation of Virtual Private Network (VPN) requires operators to log into gateways in the Continental U.S. to connect to each other. Operators do not like this because it can reveal whom and where operators are located. This program will define VPN encryption requirements, limitations of field computing devices (FCDs), and employ recent breakthroughs in ad-hoc networking to enable tactical VPN connectivity. Operational requirements include the need for client-to-client VPN connectivity on FCDs with ad-hoc, peer-to-peer connectivity. Technical approaches to be explored include advanced ad-hoc-networking protocols coupled with small footprint VPN encryption standards. Potential technologies to be investigated include ad-hoc networking and peer-to-peer protocols and advanced encryption technologies to meet VPN standards. The system will enable covert operators to exchange mission-critical information while maintaining covertness in the field. This technology will transition to SOCOM in FY 2010.

(U) Program Plans:

FY 2009 Plans:

VPN for ADHOC Networks

- Design VPN client-side software.
- Lab test the VPN software against measured data and security requirements.
- Harden code for field evaluation.

	FY 2007	FY 2008	FY 2009
Symbiotic Communications (SYCO)	3.337	0.000	0.000

(U) The Symbiotic Communications (SYCO) program developed an airborne passive radar system to enable precision targeting and battlefield situational awareness. SYCO generated high-resolution Synthetic Aperture Radar (SAR) imagery. This system operated passively and is effective in clear and adverse weather. SYCO has demonstrated a proof-of-concept through ground-based and airborne flight tests. Additionally, a design for a real-time prototype, as well as automated algorithms to enable real-time processing have been developed and ground tested. The SYCO airborne test-bed was modified for autonomous on-board real-time processing and image exploitation and flight-tested during FY 2007. To

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complete this project, the test bed will be upgraded and flight tested in early FY 2008 with a conformal antenna, to demonstrate form/fit/function compatibility for transition. The SYCO technology is planned for transition for Service applications.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Demonstrated real time on board processing and exploitation of SYCO imagery.
  - Integrated conformal antenna and demonstrated performance.

	FY 2007	FY 2008	FY 2009
Connectionless Networking (CN)	5.580	0.000	0.000

(U) The Connectionless Networking (CN) program developed technology to allow networks (such as unattended ground sensors (UGS)) to send and receive messages without initial link acquisition or previous sharing of routing information. This improved energy usage per bit of delivered information by as much as 100 to 1,000 times compared to conventional and near-term deployable communications systems and allowed data to be collected more efficiently from high value, but energy limited sensors. Conventional radio link and network designs expend most of the energy on link establishment and maintenance, as well as packet and network overhead. This energy requirement not only limits the lifetime of energy-limited systems, it unnecessarily fills the radio spectrum, limiting available bandwidth, creates unnecessary risks of detection, and increases thermal loads. These impacts are particularly severe for communications with proliferated sensors, or remotely operated weapons. Eliminating the requirement to maintain a continuous network link enabled these platforms to provide continuous connectivity without (processing and memory intensive) routing, and availability of situational information to demonstrate a total energy savings of at least 100 times typical connection oriented network applications. The CN technology is planning transition to the Special Operations Command, Army, Navy, and Marines for unattended ground sensors and low duty cycle applications.

(U) Program Plans:

FY 2007 Accomplishments:

- Fabricated interim radio frequency and digital boards for software development.
- Executed software and protocol development to incorporate highly adaptive operating modes.

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- Completed final board design and layout.
- Investigated transition opportunities to the USMC Tactical Remote Sensor System program.
- Finalized transition approach with Special Operations Command, Army, Navy and Air Force.
- Completed system integration and demonstrate energy efficient sensor networking in field experiments.
- Completed software and protocol development.

	FY 2007	FY 2008	FY 2009
SATCOM CX	2.950	0.000	0.000

(U) The SATCOM CX program developed a proof of concept system that enabled multiple users' access to 100 kilobits per second (kbps) SATCOM channels using the existing C-band satellite architecture. This new capability became possible, in part, by moving away from the existing paradigm regarding usage of these satellites. This SATCOM CX paradigm envisions satellites as merely a node or relay for a single user. In communications terminology, the satellite is part of a single-input/single-output (SISO) channel. Instead, this program considered multiple satellites simultaneously. Using this approach, a multitude of co-channel users send signals that illuminate a multitude of satellites. Powerful processing algorithms then isolate the individual communication links. Using the constellation in this manner provides signal gain and interference rejection.

(U) The increased complexity of the SATCOM CX communication link demands dynamic and adaptive network protocols to ensure optimal performance is achieved. The technologies developed under this program will transition to the Services' expeditionary forces.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated concept feasibility by using the space segment (C-band transponder) and transmission channel to provide sufficient stability to support the phase locked loop (PLL) operation required by the SATCOM CX forward link algorithms to maintain expected gains.
- Demonstrated a significant gain in the signal-to-noise-ratio (SNR) that can be achieved by coherently combining two C-band channels using the SATCOM-CX algorithm.
- Demonstrated full duplex real-time SATCOM CX operation using non-form factor demonstration hardware.

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- Developed production and operational cost reduction roadmap and transition plan.

- (U) Other Program Funding Summary Cost:
- Not Applicable.

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>				DATE	DATE February 2008		
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Developme	APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENCLARDT&E, Defense-wideLand Warfare TechBA3 Advanced Technology DevelopmentPE 0603764E			OMENCLATUI are Technolo 0603764E	RE Ogy		
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	36.658	19.642	0.000	0.000	0.000	0.000	0.000
Rapid Strike Force Technology LNW-01	17.304	19.642	0.000	0.000	0.000	0.000	0.000
Future Combat Systems LNW-03	19.354	0.000	0.000	0.000	0.000	0.000	0.000

#### (U) <u>Mission Description:</u>

(U) The Land Warfare Technology program element is budgeted in the Advanced Technology Development Budget Activity because it is developing and demonstrating the concepts and technologies that will address the mission requirements of the 21st Century land warrior. This program will complete with FY 2008 funding and on-going efforts will continue in other program elements that fund technologies to support urban area operations.

(U) The emerging U.S. vision of future land warfare places strong emphasis on technology supporting early entry of light, efficient land forces, particularly in urban areas where both combatants and civilians are present. The Rapid Strike Force Technology project developed technologies that serve as force multipliers, enabling safe and effective operations in hostile environments.

(U) The U.S Army's Future Combat Systems (FCS) is a System of Systems (SoS), which will provide capabilities that strike an optimum balance between critical performance factors (e.g., operational and tactical mobility, lethality, survivability, and sustainability) and strategic responsiveness. The FCS program embraces an evolutionary acquisition, spiral development process. The Joint DARPA/Army activity supported the FCS spiral process through the development of critical technology improvements for FCS platform variants and the Network.

	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>			DATE	February 2	008
	APPRO R BA3 Adva	PRIATION/BUDGET ACTIVITY DT&E, Defense-wide nced Technology Development	R-1 ITEM NOMENCLATURE Land Warfare Technology PE 0603764E			
(U)	Program Change	e Summary: (In Millions)	FY	<u>2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
	Previous Presider	it's Budget	44	.805	24.711	32.612
	Current Budget		36	.658	19.642	0.000
	Total Adjustment	S	-8	.147	-5.069	-32.612
	Congressional pro	ogram reductions	-7	.000	-5.069	
	Congressional inc	creases	0	.000		
	Reprogrammings		0	.000		
	SBIR/STTR trans	fer	-1	.147		
(U)	<u>Change Summar</u>	y Explanation:				
	FY 2007	Decrease reflects the SBIR/STTR transf	er and the Section 8043 rescission.			
	FY 2008	Decrease reflects a PE execution adjustr Section 8104 Economic Assumptions.	nent and reductions for Section 8097 Con	ntracto	r Efficiencies	and
	FY 2009	Decrease reflects re-prioritization, comp Strike Force Technology, transfer of the Elements, and completion of the Future	eletion of several Urban Warfare efforts in balance of the urban warfare efforts to o Combat Systems project.	n Proje ther, m	ect LNW-01, nore suitable	Rapid Program

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development			R-1 ITEM NOMENCLATURE Land Warfare Technology PE 0603764E, Project LNW-01			re ogy W-01		
COST (In Millions)	FY 2007	FY 2	FY 2008 FY 2009 FY 2010 FY 20			FY 2011	FY 2012	FY 2013
Rapid Strike Force Technology LNW-01	17.304	17.304 19.642 0.000 0.000 0.000 0.000						

#### (U) <u>Mission Description:</u>

(U) The emerging U.S. vision of future land warfare places strong emphasis on technology supporting early entry of light, efficient land forces, particularly in urban areas where both combatants and civilians are present. This project is developing technologies that serve as force multipliers, enabling safe and effective operations in hostile environments. This project stems from the need to support the development of effective and adaptive weaponry, both lethal and non-lethal, for a variety of target suppression effects. Other technologies to be explored will include teleoperated systems, novel targeting and firing techniques, and advanced situational awareness and response systems. This project will complete with FY 2008 funding and on-going efforts will continue in other Program Elements that fund technologies to support urban area operations.

#### (U) <u>Program Accomplishments/Planned Programs:</u>

	FY 2007	FY 2008	FY 2009
Multi-Modal Missile	3.400	7.000	0.000

(U) The Multi-Modal Missile program will explore the development of an integrated, networked man-portable weapon system capable of performing surface-to-surface, and surface-to-air missions with an emphasis on extreme precision. The program will focus on delivering precision targeting accuracy in both direct and indirect fire modes against multiple targets, and beyond line-of-sight functionality including: armored and soft ground vehicles, bunkers, personnel and helicopters, and UAVs. The Multi-Modal Missile is being developed to replace both the Javelin and TOW missiles with a single missile and be compatible with existing Javelin and TOW launch infrastructures. The objective capability will integrate a variety of existing weapons systems functions and provide both mounted and dismounted soldiers with an affordable compact system. Critical characteristics of this weapon system concept include lightweight, simple operation, and affordable. Technologies under consideration will include advanced imaging seekers precision terminal guidance, propulsion, power storage, vertical launch with lock-on-after-launch

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APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENO	CLATURE
RDT&E, Defense-wide	Land Warfare Te	chnology
BA3 Advanced Technology Development	PE 0603764E, Proje	ect LNW-01

capability, and novel warhead concepts to support a wide range of engagement geometries with desired lethality effects against a range of targets. Beginning in FY 2009, this program will be funded in PE 0603286E, Project AIR-01.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Performed initial system design analyses and trade off studies.

FY 2008 Plans:

- Initiate critical technology, maturation efforts for seeker, propulsion, guidance and warhead.

	FY 2007	FY 2008	FY 2009
Non-Lethal Alternatives for Urban Operations	2.200	2.268	0.000

(U) The Non-Lethal Alternatives for Urban Operations effort is exploring system concepts and enabling technologies for non-lethal weapons in challenging urban and semi-urban environments. This effort will assess effects, targeting systems, delivery systems, and countermeasures, and will develop integrated less-lethal system options for application to urban warfighting. Effects being investigated include less-lethal projectiles, malodorants, entanglers, and marking agents. The effort is considering direct and indirect fire systems to counter personnel and to provide area effects against vehicles, crowds and groups of combatants. Operating scenarios being explored include force protection for fixed sites, force protection for mobile forces, situational control (including traction control), individual soldier weapons, border protection, and protection of extended infrastructure. The effort will pay particular attention to technologies that support application on autonomous and teleoperated unmanned ground robotic vehicles in urban environments at a sustained operational tempo. Transition organizations will be the United States Air Force and the National Reconnaissance Office, Special Operations Command, the Army Corp of Engineers' Engineering Research and Development Center, and others may be identified as efforts and systems are developed.

(U) Program Plans:

FY 2007 Accomplishments:

- Performed initial concept development and effects assessments.
- Developed initial urban less-than-lethal system designs.

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RDT&E, Defense-wide	Land Warfare Technology			
BA3 Advanced Technology Development	PE 0603764E, Project LNW-01			

- Developed initial reversible chemical formulations for significant traction reduction on rough surfaces.

- Identified and modeled means for asymmetric mobility.

FY 2008 Plans:

- Conduct less-than-lethal technology maturation efforts to address and reduce system risk.
- Research and develop prototype chemical system that reversibly denies adversary mobility (people and vehicles) by modifying ground traction, with simultaneous retention of friendly force mobility.
- Refine mobility control formulations and develop delivery systems.

	FY 2007	FY 2008	FY 2009
Tactical Urban Operations (TURBO)	0.425	0.000	0.000

(U) The Tactical Urban Operations (TURBO) program sought to provide dismounts with integrated information from low-level airborne assets, such as the Micro Air Vehicle (MAV) or the Organic Air Vehicle (OAV), local intelligence sources, and responsive and improved fires/effects capable of acting on this information. Technologies explored included: aggregation of information from multiple MAVs and OAVs with other sources into an easy-to-use interface; improved techniques for detecting dismounted targets and distinguishing friend from foe; and improved methods for displaying information to dismounts and allowing them to direct operations without impeding their mission.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Identified system architecture and constraints based on MAV Advanced Concept Technology Demonstration experience.

	FY 2007	FY 2008	FY 2009
PEO-Soldier/Exoskeleton Transition	6.000	0.000	0.000

(U) The PEO-Soldier Exoskeleton Transition program employed novel mechanisms, information systems, and power management hardware and software to ultimately produce a wearable machine that will serve as an intuitively operated load carriage system for individuals. The goal of

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BA3 Advanced Technology Development	PE 0603764E, Proje	ect LNW-01

the program was to enable an individual soldier to lift and carry 150 pounds while feeling only a small part of the load, work for long periods of time, and to travel in difficult conditions. This ability for a single soldier to carry heavy loads could be leveraged in applications ranging from moving boxes of ammunition or supplies to enabling the carriage of significantly greater body armor than is presently possible. This program transitioned to the Army.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed the enabling components and improved the overall system performance of the exoskeleton device against threshold requirements.
- Transitioned program to Army for continued development and solider evaluations.

	FY 2007	FY 2008	FY 2009
Concealed Weapons Detection	2.330	3.500	0.000

(U) The Concealed Weapons Detection program will explore various phenomenologies for concealed weapons detection. Imaging based approaches will be developed utilizing an integrated silicon-based antenna array receiver device to produce whole radar arrays on a single die. Advanced front-end lens/reflector subsystems composed of lightweight, low cost materials must be developed in conjunction with highly sensitive receiver subsystems to extend the stand-off range. Alternative sensor approaches are also being explored to provide a multi-mode, multi-sensor solution targeted at improved discrimination. These approaches will incorporate X-ray, THz, and millimeter wave radar to provide multispectral tomographic capability. Specific dielectric properties at various electromagnetic frequencies will also provide measurable fingerprints for material classification. High-performance, real-time image processing algorithms must be executed in real-time and require the development of a lightweight, low-power processor. This novel concealed weapons detection system could result in a significant reduction in military and civilian casualties. The concepts and technology will continue in PE 0603767E, Project SEN-01.

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated dielectric spectroscopy techniques in very near field applications.
- Developed sensor fusion algorithms for registering disparate sensor outputs and integrating their results.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	DATE February 2008	
APPROPRIATION/BUDGET ACTIVITY	<b>R-1 ITEM NOMENO</b>	CLATURE
RDT&E, Defense-wide	Land Warfare Teo	chnology
BA3 Advanced Technology Development	PE 0603764E, Proje	ect LNW-01

FY 2008 Plans:

- Conduct conceptual verification to determine qualitative performance achievable of stand-off imaging detection.
- Develop candidate conceptual designs meeting objective system performance.

	FY 2007	FY 2008	FY 2009
Asymmetric Materials for the Urban Battlespace	2.149	4.874	0.000

(U) The Asymmetric Materials for the Urban Battlespace program will investigate a novel class of materials that, either by themselves or as part of a system, provide asymmetric capabilities in visible signatures, ballistic/fragment/blast protection, and personnel transport. Friendly forces will be able to see through it and shoot through it, but hostile forces will not. Asymmetric, or "one-way," materials will support basic unit operations such as raids, cordon and search activities, snap checkpoints, and fire fights. Significant technical obstacles include the design and fabrication of composite or meta-materials with true one-way capabilities, including the ability to "self-heal" if necessary. The materials must be lightweight, respond instantly, and be easy to deploy and retract in confined spaces. Potential transition partners include SOCOM, Army, and Marines.

(U) Program Plans:

FY 2007 Accomplishments:

- Explored material architectures appropriate to the design concept.
- FY 2008 Plans:
- Develop and integrate material components and architectures for laboratory testing.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	SHEET (R-2 Exhibit)	DATE February 2	2008	
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	FY 2007	FY 2008	FY 2009
Deep Speak	0.800	2.000	0.000

(U) The Deep Speak program is developing new networking, coding, and waveform techniques that enable communications signals to penetrate the surrounding buildings and underground facilities. This will maintain the warfighters' links to each other and the global network, magnifying our striking power.

(U) Predictive networking techniques that use current position and velocity information to predict future network topologies will reduce the number of broken links by 98%. By breaking the communications waveform into multiple layers, each encoded at a different quality and energy per bit of information  $(E_b/N_0)$ , it is possible to reduce the sensitivity of the communications system to the unpredictable shadowing and fading that occurs in urban environments. For voice transmissions multi-layer waveforms will reduce the transmit energy required by 5 decibel (dB), and for video by 7 dB while still ensuring that the transmission is comprehensible. Finally, synthetic speech encoding techniques will vastly reduce the data rate required for transmitting speech, and thus has the potential to increase the signal level at the receiver tenfold. The program is planned to transition to the Army in FY 2009.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed multi-layer waveforms and demonstrated (through simulation) that they are much more efficient than conventional waveforms for video transmission and at 5 dB more efficient for speech transmission.
- Developed a phoneme based synthetic speech encoder/decoder and demonstrated that cooperative tasks can be accomplished using the synthetic speech encoder/decoder.

FY 2008 Plans:

- Develop predictive network techniques and demonstrate (through simulation) a significant reduction in the number of broken links in an urban networking environment.
- Demonstrate predictive networking, multi-layer waveforms and synthetic speech encoding technologies in typical urban environments.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	DATE February 2008	
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENO	CLATURE
RDT&E, Defense-wide	Land Warfare Te	chnology
BA3 Advanced Technology Development	PE 0603764E, Proje	ect LNW-01

# (U) Other Program Funding Summary Cost:

• Not Applicable.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>				DATI	DATE February 2008			
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Developmen	nt	<b>R-1 ITEM NOMENCLATURE</b> Classified DARPA Programs PE 0603765E, Project CLP-01						
COST (In Millions)	FY 2007	007 FY 2008		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	147.159	18	86.992	196.697	162.551	178.319	188.225	188.223
Classified DARPA Programs CLP-01	147.159	18	86.992	196.697	162.551	178.319	188.225	188.223

# (U) <u>Mission Description:</u>

(U) This program element funds Classified DARPA programs. Details of this submission are classified.

#### (U) **Program Accomplishments/Planned Programs:**

	FY 2007	FY 2008	FY 2009
Classified DARPA Programs	147.159	186.992	196.697

(U) Program Plans:

FY 2007 Accomplishments:

- Details will be provided under separate cover.
- FY 2008 Plans:
- Details will be provided under separate cover.
- FY 2009 Plans:
- Details will be provided under separate cover.

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	RDT&E BUD	GET ITEM JUSTIFICATION S	SHEET (R-2 Exhibit)	DATE	February 2	2008		
	APPROPRIA RDT& BA3 Advanced	TION/BUDGET ACTIVITY &E, Defense-wide l Technology Development	R-1 ITEM NOMENCLATURE Classified DARPA Programs PE 0603765E, Project CLP-01					
(U)	<u>Program Change Su</u>	mmary: (In Millions)	<u>FY 20</u>	<u>007</u>	FY 2008	<u>FY 2009</u>		
	Previous President's	Budget	151.	025	188.188	210.801		
	Current Budget		147.	159	186.992	196.697		
	Total Adjustments		-3.5	866	-1.196	-14.104		
	Congressional progra	m reductions	0.0	000				
	Congressional increas	Ses	0.0	000				
	Reprogrammings		0.0	000				
	SBIR/STTR transfer		-3.0	866				
(U)	Change Summary E	xplanation:						
	FY 2007	Decrease reflects the SBIR/STTR transfe	er.					
	FY 2008	Decrease reflects Section 8097 Contract	or Efficiencies and Section 8104 Econom	ic As	sumptions.			
	FY 2009	Justification for the decrease is contained	d in the classified submission.					
(U)	Other Program Fun	ding Summary Cost:						
(U)	Not Applicable.							

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>				T (R-2 Ex	hibit)	D	DATE	February 2	008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development			R-1 ITEM NOMENCLATURE Network-Centric Warfare Technology PE 0603766E						
COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 20	)11	FY 2012	FY 2013
Total Program Element (PE) Cost	137.063	15	0.677	156.733	220.952	206.5	504	206.362	210.068
Joint Warfare Systems NET-01	46.792	7	5.093	69.133	54.954	56.1	24	58.105	61.076
Maritime Systems NET-02	25.853	2	5.706	30.471	61.083	63.2	238	61.511	62.247
Classified NET-CLS	64.418	4	9.878	57.129	104.915	87.1	42	86.746	86.745

### (U) <u>Mission Description:</u>

(U) The Network-Centric Warfare Technology program element is budgeted in the Advanced Technology Development budget activity because it addresses high payoff opportunities to develop and rapidly mature advanced technologies and systems required for today's network-centric warfare concepts. It is imperative for the future of the U.S. forces to operate flawlessly with each other, regardless of which services and systems are involved in any particular mission. The overarching goal of this program element is to enable technologies at all levels, regardless of service component, to operate as one system.

(U) The objective of the Joint Warfare Systems project is to create enabling technologies for seamless joint operations, from strategic planning to tactical and urban operations. Joint Warfare Systems leverage current and emerging network, robotic, and information technology and provide next generation U.S. forces with greatly expanded capability, lethality, and rapid responsiveness. Critical issues facing this project are: (1) U.S. opponents utilizing systems that are flexible, robust, and difficult to neutralize; and (2) U.S. doctrine that limits the use of firepower to lessen the impact of operations on noncombatants. These problems are magnified in urban and semi-urban areas where combatants and civilians are often collocated, and in peacekeeping operations where combatants and civilians are often indistinguishable. Meeting these challenges places a heavy burden on joint war planning. Understanding opponent networks is essential so that creative options can be developed to counter their strategies. Synchronization of air and ground operations to apply force only where needed and with specific effects is required.

(U) The Maritime Systems project will identify, develop and rapidly mature critical advanced technologies and system concepts for the naval forces' role in today's network centric warfare concept. Naval forces play an ever-increasing role in network centric warfare because of their forward deployed nature, their unique capability to operate simultaneously in the air, on the sea and under the sea and their versatile ability to

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	DATE February 2008	
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RDT&E, Defense-wide	Network-Centric Warfa	are Technology
BA3 Advanced Technology Development	PE 060376	6E

provide both rapid strike and project sustained force. The technologies developed under this project will capitalize on these attributes, improve them and enable them to operate with other network centric forces.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	FY 2008	FY 2009
	Previous President's Budget	163.755	151.641	181.971
	Current Budget	137.063	150.677	156.733
	Total Adjustments	-26.692	-0.964	-25.238
	Congressional project reductions	-22.500	-0.964	
	Congressional increases	0.000		
	Reprogrammings	0.000		
	SBIR/STTR transfer	-4.192		

### (U) Change Summary Explanation:

FY 2007	Decrease reflects the Section 8043 Recission and the SBIR/STTR transfer.
FY 2008	Decrease reflects reductions for Section 8097 Contractor Efficiencies and Section 8104 Economic Assumptions.
FY 2009	Decrease reflects program completion of Quarantine Toxic UAV Payloads, Confirmatory Hunter Killer System, and Urban Operations Hopper in Project NET-01 and rephasing of the Tango Bravo program to MOA requirements in Project NET-02.

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RDT&E BUDGET ITEM JUST	IFICATI	ON S	HEE	T (R-2 Ex	khibit)	DATE	February 2	2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development			R-1 ITEM NOMENCLATURE Network-Centric Warfare Technology PE 0603766E, Project NET-01					
COST (In Millions)	FY 2007	FY 2	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Joint Warfare Systems NET-01	46.792	75	5.093	69.133	54.954	56.124	58.105	61.076

#### **(U) Mission Description:**

The objective of the Joint Warfare Systems project is to create enabling technologies for seamless joint operations, from strategic planning (U) to tactical and urban operations. Joint Warfare Systems leverage current and emerging network, robotic, and information technology and provide next generation U.S. forces with greatly expanded capability, lethality, and rapid responsiveness. Critical issues facing this project are: (1) U.S. opponents using systems that are flexible, robust, and difficult to neutralize; and (2) U.S. doctrine that limits the use of firepower to lessen the impact of operations on noncombatants. These problems are magnified in urban and semi-urban areas where combatants and civilians are often co-located, and in peacekeeping operations where combatants and civilians are often indistinguishable. Meeting these challenges places a heavy burden on joint war planning. Understanding opponent networks is essential so that creative options can be developed to counter their strategies. Synchronization of air and ground operations to apply force only where needed and with specific effects is required. This project supports all levels of the force structure including: (1) the strategic/operational level by generating targeting options against opponents' centers of gravity that have complex networked relationships; (2) the tactical/operational level by managing highly automated forces with tight coupling between air and ground platforms; and (3) the focused tactical level by developing platforms, which acquire targets of opportunity, cuing network-based analysis of likely enemy operations and developing warfighter tools, thus maximizing the presence of ground forces in stability and support operational environments.

#### **Program Accomplishments/Planned Programs: (U)**

	FY 2007	FY 2008	FY 2009
Federated Object-level Exploitation (FOX)	7.000	11.000	18.456

The Federated Object-level Exploitation (FOX) thrust will provide a new set of geospatial intelligence (GeoINT) products, continuously (U) updated and maintained in a form that ensures their consistency across both product elements (digital elevation models, traditional maps, 3-D structure models, census summaries, and directories) and spatial nodes (coarse resolution country data for economic analysis to fine resolution

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RDT&E, Defense-wide	Network-Centric Warfare Technology			
BA3 Advanced Technology Development	PE 0603766E, Project NET-01			

building data for platoon-level combat operations). Included programs will combine techniques including model-based image analysis (both object recognizers and change detectors), symbolic correlators (both temporal and spatial), and emerging cognitive methods to identify changes to objects, addresses, names, and functions of natural and man-made structures. These algorithms will be scaled to operate on data streams including full-motion video, ladar, text, and tabular data, in addition to conventional geospatial imagery. Federated algorithm architectures will be explored to achieve scalability through spatial, temporal and ontological partitioning. FOX technologies are planned for transition to the National Geospatial-Intelligence Agency.

- The Auto Metadata Extractions effort will build a system to automatically (with no man-in-the-loop) extract metadata from terabytes of multi-sensor imagery and signals per day. Extracted metadata will include both platform generated information (classical metadata) and algorithmically extracted features and internals. The extracted metadata will be (1) produced in a unified framework, and (2) sufficiently semantically rich to support both semantic information fusion and development of multi-dimensional predictive models. The system will provide all of the fundamental extracted data required for advanced exploitation technology development.
- The Exploitation Language Technology for GeoINT program will build a system to extract and linguistically confirm terms and labels of geographic significance from graphical, textual and audio sources. The program will develop the technology to associate and verify the extracted information against features extracted from imagery. Both extraction and association will be performed against and across multiple languages. A major effort will be made to develop necessary database and query technology to support a wide range of GeoINT specific concepts, e.g., feature classes, complex distance calculations, and boundaries.
- The All Things Repository effort will develop a system capable of ingesting 400 terabytes of multi-sensor all-source imagery, Moving Target Indicator (MTI) and signals per day. The program will build a fully automated metadata and features extraction framework to process all incoming data, and develop the distributed very-large database technologies required to provide both the raw sensor data and extracted features data to a multi-level exploitation user community, both human users and automated agents. Work-flow aware data transformation, data aggregation and data caching technologies will be developed to rapidly provide the user with access to the correct subset of the data rapidly and at appropriate bandwidth.

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(U) Program Plans:				

- Program Plans:
  - Auto Metadata Extractions
    - FY 2007 Accomplishments:
    - -- Demonstrated processing infrastructure to automatically index all-source imagery.
    - FY 2008 Plans:
    - -- Demonstrate assimilation of location, shape and class data into unified representation.
    - FY 2009 Plans:
    - -- Demonstrate temporal queries of geospatial data.
  - Exploitation Language Technology for GeoINT — FY 2008 Plans:
    - -- Preliminary design review of prototype.
    - FY 2009 Plans:
    - -- Demonstrate dynamic extraction of urban geospatial information from available documents.
  - All Things Repository \_
    - FY 2007 Accomplishments:
    - -- Initiated concept development review.
    - FY 2008 Plans:
    - -- Demonstrate Rapid Archive Geospatial Data.
    - FY 2009 Plans:
    - -- Demonstrate integration with imaging intelligence products.

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	FY	2007	FY 2008	FY 2009	

9.197

9.393

8.000

(U) The Network Command program leverages recent advances in network computing to dramatically improve collaboration among physically separate command posts. The program allows commanders and their staffs to share situation information, develop coordinated battle plans, generate and compare alternate courses of action, and assess likely outcomes, without conventional group briefings. Network Command builds on the paradigm established by the Command Post of the Future program, which demonstrated to commanders, working with voice-over-internet protocol (VOIP) and robust graphical collaboration software, a coherent understanding of a situation and operational plan without any face-to-face interactions.

Network Command

- The Network-Centric Situation Assessment program develops and deploys technologies to assess military situations at levels of interest above individual targets. The program uses all-source data to reconstruct unit organizations, mission relationships, logistics connections, and communications connectivity and analyzes data over time to infer movement, communication, and supply patterns. Within this context, capability analyses are provided and future courses of action are hypothesized. The objective is to understand potential capabilities and intentions of opposing forces. This effort provides greater understanding of opponents' force structures, capabilities, and operational practices, and then enables commanders to sustain effects-based targeting rather than simple attrition strategies. The program provides a context for discovering vulnerabilities in opposing forces and provides cues for intelligence, surveillance, and reconnaissance planning, as it suggests areas of future enemy activity that merit intense scrutiny. Technologies are planned to transition to the U.S. Army Distributed Common Ground Station.
- The Joint Mission Rehearsal program integrates high-fidelity; mainframe-based combat simulations with situation assessment and planning tools. The objective is to allow rehearsal of joint missions, while participants are en route to operations or remain at their home stations. The program uses current situation data to: (1) provide initial conditions for the simulations, and (2) plan data to steer the dynamics of the simulations along the selected courses of action. The technology streams data from the simulations for display, then visualization systems are available to the prospective participants. The visualization permits the warfighter to interact with the simulation in a manner consistent with their anticipated role in the mission being rehearsed. The program delivers the capability to practice and fine-tune mission plans for joint military operations and enables commanders and staff to participate from their current location instead of a

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	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	SHEET (R-2 Exhibit)	DATE February 2008		
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	training facility, thereby reducing deployment needs while improving mission planning and effectiveness. Technologies are planned to transition to the U.S. Army Simulation, Training & Instrumentation Command.				
(U)	<ul> <li>Program Plans:</li> <li>Network-Centric Situation Assessment FY 2007 Accomplishments:</li> </ul>				

-- Identified data fields available to a representative theater commander.

FY 2008 Plans:

-- Evaluate technologies using real-world data.

FY 2009 Plans:

- -- Transition software components to U.S. Army Distributed Common Ground System.
- Joint Mission Rehearsal
  - FY 2007 Accomplishments:
  - -- Identified testbed and avatar requirements.
  - FY 2008 Plans:
  - -- Demonstrate insertion of moving avatars into Helmet Mounted Display.
  - FY 2009 Plans:
  - -- Demonstration of dynamic avatar simulation insertion in Army Training Exercise.

	FY 2007	FY 2008	FY 2009
Precision Urban Combat Systems (PUCS)	7.665	6.400	6.000

(U) The Precision Urban Combat Systems (PUCS), including the Remote Detection of Suspicious Vehicles (RDSV) programs are developing and validating advanced sensor, exploitation, networking, and battle management capabilities for joint dismounted forces in urban combat. These programs include detection and tracking of potential enemy targets, discrimination and identification of friendly versus enemy units, sorting of enemy from neutral and non-combatant personnel, coordination of sensing, maneuver, and fires, and continuous assessment of results. PUCS and RDSV will utilize technologies including: smart networks of distributed imaging and non-imaging sensors; sensors with the capability to detect

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BA3 Advanced Technology Development	PE 0603766E, Project NET-01	

hidden human targets; improved 3-Dimensional (3-D) visualization systems, and multi-spectral discrimination systems that survey the battlefield for weapon activity and detect primary signatures. These capabilities will be developed within the framework of both legacy forces and expected future forces. The program will provide a set of prototype demonstrations of the capabilities in surrogate urban combat environments. Technologies are planned to transition to the U.S. Special Operations Command and the Marines.

- The Smart Dust Sensor Networks Applied to Urban Area Operations program will provide persistent staring reconnaissance, surveillance, and target acquisition of the 3-D urban battlespace using a dense network of ground sensors. The system concept consists of ubiquitous and inconspicuous low-power, small and easily concealed ground sensors distributed throughout the urban landscape. The program includes the development of ultra small sensor nodes for easy deployment and concealment in a crowded urban environment and data fusion algorithms to exploit the abundance of new information provided by a dense urban spatial network. The program will create a self organizing system that will integrate and exploit reliable networks of low-cost, small, and long-lifetime sensor nodes providing the capability for monitoring secured areas (e.g., buildings) and providing situational awareness to warfighters (e.g., checkpoints and sniper fire), and intelligence applications such as wide-area persistent surveillance of roadways and major arteries (e.g., for Improvised Explosive Devices (IED) emplacement), perimeters, and even city wide areas. The program technologies will transition to the Army.
- The Exploiting Vibrations to Monitor Activities in Buildings program will develop procedures and sensors to characterize activity inside structures based on acoustic/seismic information. The types of information sought include number and location of personnel, foot traffic, operation of building mechanicals (ventilation, cooling, and heating; plumbing; etc.) as an indicator of human activity, operation of other machinery, door openings and closings, and speech. Algorithms that infer internal layout of the building from the pattern and location of these activities will be investigated along with the fusing of the information from other surveillance information gained by other sensing modalities.
- (U) Program Plans:
  - Smart Dust Sensor Networks Applied to Urban Area Operations FY 2007 Accomplishments:
    - -- Prototyped miniaturized sensors based on dense ground sensor concept.
    - -- Developed self organizing network algorithms.
    - -- Estimated precise node locations and orientations.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHE</b>	ET ( <b>R-2 Exhibit</b> )	DATE February 2008
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<ul> <li>FY 2008 Plans:</li> <li>Complete algorithm evaluations and down select.</li> <li>Demonstrate key performance parameters in field tests.</li> </ul>		
<ul> <li>Exploiting Vibrations to Monitor Activities in Building FY 2007 Accomplishments:</li> <li>Collected acoustic/seismic data from a set of sample buildings. FY 2008 Plans:</li> <li>Develop and evaluate candidate algorithms and down select.</li> </ul>		

FY 2009 Plans:

-- Develop and demonstrate technologies to separate targets from background.

-- Demonstrate at a representative military base.

	FY 2007	FY 2008	FY 2009
Urban Ops Hopper*	3.000	4.200	0.000

\*Previously this was part of PUCS.

(U) The Urban Ops Hopper program will develop a semi-autonomous hybrid hopping/articulated wheeled robotic platform that could adapt to the urban environment in real-time and provide both surgical lethality and/or Intelligence, Surveillance, and Reconnaissance (ISR) to any point of the urban jungle while remaining lightweight, small and expendable to minimize the burden on the soldier. In general, small robots or unmanned ground vehicles (UGV) are severely limited by obstacle negotiation capability. The demonstrated hopping capability allows small UGVs to overcome obstacles 40x-60x their own size. Hopping will extend robot navigation to six degrees-of-freedom situational location and mapping. Hopping mobility can be shown to be five times more efficient than hovering for obstacles at heights less than or equal to ten meters. The proposed hopping robot would be truly multi-functional in that it will negotiate all aspects of the urban battlefield to deliver ISR and/or lethal payloads to non-line-of-sight targets with precision. The articulated wheel design allows the robot to negotiate short-range obstacles for precision placement in difficult terrain. This program will transition to Special Operation Forces in FY 2009.

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	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	SHEET (R-2 Exhibit)	DATE February 2008
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(U)	<ul> <li>Program Plans:</li> <li>FY 2007 Accomplishments:</li> <li>Demonstrated required hop height and length to meet current</li> <li>Demonstrated autonomous navigation in urban environment urban</li> </ul>	urban combat obstacle clearance.	

FY 2008 Plans:

- Develop 3-D ISR obstacle detection, classification, and mapping tools for an unknown environment.
- Demonstrate autonomous navigation in urban environment using upgraded sensor suite.
- Develop precision hopping through restricted pathways to include windows and stairwells.
- Demonstrate precision hopping using upgraded mechanical articulated wheel design.
- Evaluate technologies in various Military Operations on Urban Terrain (MOUT) facilities.

	FY 2007	FY 2008	FY 2009
Multipath Exploitation Radar (MER)*	0.000	4.000	8.000

\*Previously funded as the Total RF Detection and Ranging (TORDAR) system as part of PUCS.

(U) The Multipath Exploitation Radar (MER) program will address radar deficiencies due to discontinuous bandwidth: reduced range coverage, denial in certain geographic regions, interoperability issues, and reduced range resolution. This will involve a system-wide redesign of radar optimized over the full RF spectrum, not individual stove-piped tasks. It will include the integration of sparse bandwidth returns (including passive signals of opportunity), adaptive transmitter and waveform diversity, and agile frequency diverse hardware. Another key area that can be exploited is urban multipath. This program will exploit multipath bounces to detect and track moving targets within urban canyons, and extend the area coverage rate of airborne sensors by a factor of ten or more over physical line-of-sight limits. If successful, the urban coverage improvement will make it cost effective to consider airborne surveillance of an area the size of a large metropolitan area with a handful of airborne sensors. This capability will facilitate both manned and unmanned airborne Intelligence, Surveillance and Reconnaissance (ISR) and is planned to transition to the Air Force and Army in 2011.

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### (U) Program Plans:

FY 2008 Plans:

- Develop improved tracking concepts to exploit multipath signatures in improving target localization and tracking.
- Demonstrate vehicle detection using urban multipath to extend radar sensing range in urban environment by a factor of three beyond line of sight limitations.

FY 2009 Plans:

- Perform passive bistatic measurements using common RF transmissions as radar signals of opportunity.
- Demonstrate urban clutter nulling capabilities from both stationary and moving airborne collections.
- Demonstrate factor of ten improvement in urban tracking using multipath radar.
- Perform measurements using integrated passive/active radar architecture.
- Develop urban tracking algorithms exploiting urban multipath.

FY 2009 Plans:

– Develop persistent wide-area surveillance architecture for large metropolitan areas.

	FY 2007	FY 2008	FY 2009
Multi Dimensional Mobility Robot (MDMR)	5.240	5.000	1.000

(U) The Multi Dimensional Mobility Robot (MDMR) program will investigate concepts using serpentine mobility to achieve new ground robot capabilities for search and rescue applications. The MDMR system will navigate complex urban terrain and provide the operator with real time images of its environment. Examples of the capability include: overcoming obstacles that are a significant fraction of its length, crossing slippery surfaces, ascending poles, climbing steep slopes, and optically sensing its immediate surroundings. The MDMR platform will be able to support a variety of search missions in hazardous environments such as urban rubble piles. To achieve such a degree of mobility, design concepts must address system challenges such as: on board power management; situational awareness; complex terrain navigation; and system controls. The technology is planned for transition to SOCOM.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development       R-1 ITEM NOMENCLATURE Network-Centric Warfare Technology PE 0603766E, Project NET-01         (U)       Program Plans: FY 2007 Accomplishments: - Demonstrated serpentine mobility from a base level approach. FY 2008 Plans: - Develop smaller, more maneuverable serpentine platform. - Develop smaller, more maneuverable serpentine platform. - Develop and test tele-operation control. - Develop and test sensors for integration onto the serpentine platform. - Perform rigorous testing to characterize system performance. FY 2009 Plans: - Demonstrate and transition system to search and rescue users.		<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHE</b>	ET (R-2 Exhibit)	DATE	February 2	2008
<ul> <li>(U) Program Plans: FY 2007 Accomplishments: <ul> <li>Demonstrated serpentine mobility from a base level approach.</li> <li>FY 2008 Plans:</li> <li>Develop smaller, more maneuverable serpentine platform.</li> <li>Develop and test tele-operation control.</li> <li>Develop and test sensors for integration onto the serpentine platform.</li> <li>Perform rigorous testing to characterize system performance.</li> <li>FY 2009 Plans: <ul> <li>Demonstrate and transition system to search and rescue users.</li> </ul> </li> </ul></li></ul>		APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENCERDT&E, Defense-wideNetwork-Centric WarfarBA3 Advanced Technology DevelopmentPE 0603766E, Proje		OMENCLATUR Warfare Tec , Project NE	<b>RE</b> Chnology T-01	
	(U)	<ul> <li>Program Plans:</li> <li>FY 2007 Accomplishments:</li> <li>Demonstrated serpentine mobility from a base level approach.</li> <li>FY 2008 Plans:</li> <li>Develop smaller, more maneuverable serpentine platform.</li> <li>Develop and test tele-operation control.</li> <li>Develop and test sensors for integration onto the serpentine platform.</li> <li>Perform rigorous testing to characterize system performance.</li> <li>FY 2009 Plans:</li> <li>Demonstrate and transition system to search and rescue users.</li> </ul>	m.			

	FY 2007	FY 2008	FY 2009
Seismic/Acoustic Vibration Imaging (SAVI)	4.972	12.000	12.206

(U) The Seismic/Acoustic Vibration Imaging (SAVI) program will develop the capability to locate both near-surface tunnels and landmines with active seismic and acoustic sources. These systems will employ well characterized seismic and acoustic sources to stimulate the targets of interest from a remote platform. The interaction of the near surface seismic waves with tunnels and other objects will be observed with a multipixel laser interferometer system and used to assess the depth and extent of the targets in the midst of natural and man-made clutter. Similarly, focused acoustic sources will be employed to remotely stimulate plastic or metal antipersonnel and antitank mines. A laser interferometer system will be used to detect the resonant characteristics of the mines to discriminate against natural sources of clutter. The systems developed under this effort will be tested against a wide variety of soil types and environments to support operations under a wide range of conditions. Upon successful development of the initial and objective systems, the capabilities will be transitioned to the Army and Marine ground forces for the development and employment of operational systems starting in FY 2011.

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### (U) Program Plans:

FY 2007 Accomplishments:

- Completed analysis of potential system requirements suited to meet the objectives of the mobile landmine and tunnel detection missions from a sensitivity and area search rate perspective.
- Initiated development of active acoustic sources for landmines, active seismic sources for tunnels, and multi-pixel laser vibrometer with variable field-of-regard used to make measurements.

FY 2008 Plans:

- Complete the preliminary reviews for the scalable system meeting the initial sensitivity and search rate objectives.
- Initiate and demonstrate the technologies required for the laser interferometer system, including the sources and sensors, as well as the mobile seismic and directional acoustic sources.
- Complete the operationally relevant test scenario for scalable system demonstration.
- Determine location for outdoor-scaled system demonstration and initiation of site preparation.

FY 2009 Plans:

- Complete the development of the component technologies required by the scalable system demonstration.
- Complete an outdoor demonstration of the active acoustic landmine detection and active seismic tunnel detection coupled with the scalable multi-pixel laser vibrometer system.
- Initiate the development of the scalable brassboard system for mobile operations.
- Scale the system to form-factored prototype and verify performance at suitable outdoor ranges.

	FY 2007	FY 2008	FY 2009
Human-carried Explosive Detection Stand-off System (HEDSS)	4.000	5.000	7.000

(U) Insurgent and terrorist elements are increasingly relying on human carried explosives because they are nearly impossible to visibly detect. The goal of the Human-carried Explosive Detection Stand-off System (HEDSS) program is to develop a system that can rapidly identify human-carried explosives (HCEs) at a stand-off range between 50 and 150 meters (m). While alternative technologies exist for HCE detection, they necessitate close-in sensing, are expensive and require extended processing times. Successful development of a HEDSS with detection ranges of

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BA3 Advanced Technology Development	PE 0603766E, Project NET-01	

50 - 150 m will provide reliable protection for deployed forces from suicide bombers by allowing enough time and space to interdict bombers before they cause maximum damage. The technology is planned for transition to the Army and Marines.

(U) **Program Plans:** 

FY 2007 Accomplishments:

- Conducted proof-of-concept experiments and performed system level analysis designed to validate key technical assumptions and identify major system design parameters.

FY 2008 Plans:

- Design prototype system.
- Build and integrate system and conduct lab experimentation.

FY 2009 Plans:

Conduct extensive field-testing of the system under expanded threat conditions.

	FY 2007	FY 2008	FY 2009
Sensing and Patrolling Enablers Yielding Enhanced Security (SPEYES)	1.237	4.100	0.000

The Sensing and Patrolling Enablers Yielding Enhanced Security (SPEYES) program provides technologies for Stability and Support (U) Operations (SASO) to enhance the capabilities of our current ground forces in Iraq and Afghanistan. The first program phase evaluates and inserts mature advanced ground-based C3I technologies for three problem areas (Fixed Site Security, Patrolling, and Cordon & Search), seeking to effect a significant force-multiplier improvement through transformational Tactics, Techniques, and Procedures (TTPs). Key Component Technologies include: 1) WASP Micro UAV, 2) Eye Ball R1 Throwable Camera, 3) Leave Behind Intrusion Detection Sensor, 4) SPEYES Handheld PDA Device, and 5) Vehicle Weight Analysis Software and Video/EOD Underbody Sniffers. Later program phases will develop technology to enable mobile and real-time data analysis to support dismounted soldier patrolling urban areas. The program will include (1) networked mobile devices, communicating new information to a local headquarters, and displaying analysis of the newly collected data; (2) headquarters-level automated real-time analysis of the current state of the observed network to identify gaps in the knowledge base, and generate additional information requests. Elements of the technology are under consideration for transition to the Army and Marines.

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U) Program Plans:		

FY 2007 Accomplishments:

- Integrated three devices on a common wireless network.

- Selected and demonstrated handheld computing device as an integration platform.

- Completed extensive training and deployment to Afghanistan.

FY 2008 Plans:

- Define system requirements.

- Develop and test system components.

	FY 2007	FY 2008	FY 2009
Effects Based Network Targeting	3.500	5.000	5.471

(U) The Effects Based Network Targeting program is developing technology to identify, determine vulnerabilities, target, and anticipate workarounds in enemy networks. These techniques use all-source information to continuously update models of urban networks. Using the models, operational objectives for urban interventions, expressed in terms of desired and undesired effects will be generated. The technology will then use these objectives to find vulnerabilities in the networks, nominating targets for prosecution to maximize desired effects while minimizing undesired effects. Further, the program will develop techniques for predicting those observables that will rapidly identify an opponent's response when several courses of action are available. In particular, the program will focus on radio frequency networks: identifying transmitters, receivers, and links between them. The program will apply advanced beam forming technologies to provide co-channel interference cancellation for densely deployed cellular telephone or WiFi services in an urban environment. From this understanding of the network topology, courses of action for precision jamming or flooding attacks can be assessed, including determination of effects on downstream components (subscribers to the network). Technologies are planned to transition to the U.S. Strategic Command.

### (U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated feasibility in naval tactical wargame.

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FY 2008 Plans:

- Demonstrate tools to analyze single networks.

FY 2009 Plans:

- Demonstrate tools to analyze combinations of networks, simulated inputs.

	FY 2007	FY 2008	FY 2009
Confirmatory Hunter Killer System - CCLR	0.981	7.000	1.000

(U) The Confirmatory Hunter Killer System - CCLR program is developing a low cost expendable loitering weapon/unmanned air vehicle for deployment in urban environments. The program is developing a hand-held, tube-launched, fiber-optic guided, loitering munition suitable for non-line-of-sight (NLOS) target prosecution by individual warfighters in urban environments. It will be an agile NLOS weapon that extends the warfighters' zone of engagement from 200 meters line-of-sight to 2000 meters NLOS. The guided munition will be capable of striking targets from significantly expanded avenues of approach, e.g. over the tops of buildings and around corners, at a distance of up to ten blocks depending on the specific terrain and building features. This program is planned for transition to the Army in 2008.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed a tube-launched, compact, precision munition with warfighter-in-loop targeting and control via fiber optic link.
- Conducted risk reduction component tests.
- Verified launch, cruise and terminal mode performance.
- Conducted integrated system flight tests.

FY 2008 Plans:

- Perform risk reduction flight test.

FY 2009 Plans:

- Transition program to the Army.

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	FY	2007	FY 2008	FY 2009

0.000

0.000

2.000

(U) The Legged Squad Support System  $(LS^3)$  program will explore the development of a mission-relevant tetrapod platform scaled to unburden the infantry squad and hence unburden the soldier. Soldiers in current operations carry upwards of 50lbs of equipment and in some cases 100lbs, over long distances and in terrain not always accessible by wheeled platforms that support infantry. As a result, the soldier's combat effectiveness can be compromised.  $LS^3$  will leverage technical breakthroughs of prior biologically inspired legged platform development efforts. It will develop system designs to the scale and performance adequate for infantry squad mission applications, focusing on endurance, payload, terrain negotiation, and human-machine interaction capabilities, as well as secondary design considerations, such as acoustic signature. Multiple technical approaches will be explored, including electromechanical and hydraulic methods of legged actuation. Anticipated service users include the Army, Marines and Special Forces.

(U) Program Plans:

FY 2009 Plans:

Legged Squad Support System (LS3)

- Develop, analyze and assess initial Legged Squad Support System preliminary designs.

	FY 2007	FY 2008	FY 2009
Quarantine Toxic UAV Payloads	0.000	2.000	0.000

(U) The Quarantine Toxic UAV Payloads program will develop a system which can safely and effectively sequester (entomb) toxic chemical and biological agent payloads located on hostile force unmanned aerial vehicles. While technology for detection, tracking, and destruction of these platforms exists, the destruction step is problematic since the process can inadvertently disperse the toxic agent over the intended (or other) targets. A means for safely, effectively, and inexpensively sequestering chemical payloads, and transporting these payloads to the ground, is a critical need. This program focuses on the development of a system, which integrates the tracking and detection capabilities with gentle methods of entombment (i.e., quarantine) of the active agent. Potential transition targets include SOCOM, Army, and Marines.

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### (U) Program Plans:

FY 2008 Plans:

- Develop strategies and system architecture for entombment of UAV-borne chemical and biological agents.
- Develop materials and delivery techniques.
- Perform laboratory and field tests to demonstrate system capabilities.

### (U) Other Program Funding Summary Cost:

• Not Applicable.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development		R-1 ITEM NOMENCL Network-Centric Warfar PE 0603766E, Projec			OMENCLATU 2 Warfare Te E, Project N	<b>RE</b> chnology ET-02		
COST (In Millions)	FY 2007	FY	2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Maritime Systems NET-02	25.853	25.853 25.706 30.471 61.083 63.2				63.238	61.511	62.247

#### **(U) Mission Description:**

The objective of the Maritime Systems project is to identify, develop and rapidly mature critical advanced technologies and system (U) concepts for the naval forces' role in today's network centric warfare concept. Improvements in communications between and among submarines, surface ships and naval aircraft have allowed these forces to operate seamlessly with each other and with other Service's network centric systems. Naval forces will play an ever-increasing role in network centric warfare because of their forward deployed nature, their unique capability to operate simultaneously in the air, on the sea and under the sea and their versatile ability to provide both rapid strike and project-sustained force. The technologies developed under this project will capitalize on these attributes, improve them and enable them to operate with other network centric forces.

#### **(U) Program Accomplishments/Planned Programs:**

	FY 2007	FY 2008	FY 2009
Persistant Ocean Surveillance (includes Surface Wave Harvesting)*	5.904	3.463	2.250

\*Previously funded under Mobile Undersea Distributed Systems (MUDS).

The Persistent Ocean Surveillance program combines geolocation techniques such as the global positioning system with station keeping (U) and intra-sensor communication technologies to provide long-term station keeping ocean environment sensing buoys. These technologies, when applied with state-of-the-art undersea warfare sensors, will result in a floating field of smart sensors capable of observing the undersea environment in an area, including the presence of submarines and other undersea vehicles. A range of technologies have been considered including those that rely on the local environment (such as wind, ocean waves, solar energy, temperature differentials, etc.) for their power, miniature geolocation technologies, and technologies for sensor data storage, transmission, and intra-field communications. Persistent Ocean Surveillance-Station Keeping technology is planned for transition to the Navy in late FY 2009.

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BA3 Advanced Technology Development	PE 0603766E, Proj	ect NET-02

### (U) Program Plans:

FY 2007 Accomplishments:

- Completed design concepts for harvesting energy from the local environment and assessed buoy performance using simulation.
- Completed design for packaged configuration and deployment sequence.
- Developed energy harvesting technologies and conducted engineering tests.
- Demonstrated feasibility of using nanofluidic technology with moving magnets in a linear generator to harvest wave energy.
- Characterized ferrofluidic material and developed electromagnetic models.

FY 2008 Plans:

- Integrate energy harvesting technologies with station keeping technologies and conduct demonstration/test at sea.
- Perform trade-off analysis to determine buoy sensor payload for persistent ocean surveillance demonstration in fleet exercise.
- Conduct at-sea testing to demonstrate persistence and survivability.
- Identify payload package of high utility to the Navy and commence integration into the station keeping buoy.
- FY 2009 Plans:
- Conduct demonstration of persistent ocean surveillance with a sensor payload package of high utility to the Navy.

	FY 2007	FY 2008	FY 2009
Aluminum Combuster*	2.392	1.359	2.500

\*Previously funded under Mobile Undersea Distributed Systems (MUDS).

(U) The Aluminum Combustor program seeks to develop an energy-dense air-independent underwater power source as a propulsion system for future naval undersea warfare systems. This program will optimize the design for a small aluminum combustor, silane fuel treatment process, and develop the auxiliary power system components needed to control and sustain operations. In addition to the combustor, the aluminum fuel feed subsystem, aluminum-steam separator subsystem; and closed loop control subsystem will be designed, built, and integrated with a turbine in order to successfully demonstrate a power system in a laboratory environment. Upon successful completion of the laboratory tests, DARPA will investigate novel naval applications for an energy dense air independent propulsion system. The power system will then be integrated into a Navy submersible and tested at sea. The Aluminum Combustor technology is anticipated to transition to the Navy in FY 2011.

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(U) Program Plans:

FY 2007 Accomplishments:

- Designed and fabricated the low Hp combustor, aluminum fuel feed subsystem, aluminum-steam separator subsystem; and closed loop control subsystem.
- Commenced integration of the power system in the laboratory.
- Designed a long endurance aluminum fuel feed subsystem.

FY 2008 Plans:

- Develop and optimize silane fuel treatment protocol to improve operating characteristics of the aluminum combustor.

- Investigate novel naval applications.

FY 2009 Plans:

- Modify or redesign the components from the laboratory Aluminum Combustor Power System for use in a UUV.
- Integrate and test the components as a system in the laboratory.

	FY 2007	FY 2008	FY 2009
River Eye*	4.557	2.950	2.000

\*Previously funded under Mobile Undersea Distributed Systems (MUDS).

(U) Early entry maritime forces need maps of morphology, water depths, and currents in complex riverine/estuarine environments for mission planning and execution. This information is critical for route planning, sensor placement, rendezvous determination, vulnerability assessments, and determining objective assault engagement/disengagement strategies. For uncharted and/or denied areas, present methods are inadequate for obtaining the necessary information. Reliable remote sensing methods do not exist that produce bathymetry and water current data in waters that are sediment laden (bottom is not visible) and/or sheltered (swell and significant wind waves are not likely). The River Eye effort will provide a new capability to predict or assess, in real time, river and estuary conditions to enable special operations mission planning and execution. New techniques will be developed to indirectly determine current speed and direction by remotely sensing advection of scene features. Using advanced modeling techniques, indirectly sensed current data will be used to extract bathymetry data. Forward circulation models will use the bathymetry data to predict future currents and water heights in a mission planning decision support tool. The River Eye effort is anticipated to transition to the Navy and National Geospatial-Intelligence Agency in FY 2010.

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(U) **Program Plans:** 

FY 2007 Accomplishments:

- Conducted airborne and satellite data collections in well-mixed, instrumented estuary.
- Developed image-processing algorithms for extracting circulation currents. \_

FY 2008 Plans:

- Develop a simulation/model inputting simple idealized bathymetries to generate a circulation field and an inverse model that used the circulation field as an input parameter and calculated the bathymetry.
- Conduct instrumented data collections in a new environment and evaluate performance.
- Conduct additional instrumented data collections to evaluate the performance of an initial inverse model. \_

FY 2009 Plans:

- Continue development of the inverse model for extracting bathymetry from indirectly sensed currents.
- Refine and tune algorithms for extracting circulation currents and bathymetry in more complex environments.

	FY 2007	FY 2008	FY 2009
Jet Blast Deflector (JBD)	1.000	0.000	0.000

The Jet Blast Deflector (JBD) program used multifunctional materials developed under the DARPA Structural Material Program (U)(PE 0602715E) to construct a passively cooled jet blast deflection that increased reliability and met weight reduction requirements for current and future classes of aircraft carriers.

#### **Program Plans:** (U)

FY 2007 Accomplishments:

- Demonstrated operation under damaged conditions.
- Proved that the passively cooled JBD could exploit the flow from the aircraft engines to induce sufficient ambient air-flow to remove all heat and to return the JBD to the Navy-specified maximum temperature.
- Proved that structurally the passive JBD could withstand the loads from an aircraft landing on a single wheel with a flat tire (worst case scenario).

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	FY	2007	FY 2008	FY 2009
Tango Bravo	1	2.000	17.934	20.721

(U) Based on the results of the DARPA/Navy Submarine Design Study, the Tango Bravo technology demonstration program is exploring design options for a reduced-size submarine with equivalent capability of the VIRGINIA Class submarine. The implicit goal of this program is to reduce platform infrastructure and, ultimately, the cost of future design and production of submarines. The program is a collaborative effort to overcome selected technological barriers that are judged to have a significant impact on submarine platform and infrastructure cost. DARPA and the Navy, under a Memorandum of Agreement, jointly formulated technical objectives for critical technology demonstrations in: (1) shaftless propulsion, (2) external weapons stowage and launch, (3) conformal alternatives to the existing spherical sonar array, (4) radical ship infrastructure reduction technologies that eliminate or substantially simplify hull, mechanical and electrical systems, and (5) automated attack center technologies to reduce crew manning.

(U) Following success of shaftless propulsion technologies demonstrated in the Tango Bravo program, DARPA and the U.S. Navy will design, build, and test a large scale Submarine Shaftless Stern Demonstrator to characterize and mitigate risks associated with ship integration into a next generation submarine propulsion option. The Demonstrator will be built to the minimum scale necessary to extrapolate hydrodynamics, powering, and acoustics to full-scale performance. The most cost effective technical approach to developing the demonstrator design will be considered, including the modification of existing large-scale submarines.

(U) Elements of the Tango Bravo program will begin transition to the Navy in FY 2009, with full transition anticipated at the conclusion of the Submarine Shaftless Stern Demonstration in FY 2013.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed detail design review and final design review of the medium-scale Integrated Motor Propulsor and Drive (IMPaD) shaftless propulsion concept.
- Completed 1/12 full scale (model scale) hydrodynamic and hydro-acoustic testing of the shaftless propulsion propulsor in the fortyeight inch water tunnel.
- Completed the shaftless propulsion motor drive breadboard testing and initiated fabrication of the medium scale drive and controller.

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- F - - - - - - - - - - - -	Completed design, fabrication, and land-based testing of the E Completed the Radical Ship Infrastructure Reduction project demonstration of criteria (static load performance, range of n Completed fabrication of the full-scale electric actuator and c 2008 Plans: Complete shaftless propulsion component fabrication (motor Complete the propulsion plant cost model to demonstrate the Evaluate Shaftless Propulsion criteria for continuation with th assembly and integration followed by thorough in-water syste Conduct concept studies for the Submarine Shaftless Stern D Assess programmatic and technical trade-offs to determine th Complete the External Weapons Stowage and Launch project Conduct weapon load/reload demonstration. Complete the Radical Ship Infrastructure Reduction project b million full cycles of the actuator under representative at-sea Y 2009 Plans:	External Weapons Stowage and Launch f (Electric Actuation of Stern Planes and R notion, rate of motion, and bearing shock controller/drive in preparation for actuator propulsor, duct, and structure.). Shaftless Propulsion concept reduces such the program, an eighteen-month effort that em testing. emonstration. he optimum platform for the Submarine Si t by conducting full-scale, test depth, weat by conducting testing of the electric actuate dynamic loadings and pressures.	ull-scale system. Rudder), including satisfactory tests). • dynamic testing. • marine construction costs. • includes demonstrator haftless Stern Demonstration. pons launch testing. tor, including approximately one
_	Complete shartless Propulsion integrated system testing.		

Perform design studies and computational analysis to establish critical design parameters for the Submarine Shaftless Stern — Demonstration.

	FY 2007	FY 2008	FY 2009
Sea Shield	0.000	0.000	3.000

(U) The Sea Shield program will develop an extensible automated battle management (ABM) capability to provide persistent surveillance and targeting coverage to protect naval battle groups against overwhelming threats. Sea Shield will extend area protection 50-fold using layered and distributed sensing and targeting, through developing and implementing air, sea and subsurface autonomous, collaborative and self-healing sensor networks. The ABM system will enable timely and coordinated decision-making information and situational awareness for the commander. Sea

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Shield will enable intelligent deployment of shield sensors and network infrastructures, to protect Sea-Base assets, through effective crossplatform and multi-mission resource management, and distributed weapons coordination for increased raid size and heterogeneous threat types. Sea Shield will also enable the Sea-Base to decouple intelligence, surveillance, and reconnaissance/defense missions from offensive missions improving the power projection capability of the deployed force.

(U) Program Plans:

FY 2009 Plans:

- Develop ABM technologies for detection, classification, localization, tracking and optimized engagement of sea-skimming cruise missiles.
- Develop ABM for antisubmarine warfare.
- Assess effectiveness of ABM component technologies through modeling and simulation.
- Begin integration of mature components for subsystem test and evaluation.

### (U) Other Program Funding Summary Cost:

• Not Applicable.

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APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development			R-1 ITEM NOMENCLATURE Sensor Technology PE 0603767E					
COST (In Millions)	FY 2007	FY 2	2008	FY 2009	FY 2010	FY 201	1 FY 2012	FY 2013
Total Program Element (PE) Cost	189.795	195	5.213	226.470	224.477	233.79	8 247.071	249.050
Surveillance and Countermeasures Technology SEN-01	45.562	63	3.497	80.723	80.774	83.76	0 97.034	99.014
Sensors & Exploitation Systems SEN-02	144.233	131	1.716	145.747	143.703	150.03	8 150.037	150.036

### (U) <u>Mission Description:</u>

(U) The Sensors Technology program element is budgeted in the Advanced Technology Development Budget Activity because it funds sensor efforts that will improve the accuracy and timeliness of our surveillance and targeting systems for improved battlefield awareness, strike capability and battle damage assessment.

(U) The Surveillance and Countermeasures Technology project will exploit recent advances in multispectral target phenomenology, signal processing, low-power high-performance computing and low-cost microelectronics to develop advanced surveillance and targeting systems. Timely surveillance of enemy territory under all weather conditions is critical to providing our forces with tactical information needed to succeed in future wars. Additionally, this project encompasses several advanced technologies related to the development of techniques to counter advanced battlefield threats.

(U) The Sensors and Exploitation Systems project develops and demonstrates advanced sensors, and exploitation technologies. These efforts provide warfighters with situational awareness and precision target identification. The project is driven by four needs: 1) countering camouflage, concealment and deception (CC&D) of mobile ground targets; 2) providing near-real-time, semi-automatic exploitation of wide-area moderate and high-resolution imagery; 3) obtaining real-time, accurate battle damage assessment; and 4) accomplishing robust, precise identification, precision fire control tracking and engagement of high value targets.

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	APPROPR RD BA3 Advanc	IATION/BUDGET ACTIVITY F&E, Defense-wide ed Technology Development	R-1 ITEM NOMENO Sensor Techno PE 060376	CLATUR Dlogy 7E	RE		
(U)	Program Change S	ummary: (In Millions)	<u>FY 2</u>	<u>007</u>	FY 2008	FY 2009	
	Previous President's	Budget	188	.781	196.462	219.407	
	Current Budget		189	.795	195.213	226.470	
	Total Adjustments		1	.014	-1.249	7.063	
	Congressional progr	ram reductions	0	.000	-1.249		
	Congressional increa	ases	0	.000			
	Reprogrammings		6	.000			
	SBIR/STTR transfer	r	-4	.986			
(U)	<u>Change Summary I</u>	Explanation:					
	FY 2007	Increase reflects an anticipated reprogra	mming and the SBIR/STTR transfer.				
	FY 2008	Decrease reflects reductions for Section	8097 Contractor Efficiencies and Section	n 8104	Economic A	Assumptions.	
	FY 2009	Increase reflects expansion of SALTI pa defeat underground facilities in Project	rogram in Project SEN-02 and enhanced t SEN-01.	echno	logies to dete	ect and	

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COST (In Millions)	FY 2007 FY 2008		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	
Surveillance and Countermeasures Technology SEN-01	45.562	6	3.497	80.723	80.774	83.760	97.034	99.014

### (U) <u>Mission Description:</u>

(U) This project funds sensor efforts that will improve the accuracy and timeliness of our surveillance and targeting systems for improved battlefield awareness, strike capability, and battle damage assessment. Timely surveillance of enemy territory under all weather conditions is critical to providing our forces with the tactical information needed to succeed in future wars. This operational surveillance capability must continue to perform during enemy efforts to deny and deceive the sensor systems, and operate, at times, in a covert manner. This project will exploit recent advances in multispectral target phenomenology, signal processing, low-power high-performance computing, and low-cost microelectronics to develop advanced surveillance and targeting systems. In addition, this project encompasses several advanced technologies related to the development of techniques to counter advanced battlefield threats. The collection of programs formerly referred to as Counter Underground Facilities has been expanded into separate programs (the Low-Altitude Airborne Sensor System (LAASS) program, the Cross-Border Tunnels (CBT) program, the Robust Tunnel Mapping and Operations program, and the Airborne Tomography using Active Electromagnetics (ATAEM) program) to provide additional insight.

### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Low-Altitude Airborne Sensor System (LAASS)*	10.472	19.464	15.750

\*Previously part of Counter Underground Facilities (UGF).

(U) The Low-Altitude Airborne Sensor System (LAASS) program is developing an airborne sensor system to find and characterize underground facilities (UGFs) used to shield and protect strategic and tactical activities, including command and control, weapons storage, and manufacture of weapons of mass destruction (WMD). By passively capturing emissions associated with underground facility presence and operations, and doing so using airborne sensors (acoustic, electromagnetic, gravity), LAASS can significantly increase our ability to seek out

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underground facilities and map out their vulnerabilities and backbone structure. LAASS technologies are planned to transition to NORTHCOM, SOUTHCOM, STRATCOM, or Defense Threat Reduction Agency (DTRA) at the end of FY 2009.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed algorithm concepts and generated performance predictions for LAASS against Targets of Interest (TOI).
- Designed and developed prototype LAASS passive electromagnetic and acoustic sensor payload and tested sensor performance characteristics on an unmanned air vehicle (UAV).
- Identified and tested methods to isolate magnetometer sensor from platform vibration and electromagnetic interference.

FY 2008 Plans:

- Generate system design for passive demonstration and evaluation prototype system.
- Integrate and test passive sensor hardware (platform-isolated electromagnetic (EM), acoustic) onto user-specified unmanned air system (UAS).
- Develop system requirements for LAASS gravity gradiometer payloads (sensor characteristics, platform envelope) against TOI.

FY 2009 Plans:

- Develop and integrate passive system software (detection, characterization) and demonstrate system performance against a relevant facility.
- Produce system design and initiate development of gravity gradiometer prototype evaluation system.

	FY 2007	FY 2008	FY 2009
Cross-Border Tunnel (CBT)*	0.676	1.852	3.750

\*Previously under Counter Underground Facilities (UGF).

(U) The Cross-Border Tunnel (CBT) program is developing technologies and systems to detect small tunnels used to breach security perimeters and national borders. The program goal is to develop innovative technologies inspired by geophysical exploration techniques that detect and characterize these threat tunnels while simultaneously satisfying operational considerations such as search rate, site access, and

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exposure of friendly forces. The CBT program is currently performing collections of seismic and electromagnetic (EM) data at a test bed using current state of the art sensors from the geophysical industry.

(U) Starting in FY 2008, the program will focus on a Fast-Scan CBT Detection technique, which will investigate, develop, and transition a tunnel detection system focused on providing a fast linear scan rate, for operationally tractable protection of large controlled areas or national borders. Current subterranean interrogation techniques based on geophysical exploration methods have the combined impediments of slow interrogation rate, need for complete site access, or exposure of forces. Contrary to invasive imaging methods, the Fast-Scan concept is to provide rapid detection of anomalous subsurface structures consistent with voids. The technical challenges include: 1) identification of optimal detection strategies, source characteristics, and sensor geometries, 2) rejection of clutter with length scales similar to tunnels or response from non-threat structures (utilities), and 3) technology migration to a moving platform. This program will transition to the Services in FY 2010.

### (U) Program Plans:

FY 2007 Accomplishments:

- Built test bed for evaluation of CBT and other Counter Underground Facilities (CUGF) technologies.
- Tested innovative imaging techniques using seismic and electromagnetic illumination of Target of Interest (TOI.)
- Assessed methods for robust employment subject to operational limitations.

FY 2008 Plans:

- Investigate alternative technologies contributing to the Fast Scan CBT Detection technique.
- FY 2009 Plans:
- Develop and validate a detection concept suited for use in protection of controlled areas and borders.
- Determine the design requirements for the source characteristics and sensor/source geometry that optimizes the detection performance.
- Commence the development of the Fast Scan CBT Detection technique for an off board platform integration.

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	EY '	2007	FY 2008	FY 2009

0.000

0.000

3.970

Robust Tunnel Mapping and Operations

\*Previously under Counter Underground Facilities (UGF).

(U) The Robust Tunnel Mapping and Operations program will investigate, develop, and transition a single system that jointly maps underground tunnel networks and supports below-ground communications and navigation, to meet the operational needs of ground forces conducting urban or counter-UGF operations. The program will explore and identify active sensing strategies that in the process of mapping the extent of the tunnel network can simultaneously support internal operations. The technical challenges include 1) identification of a single phenomenology to meet mapping and operational needs, 2) development of man-portable sensors for communications and navigation, and 3) technology integration to a single system. This program will transition to Special Operations Forces in FY 2011.

(U) Program Plans:

FY 2009 Plans:

- Develop and verify concept feasibility to meet the needs of ground forces conducting urban or counter-UGF operations.
- Quantify achievable system performance in an environment of underground tunnel networks.
- Establish design requirements for source characteristics (location, spectrum, duration) and sensor/radios.

	FY 2007	FY 2008	FY 2009
Airborne Tomography using Active Electromagnetics (ATAEM)	4.196	5.409	9.136

(U) The Airborne Tomography using Active Electromagnetics (ATAEM) program is developing an active electromagnetic (EM) system for airborne imaging of subsurface structures, such as underground facilities (UGF) or perimeter-breaching tunnels. The ATAEM system illuminates the ground with electromagnetic energy and interprets resulting distortions of the electric and magnetic fields to detect and characterize surreptitious structures. The ATAEM program will investigate and develop the component technologies, including EM illumination sources, noise-isolated sensor payloads and signal processing, and demonstrate them on an appropriate airborne platform. The ATAEM program will first validate the system concept for EM sources, sensor payloads, and associated signal processing through modeling and data collection against

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relevant underground structures. An integrated system combining active illumination, sensing, and detection processing will then be developed and demonstrated on an appropriate unattended air system (UAS). This capability is expected to transition to the Army, USMC, and U.S. Special Operations Command in FY 2011.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed sensor suite comprised of vibration-isolated electric and magnetic field sensors.

FY 2008 Plans:

- Build sensor suite comprised of vibration-isolated electric and magnetic field sensors.
- Investigate and develop electromagnetic illumination sources.
- Integrate sensor suite into helicopter tow body.

FY 2009 Plans:

- Collect and analyze operationally relevant data over multiple Targets of Interest (TOI) using helicopter tow body.
- Document performance as a function of operational parameters (illumination sources, flight parameters).
- Develop system design for final demonstration system.

	FY 2007	FY 2008	FY 2009
Strategically Hardened Facility Defeat	4.000	12.000	15.500

(U) Building upon the successes of this technology developed under the Counter Underground Facilities program, the Strategically Hardened Facility Defeat program will continue to develop alternative earth-penetrating technologies for the defeat of strategically hardened targets. The threat posed by the proliferation of hard and deeply buried targets with major strategic capabilities around the world is increasing dramatically. These strategically hardened facilities are used to harbor our adversaries' most dangerous assets including leadership bunkers, command and control functions, and weapons of mass destruction. However, because the size and weight of traditional earth penetrating weapons scale exponentially with the depth of the facility, current warhead penetration depths are and always will be insufficient to reach many of these targets. As a result, a strategic capability gap exists and new approaches to earth penetration and warhead delivery are needed. This program seeks to

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leverage recent advances in earth-penetrating technologies for full defeat of strategically hardened facilities. This program will transition to the Defense Threat Reduction Agency (DTRA) in FY 2011.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed new penetration technologies capable of meeting deployable weight and size goals.
- Demonstrated advanced penetration and energy supply technologies through field trials.
- Developed the ability to sense and navigate to the targeted functional area.
- Demonstrated sensing and navigation capabilities through field data collections and high fidelity modeling.
- Conducted small-scale tests of deployment capabilities.

FY 2008 Plans:

- Develop robust, self-contained aerial deployment options that can interface with existing air platforms.
- Integrate advanced penetration and energy supply technologies.
- Demonstrate penetration, energy, sensing, and navigation capabilities through field trials.
- Demonstrate deployment capabilities.

FY 2009 Plans:

- Develop packaging and integration technologies that can withstand harsh environments.
- Design and initiate development of deployable system with advanced penetration and navigation capabilities.
- Integrate component subsystems into deployable platform.

	FY 2007	FY 2008	FY 2009
Visibuilding	11.218	9.000	10.000

(U) The Visibuilding program is developing technologies and systems for new surveillance capabilities of buildings, to detect personnel within buildings, to determine building layouts, and to locate weapons caches and shielded enclosures within buildings. Radar signals are being used to image static structures directly. Doppler processing of radar signals is also being exploited to find, identify, and perform feature-aided tracking of moving personnel within a building and allow mapping of building pathways and stairways by monitoring traffic through buildings. Multipath

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and propagation effects are modeled and iteratively compared with hypotheses of building structures to provide 3-D building maps and large concentrations of metal materials like weapons. This program is developing techniques to inject and recover probing waveforms and to unravel the complicated multipath in the return signals, to enable the mapping and characterization of buildings. Transition of component pieces to the Army's PEO Soldier and United States Special Operations Command will commence in FY 2009.

(U) The Radar Scope program is a quick-response effort to provide pre-production prototypes of hand-held through-wall personnel detection radar. It will be able to sense through common wall materials to detect potential enemies before warfighters enter a room or building. The final product is a small sensor with a simple interface that weighs less than two pounds including batteries. The unit detects individuals through typical non-metallic wall materials (e.g., concrete, concrete block, adobe, wallboard, plywood, etc.) up to twelve inches thick. Transition to the Army Rapid Equipping Force via PEO Soldier Sensor and Lasers is anticipated. Follow-up technologies have been requested for sniper self defense, tunnel inspection, perimeter defense, remote operations, and finding objects buried in walls.

- (U) Program Plans:
  - Visibuilding
    - FY 2007 Accomplishments:
    - -- Evaluated candidate designs for wall-penetrating technologies for building layout and combatant localization.
    - -- Performed electromagnetic simulations showing detailed building penetration physics.
    - -- Developed algorithms for determining building layouts from electromagnetic radar returns.
    - FY 2008 Plans:
    - -- Develop instrumentation radar systems for detailed building radar measurements.
    - -- Perform experiments on building imaging and insurgent localization within structures.
    - FY 2009 Plans:
    - -- Demonstrate multipath exploitation approaches for interior building imagery through three exterior-grade walls.
    - -- Design, build, and test prototypes for use in full-scale demonstration.
  - Radar Scope
    - FY 2007 Accomplishments:
    - -- Evaluated candidate designs for through wall motion detection.
    - -- Carried out feasibility measurements and modeling.

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-- Designed, built and tested prototypes for use in full-scale demonstration.

-- Transitioned for use in full-scale demonstration.

FY 2008 Plans:

-- Develop extensions of this technology for new application areas, including standoff triage tools for use by medics.

	FY 2007	FY 2008	FY 2009
Surveillance and Threat Neutralization in Urban Environments	7.000	5.772	0.000

(U) This program is investigating technologies to demonstrate the detection and defeat of threats specific to conflict and stabilization operations in the urban environment. These threats include roadside bombs, car bombs, suicide bombers, snipers, rocket propelled grenades, and mortars launched from inside urban boundaries. Detection technologies studied included detection of anomalies in vehicle dynamics; stand-off identification and localization of explosive vapors/effluents; high fidelity 3-Dimensional (3-D) mapping performed from a high altitude (>15,000 feet) airborne platform for Improvised Explosive Device (IED) detection, high fidelity 3-D surveillance performed from autogyro mortar rounds utilizing stereo vision, and precision emplacement of sensors in an urban environment. These capabilities will be transitioned to Army and Special Operations ground forces to support urban operations planning with an initial focus on the targeting and intelligence components in FY 2009.

(U) Program Plans:

FY 2007 Accomplishments:

- Completed study on Detection of Anomalies in Vehicle Dynamics (DAViD) and documented results.
- Completed successful airborne demonstration of data-driven high resolution 3-D Laser Identification Detection and Ranging (LIDAR).
- Completed initial sensor development to enable non line of sight (NLOS) sensors.

FY 2008 Plans:

- Evaluate candidate technologies for wide-area/stand-off and choke-point/portal-screening applications.
- Prove feasibility in lab on sub-scale tests.

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	FY 2007	FY 2008	FY 2009
Hostile Fire Indicator (HFI)	2.000	0.000	0.000

(U) The Hostile Fire Indicator (HFI) program explored an airborne extension of the Boomerang Rapid Response program to provide rotorcraft with situational awareness of small arms fire. Currently, pilots may be unaware that they are receiving small arms fire until it impacts near the crew cabin or some other critical and monitored system. The HFI system was designed to detect and locate the source of any small arms projectiles passing within meters of aircraft with a high probability of detection and precise source-location accuracy.

(U) Program Plans:

FY 2007 Accomplishments:

- Measured acoustic/vibrational frequency background noise on one U.S. Army and two Special Operation Forces helicopters.

	FY 2007	FY 2008	FY 2009
Speckle Exploitation for Enhanced Reconnaissance (SEER)	4.000	7.000	6.000

(U) The Speckle Exploitation for Enhanced Reconnaissance (SEER) program will provide long-range non-cooperative identification of moving/stationary targets using incoherent scattered laser speckle reflected off a target surface. Laser speckle has reduced sensitivity to adverse turbulence-induced distortion and so should provide a viable signal at ranges exceeding those projected for other active laser systems. Technical achievements under other programs in this PE/Project provide the basis for radically new approaches to measuring target characteristics under conditions that limit the performance of conventional sensors. Target characteristics potentially obtainable may include target image, shape, size, structural features, and other advanced threat properties. By extending the operating range of current active electro-optic sensors, SEER enables the friendly platform to stand off from the maximum operating range of hostile sensors/weapons, while executing the targeting task and directing weapons against targets. Transition to the Army is expected to occur by FY 2012.

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(U) Program Plans:

FY 2007 Accomplishments:

- Conducted system concept developments and laboratory proof-of-concept demonstrations.

FY 2008 Plans:

- Develop algorithms that reliably and uniquely associate target signatures with speckle patterns.
- Implement algorithms using optical Micro Electro-Mechanical systems (MEMs) or other related technologies to achieve reduced size, weight and power.
- Demonstrate functional performance of single focal plane array to create active speckle images of calibration and field targets.
   FY 2009 Plans:
- Perform major system design trades.
- Demonstrate multiple focal plane array foliage penetration performance (spatial resolution and composite image formation time).
- Conduct field experiments to measure brassboard performance in turbulent environments.

	FY 2007	FY 2008	FY 2009
Rescue Transponder (RT)	2.000	3.000	2.000

(U) Building upon technologies developed in other sensor programs, the Rescue Transponder (RT) program will investigate the use of a unique localization and tracking technology to provide a very low probability of detection (LPD) call for help signal. The system will use a wide band radio frequency signal with low power and extremely low duty cycle. The goals of the RT Program are to develop a small, rugged, transponder that provides a call for help to friendly forces. The RT system will operate over ranges that enable rescue forces or surveillance systems to receive its signals. It will support accurate localization by rescue forces, and permit transmission of identifying, authenticating, and status information. The RT technology is planned for transition to the Army and USMC in 2009.

(U) Program Plans:

FY 2007 Accomplishments:

- Developed tags that enabled the user to be identified and localized by airborne or advantaged receivers.
- Designed a custom digital and microwave-integrated circuit to allow tag miniaturization.

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- Built, tested, and demonstrated the prototype tags and transmitters capabilities at military facilities.

 Conducted airborne demonstration and completed interference and low probability of detection (LPD) assessment to demonstrate military utility to transition partner USMC at Camp Pendleton, CA and Quantico, VA.

FY 2008 Plans:

- Initiate limited prototype production to support USMC operational field assessment.
- Complete equipment development and enhancements to support system performance capabilities for military use.
   FY 2009 Plans:
- Complete transition between DARPA and USMC.

	FY 2007	FY 2008	FY 2009
AudiVis	0.000	0.000	3.500

(U) The AudiVis program seeks to extract high-rate (kHz+) temporal data from a foveated vision infrared (IR) sensor. This provides the capability to optimize data processing at the pixel level, including data fusion in real time at the pixel level. The concept goes well beyond foveated vision and bandwidth sensor compression concepts by enabling a low light sensor to not only act as an intelligent cueing device but also to shift to a high frame rate mode. This will provide visible IR with applications into complimentary metal-oxide-semiconductor visible sensors as well as temporal (frequency) data on objects of interest within the field of view of the IR sensor. This will enable the detection of acoustic and high modulation rate signatures from low-light IR sensor and provide on-sensor data fusion capabilities for rapid detection and identification. The use of a networked array of these high frequency capable low light sensors in an urban environment will provide autonomous situational awareness. This program will transition to the Army for urban operations applications in FY 2012.

### (U) Program Plans:

FY 2009 Plans:

- Define system performance requirements.
- Develop system architecture design.

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		FY 2007	FY 2008	FY 2009
Combat Laser Infrared Countermeasure (IRCM) Proactive Survivability System       0		0.000	0.000	3.000

(U) The Combat Laser Infrared Countermeasure (IRCM) Proactive Survivability System (CLIPSS) will enable air dominance at low altitude and at night against current and near term near infrared (NIR) and mid-wave infrared (MWIR) based threats including man portable air defense (MANPAD), based on proactive infrared countermeasures (PIRCM). Leveraging the ongoing systems and focal plane array (FPA) technology development established by the Multifunction Electro-Optics for Defense of U.S. Aircraft (MEDUSA) program (budgeted in PE 0603768E, Project GT-01) in the near and MWIR bands and the reactive capability of the Affordable Laser IRCM Survivability System (ALISS), CLIPSS will provide a near term demonstration and transition of the proactive capability and serve as a pathfinder for the longer range, all band objectives of MEDUSA. CLIPSS will provide U.S. aircraft the same ability to geo-locate, evade, jam, or destroy optically based air defenses and will evolve U.S. capabilities from reactive end game countermeasures to proactive capabilities that increase threat-warning times, deny launch and put electrooptical/IR air defense threats at risk. This program will demonstrate an initial integrated proactive and reactive IRCM pod based flight system that will address shorter range, high duty cycle threats for vulnerable low altitude platforms in the NMIR wavebands. The primary technical obstacles will be the continued development and integration of high sensitivity infrared Focal Plane Array (FPA) and multi-frequency laser technologies into compact, efficient packages for demanding IRCM environments. The real-time processing of the range resolved laser returns over wide fields of view to rapidly cue the proactive countermeasures poses a significant systems integration challenge as well. CLIPSS technology is planned for transition to the Services in FY 2012.

(U) Program Plans:

FY 2009 Plans:

- Develop preliminary design for integrated proactive IRCM pod incorporating current reactive IRCM capabilities and components.
- Demonstrate integrated subsystem performance for transmitters and receivers.
- Develop final design incorporating advanced high gain 128x128 FPAs.

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	FY	2007	FY 2008	FY 2009
Handheld Through-Wall Synthetic Aperture Radar (SAR)		0.000	0.000	2.500

Urban operations have become an essential part of military and peace-keeping operations. Currently, buildings provide a safe refuge from (U) our reconnaissance and surveillance capabilities. Technology developed in the Radar Scope program provides a personnel detection device which can detect movement of people through non-metallic walls like concrete, adobe, cinderblock, or drywall. Some commercial techniques attempt to provide crude imaging of a room's contents, but are limited by the size and aperture of the device. This program will provide an synthetic aperture imaging capability into a room by sweeping a small handheld system over the face of a wall, an arbitrarily large aperture can be recreated to improve the imaging capability to the physical propagation and dispersion limits of the wall. This program will transition to the Army in FY 2011.

**Program Plans:** (U)

FY 2009 Plans:

- Perform through-wall measurements to measure propagation and dispersion effects.
- Develop motion measurement capabilities for monitoring the position of the radar for synthetic aperture measurement.
- Develop imaging algorithms that compensate for wall penetration effects.

	FY 2007	FY 2008	FY 2009
Standoff Triage	0.000	0.000	3.000

(U) Medics who risk their lives under fire to assess individuals who may already be dead incur many casualties. Current technologies have demonstrated breathing or heart rate detection using radar systems, as well as other life signs such as pulse using laser vibrometry or infrared and even chemical detection of respiration products. These measurements have usually been under well-controlled environments. The Standoff Triage program will extend these approaches to allow remote monitoring of life in battlefield environments to determine the state of individuals before sending in emergency medical personnel under fire. This effort will examine optical, infrared, and RF techniques to monitor key life signs such as respiration and heart rate to determine the timing and magnitude of a potential medical response. The Standoff Triage program will develop methods to measure health status of people at distances of 10 to 100 meters, and will be evaluated for both handheld operations by medics

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on the ground and airborne platforms that can survey a battlefield after a conflict. In addition to casualty assessment, these technologies may be useful in disaster relief or detecting the presence of potential adversaries. This program will transition to the Army and USMC in 2012.

- (U) Program Plans:
  - FY 2009 Plans:
  - Evaluate candidate designs for remotely monitoring human life signs in battlefield scenarios.
  - Carry out feasibility measurements and modeling.
  - Design, build, and test prototypes for use in full-scale demonstration.

	FY 2007	FY 2008	FY 2009
Dielectric Detection of Explosives	0.000	0.000	2.617

(U) The Dielectric Detection of Explosives program will develop a system for the detection of bombs that have become deadly and destructive weapons in current urban operations. The approach will measure dielectric properties of materials to discriminate classes of materials. Low frequency dielectric spectral signatures can be obtained through clothes, walls, and other non-metallic surfaces. Based upon the size of the sensor system, these signatures can potentially be pushed out to several meters. This can enable portal defense application, vehicle inspection, and even monitoring of explosive materials through walls. The Dielectric Detection of Explosives approach can be integrated with signatures from other sensors to provide a more comprehensive multi-spectral discrimination solution. Transition is planned to the Army and Marine Corps in FY 2012.

- (U) Program Plans:
  - FY 2009 Plans:
  - Develop collection sub system for demonstrating feasibility of material discrimination and object classification.
- (U) Other Program Funding Summary Cost:
- Not Applicable.

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COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Sensors & Exploitation Systems SEN-02	144.233	131.716	145.747	143.703	150.038	150.037	150.036

#### (U) <u>Mission Description:</u>

(U) The Sensors and Exploitation Systems project develops and demonstrates advanced sensor and exploitation technologies to provide accurate situational awareness and precise target identification. The project is driven by five needs: (a) integrating data from multiple sources into consistent situation assessments; (b) countering camouflage, concealment and deception of mobile ground targets; (c) providing near-real-time semi-automatic exploitation of wide-area moderate- and high-resolution imagery; (d) obtaining real-time, accurate battle damage assessment; and (e) accomplishing robust, precise identification, precision fire control tracking and engagement of ground targets. These needs are addressed in eight thrusts: 1) Persistent Exploitation, to combine sensors and exploitation tools in an integrated system to address counter-insurgency missions; 2) Network Centric Sensing and Engagement, to explore novel processing architectures enabled by the proliferation of data links; 3) Pattern Analysis Technology, to distinguish suspicious movement and activity from benign clutter; 4) Target Identification Technology, to build tools to automatically identify targets; 5) Advanced Radar Sensing Technology, to observe targets at night and in bad weather; 6) Advanced Airborne Optical Sensing, to provide high-resolution images over large areas; 7) Synthetic Aperture Ladar for Tactical Imaging (SALTI), to produce high-resolution 3-D imagery at long ranges; 8) Ground Targeting Sensors, to increase our ability to detect close-in ground targets; and 9) Soldier-borne Sensor Technology, to improve individual soldiers' situational awareness and effectiveness.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Persistent Exploitation	20.262	20.632	23.178

(U) The Persistent Exploitation program integrates a wide variety of sensors, data links, exploitation tools, correlators, and pattern analyzers into an end-to-end capability, focusing on counter-insurgency missions. These missions must be supported at all hours of the day, over large areas, and against a diverse set of targets, characteristics that no homogeneous sensor architecture can address. It ties separate hardware and

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software components together so that interactions among them can be defined, assessed, evaluated, and refined. It emphasizes real-time testing in realistic environments (e.g., the National Training Centers) so that subtle dependencies and interactions can be discovered.

(U) The Persistent Operational Surface Surveillance and Engagement (POSSE) program creates a system of systems framework in which a mix of surveillance assets, both operational and developmental, can be coordinated and exploited to yield persistent surveillance of insurgent activities. The program focus is on the Iraqi theatre, using a spiral approach designed to insert enhanced counter-insurgency capabilities into operational use as soon as possible, followed by improvements and enhancements as they become integrated through a domestic testbed. The efficacy and timeliness of surveillance afforded by the program's systems-level approach will significantly exceed that afforded by individual Intelligence, Surveillance, Reconnaissance (ISR) components, and will result in substantially enhanced force protection for fixed sites, convoys, and military operations. The framework includes data exploitation at both forward-deployed and national sites to support both quick-reaction cueing to engage insurgents, and deeper forensic analysis to identify their support structures. POSSE attacks the insurgent network to find activities indicative of bomb making perpetrators. The POSSE program is jointly funded with the Joint Improvised Explosive Device Defeat Task Force. POSSE technologies are planned for transition to the U.S. Army Intelligence and Security Command.

(U) Program Plans:

FY 2007 Accomplishments:

- Conducted a comprehensive analysis of existing surveillance assets in the Iraqi theatre.
- Developed a systems architecture and asset utilization plan that maximizes persistent surveillance capability in high priority regions, based on currently available assets.
- Identified coverage and gaps and required new capability needed to satisfy persistent surveillance and force protection objectives.
- Defined a spiral development plan that emplaces initial capability in theatre as early as possible, and identifies needed enhancements and new capabilities to be inserted in subsequent phases.
- Exercised these systems in near-real time through a series of live exercises at the National Training Center (NTC), in a realistic operational environment, in direct support of units conducting Mission Readiness Exercises prior to deployment to Iraq.

FY 2008 Plans:

- Continue semi-annual exercises at the NTC, demonstrating continued maturation of the near-real-time exploitation capabilities.
- Integrate capabilities into existent operational ISR exploitation cells, evaluating them in NTC exercises and followed with transition to deployed analysis cells.

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- Expand investigation of close proximity sensor experiments designed to differentiate a bomb maker's location from adjacent structures.
- Integrate proximity sensor capabilities into the near-real-time POSSE exploitation process.
- Evolve the temporary test facilities at the NTC into a more permanent test facility, Joint IED Attack the Network Testbed (JIANT).

- Expand JIANT to be accessible to other DoD programs and provide connectivity to operational and R&D facilities.

FY 2009 Plans:

- Continue spiral development with semi-annual exercises at the NTC and spin off mature capabilities to deployed analysis cells.
- Exercise JIANT in coordination with remote operational and R&D facilities to test and demonstrate operational capabilities.
- Evolve JIANT accessibility and utility to programs beyond POSSE as a DoD test bed.
- Test operational capabilities at the NTC with operational analysis cells and deploy demonstrated capabilities to theater.

	FY 2007	FY 2008	FY 2009
Network Centric Sensing and Engagement	13.900	11.419	5.585

(U) The Network Centric Sensing and Engagement thrust develops technology and tools to support precise situational awareness, rapid targeting, and precision engagement in highly-networked environments. Network-centric sensing acknowledges a group of sensors as a system and leverages networked intercommunication to enable system performance superior to that of uncoordinated individual sensors. Applications include advanced target detection, acquisition, tracking, and combat identification. The technology is suited to both ground-based sensors and airborne multi-ship sensor systems. Exploiting the potential of network-centric sensing requires a number of approaches. Required technology advances include: sensor-to-sensor communications, multi-sensor management, sensor system georegistration, real-time data fusion, advanced tracking, and network-centric sensor operational modes. Programs in this thrust include:

• The Quint Networking Technology (QNT) is a modular, multi-band, network data link program focused on providing capabilities that close the seams between four nodes - manned aircraft, weapons, tactical unmanned air vehicles (UAV's) and air control ground units. The program designs, develops, evaluates and demonstrates robust, affordable data link technologies suitable for use by weapons, tactical UAV's, and air control units. This includes shrinking the package size of data link capabilities from the current 1000 in<sup>3</sup> to 10 in<sup>3</sup>, the size of a cell phone. These data links enable precision strike and efficient machine-to-machine targeting against time critical and mobile

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targets, support combat identification of targets, disseminate tactical UAV and ground sensor data, and provide bomb impact assessment (BIA). The data links allow secure weapon handoff from the launch platform to any of several control platforms in the combat area, both air and surface. The QNT units provide two modes: a low rate bi-directional mode and a high data rate mode capable of either continuous or a burst imagery/video transmission. Dynamic net resource management technology will scale to support hundreds of vehicles in flight. Advanced information security techniques provide secure weapon data links and controller handovers. QNT technology transitions via insertion into DoD's existing and emerging weapons, tactical UAV's, and tactical handheld units after the program is completed in FY 2009.

- The Wide Area Video Exploitation program will develop technology to enable wide field-of-view Electro-Optical/Infrared (EO/IR) imagery framing cameras in airborne platforms to detect and track, in real time, multiple moving objects under a wide range of conditions and topography. Current systems are able to collect data and provide an ability to backtrack individual targets post-facto. The Sonoma-Plus program aims to provide a real-time ability to track in forward time multiple potential targets from high-altitude video imagery. On-board processing will be crucial since imagery data volumes will amount to gigabytes per second. Multi-hypothesis tracking of dozens and eventually hundreds of entities will also be developed, and imagery stabilization based on prior digital elevation models will also facilitate tracking and track analysis. Technologies are planned for transition to the Army.
- The Expeditionary Distributed Common Group System (DCGS) Global Information Grid (GIG) for Exploitation Services (EDGES) program provides layered and persistent Intelligence, Surveillance and Reconnaissance (ISR) of asymmetric and irregular warfighters in support of Marine Corps and Special Operations. The unique feature of EDGES will be the ability to intelligently interpret soldier requests for situation assessment data, access local tactical threat data bases, and fuse multi-sensor data for accurate, timely target detection, tracking, and identification. This system approach couples the deployment of a dedicated UAV system responsive to these small units, with data preprocessing and feature extraction to enable the efficient and timely transmission of actionable combat information to the troops. With the ability to support two-way communications with wideband reach back, information and observations received from the small operation unit will be integrated into the EDGES information data base and communicated to the higher commands. Through the ISR processing algorithms, sensor fusion operations and communication connectivity to the area of regard as well as the higher level of commands, EDGES will function as an ISR tool to the small unit and provide actionable persistent and dedicated service. This program is planned to transition to the Marine Corps.

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(U) Program Plans:		

- Quint Networking Technology (QNT) FY 2007 Accomplishments:
  - -- Designed QNT radios, waveforms and network.
  - -- Conducted analysis, design and hardware-in-the-loop tests.
  - FY 2008 Plans:
  - -- Build and evaluate brassboard in Stage 1 tests.
  - FY 2009 Plans:
  - -- Cycle and test brassboard Stage 2 tests and flight tests.
- Wide Area Video Exploitation
  - FY 2007 Accomplishments:
  - -- Developed signal processing architecture.
  - -- Validated architecture on non-real-time data set.
  - FY 2008 Plans:
  - -- Prototype video processing architecture.
- Expeditionary DCGS GIG for Exploitation Services (EDGES) FY 2009 Plans:
  - -- Develop and refine multi-sensor data fusion techniques to provide detection and classification of tactical threats.
  - -- Demonstrate high confidence identification of irregular warfighters through simulation, emulation, and field tests.
  - -- Develop a UAV "system" controlled by and responsive to the small unit with autonomous deployment and data collection capability.

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	EV	2007 EV 2008	EV 2000

1 2000 Pattern Analysis Technology 13.000 6.000 1.000

The Pattern Analysis Technology thrust develops exploitation tools to form and analyze tracks of vehicle movement, and distinguish (U)hostile behavior from benign civilian activities. It develops tools for movement pattern analysis, algorithms to predict target motions, and dynamic control methods for sensor tasking and observation scheduling. Programs in this thrust include:

- The Video Verification and Identification (VIVID) program develops technology to automate moving target strike operations for remotely • piloted aircraft (RPA). Program products support both precision strike operations and military surveillance. VIVID enables the handoff of targets between wide area coverage Intelligence, Surveillance, and Reconnaissance systems and local video surveillance platforms. The technology provides techniques for precision target identification in video including fingerprinting techniques and related technology to reacquire previously observed vehicles. The program also features techniques enabling video sensors to autonomously and simultaneously track multiple vehicular targets through dense traffic, temporary occlusion or exit from sensor field of view, in military surveillance and strike operations, and supports target detection of moving vehicles and/or dismounts in very low resolutions. VIVID significantly advances the capabilities of video surveillance and moving target strike for numerous military missions, including military operations in foreign urban areas. DARPA has established a MOA with the Air Force to transition the VIVID technology to the Predator. The VIVID technology is planned for transition at the conclusion of Phase II which is anticipated to be completed by the end of FY 2008.
- The Dynamic Tactical Targeting (DTT) program develops sensor control and data fusion technologies to enable warfighters to manage a • process to find, identify, track, target, and destroy mobile, time sensitive targets. Current targeting technology is too slow to maintain target track and support prosecution of these fleeting targets. DTT is designing and demonstrating a system that: 1) leverages existing National/Theater Intelligence, Surveillance, and Reconnaissance (ISR) processes for timely extraction of critical data; 2) fuses organic sensor data with ISR data from all sources to continuously estimate target location, identity, and activity; 3) dynamically tasks standoff, organic, and embedded sensors to fill ISR coverage gaps and provide relevant sensor observation in areas of tactical interest; and 4) processes and manages the voluminous data produced by various sensors in time to provide the warfighter information required to prosecute time-sensitive targets. The DTT technology is planned for transition to the Air Force in FY 2008 after a series of tests conducted with the Air Force Transformation Center.

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- The Forensic Target Motion Analysis program develops and demonstrates exploitation tools to analyze Ground Moving Target Indicator Radar tracks of multiple targets to separate militarily-interesting target movement (infiltrators, envelopments, defensive site preparation, logistics support) from nominal background traffic (e.g. civilians, coalition operations). It develops libraries of movement patterns, logic to generate hypotheses about which patterns are being observed, algorithms to correlate sensor data to those patterns, and mechanisms to quantitatively score the consistency of the data with each hypothesis. It also includes tools to provide short-term (5-10 minute) predictions of target motions, thereby supporting some forms of predictive threat analysis. The tools will be integrated into Distributed Common Ground Stations in FY 2009.
- (U) Program Plans:
  - Video Verification and Identification (VIVID)
    - FY 2007 Accomplishments:
    - -- Integrated real-time VIVID software with MTS Sensor.
    - FY 2008 Plans:
    - -- Demonstrate real-time software components on tower.
  - Dynamic Tactical Targeting (DTT)

FY 2007 Accomplishments:

- -- Demonstrated human interaction with closed-loop control of fusion and sensor management in a simulation environment.
- -- Developed rapid 4-D registration of multiple tracks to enable continuous tracking of numerous targets.
- -- Developed information fusion methods and the capability to plan and replan appropriate sensor platforms; enable continuous track of multiple time-sensitive targets simultaneously.
- -- Developed end-to-end robust system capability with integrated DTT components in the Air Force Research Laboratory testbed.
- -- Developed system measures of performance for evaluations.
- FY 2008 Plans:
- -- Integrate the system with an existing Air/Ground Battlespace Simulator/Testbed and perform experiments.
- -- Complete a robust laboratory demonstration of the system.
- -- Build system to test in field demonstrations.

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- Forensic Target Motion Analysis

FY 2008 Plans:

-- Obtain ground-truthed, wide-area Ground Moving Target Indicator (GMTI) data from operational airborne sensors. FY 2009 Plans:

-- Integrate into Distributed Common Ground Stations.

	FY 2007	FY 2008	FY 2009
Target Identification Technology	17.344	16.979	13.000

(U) The Target Identification Technology thrust develops semiautomatic methods to identify targets from sensors operating in all spectral bands. Its objective is to detect, characterize, and identify military threats, and to assess the environment around them. Data sources include national, theater, and organic sensors. Critical performance metrics are timeliness, accuracy, error rates, and interpretation workload. The thrust addresses the challenges of target identification, acquisition and tracking under restrictive rules of engagement. The technologies will apply advanced signal processing and machine vision to leverage advances in sensor capabilities. Four programs are funded in this thrust:

- The Tactical Sensor Network Technologies (TSNT) program developed detection, tracking, identification, and pattern analysis capabilities that operate in all nodes (fixed or mobile) within a networked, distributed multi-sensor system. The processing is performed at each network node depending on the sensors reporting to that node, the subscribing commanders, and resource management decisions. TSNT leveraged the advantages of a self-forming adaptive network for signal processing and its algorithms adapt based on self-discovered network topology, power management constraints, communications bandwidth limitations, and constraints found in the local environment. TSNT has demonstrated resilience to the failure of any node while maintaining sufficient consistency to support commanders' collaborative tactical planning. Technologies transitioned to the U.S. Army (PEO Intelligence and Electronic Warfare Directorate).
- The Exploitation of 3-D Data (E3D) program has developed techniques for rapidly exploiting 3-D sensor data. The initial program effort consisted of three distinct processes: Target Acquisition, Target Recognition, and Modeling. The resulting software tools were integrated into operational ground stations processing 3-D sensor data. The E3D technology was transitioned to SOCOM in FY 2006. The 3-D Reasoning (3DR) initiative is a follow-on program to E3D which will develop techniques to automatically generate large, fully annotated 3-D urban models from the rich sources of high-resolution laser radar data available from ground-based and airborne platforms. 3DR

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extends vehicle-centric automated target recognition methods to support the much broader class of objects accessible in urban and complex terrain - particularly side-looking sensors mounted on patrol vehicles. The program consists of four distinct components: (1) new methods to rapidly and precisely co-register 3-D and 2-D data from disparate ground and airborne sources; (2) new 3-D recognition approaches that identify objects within a class based on limited initial training; (3) a flexible and expandable 3-D database structure to support the highly detailed and evolving urban models and provide the basis for geometry-based queries; and (4) a user interface that provides rapid and flexible access to the data. The resulting software tools and modeling capabilities will be integrated into future command posts and operational SOCOM and Army units in the field at the conclusion of the program, anticipated to be completed in FY 2008.

- The All-Source Target Characterization program develops a collection and measurement capability to characterize new targets as they emerge on the battlefield. This effort develops tools to permit rapid user interaction with imagery, sensor data, and processing results and provides real-time feedback to operators indicating key target features and other discriminates. The program will engage universities and industry to develop technology for integrated near real time automation support for real time airborne target acquisition and target confirmation using a combination of advanced radar exploitation and electro-optical/infrared imagery. This initiative will also develop and demonstrate robust target cueing and identification over large classes of targets within a computational form factor appropriate for insertion into strike aircraft and unmanned aerial vehicles. The technology provides tools to process and disseminate target signatures to the field in usable formats for direct insertion into operational systems. It enhances operator interfaces with extant analysis workstations to allow on-the-fly collection of signature data with little/no intervention for the operator. Technologies are planned for transition to the Air Force Distributed Common Ground Station in FY 2009 and subsequently to the U.S. Army Future Combat System. Most developmental work will be performed by universities and industrial contractors, with system architecture, performance trades, and evaluation performed by Government participants and transition partners.
- The Detect UAV program develops techniques to detect, track, and characterize small UAVs that are easily built, inexpensive, easy to operate, and offer the asymmetric adversary an ability to reach into well-defended locations causing potentially large amounts of damage. It includes signal processing techniques to detect small air targets in radar, video, acoustic, and passive radio-frequency intercepts; to correlate those data with known objects (e.g., civilian aircraft); to analyze the motion of any uncorrelated data; and to rapidly task narrow-field-of-view sensors to collect more-detailed data. It will transition to the Army in FY 2010 to meet both static force protection needs and tactical air defense operations.

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#### (U) Program Plans:

- Tactical Sensor Network Technologies (TSNT) FY 2007 Accomplishments:
  - -- Developed algorithms for distributed situation assessment at all nodes of a networked group of sensors.
  - -- Integrated and assessed distributed system performance in large-scale simulation and limited-scale testing.
  - -- Demonstrated robustness of TSNT networked sensing under network and environmental stresses.
  - -- Incorporated tracking, target identification, and target assignment algorithms for fully distributed operation.
- Exploitation of 3-D Data (E3D)

FY 2007 Accomplishments:

- -- Demonstrated that the 3-D shape and structure of vehicles permits confident identification.
- -- Conducted real data collection using laser radar, recognition by parts: 98% accuracy in <5 min.
- -- Successfully demonstrated vehicle fingerprinting using shape and color.

FY 2008 Plans:

- -- Conduct real time data collection with PFP of 90.3% in <10 secs for models in library.
- All-Source Target Characterization

FY 2007 Accomplishments:

- -- Collected full spectrum data.
- -- Analyzed reliability and sensitivity of each source.

FY 2008 Plans:

-- Develop tools to permit rapid user interaction with imagery and processing results.

FY 2009 Plans:

- -- Evaluate performance in field exercises and demonstrations.
- Detect UAV

FY 2008 Plans:

- -- Generate candidate system architecture, focusing on an effective sensor suite, to detect and track small UAVs.
- -- Collect small UAV signatures.

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<ul> <li>FY 2009 Plans:</li> <li>Develop target tracker.</li> <li>Validate classifier performance.</li> <li>Exercise full processing chain.</li> <li>Integrate kill mechanism.</li> </ul>		

	FY 2007	FY 2008	FY 2009
Advanced Radar Sensor Technology	12.000	14.000	18.000

(U) The Advanced Radar Sensor Technology thrust develops radar systems to provide significant improvements in our ability to detect, identify, and track surface targets and threats over very wide areas in all climatic conditions. Program efforts focus on exploiting emergent and novel radar sensing technology and phenomenology. Key elements are advancements in ultra-wide band, bistatics, UHF/VHF, polarimetric change detection, tomographic imaging, space-time adaptive processing and other advanced signal processing, advanced Ground Moving Target Indication techniques, and foliage, building-penetrating, and ground-penetrating radar phenomenology. Program developments are integrated with current and emerging military platforms. Emphasis is on the most stressing military radar sensor challenges. Examples are operations featuring complex cluttered ground environments; those against small and slow moving surface targets; urban operations, and situations where camouflage, decoys and countermeasures must be overcome. Programs in this thrust include:

- The Augmented Aerial Sentry (AAS) program designed a rapidly-deployable airborne system to provide assured protection of permanent or temporary U.S. base camps in hostile territory. AAS could accommodate ground-based, wide area sensors in conjunction with air platforms to maintain continuous surveillance of the area around the camp, detecting potential intruders or weapon launches. The suite of airborne sensor platforms could then be tasked locally to investigate potential threats; lock on to personnel or weapons involved in an attack; allow commanders to confirm threats; or authorize precision weapons to engage them.
- The Sensing and Exploitation of Urban Movers (SE-UM) program develops technology for the detection of dismounted troops in combat situations using airborne radars. SE-UM develops the capability to detect, classify, track and recognize the behavior of human beings using radar data. Existing radars have been shown to allow this capability under ideal circumstances; those under development will, either fortuitously or by design, more consistently obtain detections from individuals. SE-UM will exploit these data by detecting each

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individual, classifying the individual as human and according to their speed and gait, tracking many individuals (forward and backwards in time) and automatically recognizing common, anomalous and significant actions/behaviors. Challenges include detection of motion below the minimum discernable velocity of the radar system, and discernment of multiple moving objects within a single beam width. Using SE-UM on data from appropriately designed airborne radar the system could observe human motion over an entire urban region. SE-UM technologies will transition to the airborne systems deployed by the Air Force and Navy.

- The NetTrack program will extend capabilities for persistent tracking and targeting of moving vehicles from airborne radars. NetTrack will improve capabilities in two ways: the system will network radars together and use advanced radar techniques to gather "signatures" of vehicles. The signatures, which are collections of radar features, will be stored and passed over the radar network. The system will compare vehicle signatures taken before and after confusing events to maintain the track of the target vehicles. Extended long-term airborne radar tracking will be an important long-range, all-weather, capability. It will extend the kill chain to enable vehicle engagement hours after target designation, enable behavioral analysis of vehicle movements to gauge enemy operational structure, force composition, and intentions, and provide a higher level of situational awareness at every level. Technologies are planned for transition to the Navy, Army and Air Force.
- The Dual Beam Lynx program will enhance the capabilities of the Lynx radar system to track slow-moving vehicles more accurately. The program modifies a Lynx I radar to create two beams with different phase centers and uses space time adaptive processing to detect moving targets in the main beam clutter. The goals of this program include demonstrating improvement in minimal detectable velocity, improving geolocation accuracy, and achieving a low manufacturing cost. The radar performance will be demonstrated from flight data collected from the radar flying on a UAV surrogate. Technology is planned for transition to the Air Force.
- The Boreal program will develop and demonstrate a rapidly deployed, wide-area surveillance system for detection, tracking, precision location and engagement of high value targets under dense foliage. The Boreal system would be installed on a high flying fixed wing aircraft, and would rapidly search large areas for fixed and moving targets under foliage and provide simultaneous Ground Moving Target Indicator (GMTI) and Synthetic Aperture Radar (SAR). The GMTI will detect and locate dismounts and vehicles moving under foliage and the SAR will for reveal buildings, vehicles and lines of communications under foliage. The goals of this program include demonstrating real-time onboard wide-area GMTI and simultaneous SAR and achieving precise geolocation (7-10m) of moving dismounts. This technology will transition to the Air Force.

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• The Next Generation RF Antenna System program will develop and demonstrate an ultra-sensitive Radio-Frequency (RF) receiver made from lightweight non-reciprocal materials for precise direction and frequency sensing, tunable over a broad frequency range. This system will enable signals intelligence (SIGINT) at extended ranges by detecting faint or distant signals with accurate incident angle and frequency determination. The resulting system will provide greater than 10 dB improvement over existing amplifiers and antenna systems. This program is planned for transition to the Air Force by 2010.

(U) Program Plans:

- Augmented Aerial Sentry

FY 2007 Accomplishments:

-- Completed system architecture study.

- Sensing and Exploitation of Urban Movers (SE-UM)

FY 2007 Accomplishments:

- -- Completed data collection, simulated data, dismounted characterization analysis.
- FY 2008 Plans:
- -- Conduct real-time demonstration.
- FY 2009 Plans:
- -- Transition to Air Force and Navy.
- NetTrack

FY 2007 Accomplishments:

- -- Developed algorithms for radar feature association, radar tracking, long-term hypothesis management and sensor resource management.
- -- Developed simulated test bed of vehicle movement in semi-urban areas to demonstrate the ability of radars to track ground moving vehicles.
- -- Commenced integration of multiple components into tracker test bed.

FY 2008 Plans:

- -- Improve capabilities for using vehicle radar signatures to associate vehicle observations.
- -- Demonstrate NetTrack operations in simulation.

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FY 2009 Plans:

- -- Demonstrate radar signature-aided vehicle tracking, and the cooperative use between radar platforms of those radar features.
- -- Demonstrate NetTrack capabilities in real-time on networked radar platforms.
- Dual Beam Lynx

FY 2008 Plans:

- -- Conduct Preliminary Design Review.
- -- Develop algorithms.

FY 2009 Plans:

- -- Develop space time adaptive processing.
- -- Perform flight test and data collection.
- Boreal

FY 2009 Plans:

- -- Develop and test advanced signal processing algorithms.
- -- Develop and test endbody design.
- -- Collect data with non-real time non form-factor testbed and use this data to validate performance predictions.
- Next Generation RF Antenna System

FY 2009 Plans:

- -- Model and simulate of materials to assess and optimize non-reciprocal behavior.
- -- Fabricate and test 1-D material sample.
- -- Extend non-reciprocal materials to detect radiation at oblique angles and to determine incident radiation.

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	F	7 2007	FY 2008	FY 2009

22.950

22.100

29.385

(U) The Advanced Airborne Optical Sensing thrust develops large aperture sensors and image processing systems to provide video coverage of large areas and detection and identification of elusive targets at long range and under foliage and camouflage. It builds optics, gigapixel focal plane arrays, advanced laser radar technologies, embedded image processors, and video compression algorithms tailored to real-time detection, identification, and tracking of military targets. It emphasizes materials and phenomenologies suitable for operations at night and with significant atmospheric absorption or obscuration due to foliage and camouflage. Programs in this thrust include:

Advanced Airborne Optical Sensing

- The Standoff Precision ID in 3-D (SPI 3-D) program is developing an affordable sensor package capable of high-resolution 3-D images for confirmatory target ID at long ranges (>10km) as well as full field of view (FOV) ranging to support precise geolocation of targets. The system provides intensity, range and polarization information for each pixel in the field of view with each laser pulse. The program includes a series of ground-based and airborne demonstrations of SPI-3D precision ID capabilities and track fusion techniques. The objectives are to provide: (1) high range resolution 3D imaging; (2) full FOV range to pixel determination; (3) multiple frame-to-frame registration of imagery, and (4) GPS-based cueing from search systems. Results will provide commanders with significantly improved long-range identification of enemy ground targets, as well as targeting information to support coordinate guided weaponry. The SPI-3D system employs optics and focal plane arrays and gimbals combined with a novel Pockels cell range measurement technique. The system will operate in the near infrared spectral region to minimize observability. SPI-3D technologies are being designed to achieve a Class IV UAV-compatible (Predator, Firescout & Warrior) configuration for installation into a Multi-spectral Targeting System (MTS) turret for transition to the Air Force at the conclusion of Phase III, which is anticipated to be completed by FY 2010. The program will also aid in Geiger Mode Avalanche Photodiode (GmAPD) technology transfer for the production of high speed, ultra sensitive photodetectors to systems requiring operation at very low photon counts. This will support long range sensors that can detect highly obscured targets (≥ 95%) under canopy/camouflage.
- The Advanced Optical Sensing program develops the next generation of airborne optical surveillance systems while also developing and demonstrating the ability to obtain very high dynamic range, high resolution hyper-spectral and polarimetric information from airborne imagers. The program focuses on bringing recent advances in photonic and other technologies to military airborne optical sensing systems. This effort develops advanced digital signal processing to support onboard image reconstruction, atmospheric correction and

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BA3 Advanced Technology Development	PE 0603767E, Proj	ect SEN-02

system calibration. Techniques are being explored to realize a large aperture wide-field-of-view imaging system within less than half a meter of thickness. Adaptive optics techniques, such as those used for atmospheric correction, are being explored to help combine subapertures while relieving alignment requirements. While electronic beam steering and zoom optics have been demonstrated with deformable mirrors and liquid crystal spatial light modulators, this program seeks to extend these technologies and make them practical for airborne surveillance systems. Technologies are planned for transition to the U.S. Army.

- The Large Area Coverage Search-while-Track and Engage (LACOSTE) program enables persistent tactical-grade Ground Moving Target ٠ Indication (GMTI) in dense urban areas. Wide-area continuous tracking of moving vehicles requires very small coverage gaps, small resolution cells, and target separation and identification features. The ideal sensor has the area coverage rates of GMTI radar and the resolution/identification capabilities of an electroptical infrared system. The LACOSTE program will provide wide area surveillance, simultaneous tracking, and target engagement with optical and infrared sensors for tactical GMTI operations. The program is developing a sensor with a very wide field of regard (90° cone angle), and a wide instantaneous field-of-view (FOV) that is rapidly scanned in a search-while-track mode - tracking up to 10,000 targets in an urban area. Additionally, the LACOSTE sensor will provide nextgeneration precision tracking to enable engagement on a large number ( $\sim 100$ ) targets in dense urban areas within that same field of regard with a minimal penalty on the search-mode area coverage rate. The program is also developing a rapid "zoom" capability for target identification that enables feature-aided tracking through dense target environments plus sufficient target identification for separating liketargets when back-tracking a particular target via the historical track data. The LACOSTE technology is planned for transition to the Air Force and the Army at the conclusion of the program anticipated in FY 2009.
- Spatially Processed Image Detection and Ranging (SPIDAR) is a coherent imaging method that allows one to form a large effective ٠ optical aperture from a set of smaller, lighter telescopes providing for very high-resolution 3-D and 2-D ladar imagery of distant targets with a compact system configuration. This capability is very well suited for long-range engagements from airborne or space-based platforms and could significantly enhance the current synthetic aperture imaging approaches by providing the desired cross-range resolution along the axis perpendicular to the direction of travel. This capability is also applicable on a small scale to provide very high resolution imagery in a compact and potentially man-portable configuration for long-range ID. The gain in size, weight and power over more conventional lidar implementations will be assessed and demonstrated. The effort will improve performance of the technology, specifically using diffuse reflective targets, targets with lower contrast and reduced intensity reference beam. Additionally, suitable missions and platforms for the technology will be identified. SPIDAR technologies will be transitioned to the Air Force in FY 2013.

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• The Hyperspectral Framing program will develop and demonstrate a system for collecting and processing hyperspectral (HSI) data operating as a framing sensor, instead of as a line scanner with the constraints of current sensors. The system will accept wide spectral content over hundreds of bands permitting extremely powerful air and space-borne reconnaissance for real time target detection. The resulting sensor and processing system will provide a 2-3 order of magnitude increase in the combination of area coverage rate and resolution, as well as a 1-2 order of magnitude decrease in sensor system size and weight and power consumption. The Hyperspectral Framing system is planned for transition to the Air Force by FY 2010.

- (U) Program Plans:
  - Standoff Precision ID in 3-D (SPI-3D)
    - FY 2007 Accomplishments:
    - -- Initiated preliminary design of components for integration into a Multi-spectral Targeting System (MTS) turret and flight testing of selected critical design elements.
    - FY 2008 Plans:
    - -- Complete design for integration into MTS turret.
    - FY 2009 Plans:
    - -- Critical Design Review and fabrication of flight sensor components and flight system development.
  - Advanced Optical Sensing

FY 2007 Accomplishments:

- -- Investigated approaches for producing large aperture imaging systems with constrained size.
- -- Explored uses of adaptive optics to provide optical corrections for multiple sub-apertures.
- FY 2008 Plans:
- -- Investigate technologies for optical beam steering and optical zoom that can be applied to airborne optical systems.
- -- Develop advanced signal processing techniques for the rapid formation of optical imagery.

FY 2009 Plans:

- -- Integrate into test vehicle.
- -- Conduct flight experiments for video windows and video tracking.
- -- Transition system to Services for production and fielding.

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- FY 2007 Accomplishments:
- -- Developed objective system concepts enabling wide-area stand-off sensor for urban tactical-grade ground target tracking.
- -- Developed electroptical infrared electronically scanned sensor components.
- FY 2008 Plans:
- -- Lab test the sensor parameters against measured urban data.
- -- Develop optical tracking algorithms.
- -- Design and develop scaled objective system.

FY 2009 Plans:

- -- Manufacture and integrate the LACOSTE sensor components.
- -- Conduct a rooftop demonstration of a large cone-angle electronically scanned sensor in an urban environment.
- Spatially Processed Image Detection and Ranging (SPIDAR) FY 2009 Plans:
  - -- Initial assessment of the performance of the current system configurations and systems analysis of long-range, high-resolution imaging applications.
  - -- Identify the trade space for considering multi-aperture receivers and illuminators in the system designs.
  - -- Define and detail performance of underlying key component technologies (including stable, high-power laser sources, high-speed imaging focal planes and image processing analysis.).
  - -- Develop conceptual system designs to achieve desired system performance.
- Hyperspectral Framing

FY 2009 Plans:

- -- Detailed design of hyperspectral sensor package.
- -- Parallel processing algorithm development.
- -- Laboratory demonstration of breadboard system.

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FY 2007 FY 2008				FY 2009
Synthetic Aperture Ladar for Tactical Imaging (SALTI)			14.000	26.000

(U) The Synthetic Aperture Ladar for Tactical Imaging (SALTI) program develops and demonstrates an airborne synthetic advanced laser radar (ladar) imager capable of producing high-resolution three-dimensional imagery at long ranges. The technical objective of the SALTI program is to provide a proof-of-concept for operation at tactically relevant high altitudes and at long ground ranges. The SALTI approach combines the long-range day/night access afforded by conventional synthetic aperture radar with the interpretability of high-resolution optical imagery and the exploitability of three-dimensional imagery, for deployment within a tactical-sized package. The SALTI program has produced the first-ever synthetic aperture LADAR images from aircraft. Development and demonstration of long range performance is scheduled to be conducted through FY 2009. The SALTI technology is planned for transition to the Air Force by FY 2012.

- (U) Program Plans:
  - FY 2007 Accomplishments:
  - Completed sensor package and ground testing.
  - Conducted flight testing in various operational environments.
  - FY 2008 Plans:
  - Develop lasers for higher power and higher bandwidths to support Long Range Demonstration (LRD).
  - Characterize propagation through the atmosphere under operational conditions to assess long range operational performance.
  - Generate and modify system design to support LRD.
  - FY 2009 Plans:
  - Commence fabrication of critical subsystems to increase transmit power and telescope aperture.
  - Repackage to reduce size and weight.
  - Increase field-of-regard to allow forward look angles and accommodate aircraft roll and pitch.
  - Develop real-time onboard processor and test critical subsystems.
  - Test and characterize SALTI performance against diverse target sets in representative scenarios.
  - Modify system design to support installation and testing in a pod.

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FY 2007				FY 2009

(U) The Ground Targeting Sensor thrust provides sensors and signal processing systems to detect, identify, and engage close-in ground targets. Its products are installed on platforms that operate on the ground (HUMVEE, convoy elements) and near the ground (helicopters). They employ technologies that defeat or compensate for the unusual atmospheric conditions near the surface (turbulence, dust, strong propagation losses) in order to provide timely and accurate detection and classification of dismounts, small vehicles, and terrain obstacles. Programs in this thrust include:

15.815

17.540

17.602

Ground Targeting Sensors

- The SandBlaster program will develop a passive pilot enhancement system that fuses visible, infrared (IR) and millimeter wave radiation to enable multiple helicopters to land safely in conditions of severe brown- and white-out. SandBlaster will exploit the low attenuation property of dust (fog and snow) on millimeter wave radiation. A passive millimeter wave system will be developed to preclude detection and prevent interference as would be expected from multiple active systems operated in close proximity. Four fundamental piloting situational awareness enablers will be addressed: (1) pilot's ability to "see" in limited visibility conditions, (2) pilot's awareness of helicopter drift, (3) pilot's awareness of slope of terrain, and (4) display technology matched to mission and human factors considerations. The technology developed under this program will transition to SOCOM and the Marine Corps in FY 2008.
- The Super-Resolution Vision System (SRVS) program will develop and build a field prototype soldier-portable optical system that will demonstrate improved recognition and identification range over existing systems. The key technical innovation is exploitation of atmospheric turbulence-generated micro-lensing phenomena to generate images that are superior to diffraction-limited images. SRVS will facilitate new operational and tactical opportunities for land forces. Through enhanced resolution imaging, SRVS will (1) extend target recognition and identification to decisively longer distances; (2) overcome atmospheric turbulence, which now limits the ability of high-resolution optics; and (3) increase target identification confidence to reduce fratricide and/or collateral damage. It will culminate in a field demonstration of a prototype. Technology developed under this program will transition to Special Operations Forces in FY 2011.
- Polar Bear will provide a missile seeker that uses polarimetric processing and 3-D registration with target folders to generate precision terminal guidance. The system will sense polarimetric long-wave infrared signals generated by target and background, derive the surface shapes of the target and background and match the target shape to 3-D target folders. This will enhance target identification capabilities

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and enable precision aim-point selection on the target. The program will develop algorithms for surface normals and shape signature extraction from polarimetric data, develop tools for 3-D target folders, and develop software for real time onboard processing. The precision attainable by Polar Bear will be suitable for a kinetic-kill weapon and the sensor cost will be comparable to existent uncooled infrared missile sensors. Technologies are planned for transition to the U.S. Army.

- The Short Wave Infrared through Fog and Clouds (SWIF) program will develop and demonstrate advanced signal processing and optical imaging technology to allow detection of collision and grounding threats in fog and clouds at useful ranges (day or night). The obscurants substantially degrade performance in precision handling operations. Humans are able to operate successfully with sensor assistance, but situational awareness significantly degrades. Successful development of this technology will restore this situational awareness to tactically relevant distance and time scales. Significant technical obstacles that must be overcome include development of an ultra-short pulse laser with sufficent bandwidth and fast enough pulse rise time to create transient-like propagation characteristics in an aerosol cloud. This effort is planned for transition to the Navy and Air Force by FY 2012.
- (U) Program Plans:
  - SandBlaster
    - FY 2007 Accomplishments:
    - -- Completed full scale lidar testing of helicopter dust cloud penetration, and landing zone imaging.
    - FY 2008 Plans:
    - -- Complete Millimeter-Wave Radar development.
    - -- Complete synthetic vision development.
    - -- Complete advanced control laws.
    - -- Complete sensor fusion engine.
    - -- Complete development system integration and flight testing to demonstrate capabilities and performance.
    - -- Integrate the system and demonstrate capabilities.
    - -- Transition to the Services at completion.
  - Super-Resolution Vision System (SRVS)
    - FY 2007 Accomplishments:
    - -- Established baseline soldier performance in turbulent atmospheres.

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- -- Conducted field experiments to obtain data for algorithm development.
- -- Developed image formation algorithms.
- -- Tested image formation algorithm performance in controlled field experiments.
- -- Designed prototype system.
- FY 2008 Plans:
- -- Investigate optimal control algorithms and implementation.
- -- Complete prototype design; fabricate brassboard system.
- -- Conduct field experiments and testing to optimize system performance.

FY 2009 Plans:

- -- Complete fabrication and testing of soldier portable prototype.
- -- Conduct demonstration and testing of prototype systems.
- -- Modify design based on experiments and testing to support transition.
- Polar Bear

FY 2008 Plans:

- -- Conduct long-wave infrared measurements of various targets over a range of employment conditions, including geometry, lighting, obscurants, etc.
- -- Develop and evaluate polarimetry-based 3-D registration algorithms.

FY 2009 Plans:

- -- Develop algorithms and exploitation tools for target folder development based on processed sensor data.
- -- Conduct preliminary design review for a Polar Bear enabled missile seeker to be built and demonstrated.
- -- Develop a concept for operations and identify transition opportunities for the Polar Bear seeker technology.
- Short Wave Infrared through Fog and Clouds (SWIF)

FY 2008 Plans:

- -- Develop imaging algorithms.
- -- Conduct modeling and simulation to optimize system range and resolution.
- -- Design scanning and imaging system for fast image formation in a wide field of view.
- -- Conduct experiments under various scattering and absorption conditions to characterize optical link budget.

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<ul> <li>Demonstrate imaging algorithm performance in controlled FY 2009 Plans:</li> <li>Design prototype system.</li> <li>Test brassboard system in various operating conditions.</li> <li>Develop prototype system.</li> </ul>	1 conditions.	

-- Conduct environmental performance testing of prototype system.

	FY 2007	FY 2008	FY 2009
Soldier-borne Sensor Technology	15.273	9.046	11.997

(U) The Soldier-borne Sensor Technology thrust provides sensors for improved situational awareness and effectiveness of individual soldiers. It builds small unit enemy weapon fire detection and classification tools, more precise target designation sensors, and methods for improved small arms weapon effectiveness. Programs in this thrust include:

- The High Precision Long Range Laser Designator/Locator (HPLD) program seeks to develop an affordable laser target designator/locator package that allows the user to observe, track, and designate a target at operationally significant ranges. The focus of this effort is to investigate target-in-the-loop active optics approaches and novel high accuracy pointing methods to enable a single operator to precisely determine the GPS coordinates of a target that is multiple kilometers away. Once precisely determined, the operator would be able to observe, track, and laser designate the target as required, using a single device. This device would be used by ground combat elements and small unmanned aerial vehicles that conduct terminal attack control and call for fire and will be designed to support their full range of deployment methods. It also survives in a harsh environment for long periods of time with minimal maintenance. This program will also investigate advanced, lightweight inertial navigation system (INS) technology, infrared imaging and advanced on-focal-plane processing technology to achieve revolutionary improvements in targeting device form factor, speed, cost and accuracy as well as technologies that could assist snipers and spotters. This technology is expected to transition to the Army.
- The Omni-Directional Flash & Launch Detection, Positioning, Classification and Observation System (MEGA) program will develop a low-cost, omni-directional staring, infrared sensor, which will provide circumpheral imagery of its surroundings. The MEGA sensor and algorithms will be used to detect weapon discharges in its field of regard, locate and classify them and, using appropriate communication

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means, convey the information to other units or systems connected to it. This program will transition through delivery of two final 360 degree mobile systems to Service partners.

- The Crosswind Sensor System for Snipers (C-WINS) program will build upon technology investigated under the HPLD program and provide optical techniques to correct for crosswinds on ballistic objects. The C-WINS System will develop a novel weapon mounted laser correction system for various rifles and machine guns. This laser will be directed downrange for wind profiling and ballistic correction. The new system will provide offset corrections to the shooter for compensating the aim point affected by the crosswind. Key parameters of interest are: a) bullet strike coordinates less than the target size at any range up to weapons effective range; b) down range profiling up to weapons effective range; c) ranging accuracy sufficient to offset; d) automatic ballistic correction; e) day/night operation; and f) no setup or calibration. Additional capabilities could include: increased effective ranges for a wide range of weapons; eye safe ranging; illumination when combined with night sight; combat ID; and point-to-point voice communications. This program is planned for transition to the Army and Marines in 2010.
- The Laser Geospatial Referencing (LGR) system will allow ground troops to designate targets for engagement by air forces where the pilot or UAV operator can see the designated spots within the field of view of their visible or forward looking infrared system. The LGR concept provides nearly instantaneous target location, identification and designation capabilities to weapon platforms supporting urban or other ground operations. The LGR concept enables these assets to be immediately directed by dismounted soldiers. LGR technology could dramatically reduce the time required for targeting existing firepower in the form of man-portable missiles, light armor, tanks, artillery and ground attack aircraft. LGR technologies will be transitioned to the U.S. Army and Marine ground forces, and U.S. Air Force and Army Airborne Targeting Systems in FY 2013.
- The Sensor Tape program will develop and demonstrate a low-cost one-time-use low-power band-aid size adhesive-applied blast dosimeter that records accumulative blast effects for integration into combat medical care. Significant technical obstacles that must be overcome include achieving adequate switching frequencies, packaging, print-on ink technologies and production costs. Sensor Tape is planned for transition to the Air Force by FY 2011.

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#### (U) Program Plans:

- High Precision Long Range Laser Designator/Locator (HPLD) FY 2007 Accomplishments:
  - -- Completed image resolution & dance data analysis.
  - -- Developed signal processing technologies and algorithms to achieve high resolution imaging and reduce laser beam dance and wander.
  - -- Built and demonstrated target-in-the-loop adaptive optics ability to achieve high resolution laser pointing and imaging of small targets.
  - -- Developed atmospheric turbulence statistical model and investigated commercial off-the-shelf (COTS) lasers to test alternative concepts by modeling.
  - -- Developed system concepts for snipers and spotters that rely on tracking the aerosol motion resulting from crosswind over time. FY 2008 Plans:
  - -- Demonstrate the feasibility of measuring crosswind and turbulence.
  - -- Investigate technology solutions to mitigate the effects of weather on sighting systems.
- Omni-Directional Flash & Launch Detection, Positioning, Classification and Observation System (MEGA) FY 2007 Accomplishments:
  - -- Developed and demonstrated IR sensor prototype.
  - -- Developed and demonstrated stationary omni system.
  - -- Developed and demonstrated mobile platform omni system.
  - FY 2008 Plans:
  - -- Integrate mobile system with vehicle and demonstrate in series of field tests.
- Crosswind Sensor System for Snipers (C-WINS) FY 2009 Plans:
  - -- Design and build an electronics board sufficient to trigger laser at required rates, receive, store and process data (on line and offline).
  - -- Integrate system and conduct field tests to validate the proposed concept as a function of the crosswind and scintillation index.
  - -- Demonstrate system capability to correct crosswind effects on ballistic trajectory.

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- -- Develop transition and manufacturing plans.
- Laser Geospatial Referencing (LGR)

FY 2009 Plans:

- -- Complete analysis of radiometric constraints and the available technology paths to meet the program objectives.
- -- Complete limited measurements of narrowband, wide field of view filters, and snapshot "shutters" suitable for the system as envisioned in turret and targeting pod configurations.
- Sensor Tape

FY 2009 Plans:

- -- Develop jet-printing processes required for printed sensors, printed electronics and printed memory components.
- -- Develop printed pressure, acceleration, light and acoustic sensors.
- -- Develop and demonstrate proposed sensors and communications capability in controlled laboratory experiments.
- -- Integrate modules into a complete first generation prototype blast dosimeter.

### (U) Other Program Funding Summary Cost:

• Not Applicable.

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COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	127.170	124.974	110.572	80.238	83.804	92.713	92.719
Guidance Technology GT-01	49.808	44.856	41.125	30.225	29.718	29.718	29.717
Classified GT-CLS	77.362	80.118	69.447	50.013	54.086	62.995	63.002

### (U) <u>Mission Description:</u>

(U) The Guidance Technology program element is budgeted in the Advanced Technology Development Budget Activity because it is developing system oriented technologies that will improve our ability to navigate weapon systems with more precision and increase the capability to meet current and emerging threats.

(U) The Guidance Technology project will increase the ability of Global Positioning System (GPS) users to operate effectively in the presence of enemy jamming; to increase the versatility of navigation systems applications by developing microelectromechanical sensor inertial navigation system technologies; and to apply the geolocation technologies/techniques to precision threat geolocation of short-dwell emitters or passive air defense systems. Fire-and-forget standoff weapons need precise targeting information if critical fixed and mobile targets are to be eliminated effectively with minimal collateral damage and minimum cost-per-kill. This requires that: (1) military surveillance and targeting systems geolocate targets accurately in the same coordinate system in which the weapon system navigates; (2) the surveillance, targeting and weapon systems have precision navigation and guidance systems on-board; and (3) navigation and target location systems robustly operate day/night and in adverse weather. In addition, future systems designed to accomplish precision strike missions must be significantly more affordable. The achievement of these characteristics in an integrated system is the goal of this project.

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	APPROP RI BA3 Advan	RIATION/BUDGET ACTIVITY DT&E, Defense-wide Iced Technology Development	R-1 ITEM NOMENCLATURE Guidance Technology PE 0603768E					
(U)	Program Change	Summary: (In Millions)	<u>FY</u>	2007	<u>FY 2008</u>	<u>FY 2009</u>		
	Previous President	's Budget	142	2.826	127.777	121.704		
	Current Budget		12'	7.170	124.974	110.572		
	Total Adjustments		-1:	5.656	-2.803	-11.132		
	Congressional prog	gram reductions	-12	2.000	-2.803			
	Congressional incr	reases	(	0.000				
	Reprogrammings		(	0.000				
	SBIR/STTR transf	er	-:	3.656				
(U)	Change Summary	Explanation:						
	FY 2007	Decrease reflects the Section 8043 Reci	ssion and the SBIR/STTR transfer.					
	FY 2008	Decrease reflects reductions for Section and Section 8025(f) FFRDCs.	8097 Contractor Efficiencies, Section 8	104 Eco	onomical Ass	umptions,		
	FY 2009	Decrease reflects program rephasings ir Project GT-01.	Project GT-CLS, offset by expansion o	f guida	nce technolog	ies in		

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COST (In Millions)	FY 2007	FY 2008	FY 2008 FY 2009 FY 2010 FY 2011 FY 2012 F				FY 2013
Guidance Technology GT-0149.80844			41.125	30.225	29.718	29.718	29.717

#### **(U) Mission Description:**

Fire-and-forget standoff weapons need precise targeting information if critical fixed and mobile targets are to be eliminated effectively (U) with minimal collateral damage and minimum cost-per-kill. This requires that: (1) military surveillance and targeting systems geolocate targets accurately in the same coordinate system in which the weapon system navigates; (2) the surveillance, targeting and weapon systems have precision navigation and guidance systems on-board; and (3) navigation and target location systems robustly operate day/night and in adverse weather. In addition, future systems designed to accomplish precision strike missions must be significantly more affordable. Thrusts are included in this project to improve our ability to navigate when the Global Positioning System (GPS) is jammed or otherwise unavailable; to increase the versatility of navigation systems applications by developing microelectromechanical sensor inertial navigation system technologies; and to apply the geolocation technologies/techniques to precision threat geolocation of short-dwell emitters or passive air defense systems.

#### **(U) Program Accomplishments/Planned Programs:**

	FY 2007	FY 2008	FY 2009
Multifunctional Electro-Optics for Defense of U.S. Aircraft (MEDUSA)	13.005	15.000	15.669

The Multifunction Electro-Optics for Defense of U.S. Aircraft (MEDUSA) program will develop the technologies and systems to give the (U) U.S. air dominance at low altitude and at night. This program will develop the technologies to leap-frog reactive end-game countermeasures and enable increased threat warning times, denial of launch, and put Electro Optical-Infrared (EO-IR) air defense threats at risk. MEDUSA is a threepart technology program: (1) conduct phenomenological measurements and develop countermeasures and target classification/identification techniques; (2) develop critical component technologies such as high-power IR laser sources, advanced IR detectors, and fibers for high-power IR transmission; and (3) develop and demonstrate an end-to-end MEDUSA system. The MEDUSA technology is planned for transition to the Air Force and Army at the conclusion of technology development and flight demonstration, which is anticipated to be completed during FY 2011.

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BA3 Advanced Technology Development	PE 0603768E, Project GT-01			

### (U) Program Plans:

FY 2007 Accomplishments:

- Initiated development of high-performance 128x128 focal plane arrays (FPAs) to enable the MEDUSA missions.

- Completed design of the Read-Out Integrated Circuit (ROIC) enabling extremely low-power, high-sensitivity (>300 gain), high-speed (>10 kHz frame rate), high-bandwidth (>100 MHz) features for an active receiver in the Near/Mid-Wave Infrared (NMIR) regime.

- Completed design of the ROIC enabling low-power, high-sensitivity, high-speed features for an active receiver in the LWIR regime.

- Fabricated initial NMIR high-speed, low-power, 128x128 ROIC and performed a design validation test of performance.

FY 2008 Plans:

- Fabricate first fully integrated large format 128x128 NMIR FPA integrated with a low-power, high-speed ROIC, demonstrating high-sensitivity and high-gain (>300) performance in an integrated FPA/ROIC compact camera cryo-cooler package.
- Fabricate final Long-Wave Infrared (LWIR) ROIC prior to hybridization with FPA.

FY 2009 Plans:

 Complete fabrication of first fully integrated large format 128x128 LWIR FPA integrated with a low-power, high-speed ROIC, demonstrating high-sensitivity large format heterodyne receiver performance in an integrated FPA/ROIC compact camera cryo-cooler package.

	FY 2007	FY 2008	FY 2009
Precision Inertial Navigation Systems (PINS)	9.259	6.000	4.000

(U) The Precision Inertial Navigation Systems (PINS) program will develop an entirely new class of inertial navigation instruments using atomic inertial force sensors. These sensors utilize the quantum-mechanical wave-like nature of atoms in the atomic analogue of an optical interferometer to provide unprecedented sensitivity to accelerations and rotations. The atomic sensors will further be used to measure the local gravitational field gradient to ensure that instrument alignment is properly maintained throughout vehicle maneuver, thus mitigating gravity-induced navigation errors. Initial program efforts will focus on developing fundamental technology components upon which future systems would be constructed. The PINS technology is planned for transition to the Navy and Air Force at the conclusion of Phase III, which is anticipated to be completed by the end of FY 2009.

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APPROPRIATION/BUDGET ACTIVITYR-1 ITEM NOMENCLATURERDT&E, Defense-wideGuidance Technology	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	DATE February 2008			
BA3 Advanced Technology Development PE 0603768E, Project GT-01	APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development	R-1 ITEM NOMENCLATURE Guidance Technology PE 0603768E, Project GT-01			

(U) Program Plans:

FY 2007 Accomplishments:

- Demonstrated eight atom cloud, synchronous Inertial Measurement Unit (IMU) in a static environment providing position and angle output at 10 Hz update rate.
- Designed and assembled hardware test bed to evaluate system components in realistic operating environment.

FY 2008 Plans:

- Install stable platform for PINS IMU into ground vehicle for FY 2009 cross-country demonstration.
- Complete open-ocean test campaign with combat swimmers demonstrating <100 meter per hour submerged navigation error.</li>
   FY 2009 Plans:
- Demonstrate gravity-compensated atom cloud IMU in ground vehicle that accumulates <5 meters per hour integrated navigation error for path between Palo Alto, CA and Arlington, VA.
- Design and construct pre-production prototype for final evaluation by Marine Corps combat swimmers.

	FY 2007	FY 2008	FY 2009
Robust Surface and Sub-Surface Navigation (RSN/SSN)	12.044	12.000	9.456

(U) The Robust Surface and Sub-Surface Navigation (RSN/SSN) program will provide the U.S. warfighter with the ability to navigate effectively when the GPS is unavailable due to hostile action (e.g. jamming) or blockage by structures and foliage. The RSN/SSN program will use signals of opportunity and specialized signals from a variety of ground, air, and space-based sources and judiciously placed low frequency RF beacons; these will be received on the warfighter's forthcoming software defined radios and use specially tailored algorithms to determine position. Other signals such as the Earth's magnetic field (micro deviations), and cyclic variations in the Earth's gravitational field due to tidal motion, will also be evaluated. The greater strength and diversity of these signals will provide coverage when GPS is denied due to lack of penetration into buildings and underground, and when severe multipath is a problem. This is a two-part program: (1) cataloging and assessing of potential exploitable signals followed by analysis and performance modeling and hardware-based concept validation and (2) designing, testing, and demonstrating of a (non-form-fit) prototype receiver(s) and algorithms for geolocation using the signals of opportunity. The RSN/SSN technology is planned for transition to U.S. Special Operations Command, the U.S. Army and the U.S. Air Force by FY 2010.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION</b>	DATE February 2008			
APPROPRIATION/BUDGET ACTIVITY	<b>R-1 ITEM NOMENCLATURE</b>			
RDT&E, Defense-wide	Guidance Technology			
BA3 Advanced Technology Development	PE 0603768E, Project GT-01			

### (U) Program Plans:

FY 2007 Accomplishments:

- Evaluated feasibility of RSN candidate approaches using modeling, analysis, and simulation.
- Successfully demonstrated SSN beacon geolocation approach for underground navigation.
- Developed critical RSN/SSN technologies and conducted phenomenological measurements to validate the selected concepts.
- Completed design and component-level testing of SSN system.
- Developed and conducted performance analysis of innovative algorithms for SSN that enhanced form/fit of user receiver.

FY 2008 Plans:

- Design and fabricate prototype SSN system.
- Complete concept design of RSN systems.

FY 2009 Plans:

- Complete fabrication of RSN systems.
- Test functional prototype SSN system for underground use.

	FY 2007	FY 2008	FY 2009
Navigation-Grade MEMS Inertial Measurement Unit (IMU)	15.500	11.000	7.000

(U) The Navigation-Grade MEMS Inertial Measurement Unit (IMU) program will develop micro-scale accelerometers and gyros with navigation-grade performance that use only milli-watts of power. The program will transcend traditional single mass-spring methods for navigation sensing and will explore alternative approaches, such as multiple, interconnected mass-spring systems, micro-levitated spinning structures, micro-optical readout mechanisms, atomic interferometric readout mechanisms, and fluidic contortions. This program will transition to industrial performers by developing wearable inertial measurement units (IMUs) for dismounted warfighters capable of GPS-denied navigation for lengthy periods; small IMUs for unmanned air and underwater vehicles, and for guidance of small, long-range munitions—all of which will go into DoD systems.

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	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	SHEET (R-2 Exhibit)	DATE February 2008
	APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development	CLATURE nology ject GT-01	
(U)	<ul> <li>Program Plans:</li> <li>FY 2007 Accomplishments:</li> <li>Achieved 3-D resonator structures (e.g., spheres, full wine-gla FY 2008 Plans:</li> <li>Develop levitation methods.</li> </ul>	ass structures).	

- Develop fluid contortion sensing.

FY 2009 Plans:

- Develop micro-environmental control.
- Control electronics integration.

	FY 2007	FY 2008	FY 2009
Active Electrol-Optical Mapping and Navigation System (AONS)	0.000	0.856	0.000

(U) The Active Electrol Optical Mapping and Navigation System (AONS) program will provide GPS-denied navigation and detailed building interior mapping to soldiers operating in urban environments. AONS will employ electro-optic system strengths in image registration and precision range to track and map a soldier's or vehicle's position continuously. Using image-flow methods, a compact, power-efficient camera and optional laser radar system will track the imagery from frame-to-frame and estimate camera pose and position information to provide the soldier a very precise determination of current position as well as a continuously updated map of the building or underground facility (UGF) being traversed.

(U) Program Plans:

FY 2008 Plans:

- Conduct feasibility study.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>			2008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA3 Advanced Technology Development	R-1 ITEM NOMENO Guidance Tech PE 0603768E, Pro	CLATURE nology ject GT-01	

	FY 2007	FY 2008	FY 2009
COmpact Ultra-stable Gyro for Absolute Reference (COUGAR)	0.000	0.000	5.000

(U) The COmpact Ultra-stable Gyro for Absolute Reference (COUGAR) program goal is to realize the fundamental performance potential of the resonant fiber optic gyro (RFOG) in combination with bandgap optical fiber (BGOF), ultra-stable compact lasers, phase conjugate elements (PCEs), and silicon optical benches: a compact ultra-stable gyro for absolute reference applications. The COUGAR gyro will have a practical and typical size (~ 4 inch diameter) featuring bias stability and sensitivity (or angle random walk), which is more than 100 times better than state-of-the-art gyroscopes.

## (U) Program Plans:

FY 2009 Plans:

- Develop purely single-polarization low-loss, low glass-content BGOF.
- Demonstrate compact narrow line-width single-frequency laser technology with ultra-low jitter and the capability of extremely linear frequency scanning.
- Develop resonator-ready (low loss) PCEs for mitigating residual non-linear Kerr Effect errors and relaxing tolerances on laser intensity stabilization requirements.
- Develop silicon optical bench technology for optical ruggedization and a path toward a compact and affordable gyroscope.

# (U) Other Program Funding Summary Cost:

• Not Applicable.

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RDT&E BUDGET ITEM JUSTI	FICATIO	DN S	HEET	Г ( <b>R-2</b> Ex	hibit)	DAT	E February 20	008
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA6 Management Support		R-1 ITEM NOMENCLATURE Small Business Innovative Research PE 0605502E, Project SB-01						
COST (In Millions)	FY 2007	Y 2007 FY 2008 FY 2009 FY 2010 FY 20			FY 2011	FY 2012	FY 2013	
Total Program Element (PE) Cost	78.657		0.000	0.000	0.000	0.000	0.000	0.000
Small Business Innovative Research SB-01	78.657		0.000	0.000	0.000	0.000	0.000	0.000

### (U) <u>Mission Description:</u>

(U) This project explores innovative concepts pursuant to Public Law 106-554 (Small Business Reauthorization Act of 2000) and Public Law 107-50 (Small Business Technology Transfer Program Reauthorization Act of 2001), which mandates a two-phase competition for small businesses with innovative technologies that can also be commercialized. The Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs will develop new dual-use technologies for possible future DARPA needs.

### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Small Business Innovative Research	78.657	0.000	0.000

### (U) Program Plans:

FY 2007 Accomplishments:

- SBIR program being executed within OSD guidelines.

	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>			DATE February 2008		
	APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA6 Management Support	<b>R-1 ITEM NOMENC</b> Small Business Innova PE 0605502E, Proj	LATUR tive R ect SB	E esearch B-01		
(U)	Program Change Summary: (In Millions)	<u>FY 2</u>	<u>007</u>	<u>FY 2008</u>	<u>FY 2009</u>	
	Previous President's Budget	0.	.000	0.000	0.000	
	Current Budget	78.	.657	0.000	0.000	
	Total Adjustments	78.	.657	0.000	0.000	
	Congressional program reductions	0.	.000			
	Congressional increases	0.	.000			
	Reprogrammings	0.	.000			
	SBIR/STTR transfer	78.	.657			
(U)	Change Summary Explanation:					
	FY 2007Increase reflects the SBIR/STTR transfer.					
(U)	<b>Other Program Funding Summary Cost:</b>					
•	• Not Applicable.					

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>						DATE February 2008		
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA6 Management Support			R-1 ITEM NOMENCLATURE DARPA Agency Relocation PE 0605897E, Project AR-02					
COST (In Millions)	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	
Total Program Element (PE) Cost	0.000	0.000	28.000	45.000	0.000	0.000	0.000	
DARPA Agency Relocation AR-02	0.000	0.000	28.000	45.000	0.000	0.000	0.000	

### (U) <u>Mission Description:</u>

(U) This PE is budgeted in the Management Support Budget Activity to meet building relocation support cost requirements for the Defense Advanced Research Projects Agency (DARPA). The move to a new facility is required by the Department of Defense Unified Facilities Criteria (UFC) and Anti-terrorism/Force Protection Requirements Regulation (UFC 4-010-01 dtd 8 OCT 2003, as amended 22 Jan 2007). The regulation lists force protection standards and is mandatory for facilities leased for DoD use. The regulation applies to all new leases executed on or after 1 OCT 2005 and to renewal or extension of any existing lease on or after 1 OCT 2009. DARPA's existing leased facility does not meet the UFC standards and the lease expires 30 JUL 2010. This PE will fund all expenses associated with planning and movement of the Agency to its new location. Initial costs will include design and trade studies, costs associated with implementing force protection standards, floor plan layout and planning activities leading up to the move. Further, it will fund outfitting of the selected property with the force protection standards, infrastructure, equipment, and furniture required for the DARPA staff and completion of the move in the 2010-2011 timeframe.

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
DARPA Agency Relocation	0.000	0.000	28.000

# (U) Program Plans:

FY 2009 Plans:

- Design and support GSA contracting for commercial construction of new facility.
- Implement force protection standards such as blast proofing and procure long lead items, vehicle barrier/entry control system, door and perimeter sensors, access control system and intrusion detection system for restricted areas.

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	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>			DATE	DATE February 2008			
	APPROPRIAT RDT&J BA6 Mar	ION/BUDGET ACTIVITY E, Defense-wide nagement Support	R-1 ITEM NOMENCI DARPA Agency R PE 0605897E, Proje	<b>R-1 ITEM NOMENCLATURE</b> DARPA Agency Relocation PE 0605897E, Project AR-02				
(U)	Program Change Sun	nmary: (In Millions)	<u>FY 2</u>	<u>007</u>	<u>FY 2008</u>	<u>FY 2009</u>		
	Previous President's B	udget	0.	.000	0.000	0.000		
	Current Budget		0.	.000	0.000	28.000		
	Total Adjustments		0.	.000	0.000	28.000		
	Congressional program	reductions	0.	.000				
	Congressional increase	S	0.	.000				
	Reprogrammings		0.	.000				
	SBIR/STTR transfer		0.	.000				
(U)	Change Summary Ex	planation:						
	FY 2009	The increase reflects building relocation relocation expenses. Initial costs are but	support costs. This PE was established t lgeted in FY 2008 in PE 0605898E.	to sepa	arately identif	fy all		
(U)	Other Program Fund	ing Summary Cost:						

• Not Applicable.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>						DATI	DATE February 2008	
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA6 Management Support			R-1 ITEM NOMENCLATURE Management Headquarters (Research and Development) PE 0605898E, Project MH-01				ent)	
COST (In Millions)	FY 2007	FY 2008		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013
Total Program Element (PE) Cost	48.766	4	8.480	52.700	56.876	58.100	59.350	60.598
Management Headquarters (R&D) MH-01	48.766	4	8.480	52.700	56.876	58.100	59.350	60.598

### (U) <u>Mission Description:</u>

(U) This program element is budgeted in the Management Support Budget Activity because it provides funding for the administrative support costs of the Defense Advanced Research Projects Agency. The funds provide personnel compensation for civilians as well as costs for building rent, physical security, travel, supplies and equipment, communications, printing and reproduction. During Base Realignment and Closure (BRAC) discussions, DARPA was instructed to work with the General Services Administration and Washington Headquarters Service personnel to prepare to vacate the Agency's current headquarters building at the end of its lease (2010) and relocate to a facility that meets force protection requirements. The FY 2008 budget includes funds to begin design and trade studies and initial floorplan layout. A new Program Element has been established for DARPA relocation expenses starting in FY 2009 (PE0605897E).

#### (U) Program Accomplishments/Planned Programs:

	FY 2007	FY 2008	FY 2009
Management Headquarters	48.766	48.480	52.700

### (U) Program Plans:

FY 2007 Accomplishments:

- Funded civilian salaries and benefits, including bonus package compensation for Section 1101 hires, and administrative support costs.
- Funded travel, rent and other infrastructure support costs.
- Funded security costs to continue access controls, uniformed guards, and building security upgrades.
- Funded CFO Act compliance costs.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	DATE February 2008	
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENCE	LATURE
RDT&E, Defense-wide	Management Headquarters (Rese	earch and Development)
BA6 Management Support	PE 0605898E, Proje	ect MH-01

FY 2008 Plans:

- Fund civilian salaries and benefits, including bonus package compensation for Section 1101 hires, and administrative support costs.
- Fund travel, rent and other infrastructure support costs.
- Fund security costs to continue access controls, uniformed guards, and building security upgrades.
- Fund CFO Act compliance costs.
- Fund Design and Trade studies in preparation for a move to a force-protection compliant building.

FY 2009 Plans:

- Fund civilian salaries and benefits, including bonus package compensation for Section 1101 hires, and administrative support costs.
- Fund travel, rent and other infrastructure support costs.
- Fund security costs to continue access controls, uniformed guards, and building security upgrades.
- Fund CFO Act compliance costs.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
	Previous President's Budget	48.766	52.992	63.700
	Current Budget	48.766	48.480	52.700
	Total Adjustments	0.000	-4.512	-11.000
	Congressional program reductions	0.000	-4.512	
	Congressional increases	0.000		
	Reprogrammings	0.000		
	SBIR/STTR transfer	0.000		

<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	DATE February 2008	
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA6 Management Support	R-1 ITEM NOMENCE Management Headquarters (Rese PE 0605898E, Proje	LATURE arch and Development) ect MH-01

### (U) <u>Change Summary Explanation:</u>

- FY 2008Decrease reflects transfer of funds for the Defense Agency Initiative financial system, and reductions for Section<br/>8097 Contractor Efficiencies and Section 8104 Economic Assumptions.
- FY 2009 Decrease reflects the shift of funds to a new Program Element (PE 0605897E) for the building move.

### (U) Other Program Funding Summary Cost:

• Not Applicable.

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<b>RDT&amp;E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)</b>					D	DATE February 2008			
APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA6 Management Support			R-1 ITEM NOMENCLATURE Cyber Security Initiative PE 0305103E, Project CYB-01						
COST (In Millions)	FY 2007	FY 2008		FY 2009	FY 2010	FY 201	11	FY 2012	FY 2013
Total Program Element (PE) Cost	0.000		0.000	50.000	50.000	10.00	00	10.000	10.000
Cyber Security Initiative CYB-01	0.000		0.000	50.000	50.000	10.00	00	10.000	10.000

# (U) <u>Mission Description:</u>

(U) This program element funds the Cyber Security Initiative. Details of this submission are classified.

### (U) **Program Accomplishments/Planned Programs:**

	FY 2007	FY 2008	FY 2009
Cyber Security Initiative	0.000	0.000	50.000

- (U) Program Plans: FY 2009 Plans:
  - Details will be provided under separate cover.

(U)	Program Change Summary: (In Millions)	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>
	Previous President's Budget	0.000	0.000	0.000
	Current Budget	0.000	0.000	50.000
	Total Adjustments	0.000	0.000	50.000

	<b>RDT&amp;E BUDGET ITEM JUSTIFICATION S</b>	DATE February 2008		
	APPROPRIATION/BUDGET ACTIVITY RDT&E, Defense-wide BA6 Management Support	R-1 ITEM NOMENCLATURE Cyber Security Initiative PE 0305103E, Project CYB-01		
	Congressional program reductions	0	0.000	
	Congressional increases	0	0.000	
	Reprogrammings	0	0.000	
	SBIR/STTR transfer	0	0.000	
(U)	Change Summary Explanation:         FY 2009         Funds were increased to support the Cyber Security Initiative.			

# (U) Other Program Funding Summary Cost:

• Not Applicable.